



US Army Corps
of Engineers
Savannah District

Fort Stewart Georgia

Solicitation Number
W912HN-04-R-0051
CEP Distribution Lines – Phase II
FY-04, Line Item 4345000
Sections 00010 through 00800 and
Technical Provisions - Divisions 1 through 16
July 2004

THIS SOLICITATION IS UNRESTRICTED PURSUANT TO THE
"BUSINESS OPPORTUNITY DEVELOPMENT REFORM ACT OF 1988"
(PUBLIC LAW 100-656)

U.S. ARMY ENGINEER DISTRICT, SAVANNAH
CORPS OF ENGINEERS
100 WEST OGLETHORPE AVENUE
SAVANNAH, GEORGIA 31401-3640

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SOLICITATION, OFFER, AND AWARD <i>(Construction, Alteration, or Repair)</i>	1. SOLICITATION NO. W912HN-04-R-0051-0002	2. TYPE OF SOLICITATION <input type="checkbox"/> SEALED BID (IFB) <input checked="" type="checkbox"/> NEGOTIATED (RFP)	3. DATE ISSUED 01-Jul-2004	PAGE OF PAGES 1 OF 164
	IMPORTANT - The "offer" section on the reverse must be fully completed by offeror.			

IMPORTANT - The "offer" section on the reverse must be fully completed by offeror.

4. CONTRACT NO.	5. REQUISITION/PURCHASE REQUEST NO.	6. PROJECT NO.
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7. ISSUED BY US ARMY ENGINEER DISTRICT SAVANNAH 100 W OGLETHORPE AVENUE SAVANNAH GA 31401-3640	CODE W912HN	8. ADDRESS OFFER TO <i>(If Other Than Item 7)</i> CODE See Item 7
TEL:	FAX:	TEL:
		FAX:

9. FOR INFORMATION CALL:	A. NAME MARIAN C ALVIAR	B. TELEPHONE NO. <i>(Include area code)</i> (NO COLLECT CALLS) 912/652-5539
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SOLICITATION

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS *(Title, identifying no., date):*

Contract Specialist: Lee Tew
E-Mail: donnie.l.tew@sas02.usace.army.mil

Contracting Officer: Julie Anderson
E-Mail: julie.a.anderson@sas02.usace.army.mil

CEP DISTRIBUTION - PHASE II
FORT STEWART, GEORGIA
FY-05, LINE ITEM 4345000

Proposal, to include all changes, is hereby incorporated by reference.

* See Section 00800, FAR Clause 52.211-10 Commencement, Prosecution and Completion of Work

11. The Contractor shall begin performance within 5 calendar days and complete it within 600 calendar days after receiving award, notice to proceed. This performance period is mandatory, negotiable. (See Section 00800 _____.)

12 A. THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE AND PAYMENT BONDS? <i>(If "YES," indicate within how many calendar days after award in Item 12B.)</i> <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	12B. CALENDAR DAYS 10
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13. ADDITIONAL SOLICITATION REQUIREMENTS:

A. Sealed offers in original and 5 copies to perform the work required are due at the place specified in Item 8 by 02:00 PM (hour) local time 30 Nov 2004 (date). If this is a sealed bid solicitation, offers must be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.

B. An offer guarantee is, is not required.

C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.

D. Offers providing less than 120 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.

SOLICITATION, OFFER, AND AWARD (Continued)

(Construction, Alteration, or Repair)

OFFER (Must be fully completed by offeror)

14. NAME AND ADDRESS OF OFFEROR <i>(Include ZIP Code)</i>	15. TELEPHONE NO. <i>(Include area code)</i>
	16. REMITTANCE ADDRESS <i>(Include only if different than Item 14)</i>
	See Item 14
CODE	FACILITY CODE

17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of this solicitation, if this offer is accepted by the Government in writing within _____ calendar days after the date offers are due. *(Insert any number equal to or greater than the minimum requirements stated in Item 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)*

AMOUNTS	SEE SCHEDULE OF PRICES
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18. The offeror agrees to furnish any required performance and payment bonds.

19. ACKNOWLEDGMENT OF AMENDMENTS

(The offeror acknowledges receipt of amendments to the solicitation -- give number and date of each)

AMENDMENT NO.										
DATE										

20A. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER <i>(Type or print)</i>	20B. SIGNATURE	20C. OFFER DATE
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AWARD (To be completed by Government)

21. ITEMS ACCEPTED:

22. AMOUNT	23. ACCOUNTING AND APPROPRIATION DATA
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24. SUBMIT INVOICES TO ADDRESS SHOWN IN <i>(4 copies unless otherwise specified)</i>	ITEM	25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO <input type="checkbox"/> 10 U.S.C. 2304(c) <input type="checkbox"/> 41 U.S.C. 253(c)
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26. ADMINISTERED BY	CODE	27. PAYMENT WILL BE MADE BY:	CODE
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CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE

<input type="checkbox"/> 28. NEGOTIATED AGREEMENT <i>(Contractor is required to sign this document and return _____ copies to issuing office.)</i> Contractor agrees to furnish and deliver all items or perform all work, requisitions identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications or incorporated by reference in or attached to this contract.	<input type="checkbox"/> 29. AWARD <i>(Contractor is not required to sign this document.)</i> Your offer on this solicitation, is hereby accepted as to the items listed. This award consummates the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.
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30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED TO SIGN <i>(Type or print)</i>	31A. NAME OF CONTRACTING OFFICER <i>(Type or print)</i>		
30B. SIGNATURE	30C. DATE	TEL:	EMAIL:
		31B. UNITED STATES OF AMERICA BY	31C. AWARD DATE

Section 00010 - Solicitation Contract Form

NOTICE TO OFFERORS

HAND-CARRIED OR MAILED PROPOSALS:

All proposals must be clearly identified with the contractor's name and address. To ensure timely and proper handling, the lower left corner of the outermost wrapper should indicate the Request For Proposal No., Due Date of Proposal, Time by which Proposals are Due, and Title of Project.

The Government will not be responsible for proposals delivered to any location or to anyone other than those designated to receive proposals on its behalf as indicated below.

Proposals delivered by commercial carrier and those sent by U.S. Mail, including U.S. Express Mail, must be addressed as indicated below. Proposals shall not be addressed to any specific person.

U.S. Army Engineer District, Savannah
ATTN: CESAS-CT-C
100 West Oglethorpe Avenue
Savannah, Georgia 31401-3640

Mailroom personnel on the first floor of 100 West Oglethorpe Avenue must receive proposals sent by U.S. Mail or delivered by commercial carrier by the time specified in Block 13 of the SF1442 for receipt of proposals.

Offerors are cautioned that proposals sent via United States Postal Service Express Mail are first delivered to the Savannah District Post Office Box instead of 100 West Oglethorpe Avenue, "the office designated for receipt of proposals" therefore, allow sufficient mailing time.

Hand-carried proposals also must be delivered to mailroom personnel on the first floor of 100 West Oglethorpe Avenue by the time specified in Block 13 of SF1442 for receipt of proposals.

Offerors are cautioned that there is no parking in or around the building, therefore, when hand delivering proposals sufficient time should be allowed for transporting of proposal packages from your vehicle to mailroom personnel.

FACSIMILE MODIFICATIONS OF PROPOSALS ARE NOT AUTHORIZED.

QUALITY CONTROL SYSTEM(QCS)

Any contract award resulting from this solicitation will require the mandatory use of the automated Quality Control System, Please see section 01312A for additional information.

SUPPLIES OR SERVICES AND PRICES/COSTS

CEP DISTRIBUTION - PHASE II
FORT STEWART, GEORGIA

TOTAL BID (ITEM 0001) ----- \$ _____

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
0001	Base Bid Distribution piping – Blocks 600, 700 and 800; and all building connections. All work associated with Mechanical rooms including replace piping, valves, insulation, controls, complete	1	Lump Sum	XXXX	\$ _____

Section 00100 - Bidding Schedule/Instructions to Bidders

INSTRUCTIONS, CONDITIONS, AND NOTICE TO OFFERORS

CEP – PHASE II, FORT STEWART, GA

L.I. 4345000, FY05

PERFORMANCE PRICE TRADE-OFF

1. **PROPOSAL OVERVIEW.** This Request for Proposal (RFP) solicits the new Central Energy Plant (CEP) high temperature water distribution system – Phase II. Work includes all piping, accessories, trench construction, utility relocations and building connections. Site work includes the backfill, grading and restoration of the disturbed areas with sod and temporary seeding and the restoration of asphalt/concrete pavements which are disturbed during the life of this project. Work will be performed on Fort Stewart, Georgia. The contract will be a Firm-Fixed Price (FFP). The purpose of the Source Selection plan is to establish a uniform evaluation procedure for the technical evaluation of proposals by the Source Selection Evaluation Board (SSEB) and the development of the Best Value Decision by the Source Selection Authority (SSA) using the Trade-Off Process (See Federal Acquisition Regulation 15.101-1). In as much as the proposal shall describe the capability of the Offeror to perform any resulting contract, the proposal should be specific and complete in every detail. The proposal should be prepared simply and economically, providing a straightforward and concise description of capabilities to satisfactorily perform the contract. The proposal should be practical, legible, clear, and coherent.

1.1 **Proposal Submissions and the Trade-Off Process.** This process permits tradeoffs among cost or price and non-cost factors and allows the Government to accept other than the lowest priced proposal. Offerors submit their performance and capability information for review and consideration by the Government. Relative weights among technical factors are provided in paragraph 5 Evaluation Factors and Weights. The SSEB reviews, evaluates, and rates the proposals against the source selection criteria in the RFP. Concurrently, the Government analyzes price proposals of Offerors. Price will not be scored, but will be a factor in establishing the competitive range prior to discussions (if held) and in making the final best value determination for award. The SSA compares proposals to one another and determines the best value for the government. The perceived benefits of the higher priced proposal shall merit the additional cost, and the rationale for tradeoffs must be documented.

2. PROPOSAL SUBMISSION INSTRUCTIONS

2.1 **Who May Submit.** Any legally organized Offeror may submit a proposal.

2.2 **Where to Submit.** Offerors shall submit their proposals to the Savannah District at the address shown in Block 7 of the Standard Form 1442.

2.3 **Submission Deadline.** Proposals shall be received by the Savannah District no later than the time and date specified in Block 13 of Standard Form 1442.

2.4 **General Requirements.**

2.4.1 In order to effectively and equitably evaluate all proposals, the Contracting Officer must receive information containing sufficient detail to allow review and evaluation by the Government. Proposal clarity, organization, and cross-referencing are mandatory. Failure to submit and organize proposals as requested may adversely affect an Offeror's evaluation. Offerors should provide sufficient detail and clearly define all items required in this section.

2.4.2 **Tabs.** Proposal shall be organized and tabbed as shown in paragraph 2.5 Submission Format.

2.4.3 **Size of Printed Matter Submissions.**

2.4.3.1 Written materials shall be prepared on 8-1/2" x 11" paper.

2.4.3.2 The proposals shall contain a detailed table of contents. If more than one binder is used, the complete table of contents shall be included in each. Any materials submitted but not required by the solicitation, (such as company brochures), shall be relegated to appendices.

2.4.4 Number of Copies. Offerors shall submit one (1) hard copy of Volume I and five (5) hard copies of Volume II of their Proposal. Both volumes shall also be submitted on a CD-ROM.

2.5 Submission Format.

2.5.1 The Proposal will be tabbed and submitted in a three ring binders in the following format:

VOLUME I

TAB A – SF 1442, completed and signed by an authorized person from the company or team

TAB B – Section 00010 – Supplies or Services and Price/Costs Schedule

TAB C – Section 00600 – Representations and Certifications

TAB D – PROPOSAL DATA SHEET – See the format provided in this Section. Ensure to include Offeror's telephone number, FAX number, e-mail address and DUNS number. Duns number will be used to access CCASS data.

TAB E – Bid Bond

TAB F – Financial Information (e.g. Latest Financial Statement, Annual Reports, Dun and Bradstreet ratings and/or number, etc.)

TAB G – Subcontracting Plan – FOR LARGE BUSINESS OFFERORS ONLY. Subcontracting Plan shall be prepared in accordance with FAR 52.219-9. See Section 00800 for a sample plan and format.

NOTE: For the information of Large Business Offerors, Savannah District's assigned subcontracting goals are:

57.2% of planned subcontracting dollars placed with small business concerns

10.0% of planned subcontracting dollars placed with small disadvantaged business concerns

10.0% of planned subcontracting dollars placed with women owned small business concerns

3.0% of planned subcontracting dollars with HUBZone small business

3.0% of planned subcontracting dollars placed with service-disabled veteran-owned small business concerns

0.0% of planned subcontracting dollars placed with veteran-owned small business concerns. While Savannah District does not have a specific target for subcontracting with Veteran-Owned small businesses, this must be addressed in any subcontracting plan.

VOLUME II – Technical Proposal

THE TECHNICAL PROPOSAL SHALL NOT INCLUDE ANY COST INFORMATION.

TAB H – FACTOR 1: CONSTRUCTION PAST PERFORMANCE

TAB J – FACTOR 2: CORPORATE RELEVANT SPECIALIZED EXPERIENCE

TAB K – FACTOR 3: CONSTRUCTION DURATION

3. TECHNICAL PROPOSAL SUBMISSION REQUIREMENTS.

3.1 FACTOR 1: CONSTRUCTION PAST PERFORMANCE (VOLUME II, TAB H). Offerors shall be evaluated on construction projects successfully completed or substantially completed in the last three years. The Offeror's past performance in completing projects during the last three years will be evaluated to determine technical capability to perform the proposed contract and how well it satisfied its customers. The information presented in the Offeror's submittal, together with that from other sources available to the Government will comprise the input for evaluation of this factor. The following elements will be evaluated:

Quality of Construction
Timeliness of Performance
Customer Satisfaction

3.1.2 Offeror's Submission Requirements.

3.1.2.1 Past Performance Information Sheets. Offerors shall complete and provide a Past Performance Information Sheet on three projects described above. A sample Past Performance Information Sheet is included at the end of this section.

3.1.2.2 Past Performance Questionnaires. Offeror's shall identify the completed projects (or substantially complete) as described above to be used for reference and evaluation purposes and provide a questionnaire to the Point of Contact for each project listed. A sample Past Performance Evaluation Questionnaire is included at the end of this section. When completed, these forms shall be mailed, faxed or e-mailed to the Savannah District Contract Specialist identified in the sample transmittal letter provided. It is the contractor's responsibility to ensure that the reference documentation is provided, as the Government may not make additional requests for past performance information from the references. The evaluation form shall be provided to the Savannah District Contract Specialist directly from the reference. Projects from which questionnaires are received shall have been completed or substantially completed within three years of the date of the solicitation.

3.1.2.3 Other Sources. The Government may contact sources other than those provided by the Offeror for information with respect to past performance. These other sources may include CCASS (Construction Contractor Appraisal Support System), telephone interviews with organizations familiar with the Offeror's performance, and Government personnel with personal knowledge of the Offeror's performance capability.

3.1.3 Evaluation. The Government will evaluate the Offeror's past performance using the sources available to it including but not limited to: the example projects identified by the Offeror, Past Performance Evaluation Questionnaires received, and CCASS. Offerors may be provided an opportunity to address any negative past performance information about which the Offeror has not previously had an opportunity to respond. The Government treats an Offeror's lack of past performance as having no positive or negative evaluation significance. The Government will evaluate past performance based on the elements listed below:

3.1.3.1 Quality of Construction. Based on information provided in the questionnaire and other information, the Government will assess the quality of the actual construction undertaken and the standards of workmanship exhibited by the Offeror's team.

3.1.3.2 Timeliness of Performance. The Government will evaluate all information available with respect to the Offeror completing past projects within the scheduled completion times.

3.1.3.3 Customer Satisfaction. The Government will evaluate all information available with respect to the Offeror's past customer satisfaction, cooperation with customers, and interaction on past projects.

3.2 FACTOR 2: CORPORATE RELEVANT SPECIALIZED EXPERIENCE (VOLUME II, TAB J). Offerors shall be evaluated on construction projects successfully completed or substantially completed in the last five years that demonstrate the Offeror's specialized experience in the construction of similar construction projects. For this proposal, similar projects are projects that meet the following criteria: construction of high temperature distribution piping systems and trench construction projects and/or construction value of at least \$10 Million.

3.2.2 Offeror's Submission Requirements. Offerors shall submit at least three Project Information Sheets for construction projects completed, or substantially complete, that reflect specialized experience in the construction elements referenced above. The examples should be as similar as possible to this solicitation in project type and scope. As a minimum, the Project Information Sheets shall provide: the Project Point of Contact with telephone number, general character of the project, scope, location, cost, and date of completion or anticipated completion. If the Offeror represents the combining of two or more companies for the purpose of this RFP, each company shall list project examples. Example projects must have been completed or substantially complete not later than five (5) years prior to the date of the solicitation. The experience of individuals will not be credited under this factor.

3.2.3 Evaluation. The Government will review the example construction projects provided by the Offeror to evaluate and rate the recent relevant specialized experience of the Offeror with similar projects. The example construction projects should closely resemble the scope, size, and complexity of the project identified in this solicitation. If the Offeror cannot provide suitable relevant experience and the evaluators consider that the information provided indicates that the Offeror has no relevant experience, a determination will be made as to the risk this lack of corporate experience presents to the Government and the proposal will be evaluated accordingly.

3.3 FACTOR 3: CONSTRUCTION DURATION (VOLUME II, TAB K). The Government's requirement is that all design and construction on this project be completed within 600 days of Notice to Proceed, inclusive of all review periods and Government phasing requirements specified. Offeror may propose a completion period of lesser duration. Completion periods of significantly lesser duration may be rated as more advantageous to the Government. Offers who propose completion of the work beyond the maximum completion period specified above, will be rated unsatisfactory for this factor.

3.3.1 Offeror's Submission Requirements.

3.3.1.1 Offeror's must show the proposed construction duration. This shall be shown in graphic form in a summary bar chart, which is part of the offeror's proposed NAS schedule.

3.3.1.2 If the Offeror proposes a completion period of lesser duration than the Government requirement, the following certification shall be completed and submitted:

Statement of Compliance

[*Insert name of the Offeror*] hereby proposes that the period of performance for all design and construction is _____ calendar days from Notice to Proceed, inclusive of Government review periods and Government phasing requirements specified.

[*Insert name of the Offeror*] hereby certifies that the offer of this performance period is at no additional cost to the Government over the performance period specified as the minimum Government requirement.

Signature and Date by Corporate Official

3.3.2 Evaluation. This factor will be evaluated by reviewing submitted scheduling documents. Completion periods of significantly lesser duration may be rated as more advantageous to the Government. Offers who propose completion of the work beyond the maximum completion period specified above, will be rated unsatisfactory for this factor.

4. EVALUATION STANDARDS. Evaluation criteria (factors) will be rated using the following adjectival descriptions. Evaluators will apply the appropriate adjective to each criterion rated. The evaluator's narrative explanation must clearly establish that the Offeror's submittal meets the definitions established below. As each criteria is evaluated an assessment of Performance Risk will be made. Performance Risk relates to the assessment of an Offeror's present and past work and accomplishments to determine the Offeror's ability to successfully perform as required.

4.1 OUTSTANDING - Information submitted demonstrates Offeror's potential to significantly exceed performance or capability standards. The Offeror has clearly demonstrated an understanding of all aspects of the requirements to the extent that timely and highest quality performance is anticipated. The Offeror possesses exceptional strengths that will significantly benefit the Government. The Offeror's qualifications meet the fullest expectations of the Government. The Offeror has convincingly demonstrated that the RFP requirements have been analyzed, evaluated, and synthesized into approaches, plans, and techniques that, when implemented, should result in highly effective and efficient performance under the contract which represents very low risk to the Government. An assigned rating of "outstanding" indicates that, in terms of the specific factor, the submittal contains no significant weaknesses, deficiencies or disadvantages. Offeror very significantly exceeds most or all solicitation requirements. Very high probability of success. Very low risk to the Government.

4.2 ABOVE AVERAGE - Information submitted demonstrates Offeror's potential to exceed performance or capability standards. Offeror possesses one or more strengths that will benefit the Government. The areas in which the Offeror exceeds the requirements are anticipated to result in a high level of efficiency, productivity, or quality. The Offeror's qualifications are responsive with minor weaknesses, but no major weaknesses noted. An assigned rating of "Above Average" indicates that, in terms of the specific factor, any weaknesses noted are minor and should not seriously affect the offeror's performance. The submittal demonstrates that the requirements of the RFP are well understood and the approach will likely result in a high quality of performance which represents low risk to the Government. A rating of "Above Average" is used when there are no indications of exceptional features or innovations that could prove to be beneficial, or conversely, weaknesses that could diminish the quality of the effort or increase the risks of failure. Disadvantages are minimal. The submittal contains excellent features that will likely produce results very beneficial to the Government. Offeror fully meets all RFP requirements and significantly exceeds many of the RFP requirements. Response exceeds a "Satisfactory" rating. High probability of success. Low risk to the Government.

4.3 SATISFACTORY (Neutral) - Information submitted demonstrates Offeror's potential to meet performance or capability standards. Offeror presents an acceptable solution and meets minimum standard requirements. Offeror possesses few or no advantages or strengths. The Offeror's proposal contains weaknesses in several areas that are offset by strengths in other areas. A rating of "Satisfactory" indicates that, in terms of the specific factor, the Offeror may satisfactorily complete the proposed tasks, but there is at least a moderate risk that it will not be successful. There is a good probability of success and that a fully acceptable level of performance will be achieved. Offeror meets all RFP requirements, presents a complete and comprehensive proposal, exemplifies an understanding of the scope and depth of the task requirements, and displays understanding of the Government's requirements. Offeror's response exceeds a "Marginal" rating. No significant advantages or disadvantages. Moderate risk to the Government. In the case of no past performance on the part of the Offeror, a SATISFACTORY rating will be assigned for Past Performance.

4.4 MARGINAL - Information submitted demonstrates Offeror's potential to marginally meet performance or capability standards necessary for minimal but acceptable contract performance. The submittal is not adequately responsive or does not address the specific factor. The assignment of a rating of "Marginal" indicates that mandatory corrective action would be required to prevent significant deficiencies from affecting the overall project. The Offeror's qualifications demonstrate an acceptable understanding of the requirements of the RFP and the approach will likely result in an adequate quality of performance, which represents a moderate level of risk to the Government. Offeror displays low probability of success, although the submittal has a reasonable chance of becoming at least acceptable. Offeror's response exceeds an "Unsatisfactory" rating. Significant disadvantages. High risk to the Government.

4.5 UNSATISFACTORY – Information submitted fails to meet performance or capability standards necessary for acceptable contractor performance. The Offeror's interpretation of the Government's requirements is so superficial, incomplete, vague, incompatible, incomprehensible, or incorrect as to be Unsatisfactory. The submittal does not meet the minimum requirements of the RFP; requirements could only be met with major changes to the submittal. There is no reasonable expectation that acceptable performance would be achieved which represents unacceptably high risk to the Government. The Offeror's qualifications have many deficiencies and/or gross omissions; fail to provide a reasonable, logical approach to fulfilling much of the Government's requirements; and, fail to meet many of the minimum requirements. The Offeror's qualifications are so unacceptable that it would have to be completely revised in order to attempt to make them acceptable. Very significant disadvantages. Unacceptably high risk to the Government.

5. EVALUATION FACTORS and WEIGHTS

5.1 Relative Importance Definition. For the purpose of this evaluation, the following terms will be used to establish the relative importance of the factors.

5.1.1 Significantly More Important: The criterion is at least two times greater in value than another criterion.

5.1.2 More Important: The criterion is greater in value than another criterion but less than two times greater.

5.1.3 Equal: The criterion is of the same value or nearly the same as another criterion.

5.2 PRICE is equal in importance to ALL TECHNICAL FACTORS when combined.

5.3 Weight among technical factors:

FACTOR 1: CONSTRUCTION PAST PERFORMANCE: This factor is more important than Factors 2 and significantly more important than Factor 3.

FACTOR 2: CORPORATE RELEVANT SPECIALIZED EXPERIENCE: This factor is more important than Factor 3.

FACTOR 3: CONSTRUCTION DURATION.

6. PROPOSAL EVALUATION.

6.1 Each member of the Government evaluation team (Source Selection Board) will independently consider all information provided in the proposal. Worksheets are provided on the following pages, which the evaluators will use to review and rate the individual proposals.

6.2 Once these individual analyses are completed, the team will meet and determine a rating for each of the evaluation factors by consensus decision.

6.3 The evaluation team will document strengths, weaknesses, and omissions to support the rating for each factor as well as the overall rating. Documentation and comments are required for all ratings.

6.4 This final overall rating, along with ratings on individual factors, will be provided to the Contracting Officer/Source Selection Authority for the best value decision.

7. EXCEPTIONS. Exceptions to the contractual terms and conditions of the solicitation (e.g., standard company terms and conditions) may result in a determination to reject a proposal.

8. RESTRICTIONS. Failure to submit all the data in the format indicated in this section may be cause for determining a proposal incomplete and, therefore, not considered for evaluation, and for subsequent award.

9. PRICE.

9.1 The Government will perform a price analysis on all proposals received. Price analysis will be performed in accordance with FAR 15.404-1, to determine completeness, reasonableness, and understanding of the work. The evaluation will determine the adequacy of the offer in fulfilling the requirements of the proposal. Completeness addresses the extent to which the elements of the price proposal are consistent with the requirements of the RFP. Reasonableness will be established using historical price information, price competition information, the IGE, and any other pricing tools necessary.

9.2 Price will not be scored, but will be a factor in establishing the competitive range prior to discussions (if held) and in making the final best value determination for award.

10. BASIS FOR AWARD

10.1 Proposals must meet the criteria stated in the RFP in order to be eligible for award, to include responsiveness, technical acceptability and responsibility.

10.2 In order to determine which proposal represents the best overall value, the Government will compare proposals to one another. The Government will award a contract to the responsible Offeror whose technical submittal and price proposal contains the combination of those criteria described in this document offering the best overall value to the Government. Best value will be determined by a comparative assessment of proposals against all source selection criteria in this RFP.

10.3 As technical ratings and relative advantages and disadvantages become less distinct, differences in price between proposals are of increased importance in determining the most advantageous proposal. Conversely, as differences in price become less distinct, differences in scoring and relative advantages and disadvantages between proposals are of increased importance to the determination.

10.4 The Government reserves the right to accept other than the lowest priced offer. The right is also reserved to reject any and all offers. The basis of award will be a conforming offer, the price or cost of which may or may not be the lowest. If other than the lowest offer, it must be sufficiently more advantageous than the lowest offer to justify the payment of additional amounts.

10.5 Offerors are reminded to include their best technical and price terms in their initial offer and not to automatically assume that they will have an opportunity to participate in discussions or be asked to submit a revised offer. The Government may make award of a conforming proposal without discussions, if deemed to be within the best interests of the Government.

VOLUME I – TAB D

PROPOSAL DATA SHEET

PROJECT TITLE: CEP – PHASE II
PROJECT LOCATION: FORT STEWART, GA

1. Name of Firm:

Address:

Phone:

Fax:

E-mail:

Duns # (used for accessing CCASS)

If a joint venture or contractor-subcontractor association of firms, list the individual firms and briefly describe the nature of the association.

Firm 1:

Firm 2:

Firm 3:

Nature of Association:

2. AUTHORIZED NEGOTIATORS. FAR 52.215-11

The Offeror represents that the following persons are authorized to negotiate on its behalf with the Government in connection with this Request for Proposals (RFP).

[List names, titles, and telephone number of the authorized negotiator.]

Name of Person Authorized to Negotiate:

Negotiator's Address:

Negotiator's Telephone:

Negotiator's E-mail:

CEP – PHASE II, FORT STEWART, GA

VOLUME II – TAB H

FACTOR 1: CONSTRUCTION PAST PERFORMANCE INFORMATION (Offerors should submit for at least three projects).

1. On an attached sheet, provide information for three in-progress or completed projects, preferably of similar design or features, that are being or have been constructed by the offeror to be used for reference and evaluation purposes. These should be the same projects for which questionnaires have been provided to the Procurement Point of Contact.

2. For each project provide the following information:

Project Title:

Location:

Contract number:

Procuring activity:

Procurement point of contact and telephone number:

List date of construction completion or percent completion if construction is underway:

Address of building(s):

Address and telephone number of owner:

Indicate type of project (private sector, Government, planned unit development, etc.):

General character:

Total cost:

Total cost of all modifications:

SAMPLE TRANSMITTAL LETTER
AND
PAST PERFORMANCE EVALUATION QUESTIONNAIRE

Date: _____

To: _____

We have listed your firm as a reference for work we have performed for you as listed below. Our firm has submitted a proposal under a project advertised by the U.S. Army Corps of Engineers, Savannah District. In accordance with Federal Acquisition Regulations (FAR), an evaluation of our firm's past performance will be completed by the Corps of Engineers. Your candid response to the attached questionnaire will assist the evaluation team in this process.

We understand that you have a busy schedule and your participation in this evaluation is greatly appreciated. Please complete the enclosed questionnaire as thoroughly as possible. Space is provided for comments. Understand that while the responses to this questionnaire may be released to the offeror, FAR 15.306 (e)(4) prohibits the release of the names of the persons providing the responses. Complete confidentiality will be maintained. Furthermore, a questionnaire has also been sent to _____ of your organization. Only one response from each office is required. If at all possible, we request that you individually answer this questionnaire and then coordinate your responses with that of _____, to develop a consensus on one overall response from your organization.

Please send your completed questionnaire to the following address to arrive NOT LATER THAN 04 AUG 04:

U.S. Army Engineer District, Savannah
CESAS-CT-C (Donnie L. Tew)
100 West Oglethorpe Street
Savannah, Georgia 31402

The questionnaires can also be faxed to Donnie L. Tew, Savannah District Contract Specialist at FAX 912-652-5828 or e-mailed to Donnie.L.Tew@sas02.usace.army.mil. If you have questions regarding the attached questionnaire, or require assistance, please contact Donnie L. Tew at 912-652-5816. Thank you for your assistance.

CEP – PHASE II, FORT STEWART, GA

PAST PERFORMANCE EVALUATION QUESTIONNAIRE

Upon completion of this form, please send directly to the U.S. Army Corps of Engineers in the enclosed addressed envelope or fax to 912-652-5828, ATTN: Donnie L. Tew or e-mail to Donnie.L.Tew@sas02.usace.army.mil. Do not return this form to our offices. Thank you.

1. Contractor/Name & Address (City and State):

2. Type of Contract: Fixed Price _____ Cost Reimbursement _____

Other (Specify) _____

3. Title of Project/Contract Number:

4. Description of Work: (Attach additional pages as necessary)

5. Complexity of Work: High _____ Mid _____ Routine _____

6. Location of Work: _____

7. Date of Award: _____

8. Status: Active _____ (provide percent complete)

Complete _____ (provide completion date)

9. Name, address and telephone number of Contracting Officer's Technical Representative:

CEP – PHASE II, FORT STEWART, GA

10. QUALITY OF CONSTRUCTION:

Evaluate the contractor's performance in complying with contract requirements, quality achieved and overall technical expertise demonstrated.

Outstanding Quality	
Above Average Quality	
Satisfactory Quality	
Marginal Quality	
Unsatisfactory or Experienced Significant Quality Problems	

Remarks: _____

11. TIMELINESS OF PERFORMANCE:

To what extent did the contractor meet the contract and/or individual task order schedules if the contract was an indefinite delivery type contract?

Completed Substantially Ahead of Schedule (Outstanding)	
Completed Ahead of Schedule (Above Average)	
Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)	
Completed Behind Schedule (Marginal)	
Experienced Significant Delays without Justification (Unsatisfactory)	

Remarks: _____

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12. CUSTOMER SATISFACTION:

To what extent were the end users satisfied with:

	Quality	Cost	Schedule
Exceptionally Satisfied (Outstanding)			
Highly Satisfied (Above Average)			
Satisfied (Satisfactory)			
Somewhat Dissatisfied (Marginal)			
Highly Dissatisfied (Unsatisfactory)			

Remarks: _____

13. SUBCONTRACTOR MANAGEMENT

How well did the contractor manage and coordinate subcontractors, suppliers, and the labor force?

Outstanding management and coordination of subcontractors	
Above Average management and coordination of subcontractors	
Satisfactory management and coordination of subcontractors	
Marginal management and coordination of subcontractors	
Unsatisfactory management and coordination of subcontractors	

Remarks: _____

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14. DOCUMENTATION

To what extent were the contractor's reports and documentation accurate, complete and were they submitted in a timely manner?

Outstanding Documentation	
Above Average Documentation	
Satisfactory Documentation	
Marginal Documentation	
Unsatisfactory Documentation	

Remarks: _____

15. IF GIVEN THE OPPORTUNITY, WOULD YOU WORK WITH THIS CONTRACTOR AGAIN?

Yes _____ No _____ Not Sure _____

16. OTHER REMARKS:

Use the space below to provide other information related to the contractor's performance. This may include the contractor's selection and management of subcontractors, flexibility in dealing with contract challenges, their overall concern for the Government's interest (if applicable), project awards received, etc.

SAMPLE

(Offerors should submit for at least three projects)

CEP – PHASE II, FORT STEWART, GA

VOLUME II – TAB J

FACTOR 2: CORPORATE RELEVANT SPECIALIZED EXPERIENCE.

On an attached sheet, provide information for in-progress or completed projects that are similar in terms of cost, complexity, design or features, (See elements identified in paragraph 3 of Section 00100) that have been constructed by the Offeror to be used for reference and evaluation purposes. For each project provide the following information:

Project Title:

Location:

Contract number:

Nature of involvement in this project, i.e. General Contractor, subcontractor, designer:

Procuring activity:

Procurement point of contact and telephone number:

List date of construction completion or percent completion if construction is underway:

Address of building(s):

Address and telephone number of owner:

Indicate type of project (private sector, Government, planned unit development, etc.):

General character:

Total cost:

Offeror: _____

Evaluator: _____

CEP – PHASE II, FORT STEWART, GA
PROPOSAL RATING WORKSHEET

FACTOR 1 – CONSTRUCTION PAST PERFORMANCE

1. GENERAL. The Government will evaluate each Offeror's past performance to determine how well it satisfied its customers. Evaluators will use this factor to evaluate the success of the Offeror based on the satisfaction of previous customers and clients as illustrated on the completed questionnaires, CCASS Ratings and personal knowledge. These completed questionnaires shall be used as a basis to begin the evaluation of this factor.

Has Government Received Three Completed Questionnaires for this Offeror? _____ YES _____ NO

Do All the Questionnaires Received Reflect Projects Completed or substantially complete within the Last Three Years? _____ YES _____ NO

2. CCASS RATINGS. The Contract Specialist will provide CCASS Ratings for the Offeror (and any other firms if a joint venture is offered).

Firm Name: _____

Number of Ratings:	Outstanding	_____
	Above Average	_____
	Satisfactory	_____
	Marginal	_____
	Unsatisfactory	_____

3. OTHER INFORMATION CONSIDERED. List all other sources of information considered (telephone interviews, personnel interviews, personal experience, etc.)

Offeror: _____

Evaluator: _____

CEP – PHASE II, FORT STEWART, GA
PROPOSAL RATING WORKSHEET

FACTOR 1 – CONSTRUCTION PAST PERFORMANCE (Continued)

OVERALL RATING.

/__ / Outstanding

/__ / Above Average

/__ / Satisfactory

/__ / Marginal

/__ / Unsatisfactory

Comments to support the OVERALL RATING

STRENGTHS.

WEAKNESSES.

OTHER COMMENTS.

Offeror: _____

Evaluator: _____

CEP - PHASE II, FORT STEWART, GA
PROPOSAL RATING WORKSHEET

FACTOR 2 – CORPORATE RELEVANT SPECIALIZED EXPERIENCE

GENERAL: Completed DATA SHEETS shall be used as a basis to begin the evaluation of this factor.

Has Government Received Three Completed DATA SHEETS for Corporate Relevant Specialized Experience for this Offeror?

___ YES ___ NO

Do All the DATA SHEETS Received Reflect Projects Completed Within the Last Five Years?

___ YES ___ NO

OVERALL RATING.

/___/ Outstanding

/___/ Above Average

/___/ Satisfactory

/___/ Marginal

/___/ Unsatisfactory

Comments to support the OVERALL RATING

STRENGTHS.

WEAKNESSES.

OTHER COMMENTS.

Offeror: _____

Evaluator: _____

CEP – PHASE II, FORT STEWART, GA
PROPOSAL RATING WORKSHEET

FACTOR 3 – CONSTRUCTION DURATION

OVERALL RATING.

/__ / Outstanding

/__ / Above Average

/__ / Satisfactory

/__ / Marginal

/__ / Unsatisfactory

Comments to support the OVERALL RATING

STRENGTHS.

WEAKNESSES.

OTHER COMMENTS.

Offeror: _____

Evaluator: _____

CEP – PHASE II, FORT STEWART, GA

Summary and Overall Rating

SUMMARY RATING CHART			
FACTO R No.	Description	Rating*	Comments
1	Construction Past Performance		
2	Corporate Relevant Specialized Experience		
3	Construction Duration		
OVERALL TECHNICAL RATING**			
<p>* Ratings may be either: Outstanding – Above Average – Satisfactory – Marginal – Unsatisfactory</p> <p>** Evaluators shall consider the ratings and weights of the various criteria shown to determine a suitable overall rating. The overall rating cannot be an average, mode, or median of the ratings of the three factors.</p> <p>Attach additional sheets to this rating summary to provide supporting rationale for assignment of ratings.</p>			

 Board Member Signature

Offeror _____

Board Chairperson _____

CEP – PHASE II, FORT STEWART, GA

FACTOR No.	Description	Board Member 1	Board Member 2	Board Member 3	CONSENSUS	Comments
1	Corporate Past Performance*					
2	Corporate Relevant Specialized Experience*					
3	Construction Duration *					
OVERALL RATING**						

Board Member 1

Board Member 2

Board Member 3

Offeror: _____

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CONSENSUS RATINGS

FACTOR 1 – CONSTRUCTION PAST PERFORMANCE

STRENGTHS:

WEAKNESSES:

OTHER COMMENTS

FACTOR 2 – CORPORATE RELEVANT SPECIALIZED EXPERIENCE

STRENGTHS:

WEAKNESSES:

OTHER COMMENTS

Offeror: _____

CEP – PHASE II, FORT STEWART, GA

CONSENSUS RATINGS
(Continued)

FACTOR 3 – CONSTRUCTION DURATION

STRENGTHS:

WEAKNESSES:

OTHER COMMENTS.

CLAUSES INCORPORATED BY FULL TEXT

52.204-6 DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (OCT 2003)

(a) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" or "DUNS+4" followed by the DUNS number or "DUNS+4" that identifies the offeror's name and address exactly as stated in the offer. The DUNS number is a nine-digit number assigned by Dun and Bradstreet, Inc. The DUNS+4 is the DUNS number plus a 4-character suffix that may be assigned at the discretion of the offeror to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see Subpart 32.11) for the same parent concern.

(b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.

(1) An offeror may obtain a DUNS number--

(i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at <http://www.dnb.com>; or

(ii) If located outside the United States, by contacting the local Dun and Bradstreet office.

(2) The offeror should be prepared to provide the following information:

(i) Company legal business name.

(ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.

(iii) Company physical street address, city, state and Zip Code.

(iv) Company mailing address, city, state and Zip Code (if separate from physical).

(v) Company telephone number.

(vi) Date the company was started.

(vii) Number of employees at your location.

(viii) Chief executive officer/key manager.

(ix) Line of business (industry).

(x) Company Headquarters name and address (reporting relationship within your entity).

(End of provision)

52.211-2 AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA REQUIREMENTS CONTROL LIST, DOD 5010.12-L (DEC 2003)

Copies of specifications, standards, and data item descriptions cited in this solicitation may be obtained--

(a) From the ASSIST database via the Internet at <http://assist.daps.dla.mil>; or

(b) By submitting a request to the--Department of Defense Single Stock Point (DoDSSP), Building 4, Section D, 700 Robbins Avenue, Philadelphia, PA 19111-5094, Telephone (215) 697-2179, Facsimile (215) 697-1462.

(End of provision)

52.211-14 NOTICE OF PRIORITY RATING FOR NATIONAL DEFENSE USE (SEP 1990)

Any contract awarded as a result of this solicitation will be [] DX rated order; [X] DO rated order certified for national defense use under the Defense Priorities and Allocations System (DPAS) (15 CFR 700), and the Contractor will be required to follow all of the requirements of this regulation.

(End of provision)

52.214-5000 APPARENT CLERICAL MISTAKES (MAR 1995)--EFARS

(a) For the purpose of initial evaluations of bids, the following will be utilized in the resolving arithmetic discrepancies found on the face of bidding schedule as submitted by the bidder:

- (1) Obviously misplaced decimal points will be corrected;
- (2) Discrepancy between unit price and extended price, the unit price will govern;
- (3) Apparent errors in extension of unit prices will be corrected;
- (4) Apparent errors in addition of lump-sum and extended prices will be corrected.

(b) For the purpose of bid evaluation, the government will proceed on the assumption that the bidder intends his bid to be evaluated on basis of the unit prices, the totals arrived at by resolution of arithmetic discrepancies as provided above and the bid will be so reflected on the abstract of bids.

(c) These correction procedures shall not be used to resolve any ambiguity concerning which bid is low.

(End of statement)

52.215-1 INSTRUCTIONS TO OFFERORS--COMPETITIVE ACQUISITION (JAN 2004)

(a) Definitions. As used in this provision--

“Discussions” are negotiations that occur after establishment of the competitive range that may, at the Contracting Officer's discretion, result in the offeror being allowed to revise its proposal.

“In writing or written” means any worded or numbered expression which can be read, reproduced, and later communicated, and includes electronically transmitted and stored information.

“Proposal modification” is a change made to a proposal before the solicitation's closing date and time, or made in response to an amendment, or made to correct a mistake at any time before award.

“Proposal revision” is a change to a proposal made after the solicitation closing date, at the request of or as allowed by a Contracting Officer as the result of negotiations.

“Time”, if stated as a number of days, is calculated using calendar days, unless otherwise specified, and will include Saturdays, Sundays, and legal holidays. However, if the last day falls on a Saturday, Sunday, or legal holiday, then the period shall include the next working day.

(b) Amendments to solicitations. If this solicitation is amended, all terms and conditions that are not amended remain unchanged. Offerors shall acknowledge receipt of any amendment to this solicitation by the date and time specified in the amendment(s).

(c) Submission, modification, revision, and withdrawal of proposals. (1) Unless other methods (e.g., electronic commerce or facsimile) are permitted in the solicitation, proposals and modifications to proposals shall be submitted in paper media in sealed envelopes or packages (i) addressed to the office specified in the solicitation, and (ii) showing the time and date specified for receipt, the solicitation number, and the name and address of the offeror. Offerors using commercial carriers should ensure that the proposal is marked on the outermost wrapper with the information in paragraphs (c)(1)(i) and (c)(1)(ii) of this provision.

(2) The first page of the proposal must show--

(i) The solicitation number;

(ii) The name, address, and telephone and facsimile numbers of the offeror (and electronic address if available);

(iii) A statement specifying the extent of agreement with all terms, conditions, and provisions included in the solicitation and agreement to furnish any or all items upon which prices are offered at the price set opposite each item;

(iv) Names, titles, and telephone and facsimile numbers (and electronic addresses if available) of persons authorized to negotiate on the offeror's behalf with the Government in connection with this solicitation; and

(v) Name, title, and signature of person authorized to sign the proposal. Proposals signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the issuing office.

(3) Submission, modification, or revision, of proposals.

(i) Offerors are responsible for submitting proposals, and any modifications, or revisions, so as to reach the Government office designated in the solicitation by the time specified in the solicitation. If no time is specified in the solicitation, the time for receipt is 4:30 p.m., local time, for the designated Government office on the date that proposal or revision is due.

(ii)(A) Any proposal, modification, or revision received at the Government office designated in the solicitation after the exact time specified for receipt of offers is “late” and will not be considered unless it is received before award is made, the Contracting Officer determines that accepting the late offer would not unduly delay the acquisition; and--

(1) If it was transmitted through an electronic commerce method authorized by the solicitation, it was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of proposals; or

(2) There is acceptable evidence to establish that it was received at the Government installation designated for receipt of offers and was under the Government's control prior to the time set for receipt of offers; or

(3) It is the only proposal received.

(B) However, a late modification of an otherwise successful proposal that makes its terms more favorable to the Government, will be considered at any time it is received and may be accepted.

(iii) Acceptable evidence to establish the time of receipt at the Government installation includes the time/date stamp of that installation on the proposal wrapper, other documentary evidence of receipt maintained by the installation, or oral testimony or statements of Government personnel.

(iv) If an emergency or unanticipated event interrupts normal Government processes so that proposals cannot be received at the office designated for receipt of proposals by the exact time specified in the solicitation, and urgent Government requirements preclude amendment of the solicitation, the time specified for receipt of proposals will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.

(v) Proposals may be withdrawn by written notice received at any time before award. Oral proposals in response to oral solicitations may be withdrawn orally. If the solicitation authorizes facsimile proposals, proposals may be withdrawn via facsimile received at any time before award, subject to the conditions specified in the provision at 52.215-5, Facsimile Proposals. Proposals may be withdrawn in person by an offeror or an authorized representative, if the identity of the person requesting withdrawal is established and the person signs a receipt for the proposal before award.

(4) Unless otherwise specified in the solicitation, the offeror may propose to provide any item or combination of items.

(5) Offerors shall submit proposals in response to this solicitation in English, unless otherwise permitted by the solicitation, and in U.S. dollars, unless the provision at FAR 52.225-17, Evaluation of Foreign Currency Offers, is included in the solicitation.

(6) Offerors may submit modifications to their proposals at any time before the solicitation closing date and time, and may submit modifications in response to an amendment, or to correct a mistake at any time before award.

(7) Offerors may submit revised proposals only if requested or allowed by the Contracting Officer.

(8) Proposals may be withdrawn at any time before award. Withdrawals are effective upon receipt of notice by the Contracting Officer.

(d) Offer expiration date. Proposals in response to this solicitation will be valid for the number of days specified on the solicitation cover sheet (unless a different period is proposed by the offeror).

(e) Restriction on disclosure and use of data. Offerors that include in their proposals data that they do not want disclosed to the public for any purpose, or used by the Government except for evaluation purposes, shall--

(1) Mark the title page with the following legend: This proposal includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed--in whole or in part--for any purpose other than to evaluate this proposal. If, however, a contract is awarded to this offeror as a result of--or in connection with-- the submission of this data, the Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting contract. This restriction does not limit the Government's right to use information contained in this data if it is obtained from another source without restriction. The data subject to this restriction are contained in sheets [insert numbers or other identification of sheets]; and

(2) Mark each sheet of data it wishes to restrict with the following legend: Use or disclosure of data contained on this sheet is subject to the restriction on the title page of this proposal.

(f) Contract award. (1) The Government intends to award a contract or contracts resulting from this solicitation to the responsible offeror(s) whose proposal(s) represents the best value after evaluation in accordance with the factors and subfactors in the solicitation.

- (2) The Government may reject any or all proposals if such action is in the Government's interest.
- (3) The Government may waive informalities and minor irregularities in proposals received.
- (4) The Government intends to evaluate proposals and award a contract without discussions with offerors (except clarifications as described in FAR 15.306(a)). Therefore, the offeror's initial proposal should contain the offeror's best terms from a cost or price and technical standpoint. The Government reserves the right to conduct discussions if the Contracting Officer later determines them to be necessary. If the Contracting Officer determines that the number of proposals that would otherwise be in the competitive range exceeds the number at which an efficient competition can be conducted, the Contracting Officer may limit the number of proposals in the competitive range to the greatest number that will permit an efficient competition among the most highly rated proposals.
- (5) The Government reserves the right to make an award on any item for a quantity less than the quantity offered, at the unit cost or prices offered, unless the offeror specifies otherwise in the proposal.
- (6) The Government reserves the right to make multiple awards if, after considering the additional administrative costs, it is in the Government's best interest to do so.
- (7) Exchanges with offerors after receipt of a proposal do not constitute a rejection or counteroffer by the Government.
- (8) The Government may determine that a proposal is unacceptable if the prices proposed are materially unbalanced between line items or subline items. Unbalanced pricing exists when, despite an acceptable total evaluated price, the price of one or more contract line items is significantly overstated or understated as indicated by the application of cost or price analysis techniques. A proposal may be rejected if the Contracting Officer determines that the lack of balance poses an unacceptable risk to the Government.
- (9) If a cost realism analysis is performed, cost realism may be considered by the source selection authority in evaluating performance or schedule risk.
- (10) A written award or acceptance of proposal mailed or otherwise furnished to the successful offeror within the time specified in the proposal shall result in a binding contract without further action by either party.
- (11) If a post-award debriefing is given to requesting offerors, the Government shall disclose the following information, if applicable:
- (i) The agency's evaluation of the significant weak or deficient factors in the debriefed offeror's offer.
 - (ii) The overall evaluated cost or price and technical rating of the successful and the debriefed offeror and past performance information on the debriefed offeror.
 - (iii) The overall ranking of all offerors, when any ranking was developed by the agency during source selection.
 - (iv) A summary of the rationale for award.
 - (v) For acquisitions of commercial items, the make and model of the item to be delivered by the successful offeror.
 - (vi) Reasonable responses to relevant questions posed by the debriefed offeror as to whether source-selection procedures set forth in the solicitation, applicable regulations, and other applicable authorities were followed by the agency.

(End of provision)

52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a firm fixed price contract resulting from this solicitation.

(End of clause)

52.219-4001 SUBCONTRACTING PLAN FOR SMALL BUSINESS CONCERNS
(JAN 2004 CESAS-CT)

(a) In accordance with FAR Clause 52.219-9, large businesses must submit a subcontracting plan. A sample subcontracting plan is located in Section 00800.

(b) The subcontracting targets (expressed in terms of percentages of total planned subcontracting dollars) of the Savannah District are as follows:

Small Business	-	57.2%
Small Disadvantaged Business	-	10.0%
HUBZone Small Business		3.0%
Women-Owned Business	-	10.0%
Veteran-Owned Small Business		0%*
Service-Disabled Veteran-Owned Small Business	-	3.0%**

If you cannot reach the above-stated targets, you must provide written justification with your subcontracting plan detailing the reasons you cannot meet the requirements.

*(c) While Savannah District does not have a specific target for subcontracting with Veteran-Owned small businesses, this must be addressed in any subcontracting plan.

***(d) Service-disabled Veteran-owned Small Business (SD/VOSB) is a composite of Veteran-Owned Small Business. The SD/VOSB target must be included in the Veteran-Owned small business target.

52.222-23 NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION (FEB 1999)

(a) The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.

(b) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for minority participation for each trade	Goals for female participation for each trade
29.8%	6.9%

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from any Office of Federal Contract Compliance Programs office.

(c) The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

(d) The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the --

- (1) Name, address, and telephone number of the subcontractor;
- (2) Employer's identification number of the subcontractor;
- (3) Estimated dollar amount of the subcontract;
- (4) Estimated starting and completion dates of the subcontract; and
- (5) Geographical area in which the subcontract is to be performed.

(e) As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is Hinesville, Liberty County, Georgia.

(End of provision)

52.225-12 NOTICE OF BUY AMERICAN ACT REQUIREMENT-- CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (JAN 2004)

(a) Definitions. Construction material, designated country construction material, domestic construction material, foreign construction material, and FTA country construction material, as used in this provision, are defined in the clause of this solicitation entitled "Buy American Act --Construction Materials under Trade Agreements" (Federal Acquisition Regulation (FAR) clause 52.225-11).

(b) Requests for determination of inapplicability. An offeror requesting a determination regarding the inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of FAR clause 52.225-11 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

(c) Evaluation of offers. (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction materials, by adding to the offered

price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(4)(i) of FAR clause 52.225-11.

(2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.

(d) Alternate offers. (1) When an offer includes foreign construction material, other than designated country or FTA country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic, designated country, or FTA country construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic, designated country, or FTA country construction material, and the offeror shall be required to furnish such domestic, designated country, or FTA country construction material. An offer based on use of the foreign construction material for which an exception was requested--

(i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or

(ii) May be accepted if revised during negotiations.

(End of provision)

52.232-4006 SUBJECT TO AVAILABILITY OF FUNDS STATEMENT (SEP 1999
SASCT) (Ref. AFARS 5101.602-2)

This is a high priority requirement as defined in Army Federal Acquisition Regulation (AFAR) Supplement 5101.602-2. Subject to the availability of funds, the accounting classification will be 2142050 408 8021 P700000 3230 S09133. This statement is not a commitment of funds. No contract award will be made until appropriated funds are made available from which payment for contract purposes can be made.

(End of provision)

52.233-2 SERVICE OF PROTEST (AUG 1996)

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from:

U.S. Army Engineer District, Savannah
Attn: CESAS-CT-C
100 West Oglethorpe Avenue
Savannah, GA 31401-3640

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

52.236-27 SITE VISIT (CONSTRUCTION) (FEB 1995)

(a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, offerors or quoters are urged and expected to inspect the site where the work will be performed.

(b) Site visits may be arranged during normal duty hours by contacting:

Name: Brent Rose
Address: Directorate of Public Works
Building 1114
1117 Frank Cochran Drive
Ft. Stewart, GA 31314
Telephone: 912-767-5219

(End of provision)

52.236-28 PREPARATION OF PROPOSALS--CONSTRUCTION (OCT 1997)

(a) Proposals must be (1) submitted on the forms furnished by the Government or on copies of those forms, and (2) manually signed. The person signing a proposal must initial each erasure or change appearing on any proposal form.

(b) The proposal form may require offerors to submit proposed prices for one or more items on various bases, including--

(1) Lump sum price;

(2) Alternate prices;

(3) Units of construction; or

(4) Any combination of paragraphs (b)(1) through (b)(3) of this provision.

(c) If the solicitation requires submission of a proposal on all items, failure to do so may result in the proposal being rejected without further consideration. If a proposal on all items is not required, offerors should insert the words "no proposal" in the space provided for any item on which no price is submitted.

(d) Alternate proposals will not be considered unless this solicitation authorizes their submission.

(End of provision)

52.236-4011 Disclosure of Magnitude of Construction (FAR 36.204 and DFARS 236.204)

The estimated price range for this project is between \$10,000,000.00 and \$25,000,000.00.

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Section 00600 - Representations & Certifications

CLAUSES INCORPORATED BY FULL TEXT

52.203-2 CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985)

(a) The offeror certifies that --

(1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to --

(i) Those prices,

(ii) The intention to submit an offer, or

(iii) The methods of factors used to calculate the prices offered:

(2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and

(3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.

(b) Each signature on the offer is considered to be a certification by the signatory that the signatory --

(1) Is the person in the offeror's organization responsible for determining the prices offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) of this provision; or

(2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) of this provision _____ (insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization);

(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) of this provision.

(c) If the offeror deletes or modifies subparagraph (a)(2) of this provision, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

(End of clause)

52.203-11 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)

(a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence

Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this Certification.

(b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989,--

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and

(3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

52 Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

(End of provision)

52.204-5 WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS) (MAY 1999)

(a) Definition. Women-owned business concern, as used in this provision, means a concern that is at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.

(b) Representation. [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, Small Business Program Representations, of this solicitation.] The offeror represents that it () is a women-owned business concern.

(End of provision)

52.204-4003 TAXPAYER IDENTIFICATION

Taxpayer Identification Number (TIN),” as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

(a) Taxpayer Identification Number (TIN).

___ TIN: _____

___ TIN has been applied for.

___ TIN is not required because:

___ Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;

___ Offeror is an agency or instrumentality of a foreign government;

___ Offeror is an agency or instrumentality of the Federal Government.

(b) Type of organization.

___ Sole proprietorship;

___ Partnership;

___ Corporate entity (not tax-exempt);

___ Corporate entity (tax-exempt);

___ Government entity (Federal, State, or local);

___ Foreign government;

___ International organization per 26 CFR 1.6049-4;

___ Other _____

(c) Common parent.

___ Offeror is not owned or controlled by a common parent

___ Name and TIN of common parent:

Name _____

TIN _____

(End of provision)

52.209-5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (DEC 2001)

(a)(1) The Offeror certifies, to the best of its knowledge and belief, that-

(i) The Offeror and/or any of its Principals-

(A) Are () are not () presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

(B) Have () have not (), within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and

(C) Are () are not () presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision.

(ii) The Offeror has () has not (), within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

This Certification Concerns a Matter Within the Jurisdiction of an Agency of the United States and the Making of a False, Fictitious, or Fraudulent Certification May Render the Maker Subject to Prosecution Under Section 1001, Title 18, United States Code.

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

(End of provision)

52.219-1 SMALL BUSINESS PROGRAM REPRESENTATIONS (MAY 2004) - ALTERNATE I (APR 2002)

(a)(1) The North American Industry Classification System (NAICS) code for this acquisition is 238220.

(2) The small business size standard is \$12,000,000.00.

(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

(b) Representations. (1) The offeror represents as part of its offer that it () is, () is not a small business concern.

(2) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, for general statistical purposes, that it () is, () is not a small disadvantaged business concern as defined in 13 CFR 124.1002.

(3) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it () is, () is not a women-owned small business concern.

(4) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it () is, () is not a veteran-owned small business concern.

(5) (Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(4) of this provision.) The offeror represents as part of its offer that it () is, () is not a service-disabled veteran-owned small business concern.

(6) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, as part of its offer, that--

(i) It () is, () is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and

(ii) It () is, () is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(6)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. (The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture: _____.) Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.

(7) (Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision.) The offeror shall check the category in which its ownership falls:

___ Black American.

___ Hispanic American.

___ Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).

___ Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).

___ Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, or Nepal).

___ Individual/concern, other than one of the preceding.

(c) Definitions. As used in this provision--

Service-disabled veteran-owned small business concern--

(1) Means a small business concern--

(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a service-disabled veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

"Small business concern," means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard in paragraph (a) of this provision.

Veteran-owned small business concern means a small business concern--

(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and

(2) The management and daily business operations of which are controlled by one or more veterans.

"Women-owned small business concern," means a small business concern --

(1) That is at least 51 percent owned by one or more women or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; or

(2) Whose management and daily business operations are controlled by one or more women.

(d) Notice.

(1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.

(2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall--

(i) Be punished by imposition of fine, imprisonment, or both;

(ii) Be subject to administrative remedies, including suspension and debarment; and

(iii) Be ineligible for participation in programs conducted under the authority of the Act.

(End of provision)

52.219-19 SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS
COMPETITIVENESS DEMONSTRATION PROGRAM (OCT 2000)

(a) Definition.

"Emerging small business" as used in this solicitation, means a small business concern whose size is no greater than 50 percent of the numerical size standard applicable to the North American Industry Classification System (NAICS) code assigned to a contracting opportunity.

(b) [Complete only if the Offeror has represented itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.] The Offeror [] is, [] is not an emerging small business.

(c) (Complete only if the Offeror is a small business or an emerging small business, indicating its size range.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees Avg. Annual Gross Revenues

___ 50 or fewer ___ \$1 million or less

___ 51 - 100 ___ \$1,000,001 - \$2 million

___ 101 - 250 ___ \$2,000,001 - \$3.5 million

___ 251 - 500 ___ \$3,500,001 - \$5 million

___ 501 - 750 ___ \$5,000,001 - \$10 million

___ 751 - 1,000 ___ \$10,000,001 - \$17 million

___ Over 1,000 ___ Over \$17 million

(End of provision)

52.222-22 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)

The offeror represents that --

(a) () It has, () has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;

(b) () It has, () has not, filed all required compliance reports; and

(c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

(End of provision)

52.222-38 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (DEC 2001)

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (i.e., if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS-100 Report required by that clause.

(End of provision)

52.223-4 RECOVERED MATERIAL CERTIFICATION (OCT 1997)

As required by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. 6962(c)(3)(A)(i)), the offeror certifies, by signing this offer, that the percentage of recovered materials to be used in the performance of the contract will be at least the amount required by the applicable contract specifications.

(End of provision)

52.223-13 CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (AUG 2003)

(a) Executive Order 13148, of April 21, 2000, Greening the Government through Leadership in Environmental Management, requires submission of this certification as a prerequisite for contract award.

(b) By signing this offer, the offeror certifies that--

(1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or

(2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: (Check each block that is applicable.)

() (i) The facility does not manufacture, process, or otherwise use any toxic chemicals listed in 40 CFR 372.65;

() (ii) The facility does not have 10 or more full-time employees as specified in section 313.(b)(1)(A) of EPCRA 42 U.S.C. 11023(b)(1)(A);

() (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

() (iv) The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding North American Industry Classification System sectors:

(A) Major group code 10 (except 1011, 1081, and 1094).

(B) Major group code 12 (except 1241).

(C) Major group codes 20 through 39.

(D) Industry code 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce).

(E) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921, et seq.), 5169, 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); or

() (v) The facility is not located within the United States or its outlying areas.

(End of clause)

252.209-7001 DISCLOSURE OF OWNERSHIP OR CONTROL BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

(a) "Definitions."

As used in this provision --

(a) "Government of a terrorist country" includes the state and the government of a terrorist country, as well as any political subdivision, agency, or instrumentality thereof.

(2) "Terrorist country" means a country determined by the Secretary of State, under section 6(j)(1)(A) of the Export Administration Act of 1979 (50 U.S.C. App. 2405(j)(i)(A)), to be a country the government of which has repeatedly provided support for such acts of international terrorism. As of the date of this provision, terrorist countries include: Cuba, Iran, Iraq, Libya, North Korea, Sudan, and Syria.

(3) "Significant interest" means --

(i) Ownership of or beneficial interest in 5 percent or more of the firm's or subsidiary's securities. Beneficial interest includes holding 5 percent or more of any class of the firm's securities in "nominee shares," "street names," or some other method of holding securities that does not disclose the beneficial owner;

(ii) Holding a management position in the firm, such as a director or officer;

(iii) Ability to control or influence the election, appointment, or tenure of directors or officers in the firm;

(iv) Ownership of 10 percent or more of the assets of a firm such as equipment, buildings, real estate, or other tangible assets of the firm; or

(v) Holding 50 percent or more of the indebtedness of a firm.

(b) "Prohibition on award."

In accordance with 10 U.S.C. 2327, no contract may be awarded to a firm or a subsidiary of a firm if the government of a terrorist country has a significant interest in the firm or subsidiary or, in the case of a subsidiary, the firm that owns the subsidiary, unless a waiver is granted by the Secretary of Defense.

(c) "Disclosure."

If the government of a terrorist country has a significant interest in the Offeror or a subsidiary of the Offeror, the Offeror shall disclose such interest in an attachment to its offer. If the Offeror is a subsidiary, it shall also disclose any significant interest the government of a terrorist country has in any firm that owns or controls the subsidiary. The disclosure shall include --

(1) Identification of each government holding a significant interest; and

(2) A description of the significant interest held by each government.

(End of provision)

252.247-7022 REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (AUG 1992)

(a) The Offeror shall indicate by checking the appropriate blank in paragraph (b) of this provision whether transportation of supplies by sea is anticipated under the resultant contract. The term supplies is defined in the Transportation of Supplies by Sea clause of this solicitation.

(b) Representation. The Offeror represents that it:

___ (1) Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

___ (2) Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

(c) Any contract resulting from this solicitation will include the Transportation of Supplies by Sea clause. If the Offeror represents that it will not use ocean transportation, the resulting contract will also include the Defense FAR Supplement clause at 252.247-7024, Notification of Transportation of Supplies by Sea.

(End of provision)

Section 00700 - Contract Clauses

CLAUSES INCORPORATED BY FULL TEXT

52.202-1 DEFINITIONS (MAY 2001) --ALTERNATE I (MAR 2001)

(a) Agency head or head of the agency means the Secretary (Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, unless otherwise indicated, including any deputy or assistant chief official of the executive agency.

(b) Commercial component means any component that is a commercial item.

(c) Component means any item supplied to the Government as part of an end item or of another component, except that for use in 52.225-9, and 52.225-11 see the definitions in 52.225-9(a) and 52.225-11(a).

(d) Contracting Officer means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.

(e) Nondevelopmental item means--

(1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;

(2) Any item described in paragraph (f)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or

(3) Any item of supply being produced that does not meet the requirements of paragraph (f)(1) or (f)(2) solely because the item is not yet in use.

(f) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.

(g) Except as otherwise provided in this contract, the term "subcontracts" includes, but is not limited to, purchase orders and changes and modifications to purchase orders under this contract.

(End of clause)

52.203-3 GRATUITIES (APR 1984)

(a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--

(1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and

(2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.

(b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.

(c) If this contract is terminated under paragraph (a) of this clause, the Government is entitled--

(1) To pursue the same remedies as in a breach of the contract; and

(2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)

(d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

(a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.

(b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.

"Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.

"Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.

"Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

(End of clause)

52.203-6 RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (JUL 1995)

(a) Except as provided in (b) of this clause, the Contractor shall not enter into any agreement with an actual or prospective subcontractor, nor otherwise act in any manner, which has or may have the effect of restricting sales by such subcontractors directly to the Government of any item or process (including computer software) made or furnished by the subcontractor under this contract or under any follow-on production contract.

(b) The prohibition in (a) of this clause does not preclude the Contractor from asserting rights that are otherwise authorized by law or regulation.

(c) The Contractor agrees to incorporate the substance of this clause, including this paragraph (c), in all subcontracts under this contract which exceed \$100,000.

52.203-7 ANTI-KICKBACK PROCEDURES. (JUL 1995)

(a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract.

"Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor," as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

(b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from -

(1) Providing or attempting to provide or offering to provide any kickback;

(2) Soliciting, accepting, or attempting to accept any kickback; or

(3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.

(c)(1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.

(2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be

made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.

(3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.

(4) The Contracting Officer may (i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or (ii) direct that the Prime Contractor withhold, from sums owed a subcontractor under the prime contract, the amount of any kickback. The Contracting Officer may order the monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.

(5) The Contractor agrees to incorporate the substance of this clause, including this subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.

52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the 1996 National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may--

(1) Cancel the solicitation, if the contract has not yet been awarded or issued; or

(2) Rescind the contract with respect to which--

(i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27(a) or (b) of the Act for the purpose of either--

(A) Exchanging the information covered by such subsections for anything of value; or

(B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency procurement contract; or

(ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsections 27(e)(1) of the Act.

(b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover, in addition to any penalty prescribed by law, the amount expended under the contract.

(c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.

(End of clause)

52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract by the amount of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27 (a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.

(b) The price or fee reduction referred to in paragraph (a) of this clause shall be--

(1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;

(2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;

(3) For cost-plus-award-fee contracts--

(i) The base fee established in the contract at the time of contract award;

(ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.

(4) For fixed-price-incentive contracts, the Government may--

(i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or

(ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.

(5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.

(c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.

(d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 2003)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in 2.101.

"Covered Federal action," as used in this clause, means any of the following Federal actions:

- (1) The awarding of any Federal contract.
- (2) The making of any Federal grant.
- (3) The making of any Federal loan.
- (4) The entering into of any cooperative agreement.
- (5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

- (1) An individual who is appointed to a position in the Government under Title 5, United States Code, including a position under a temporary appointment.
- (2) A member of the uniformed services, as defined in subsection 101(3), Title 37, United States Code.
- (3) A special Government employee, as defined in section 202, Title 18, United States Code.
- (4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, Title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

State, as used in this clause, means a State of the United States, the District of Columbia, or an outlying area of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibitions.

(1) Section 1352 of Title 31, United States Code, among other things, prohibits a recipient of a Federal contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.

(2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

(3) The prohibitions of the Act do not apply under the following conditions:

(i) Agency and legislative liaison by own employees.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.

(B) For purposes of subdivision (b)(3)(i)(A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.

(C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.

(E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.

(ii) Professional and technical services.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--

(1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.

(C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.

(D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.

(E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.

(c) Disclosure.

(1) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.

(2) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this clause. An event that materially affects the accuracy of the information reported includes--

(i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or

(ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or

(iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.

(3) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.

(4) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.

(d) Agreement. The Contractor agrees not to make any payment prohibited by this clause.

(e) Penalties.

(1) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(2) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.

(f) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

(End of clause)

52.204-4 PRINTED OR COPIED DOUBLE-SIDED ON RECYCLED PAPER (AUG 2000)

(a) Definitions. As used in this clause--

“Postconsumer material” means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of “recovered material.” For paper and paper products, postconsumer material means “postconsumer fiber” defined by the U.S. Environmental Protection Agency (EPA) as--

(1) Paper, paperboard, and fibrous materials from retail stores, office buildings, homes, and so forth, after they have passed through their end-usage as a consumer item, including: used corrugated boxes; old newspapers; old magazines; mixed waste paper; tabulating cards; and used cordage; or

(2) All paper, paperboard, and fibrous materials that enter and are collected from municipal solid waste; but not

(3) Fiber derived from printers' over-runs, converters' scrap, and over-issue publications.

“Printed or copied double-sided” means printing or reproducing a document so that information is on both sides of a sheet of paper.

“Recovered material,” for paper and paper products, is defined by EPA in its Comprehensive Procurement Guideline as “recovered fiber” and means the following materials:

(1) Postconsumer fiber; and

(2) Manufacturing wastes such as--

(i) Dry paper and paperboard waste generated after completion of the papermaking process (that is, those manufacturing operations up to and including the cutting and trimming of the paper machine reel into smaller rolls or rough sheets) including: envelope cuttings, bindery trimmings, and other paper and paperboard waste resulting from printing, cutting, forming, and other converting operations; bag, box, and carton manufacturing wastes; and butt rolls, mill wrappers, and rejected unused stock; and

(ii) Repulped finished paper and paperboard from obsolete inventories of paper and paperboard manufacturers, merchants, wholesalers, dealers, printers, converters, or others.

(b) In accordance with Section 101 of Executive Order 13101 of September 14, 1998, Greening the Government through Waste Prevention, Recycling, and Federal Acquisition, the Contractor is encouraged to submit paper documents, such as offers, letters, or reports, that are printed or copied double-sided on recycled paper that meet minimum content standards specified in Section 505 of Executive Order 13101, when not using electronic commerce methods to submit information or data to the Government.

(c) If the Contractor cannot purchase high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders, white wove envelopes, writing and office paper, book paper, cotton fiber paper, and cover stock meeting the 30 percent postconsumer material standard for use in submitting paper documents to the Government, it should use paper containing no less than 20 percent postconsumer material. This lesser standard should be used only when paper meeting the 30 percent postconsumer material standard is not obtainable at a reasonable price or does not meet reasonable performance standards.

(End of clause)

52.204-7 CENTRAL CONTRACTOR REGISTRATION (OCT 2003)

(a) Definitions. As used in this clause--

Central Contractor Registration (CCR) database means the primary Government repository for Contractor information required for the conduct of business with the Government.

Data Universal Numbering System (DUNS) number means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

Data Universal Numbering System +4 (DUNS+4) number means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see the FAR at Subpart 32.11) for the same parent concern.

Registered in the CCR database means that--

(1) The Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database; and

(2) The Government has validated all mandatory data fields and has marked the record "Active".

(b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.

(2) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" or "DUNS +4" followed by the DUNS or DUNS +4 number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.

(c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.

(1) An offeror may obtain a DUNS number--

(i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at <http://www.dnb.com>; or

(ii) If located outside the United States, by contacting the local Dun and Bradstreet office.

(2) The offeror should be prepared to provide the following information:

(i) Company legal business.

(ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.

(iii) Company Physical Street Address, City, State, and Zip Code.

(iv) Company Mailing Address, City, State and Zip Code (if separate from physical).

(v) Company Telephone Number.

(vi) Date the company was started.

(vii) Number of employees at your location.

(viii) Chief executive officer/key manager.

(ix) Line of business (industry).

(x) Company Headquarters name and address (reporting relationship within your entity).

(d) If the Offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer will proceed to award to the next otherwise successful registered Offeror.

(e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.

(f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.

(g)(1)(i) If a Contractor has legally changed its business name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-of-name agreements in Subpart 42.12, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to (A) change the name in the CCR database; (B) comply with the requirements of Subpart 42.12 of the FAR; and (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide with the notification sufficient documentation to support the legally changed name.

(ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of-name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.

(2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims (see FAR Subpart 32.8, Assignment of Claims). Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.

(h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at <http://www.ccr.gov> or by calling 1-888-227-2423, or 269-961-5757.

(End of clause)

52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (JUL 1995)

(a) The Government suspends or debar Contractors to protect the Government's interests. The Contractor shall not enter into any subcontract in excess of the \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.

(b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principles, is or is not debarred, suspended, or proposed for debarment by the Federal Government.

(c) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for

information on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs). The notice must include the following:

- (1) The name of the subcontractor.
- (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

(End of clause)

52.211-15 DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS (SEP 1990)

This is a rated order certified for national defense use, and the Contractor shall follow all the requirements of the Defense Priorities and Allocations System regulation (15 CFR 700).

(End of clause)

52.215-2 AUDIT AND RECORDS--NEGOTIATION (JUN 1999)

(a) As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form.

(b) Examination of costs. If this is a cost-reimbursement, incentive, time-and-materials, labor-hour, or price redeterminable contract, or any combination of these, the Contractor shall maintain and the Contracting Officer, or an authorized representative of the Contracting Officer, shall have the right to examine and audit all records and other evidence sufficient to reflect properly all costs claimed to have been incurred or anticipated to be incurred directly or indirectly in performance of this contract. This right of examination shall include inspection at all reasonable times of the Contractor's plants, or parts of them, engaged in performing the contract.

(c) Cost or pricing data. If the Contractor has been required to submit cost or pricing data in connection with any pricing action relating to this contract, the Contracting Officer, or an authorized representative of the Contracting Officer, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data, shall have the right to examine and audit all of the Contractor's records, including computations and projections, related to--

- (1) The proposal for the contract, subcontract, or modification;
 - (2) The discussions conducted on the proposal(s), including those related to negotiating;
 - (3) Pricing of the contract, subcontract, or modification; or
 - (4) Performance of the contract, subcontract or modification.
- (d) Comptroller General--(1) The Comptroller General of the United States, or an authorized representative, shall

have access to and the right to examine any of the Contractor's directly pertinent records involving transactions related to this contract or a subcontract hereunder.

(2) This paragraph may not be construed to require the Contractor or subcontractor to create or maintain any record that the Contractor or subcontractor does not maintain in the ordinary course of business or pursuant to a provision of law.

(e) Reports. If the Contractor is required to furnish cost, funding, or performance reports, the Contracting Officer or an authorized representative of the Contracting Officer shall have the right to examine and audit the supporting records and materials, for the purpose of evaluating (1) the effectiveness of the Contractor's policies and procedures to produce data compatible with the objectives of these reports and (2) the data reported.

(f) Availability. The Contractor shall make available at its office at all reasonable times the records, materials, and other evidence described in paragraphs (a), (b), (c), (d), and (e) of this clause, for examination, audit, or reproduction, until 3 years after final payment under this contract or for any shorter period specified in Subpart 4.7, Contractor Records Retention, of the Federal Acquisition Regulation (FAR), or for any longer period required by statute or by other clauses of this contract. In addition--

(1) If this contract is completely or partially terminated, the Contractor shall make available the records relating to the work terminated until 3 years after any resulting final termination settlement; and

(2) The Contractor shall make available records relating to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to this contract until such appeals, litigation, or claims are finally resolved.

(g) The Contractor shall insert a clause containing all the terms of this clause, including this paragraph (g), in all subcontracts under this contract that exceed the simplified acquisition threshold, and--

(1) That are cost-reimbursement, incentive, time-and-materials, labor-hour, or price-redeterminable type or any combination of these;

(2) For which cost or pricing data are required; or

(3) That require the subcontractor to furnish reports as discussed in paragraph (e) of this clause.

The clause may be altered only as necessary to identify properly the contracting parties and the Contracting Officer under the Government prime contract.

(End of clause)

52.215-11 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

(a) This clause shall become operative only for any modification to this contract involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, except that this clause does not apply to any modification if an exception under FAR 15.403-1 applies.

(b) If any price, including profit or fee, negotiated in connection with any modification under this clause, or any cost reimbursable under this contract, was increased by any significant amount because (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data, (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data, or (3) any of these parties furnished data of any description that were

not accurate, the price or cost shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) of this clause.

(c) Any reduction in the contract price under paragraph (b) of this clause due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which--

(1) The actual subcontract; or

(2) The actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.

(d)(1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:

(i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.

(ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.

(iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.

(iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.

(2)(i) Except as prohibited by subdivision (d)(2)(ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if--

(A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and

(B) The Contractor proves that the cost or pricing data were available before the "as of" date specified on its Certificate of Current Cost or Pricing Data, and that the data were not submitted before such date.

(ii) An offset shall not be allowed if--

(A) The understated data were known by the Contractor to be understated before the "as of" date specified on its Certificate of Current Cost or Pricing Data; or

(B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the "as of" date specified on its Certificate of Current Cost or Pricing Data.

(e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid--

(1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and

A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data that were incomplete, inaccurate, or noncurrent.

(End of clause)

52.215-13 SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

(a) The requirements of paragraphs (b) and (c) of this clause shall--

(1) Become operative only for any modification to this contract involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4; and

(2) Be limited to such modifications.

(b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modification involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1 applies.

(c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in FAR 15.406-2 that, to the best of its knowledge and belief, the data submitted under paragraph (b) of this clause were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.

The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that exceeds the threshold for submission of cost or pricing data at FAR 15.403-4 on the date of agreement on price or the date of award, whichever is later.

(End of clause)

52.215-18 REVERSION OR ADJUSTMENT OF PLANS FOR POSTRETIREMENT BENEFITS (PRB) OTHER THAN PENSIONS (OCT 1997)

The Contractor shall promptly notify the Contracting Officer in writing when it determines that it will terminate or reduce a PRB plan. If PRB fund assets revert, or inure, to the Contractor or are constructively received by it under a plan termination or otherwise, the Contractor shall make a refund or give a credit to the Government for its equitable share as required by FAR 31.205-6(o)(6). The Contractor shall include the substance of this clause in all subcontracts under this contract that meet the applicability requirements of FAR 15.408(j).

(End of clause)

52.215-19 NOTIFICATION OF OWNERSHIP CHANGES (OCT 1997)

(a) The Contractor shall make the following notifications in writing:

(1) When the Contractor becomes aware that a change in its ownership has occurred, or is certain to occur, that could result in changes in the valuation of its capitalized assets in the accounting records, the Contractor shall notify the Administrative Contracting Officer (ACO) within 30 days.

(2) The Contractor shall also notify the ACO within 30 days whenever changes to asset valuations or any other cost changes have occurred or are certain to occur as a result of a change in ownership.

(b) The Contractor shall--

(1) Maintain current, accurate, and complete inventory records of assets and their costs;

(2) Provide the ACO or designated representative ready access to the records upon request;

(3) Ensure that all individual and grouped assets, their capitalized values, accumulated depreciation or amortization, and remaining useful lives are identified accurately before and after each of the Contractor's ownership changes; and

(4) Retain and continue to maintain depreciation and amortization schedules based on the asset records maintained before each Contractor ownership change.

The Contractor shall include the substance of this clause in all subcontracts under this contract that meet the applicability requirement of FAR 15.408(k).

(End of clause)

52.215-21 REQUIREMENTS FOR COST OR PRICING DATA OR INFORMATION OTHER THAN COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

(a) Exceptions from cost or pricing data. (1) In lieu of submitting cost or pricing data for modifications under this contract, for price adjustments expected to exceed the threshold set forth at FAR 15.403-4 on the date of the agreement on price or the date of the award, whichever is later, the Contractor may submit a written request for exception by submitting the information described in the following subparagraphs. The Contracting Officer may require additional supporting information, but only to the extent necessary to determine whether an exception should be granted, and whether the price is fair and reasonable--

(i) Identification of the law or regulation establishing the price offered. If the price is controlled under law by periodic rulings, reviews, or similar actions of a governmental body, attach a copy of the controlling document, unless it was previously submitted to the contracting office.

(ii) Information on modifications of contracts or subcontracts for commercial items. (A) If--

(1) The original contract or subcontract was granted an exception from cost or pricing data requirements because the price agreed upon was based on adequate price competition or prices set by law or regulation, or was a contract or subcontract for the acquisition of a commercial item; and

(2) The modification (to the contract or subcontract) is not exempted based on one of these exceptions, then the Contractor may provide information to establish that the modification would not change the contract or subcontract from a contract or subcontract for the acquisition of a commercial item to a contract or subcontract for the acquisition of an item other than a commercial item.

(B) For a commercial item exception, the Contractor shall provide, at a minimum, information on prices at which the same item or similar items have previously been sold that is adequate for evaluating the reasonableness of the

price of the modification. Such information may include--

(1) For catalog items, a copy of or identification of the catalog and its date, or the appropriate pages for the offered items, or a statement that the catalog is on file in the buying office to which the proposal is being submitted. Provide a copy or describe current discount policies and price lists (published or unpublished), e.g., wholesale, original equipment manufacturer, or reseller. Also explain the basis of each offered price and its relationship to the established catalog price, including how the proposed price relates to the price of recent sales in quantities similar to the proposed quantities.

(2) For market-priced items, the source and date or period of the market quotation or other basis for market price, the base amount, and applicable discounts. In addition, describe the nature of the market.

(3) For items included on an active Federal Supply Service Multiple Award Schedule contract, proof that an exception has been granted for the schedule item.

(2) The Contractor grants the Contracting Officer or an authorized representative the right to examine, at any time before award, books, records, documents, or other directly pertinent records to verify any request for an exception under this clause, and the reasonableness of price. For items priced using catalog or market prices, or law or regulation, access does not extend to cost or profit information or other data relevant solely to the Contractor's determination of the prices to be offered in the catalog or marketplace.

(b) Requirements for cost or pricing data. If the Contractor is not granted an exception from the requirement to submit cost or pricing data, the following applies:

(1) The Contractor shall submit cost or pricing data and supporting attachments in accordance with Table 15-2 of FAR 15.408.

As soon as practicable after agreement on price, but before award (except for unpriced actions), the Contractor shall submit a Certificate of Current Cost or Pricing Data, as prescribed by FAR 15.406-2.

(End of clause)

52.219-4 NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS (JAN 1999)

(a) Definition. HUBZone small business concern, as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

(b) Evaluation preference. (1) Offers will be evaluated by adding a factor of 10 percent to the price of all offers, except--

(i) Offers from HUBZone small business concerns that have not waived the evaluation preference;

(ii) Otherwise successful offers from small business concerns;

(iii) Otherwise successful offers of eligible products under the Trade Agreements Act when the dollar threshold for application of the Act is exceeded (see 25.402 of the Federal Acquisition Regulation (FAR)); and

(iv) Otherwise successful offers where application of the factor would be inconsistent with a Memorandum of Understanding or other international agreement with a foreign government.

(2) The factor of 10 percent shall be applied on a line item basis or to any group of items on which award may be made. Other evaluation factors described in the solicitation shall be applied before application of the factor.

(3) A concern that is both a HUBZone small business concern and a small disadvantaged business concern will receive the benefit of both the HUBZone small business price evaluation preference and the small disadvantaged business price evaluation adjustment (see FAR clause 52.219-23). Each applicable price evaluation preference or adjustment shall be calculated independently against an offeror's base offer.

These individual preference amounts shall be added together to arrive at the total evaluated price for that offer.

(c) Waiver of evaluation preference. A HUBZone small business concern may elect to waive the evaluation preference, in which case the factor will be added to its offer for evaluation purposes. The agreements in paragraph (d) of this clause do not apply if the offeror has waived the evaluation preference.

___ Offeror elects to waive the evaluation preference.

(d) Agreement. A HUBZone small business concern agrees that in the performance of the contract, in the case of a contract for

(1) Services (except construction), at least 50 percent of the cost of personnel for contract performance will be spent for employees of the concern or employees of other HUBZone small business concerns;

(2) Supplies (other than procurement from a nonmanufacturer of such supplies), at least 50 percent of the cost of manufacturing, excluding the cost of materials, will be performed by the concern or other HUBZone small business concerns;

(3) General construction, at least 15 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns; or

(4) Construction by special trade contractors, at least 25 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns.

(e) A HUBZone joint venture agrees that in the performance of the contract, the applicable percentage specified in paragraph (d) of this clause will be performed by the HUBZone small business participant or participants.

(f) A HUBZone small business concern nonmanufacturer agrees to furnish in performing this contract only end items manufactured or produced by HUBZone small business manufacturer concerns. This paragraph does not apply in connection with construction or service contracts.

(End of clause)

52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (MAY 2004)

(a) It is the policy of the United States that small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns.

(b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

Definitions. As used in this contract--

HUBZone small business concern means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

Service-disabled veteran-owned small business concern--

(1) Means a small business concern--

(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a service-disabled veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

Small business concern means a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

Small disadvantaged business concern means a small business concern that represents, as part of its offer that--

(1) It has received certification as a small disadvantaged business concern consistent with 13 CFR part 124, subpart B;

(2) No material change in disadvantaged ownership and control has occurred since its certification;

(3) Where the concern is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and

(4) It is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).

Veteran-owned small business concern means a small business concern--

(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and

(2) The management and daily business operations of which are controlled by one or more veterans.

Women-owned small business concern means a small business concern--

(1) That is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a veteran-owned small business concern, a service-disabled veteran-owned small business concern, a HUBZone small business concern, a small disadvantaged business concern, or a women-owned small business concern.

(End of clause)

52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002)

(a) This clause does not apply to small business concerns.

(b) Definitions. As used in this clause--

Commercial item means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

Commercial plan means a subcontracting plan (including goals) that covers the offeror's fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line).

Individual contract plan means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror's planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

Master plan means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

Subcontract means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) The offeror, upon request by the Contracting Officer, shall submit and negotiate a subcontracting plan, where applicable, that separately addresses subcontracting with small business, veteran-owned small business, HUBZone small business concerns, small disadvantaged business, and women-owned small business concerns. If the offeror is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate the subcontracting plan shall make the offeror ineligible for award of a contract.

(d) The offeror's subcontracting plan shall include the following:

(1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.

(2) A statement of--

(i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;

(ii) Total dollars planned to be subcontracted to small business concerns;

(iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;

(iv) Total dollars planned to be subcontracted to HUBZone small business concerns;

(v) Total dollars planned to be subcontracted to small disadvantaged business concerns; and

(vi) Total dollars planned to be subcontracted to women-owned small business concerns.

(3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to--

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) HUBZone small business concerns;

(iv) Small disadvantaged business concerns; and

(v) Women-owned small business concerns.

(4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.

(5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRO-Net as its source list does not relieve a firm of its responsibilities (e.g., outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

(6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) HUBZone small business concerns;

(iv) Small disadvantaged business concerns; and

(v) Women-owned small business concerns.

(7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.

(8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, HUBZone small business, small disadvantaged business and women-owned small business concerns have an equitable opportunity to compete for subcontracts.

(9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.

(10) Assurances that the offeror will--

(i) Cooperate in any studies or surveys as may be required;

(ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;

(iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.

(iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.

(11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated)

(i) Source lists (e.g., PRO-Net), guides, and other data that identify small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.

(ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

(iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating--

(A) Whether small business concerns were solicited and, if not, why not;

(B) Whether veteran-owned small business concerns were solicited and, if not, why not;

(C) Whether HUBZone small business concerns were solicited and, if not, why not;

(D) Whether small disadvantaged business concerns were solicited and, if not, why not;

(E) Whether women-owned small business concerns were solicited and, if not, why not; and

(F) If applicable, the reason award was not made to a small business concern.

(iv) Records of any outreach efforts to contact--

(A) Trade associations;

(B) Business development organizations;

(C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and

(D) Veterans service organizations.

(v) Records of internal guidance and encouragement provided to buyers through--

(A) Workshops, seminars, training, etc.; and

(B) Monitoring performance to evaluate compliance with the program's requirements.

(vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.

(e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:

(1) Assist small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.

(2) Provide adequate and timely consideration of the potentialities of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all "make-or-buy" decisions.

(3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.

(4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owner small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

(f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided--

(1) the master plan has been approved, (2) the offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer, and (3) goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.

(g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that

provide commercial items under a prime contract, whether or not the prime contractor is supplying a commercial item.

(h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.

(i) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization Of Small Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.

(j) The Contractor shall submit the following reports:

(1) Standard Form 294, Subcontracting Report for Individual Contracts. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.

(2) Standard Form 295, Summary Subcontract Report. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002)--ALTERNATE II (OCT 2001).

(a) This clause does not apply to small business concerns.

(b) Definitions. As used in this clause--

Commercial item means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

Commercial plan means a subcontracting plan (including goals) that covers the offeror's fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line).

Individual contract plan means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror's planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

Master plan means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

Subcontract means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) Proposals submitted in response to this solicitation shall include a subcontracting plan that separately addresses subcontracting with small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns. If the offeror is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate a subcontracting plan shall make the offeror ineligible for award of a contract.

(d) The offeror's subcontracting plan shall include the following:

(1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.

(2) A statement of--

(i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;

(ii) Total dollars planned to be subcontracted to small business concerns;

(iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;

(iv) Total dollars planned to be subcontracted to HUBZone small business concerns;

(v) Total dollars planned to be subcontracted to small disadvantaged business concerns; and

(vi) Total dollars planned to be subcontracted to women-owned small business concerns.

(3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to--

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) HUBZone small business concerns;

(iv) Small disadvantaged business concerns; and

(v) Women-owned small business concerns.

(4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.

(5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership

characteristics for the purposes of maintaining a small, veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRO-Net as its source list does not relieve a firm of its responsibilities (e.g., outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

(6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—

- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;
- (iii) HUBZone small business concerns;
- (iv) Small disadvantaged business concerns; and
- (v) Women-owned small business concerns.

(7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.

(8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, HUBZone small business, small disadvantaged business and women-owned small business concerns have an equitable opportunity to compete for subcontracts.

(9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.

(10) Assurances that the offeror will--

- (i) Cooperate in any studies or surveys as may be required;
- (ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;
- (iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.
- (iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.

(11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated)

- (i) Source lists (e.g., PRO-Net), guides, and other data that identify small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.

(ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

(iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating--

(A) Whether small business concerns were solicited and, if not, why not;

(B) Whether veteran-owned small business concerns were solicited and, if not, why not;

(C) Whether HUBZone small business concerns were solicited and, if not, why not;

(D) Whether small disadvantaged business concerns were solicited and, if not, why not;

(E) Whether women-owned small business concerns were solicited and, if not, why not; and

(F) If applicable, the reason award was not made to a small business concern.

(iv) Records of any outreach efforts to contact--

(A) Trade associations;

(B) Business development organizations;

(C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and

(D) Veterans service organizations.

(v) Records of internal guidance and encouragement provided to buyers through--

(A) Workshops, seminars, training, etc.; and

(B) Monitoring performance to evaluate compliance with the program's requirements.

(vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.

(e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:

(1) Assist small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.

(2) Provide adequate and timely consideration of the potentialities of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all "make-or-buy" decisions.

(3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.

(4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owner small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

(f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided--

(1) the master plan has been approved, (2) the offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer, and (3) goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.

(g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is supplying a commercial item.

(h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.

(i) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization Of Small Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.

(j) The Contractor shall submit the following reports:

(1) Standard Form 294, Subcontracting Report for Individual Contracts. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.

(2) Standard Form 295, Summary Subcontract Report. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

52.219-16 LIQUIDATED DAMAGES-SUBCONTRACTING PLAN (JAN 1999)

(a) Failure to make a good faith effort to comply with the subcontracting plan, as used in this clause, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business Subcontracting Plan," or willful or intentional action to frustrate the plan.

(b) Performance shall be measured by applying the percentage goals to the total actual subcontracting dollars or, if a commercial plan is involved, to the pro rata share of actual subcontracting dollars attributable to Government contracts covered by the commercial plan. If, at contract completion or, in the case of a commercial plan, at the close of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled "Small Business Subcontracting Plan," the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal.

(c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made and to discuss the matter. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.

(d) With respect to commercial plans, the Contracting Officer who approved the plan will perform the functions of the Contracting Officer under this clause on behalf of all agencies with contracts covered by the commercial plan.

(e) The Contractor shall have the right of appeal, under the clause in this contract entitled Disputes, from any final decision of the Contracting Officer.

(f) Liquidated damages shall be in addition to any other remedies that the Government may have.

(End of clause)

52.222-3 CONVICT LABOR (JUN 2003)

(a) Except as provided in paragraph (b) of this clause, the Contractor shall not employ in the performance of this contract any person undergoing a sentence of imprisonment imposed by any court of a State, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands.

(b) The Contractor is not prohibited from employing persons--

(1) On parole or probation to work at paid employment during the term of their sentence;

(2) Who have been pardoned or who have served their terms; or

(3) Confined for violation of the laws of any of the States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if--

(i) The worker is paid or is in an approved work training program on a voluntary basis;

(ii) Representatives of local union central bodies or similar labor union organizations have been consulted;

(iii) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services;

(iv) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and

(v) The Attorney General of the United States has certified that the work-release laws or **regulations** of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943.

(End of clause)

52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME
COMPENSATION. (SEP 2000)

(a) Overtime requirements. No Contractor or subcontractor employing laborers or mechanics (see Federal Acquisition Regulation 22.300) shall require or permit them to work over 40 hours in any workweek unless they are paid at least 1 and 1/2 times the basic rate of pay for each hour worked over 40 hours.

(b) Violation; liability for unpaid wages; liquidated damages. The responsible Contractor and subcontractor are liable for unpaid wages if they violate the terms in paragraph (a) of this clause. In addition, the Contractor and subcontractor are liable for liquidated damages payable to the Government. The Contracting Officer will assess liquidated damages at the rate of \$10 per affected employee for each calendar day on which the employer required or permitted the employee to work in excess of the standard workweek of 40 hours without paying overtime wages required by the Contract Work Hours and Safety Standards Act.

(c) Withholding for unpaid wages and liquidated damages. The Contracting Officer will withhold from payments due under the contract sufficient funds required to satisfy any Contractor or subcontractor liabilities for unpaid wages and liquidated damages. If amounts withheld under the contract are insufficient to satisfy Contractor or subcontractor liabilities, the Contracting Officer will withhold payments from other Federal or Federally assisted contracts held by the same Contractor that are subject to the Contract Work Hours and Safety Standards Act.

(d) Payrolls and basic records.

(1) The Contractor and its subcontractors shall maintain payrolls and basic payroll records for all laborers and mechanics working on the contract during the contract and shall make them available to the Government until 3 years after contract completion. The records shall contain the name and address of each employee, social security number, labor classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. The records need not duplicate those required for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis-Bacon Act.

(2) The Contractor and its subcontractors shall allow authorized representatives of the Contracting Officer or the Department of Labor to inspect, copy, or transcribe records maintained under paragraph (d)(1) of this clause. The Contractor or subcontractor also shall allow authorized representatives of the Contracting Officer or Department of Labor to interview employees in the workplace during working hours.

(e) Subcontracts. The Contractor shall insert the provisions set forth in paragraphs (a) through (d) of this clause in subcontracts exceeding \$100,000 and require subcontractors to include these provisions in any lower tier subcontracts. The Contractor shall be responsible for compliance by any subcontractor or lower-tier subcontractor with the provisions set forth in paragraphs (a) through (d) of this clause.

(End of clause)

52.222-6 DAVIS-BACON ACT (FEB 1995)

(a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(b)(1) The Contracting Officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination.

(ii) The classification is utilized in the area by the construction industry.

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the

first day on which work is performed in the classification.

(c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

53 If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, That the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(End of clause)

52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(End of clause)

52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

(a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(b)(1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the

information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify--

(i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.

(4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

(c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(End of clause)

52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In

addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(End of clause)

52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(End of clause)

52.222-11 SUBCONTRACTS (LABOR STANDARDS (FEB 1988)

(a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act-Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination-Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.

(b)(1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.

(i) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

(End of clause)

52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

(End of clause)

52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

(End of clause)

52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

(End of clause)

52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

(a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

54 The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(End of clause)

52.222-21 PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)

(a) Segregated facilities, as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.

(b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.

(c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.

(End of clause)

52.222-26 EQUAL OPPORTUNITY (APR 2002)

(a) Definition. United States, as used in this clause, means the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.

(b) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with paragraphs (b)(1) through (b)(11) of this clause, except for work performed outside the United States by employees who were not recruited within the United States. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.

(1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.

(2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include,

but not be limited to, (i) employment, (ii) upgrading, (iii) demotion, (iv) transfer, (v) recruitment or recruitment advertising, (vi) layoff or termination, (vii) rates of pay or other forms of compensation, and (viii) selection for training, including apprenticeship.

(3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.

(4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.

(5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.

(6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.

(7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.

(8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.

(9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.

(10) The Contractor shall include the terms and conditions of subparagraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.

(11) The Contractor shall take such action with respect to any subcontract or purchase order as the contracting officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance; provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

(c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

(End of clause)

52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)

(a) Definitions. "Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.

"Deputy Assistant Secretary," as used in this clause, means Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, or a designee.

"Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly federal tax return, U.S. Treasury Department Form 941.

"Minority," as used in this clause, means--

(1) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

(2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);

(3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and

(4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).

(b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade, each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.

(c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.

(d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.

(e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.

(f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals,

apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

(g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:

(1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.

(2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

(3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall for referral and not referred back to the Contractor by the union or, if referred back, not employed by the Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may have taken.

(4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

(5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.

(6) Disseminate the Contractor's equal employment policy by--

(i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;

(ii) Including the policy in any policy manual and in collective bargaining agreements;

(iii) Publicizing the policy in the company newspaper, annual report, etc.;

(iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and

(v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.

(7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions.

Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

(8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.

(9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

(10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.

(11) Validate all tests and other selection requirements where required under 41 CFR 60-3.

(12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.

(13) Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.

(14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user rest rooms and necessary dressing or sleeping areas shall be provided to assure privacy between the sexes.

(15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

(16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.

(h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor--

(1) Actively participates in the group;

(2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry;

(3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;

(4) Makes a good-faith effort to meet its individual goals and timetables; and

(5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

(i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.

(j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

(k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.

(l) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.

(m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.

(n) The Contractor shall designate a responsible official to--

(1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out;

(2) Submit reports as may be required by the Government; and

(3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.

Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

(End of clause)

52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Definitions. As used in this clause--

All employment openings means all positions except executive and top management, those positions that will be filled from within the Contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days duration, and part-time employment.

Executive and top management means any employee--

(1) Whose primary duty consists of the management of the enterprise in which the individual is employed or of a customarily recognized department or subdivision thereof;

(2) Who customarily and regularly directs the work of two or more other employees;

(3) Who has the authority to hire or fire other employees or whose suggestions and recommendations as to the hiring or firing and as to the advancement and promotion or any other change of status of other employees will be given particular weight;

(4) Who customarily and regularly exercises discretionary powers; and

(5) Who does not devote more than 20 percent or, in the case of an employee of a retail or service establishment, who does not devote more than 40 percent of total hours of work in the work week to activities that are not directly and closely related to the performance of the work described in paragraphs (1) through (4) of this definition. This paragraph (5) does not apply in the case of an employee who is in sole charge of an establishment or a physically separated branch establishment, or who owns at least a 20 percent interest in the enterprise in which the individual is employed.

Other eligible veteran means any other veteran who served on active duty during a war or in a campaign or expedition for which a campaign badge has been authorized.

Positions that will be filled from within the Contractor's organization means employment openings for which the Contractor will give no consideration to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings the Contractor proposes to fill from regularly established "recall" lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

Qualified special disabled veteran means a special disabled veteran who satisfies the requisite skill, experience, education, and other job-related requirements of the employment position such veteran holds or desires, and who, with or without reasonable accommodation, can perform the essential functions of such position.

Special disabled veteran means--

(1) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Department of Veterans Affairs for a disability--

(i) Rated at 30 percent or more; or

(ii) Rated at 10 or 20 percent in the case of a veteran who has been determined under 38 U.S.C. 3106 to have a serious employment handicap (i.e., a significant impairment of the veteran's ability to prepare for, obtain, or retain employment consistent with the veteran's abilities, aptitudes, and interests); or

(2) A person who was discharged or released from active duty because of a service-connected disability.

Veteran of the Vietnam era means a person who--

(1) Served on active duty for a period of more than 180 days and was discharged or released from active duty with other than a dishonorable discharge, if any part of such active duty occurred--

(i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

(ii) Between August 5, 1964, and May 7, 1975, in all other cases; or

(2) Was discharged or released from active duty for a service-connected disability if any part of the active duty was performed--

(i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

(ii) Between August 5, 1964, and May 7, 1975, in all other cases.

(b) General. (1) The Contractor shall not discriminate against the individual because the individual is a special disabled veteran, a veteran of the Vietnam era, or other eligible veteran, regarding any position for which the employee or applicant for employment is qualified. The Contractor shall take affirmative action to employ, advance in employment, and otherwise treat qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans without discrimination based upon their disability or veterans' status in all employment practices such as--

(i) Recruitment, advertising, and job application procedures;

(ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;

(iii) Rate of pay or any other form of compensation and changes in compensation;

(iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;

(v) Leaves of absence, sick leave, or any other leave;

(vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;

(vii) Selection and financial support for training, including apprenticeship, and on-the-job training under 38 U.S.C. 3687, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;

(viii) Activities sponsored by the Contractor including social or recreational programs; and

(ix) Any other term, condition, or privilege of employment.

(2) The Contractor shall comply with the rules, regulations, and relevant orders of the Secretary of Labor issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended (38 U.S.C. 4211 and 4212).

(c) Listing openings. (1) The Contractor shall immediately list all employment openings that exist at the time of the execution of this contract and those which occur during the performance of this contract, including those not generated by this contract, and including those occurring at an establishment of the Contractor other than the one where the contract is being performed, but excluding those of independently operated corporate affiliates, at an appropriate local public employment service office of the State wherein the opening occurs. Listing employment openings with the U.S. Department of Labor's America's Job Bank shall satisfy the requirement to list jobs with the local employment service office.

(2) The Contractor shall make the listing of employment openings with the local employment service office at least concurrently with using any other recruitment source or effort and shall involve the normal obligations of placing a

bona fide job order, including accepting referrals of veterans and nonveterans. This listing of employment openings does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.

(3) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State public employment agency in each State where it has establishments of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State agency, it need not advise the State agency of subsequent contracts. The Contractor may advise the State agency when it is no longer bound by this contract clause.

(d) Applicability. This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Virgin Islands of the United States, and Wake Island.

(e) Postings. (1) The Contractor shall post employment notices in conspicuous places that are available to employees and applicants for employment.

(2) The employment notices shall--

(i) State the rights of applicants and employees as well as the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants who are special disabled veterans, veterans of the Vietnam era, and other eligible veterans; and

(ii) Be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary of Labor), and provided by or through the Contracting Officer.

(3) The Contractor shall ensure that applicants or employees who are special disabled veterans are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled veteran, or may lower the posted notice so that it can be read by a person in a wheelchair).

(4) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement, or other contract understanding, that the Contractor is bound by the terms of the Act and is committed to take affirmative action to employ, and advance in employment, qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans.

(f) Noncompliance. If the Contractor does not comply with the requirements of this clause, the Government may take appropriate actions under the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.

(g) Subcontracts. The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor. The Contractor shall act as specified by the Deputy Assistant Secretary of Labor to enforce the terms, including action for noncompliance.

(End of clause)

52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)

(a) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified

individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as--

- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or any other form of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor, including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.

(2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.

(b) Postings. (1) The Contractor agrees to post employment notices stating--

- (i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and
- (ii) The rights of applicants and employees.

(2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.

(3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.

(c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.

(d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

(End of clause)

52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the Secretary of Labor, on--

(1) The number of disabled veterans and the number of veterans of the Vietnam era in the workforce of the contractor by job category and hiring location; and

(2) The total number of new employees hired during the period covered by the report, and of that total, the number of disabled veterans, and the number of veterans of the Vietnam era.

(b) The above items shall be reported by completing the form entitled "Federal Contractor Veterans' Employment Report VETS-100."

(c) Reports shall be submitted no later than September 30 of each year beginning September 30, 1988.

(d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date: (1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).

(e) The count of veterans reported according to paragraph (a) of this clause shall be based on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all disabled veterans and veterans of the Vietnam era who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that the information is voluntarily provided; that the information will be kept confidential; that disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and that the information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.

(f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary.

(End of clause)

52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (AUG 2003)

(a) Definitions. As used in this clause--

Priority chemical means a chemical identified by the Interagency Environmental Leadership Workgroup or, alternatively, by an agency pursuant to section 503 of Executive Order 13148 of April 21, 2000, Greening the Government through Leadership in Environmental Management.

“Toxic chemical means a chemical or chemical category listed in 40 CFR 372.65.”

(b) Executive Order 13148 requires Federal facilities to comply with the provisions of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11001-11050) and the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13101-13109).

(c) The Contractor shall provide all information needed by the Federal facility to comply with the following:

- (1) The emergency planning reporting requirements of section 302 of EPCRA.
- (2) The emergency notice requirements of section 304 of EPCRA.
- (3) The list of Material Safety Data Sheets, required by section 311 of EPCRA.
- (4) The emergency and hazardous chemical inventory forms of section 312 of EPCRA.
- (5) The toxic chemical release inventory of section 313 of EPCRA, which includes the reduction and recycling information required by section 6607 of PPA.
- (6) The toxic chemical, priority chemical, and hazardous substance release and use reduction goals of sections 502 and 503 of Executive Order 13148.

(End of clause)

52.223-6 DRUG-FREE WORKPLACE (MAY 2001)

(a) Definitions. As used in this clause --

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession, or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

(b) The Contractor, if other than an individual, shall-- within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--

(1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;

(2) Establish an ongoing drug-free awareness program to inform such employees about--

- (i) The dangers of drug abuse in the workplace;
 - (ii) The Contractor's policy of maintaining a drug-free workplace;
 - (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this clause;
- (4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--
- (i) Abide by the terms of the statement; and
 - (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.
- (5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;
- (6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:
- (i) Taking appropriate personnel action against such employee, up to and including termination; or
 - (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
- (7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) through (b)(6) of this clause.
- (c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.
- (d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.506, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

(End of clause)

52.223-14 TOXIC CHEMICAL RELEASE REPORTING (AUG 2003)

- (a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C.

13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.

(b) A Contractor-owned or -operated facility used in the performance of this contract is exempt from the requirement to file an annual Form R if--

(1) The facility does not manufacture, process, or otherwise use any toxic chemicals listed in 40 CFR 372.65;

(2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

(3) The facility does not meet the reporting thresholds of toxic chemicals established under of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

(4) The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding North American Industry Classification System sectors:

(i) Major group code 10 (except 1011, 1081, and 1094.

(ii) Major group code 12 (except 1241).

(iii) Major group codes 20 through 39.

(iv) Industry code 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce).

(v) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921, et seq.)), 5169, 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); or

(5) The facility is not located in the United States or its outlying areas.

(c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any of its owned or operated facilities used in the performance of this contract is no longer exempt--

(1) The Contractor shall notify the Contracting Officer; and

(2) The Contractor, as owner or operator of a facility used in the performance of this contract that is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.

(d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.

(e) Except for acquisitions of commercial items, as defined in FAR Part 2, the Contractor shall--

(1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and

(2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).

(End of clause)

52.225-11 BUY AMERICAN ACT--CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (JAN 2004)

(a) Definitions. As used in this clause--

Component means an article, material, or supply incorporated directly into a construction material.

Construction material means an article, material, or supply brought to the construction site by the Contractor or subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

Cost of components means--

(1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or

(2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

Designated country means any of the following countries: Aruba, Austria, Bangladesh, Belgium, Benin, Bhutan, Botswana, Burkina Faso, Burundi, Canada, Cape Verde, Central African Republic, Chad, Comoros, Denmark.

Djibouti, Equatorial Guinea, Finland, France, Gambia, Germany, Greece, Guinea, Guinea-Bissau, Haiti, Hong Kong, Ireland, Israel, Italy, Japan.

Kiribati, Korea, Republic of, Lesotho, Liechtenstein, Luxembourg, Malawi, Maldives, Mali, Mozambique, Nepal, Netherlands, Niger, Norway, Portugal, Rwanda.

Sao Tome and Principe, Sierra Leone, Singapore, Somalia, Spain, Sweden, Switzerland, Tanzania U.R., Togo, Tuvalu, Uganda, United Kingdom, Vanuatu, Western Samoa, Yemen.

Designated country construction material means a construction material that--

(1) Is wholly the growth, product, or manufacture of a designated country; or

(2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a designated country into a new and different construction material distinct from the materials from which it was transformed.

Domestic construction material means--

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

Foreign construction material means a construction material other than a domestic construction material.

Free Trade Agreement country means Canada, Chile, Mexico, or Singapore.

Free Trade Agreement country construction material means a construction material that--

- (1) Is wholly the growth, product, or manufacture of a Free Trade Agreement (FTA) country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a FTA country into a new and different construction material distinct from the materials from which it was transformed.

United States means the 50 States, the District of Columbia, and outlying areas.

(b) Construction materials. (1) This clause implements the Buy American Act (41 U.S.C. 10a-10d) by providing a preference for domestic construction material. In addition, the Contracting Officer has determined that the Trade Agreements Act and Free Trade Agreements (FTAs) apply to this acquisition. Therefore, the Buy American Act restrictions are waived for designated country and FTA country construction materials.

(2) The Contractor shall use only domestic, designated country, or NAFTA country construction material in performing this contract, except as provided in paragraphs (b)(3) and (b)(4) of this clause.

(3) The requirement in paragraph (b)(2) of this clause does not apply to the construction materials or components listed by the Government as follows: NONE

(4) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(3) of this clause if the Government determines that--

(i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the restrictions of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;

(ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(c) Request for determination of inapplicability of the Buy American Act.

(1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(4) of this clause shall include adequate information for Government evaluation of the request, including--

(A) A description of the foreign and domestic construction materials;

(B) Unit of measure;

(C) Quantity;

(D) Price;

(E) Time of delivery or availability;

(F) Location of the construction project;

(G) Name and address of the proposed supplier; and

(H) A detailed justification of the reason for use of foreign construction materials cited in accordance with paragraph (b)(3) of this clause.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.

(iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.

(2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(4)(i) of this clause.

(3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.

(d) Data. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

Foreign and Domestic Construction Materials Price Comparison

Construction material description	Unit of measure	Quantity	Price (dollars) \1\
Item 1:			
Foreign construction material....
Domestic construction material...
Item 2:			
Foreign construction material....
Domestic construction material...

\1\ Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).

List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.

Include other applicable supporting information.

(e) United States law will apply to resolve any claim of breach of this contract.

(End of clause)

52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (DEC 2003)

(a) Except as authorized by the Office of Foreign Assets Control (OFAC) in the Department of the Treasury, the Contractor shall not acquire, for use in the performance of this contract, any supplies or services if any proclamation, Executive order, or statute administered by OFAC, or if OFAC's implementing regulations at 31 CFR chapter V, would prohibit such a transaction by a person subject to the jurisdiction of the United States.

(b) Except as authorized by OFAC, most transactions involving Cuba, Iran, Libya, and Sudan are prohibited, as are most imports from North Korea, into the United States or its outlying areas. Lists of entities and individuals subject to economic sanctions are included in OFAC's List of Specially Designated Nationals and Blocked Persons at TerList1.html. More information about these restrictions, as well as updates, is available in the OFAC's regulations at 31 CFR chapter V and/or on OFAC's Web site at <http://www.treas.gov/ofac>.

(c) The Contractor shall insert this clause, including this paragraph (c), in all subcontracts.

(End of clause)

52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent (1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or (2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with (i) specifications or written provisions forming a part of this contract or (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold (however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.)

(End of clause)

52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

(a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copyright infringement based on the performance of this contract of which the Contractor has

knowledge.

(b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.

55 The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at (FAR) 2.101 to exceed the dollar amount set forth in 13.000 of the Federal Acquisition Regulation (FAR).

(End of clause)

52.228-1 BID GUARANTEE (SEP 1996)

(a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of the bid.

(b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids, and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.-

(c) The amount of the bid guarantee shall be 20 percent of the bid price or \$3,000,000.00, whichever is less.-

(d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.-

(e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid, and the bid guarantee is available to offset the difference.

(End of clause)

52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

(a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government.

(b) Any surety fails to furnish reports on its financial condition as required by the Government;

(c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the

Contracting Officer; or

(d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting officer has the right to immediately draw on the ILC.

(End of clause)

52.228-5 INSURANCE--WORK ON A GOVERNMENT INSTALLATION (JAN 1997)

(a) The Contractor shall, at its own expense, provide and maintain during the entire performance of this contract, at least the kinds and minimum amounts of insurance required in the Schedule or elsewhere in the contract.

(b) Before commencing work under this contract, the Contractor shall notify the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective (1) for such period as the laws of the State in which this contract is to be performed prescribe, or (2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.

(c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

(End of clause)

52.228-11 PLEDGES OF ASSETS (FEB 1992)

(a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--

(1) Pledge of assets; and

(2) Standard Form 28, Affidavit of Individual Surety.

(b) Pledges of assets from each person acting as an individual surety shall be in the form of--

(1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;

(2) A recorded lien on real estate. The offeror will be required to provide--

(i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);

(ii) Evidence of the amount due under any encumbrance shown in the evidence of title;

(iii) A copy of the current real estate tax assessment of the property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

(End of clause)

52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS. (OCT 1995)

In accordance with Section 806(a)(3) of Pub. L. 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requester.

(End of clause)

52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)

(a) "Irrevocable letter of credit" (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.

(b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.

(c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--

(1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;

(2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration date that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal at least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:

(i) For contracts subject to the Miller Act, the later of--

(A) One year following the expected date of final payment;

(B) For performance bonds only, until completion of any warranty period; or

(C) For payment bonds only, until resolution of all claims filed against the payment bond during the one-year period following final payment.

(ii) For contracts not subject to the Miller Act, the later of--

(A) 90 days following final payment; or

(B) For performance bonds only, until completion of any warranty period.

(d) Only federally insured financial institutions rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the ILC had letter of credit business of less than \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of less than \$25 million in the past year.

(e) The following format shall be used by the issuing financial institution to create an ILC:

[Issuing Financial Institution's Letterhead or Name and Address]

Issue Date _____

IRREVOCABLE LETTER OF CREDIT NO. _____

Account party's name _____

Account party's address _____

For Solicitation No. _____ (for reference only)

TO: [U.S. Government agency]

[U.S. Government agency's address]

1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$_____. This Letter of Credit is payable at [issuing financial institution's and, if any, confirming financial institution's] office at [issuing financial institution's address and, if any, confirming financial institution's address] and expires with our close of business on _____, or any automatically extended expiration date.

2. We hereby undertake to honor your or the transferee's sight draft(s) drawn on the issuing or, if any, the confirming financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any automatically extended expiration date.

3. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party (and confirming financial institution, if any) by the same means of delivery.

4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written

direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any.

5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of _____ [state of confirming financial institution, if any, otherwise state of issuing financial institution].

6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

[Issuing financial institution]

(f) The following format shall be used by the financial institution to confirm an ILC:

[Confirming Financial Institution's Letterhead or Name and Address]

(Date) _____

Our Letter of Credit Advice Number _____

Beneficiary: _____ [U.S. Government agency]

Issuing Financial Institution: _____

Issuing Financial Institution's LC No.: _____

Gentlemen:

1. We hereby confirm the above indicated Letter of Credit, the original of which is attached, issued by _____ [name of issuing financial institution] for drawings of up to United States dollars _____/U.S. \$ _____ and expiring with our close of business on _____ [the expiration date], or any automatically extended expiration date.

2. Draft(s) drawn under the Letter of Credit and this Confirmation are payable at our office located at _____.

3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.

4. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:

(a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer, or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this confirmation extended for any such additional period; or

(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.

5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of _____ [state of confirming financial institution].

6. If this confirmation expires during an interruption of business of this financial institution as described in Article 17 of the UCP, we specifically agree to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

[Confirming financial institution]

(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:

SIGHT DRAFT

[City, State]

(Date) _____

[Name and address of financial institution]

Pay to the order of _____ [Beneficiary Agency] _____ the sum of United States \$ _____.
This draft is drawn under Irrevocable Letter of Credit No. _____.

[Beneficiary Agency]

By: _____

(End of clause)

52.228-15 PERFORMANCE AND PAYMENT BONDS--CONSTRUCTION (JUL 2000)-

(a) Definitions. As used in this clause--

Original contract price means the award price of the contract; or, for requirements contracts, the price payable for the estimated total quantity; or, for indefinite-quantity contracts, the price payable for the specified minimum quantity. Original contract price does not include the price of any options, except those options exercised at the time of contract award.

(b) Amount of required bonds. Unless the resulting contract price is \$100,000 or less, the successful offeror shall furnish performance and payment bonds to the Contracting Officer as follows:

(1) Performance bonds (Standard Form 25). The penal amount of performance bonds at the time of contract award shall be 100 percent of the original contract price.

(2) Payment Bonds (Standard Form 25-A). The penal amount of payment bonds at the time of contract award shall be 100 percent of the original contract price.

(3) Additional bond protection. (i) The Government may require additional performance and payment bond protection if the contract price is increased. The increase in protection generally will equal 100 percent of the increase in contract price.

(ii) The Government may secure the additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.

(c) Furnishing executed bonds. The Contractor shall furnish all executed bonds, including any necessary reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.

(d) Surety or other security for bonds. The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier's check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register or may be obtained from the U.S. Department of Treasury, Financial Management Service, Surety Bond Branch, 401 14th Street, NW, 2nd Floor, West Wing, Washington, DC 20227.

(e) Notice of subcontractor waiver of protection (40 U.S.C. 270b(c)). Any waiver of the right to sue on the payment bond is void unless it is in writing, signed by the person whose right is waived, and executed after such person has first furnished labor or material for use in the performance of the contract.

(End of clause)

52.229-3 FEDERAL, STATE, AND LOCAL TAXES (APR 2003)

(a) As used in this clause--

"Contract date" means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.

"All applicable Federal, State, and local taxes and duties" means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.

"After-imposed Federal tax" means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.

"After-relieved Federal tax" means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.

Local taxes includes taxes imposed by a possession or territory of the United States, Puerto Rico, or the Northern

Mariana Islands, if the contract is performed wholly or partly in any of those areas.

- (b) The contract price includes all applicable Federal, State, and local taxes and duties.
- (c) The contract price shall be increased by the amount of any after-imposed Federal tax, provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.
- (d) The contract price shall be decreased by the amount of any after-relieved Federal tax.
- (e) The contract price shall be decreased by the amount of any Federal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.
- (f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.
- (g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price and shall take appropriate action as the Contracting Officer directs.
- (h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

(End of clause)

52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (SEP 2002)

- (a) Payment of price. The Government shall pay the Contractor the contract price as provided in this contract.
- (b) Progress payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.
 - (1) The Contractor's request for progress payments shall include the following substantiation:
 - (i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.
 - (ii) A listing of the amount included for work performed by each subcontractor under the contract.
 - (iii) A listing of the total amount of each subcontract under the contract.
 - (iv) A listing of the amounts previously paid to each such subcontractor under the contract.
 - (v) Additional supporting data in a form and detail required by the Contracting Officer.
 - (2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--

- (i) Consideration is specifically authorized by this contract; and
- (ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.
- (c) Contractor certification. Along with each request for progress payments, the Contractor shall furnish the following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.)

I hereby certify, to the best of my knowledge and belief, that--

- (1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;
- (2) All payments due to subcontractors and suppliers from previous payments received under the contract have been made, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;
- (3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and
- (4) This certification is not to be construed as final acceptance of a subcontractor's performance.

(Name)

(Title)

(Date)

(d) Refund of unearned amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--

- (1) Notify the Contracting Officer of such performance deficiency; and
- (2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--
 - (i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or
 - (ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.

(e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount

of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.

(f) Title, liability, and reservation of rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as--

(1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or

(2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.

(g) Reimbursement for bond premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.

(h) Final payment. The Government shall pay the amount due the Contractor under this contract after--

(1) Completion and acceptance of all work;

(2) Presentation of a properly executed voucher; and

(3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).

(i) Limitation because of undefinitized work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on undefinitized contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.

(j) Interest computation on unearned amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--

(1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and

(2) Deducted from the next available payment to the Contractor.

(End of clause)

(a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until the amount is paid. reproduce, prepare derivative works, distribute copies to the public, and (b) Amounts shall be due at the earliest of the following dates:

(1) The date fixed under this contract.

(2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.

(3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.

(4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.

(c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

(End of clause)

52.232-18 AVAILABILITY OF FUNDS (APR 1984)

Funds are not presently available for this contract. The Government's obligation under this contract is contingent upon the availability of appropriated funds from which payment for contract purposes can be made. No legal liability on the part of the Government for any payment may arise until funds are made available to the Contracting Officer for this contract and until the Contractor receives notice of such availability, to be confirmed in writing by the Contracting Officer.

(End of clause)

52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)

(a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.

(b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.

(c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document

(including this contract) or information related to work under this contract until the Contracting Officer authorizes such action in writing.

(End of clause)

52.232-27 PROMPT PAYMENT FOR CONSTRUCTION CONTRACTS (OCT 2003)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments under the terms and conditions specified in this clause. The Government considers payment as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in sections 2.101, 32.001, and 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see paragraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

(a) Invoice payments--(1) Types of invoice payments. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:

(i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project.

(A) The due date for making such payments is 14 days after the designated billing office receives a proper payment request. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date is the 14th day after the date of the Contractor's payment request, provided the designated billing office receives a proper payment request and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, is as specified in the contract or, if not specified, 30 days after approval by the Contracting Officer for release to the Contractor.

(ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (e.g., each separate building, public work, or other division of the contract for which the price is stated separately in the contract).

(A) The due date for making such payments is the later of the following two events:

(1) The 30th day after the designated billing office receives a proper invoice from the Contractor.

(2) The 30th day after Government acceptance of the work or services completed by the Contractor. For a final invoice when the payment amount is subject to contract settlement actions (e.g., release of claims), acceptance is deemed to occur on the effective date of the contract settlement.

(B) If the designated billing office fails to annotate the invoice with the date of actual receipt at the time of receipt, the invoice payment due date is the 30th day after the date of the Contractor's invoice, provided the designated billing office receives a proper invoice and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(2) Contractor's invoice. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(2)(i) through (a)(2)(xi) of this clause. If the invoice does not comply with these requirements, the designated billing office must return it within 7

days after receipt, with the reasons why it is not a proper invoice. When computing any interest penalty owed the Contractor, the Government will take into account if the Government notifies the Contractor of an improper invoice in an untimely manner.

(i) Name and address of the Contractor.

(ii) Invoice date and invoice number. (The Contractor should date invoices as close as possible to the date of mailing or transmission.)

(iii) Contract number or other authorization for work or services performed (including order number and contract line item number).

(iv) Description of work or services performed.

(v) Delivery and payment terms (e.g., discount for prompt payment terms).

(vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).

(vii) Name (where practicable), title, phone number, and mailing address of person to notify in the event of a defective invoice.

(viii) For payments described in paragraph (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.

(ix) Taxpayer Identification Number (TIN). The Contractor shall include its TIN on the invoice only if required elsewhere in this contract.

(x) Electronic funds transfer (EFT) banking information.

(A) The Contractor shall include EFT banking information on the invoice only if required elsewhere in this contract.

(B) If EFT banking information is not required to be on the invoice, in order for the invoice to be a proper invoice, the Contractor shall have submitted correct EFT banking information in accordance with the applicable solicitation provision (e.g., 52.232-38, Submission of Electronic Funds Transfer Information with Offer), contract clause (e.g., 52.232-33, Payment by Electronic Funds Transfer--Central Contractor Registration, or 52.232-34, Payment by Electronic Funds Transfer--Other Than Central Contractor Registration), or applicable agency procedures.

(C) EFT banking information is not required if the Government waived the requirement to pay by EFT.

(xi) Any other information or documentation required by the contract.

(3) Interest penalty. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday, the designated payment office may make payment on the following working day without incurring a late payment interest penalty.

(i) The designated billing office received a proper invoice.

(ii) The Government processed a receiving report or other Government documentation authorizing payment and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.

(iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.

(4) Computing penalty amount. The Government will compute the interest penalty in accordance with the Office of Management and Budget prompt payment regulations at 5 CFR part 1315.

(i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in paragraph (a)(1)(ii) of this clause, Government acceptance or approval is deemed to occur constructively on the 7th day after the Contractor has completed the work or services in accordance with the terms and conditions of the contract. If actual acceptance or approval occurs within the constructive acceptance or approval period, the Government will base the determination of an interest penalty on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.

(ii) The prompt payment regulations at 5 CFR 1315.10(c) do not require the Government to pay interest penalties if payment delays are due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. The Government and the Contractor shall resolve claims involving disputes, and any interest that may be payable in accordance with the clause at FAR 52.233-1, Disputes.

(5) Discounts for prompt payment. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if the Government takes a discount for prompt payment improperly. The Government will calculate the interest penalty in accordance with the prompt payment regulations at 5 CFR part 1315.

(6) Additional interest penalty. (i) The designated payment office will pay a penalty amount, calculated in accordance with the prompt payment regulations at 5 CFR part 1315 in addition to the interest penalty amount only if--

(A) The Government owes an interest penalty of \$1 or more;

(B) The designated payment office does not pay the interest penalty within 10 days after the date the invoice amount is paid; and

(C) The Contractor makes a written demand to the designated payment office for additional penalty payment, in accordance with paragraph (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.

(ii)(A) The Contractor shall support written demands for additional penalty payments with the following data. The Government will not request any additional data. The Contractor shall--

(1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;

(2) Attach a copy of the invoice on which the unpaid late payment interest was due; and

(3) State that payment of the principal has been received, including the date of receipt.

(B) If there is no postmark or the postmark is illegible--

- (1) The designated payment office that receives the demand will annotate it with the date of receipt provided the demand is received on or before the 40th day after payment was made; or
 - (2) If the designated payment office fails to make the required annotation, the Government will determine the demand's validity based on the date the Contractor has placed on the demand, provided such date is no later than the 40th day after payment was made.
- (b) Contract financing payments. If this contract provides for contract financing, the Government will make contract financing payments in accordance with the applicable contract financing clause.
- (c) Subcontract clause requirements. The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:
- (1) Prompt payment for subcontractors. A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.
 - (2) Interest for subcontractors. An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause--
 - (i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and
 - (ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.
- (3) Subcontractor clause flowdown. A clause requiring each subcontractor to use:
- (i) Include a payment clause and an interest penalty clause conforming to the standards set forth in paragraphs (c)(1) and (c)(2) of this clause in each of its subcontracts; and
 - (ii) Require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.
- (d) Subcontract clause interpretation. The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that--
- (1) Retainage permitted. Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;
 - (2) Withholding permitted. Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and
 - (3) Withholding requirements. Permit such withholding without incurring any obligation to pay a late payment penalty if--
 - (i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and

(ii) The Contractor furnishes to the Contracting Officer a copy of any notice issued by a Contractor pursuant to paragraph (d)(3)(i) of this clause.

(e) Subcontractor withholding procedures. If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall--

(1) Subcontractor notice. Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;

(2) Contracting Officer notice. Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to paragraph (e)(1) of this clause;

(3) Subcontractor progress payment reduction. Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (e)(1) of this clause;

(4) Subsequent subcontractor payment. Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and--

(i) Make such payment within--

(A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under paragraph (e)(5)(i) of this clause; or

(B) Seven days after the Contractor recovers such funds from the Government; or

(ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;

(5) Notice to Contracting Officer. Notify the Contracting Officer upon--

(i) Reduction of the amount of any subsequent certified application for payment; or

(ii) Payment to the subcontractor of any withheld amounts of a progress payment, specifying--

(A) The amounts withheld under paragraph (e)(1) of this clause; and

(B) The dates that such withholding began and ended; and

(6) Interest to Government. Be obligated to pay to the Government an amount equal to interest on the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the withheld amounts from the Government until--

(i) The day the identified subcontractor performance deficiency is corrected; or

(ii) The date that any subsequent payment is reduced under paragraph (e)(5)(i) of this clause.

(f) Third-party deficiency reports--(1) Withholding from subcontractor. If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to

as a "second-tier subcontractor") a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor's performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under paragraph (e)(6) of this clause--

(i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and

(ii) Withhold from the first-tier subcontractor's next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (f)(1)(i) of this clause.

(2) Subsequent payment or interest charge. As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance deficiency has been corrected, the Contractor shall--

(i) Pay the amount withheld under paragraph (f)(1)(ii) of this clause to such first-tier subcontractor; or

(ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(g) Written notice of subcontractor withholding. The Contractor shall issue a written notice of any withholding to a subcontractor (with a copy furnished to the Contracting Officer), specifying--

(1) The amount to be withheld;

(2) The specific causes for the withholding under the terms of the subcontract; and

(3) The remedial actions to be taken by the subcontractor in order to receive payment of the amounts withheld.

(h) Subcontractor payment entitlement. The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.

(i) Prime-subcontractor disputes. A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the Government is a party. The Government may not be interpleaded in any judicial or administrative proceeding involving such a dispute.

(j) Preservation of prime-subcontractor rights. Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.

(k) Non-recourse for prime contractor interest penalty. The Contractor's obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be construed to be an obligation of the Government for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.

(l) Overpayments. If the Contractor becomes aware of a duplicate contract financing or invoice payment or that the Government has otherwise overpaid on a contract financing or invoice payment, the Contractor shall immediately notify the Contracting Officer and request instructions for disposition of the overpayment.

(End of clause)

52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER—CENTRAL CONTRACTOR
REGISTRATION (OCT 2003)

(a) Method of payment. (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT), except as provided in paragraph (a)(2) of this clause. As used in this clause, the term "EFT" refers to the funds transfer and may also include the payment information transfer.

(2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either--

(i) Accept payment by check or some other mutually agreeable method of payment; or

(ii) Request the Government to extend the payment due date until such time as the Government can make payment by EFT (but see paragraph (d) of this clause).

(b) Contractor's EFT information. The Government shall make payment to the Contractor using the EFT information contained in the Central Contractor Registration (CCR) database. In the event that the EFT information changes, the Contractor shall be responsible for providing the updated information to the CCR database.

(c) Mechanisms for EFT payment. The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.

(d) Suspension of payment. If the Contractor's EFT information in the CCR database is incorrect, then the Government need not make payment to the Contractor under this contract until correct EFT information is entered into the CCR database; and any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.

(e) Liability for uncompleted or erroneous transfers. (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor's EFT information incorrectly, the Government remains responsible for--

(i) Making a correct payment;

(ii) Paying any prompt payment penalty due; and

(iii) Recovering any erroneously directed funds.

(2) If an uncompleted or erroneous transfer occurs because the Contractor's EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and--

(i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or

(ii) If the funds remain under the control of the payment office, the Government shall not make payment, and the provisions of paragraph (d) of this clause shall apply.

(f) EFT and prompt payment. A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.

(g) EFT and assignment of claims. If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall register separately in the CCR database and shall be paid by EFT in accordance with the terms of this clause. Notwithstanding any other requirement of this contract, payment to an ultimate recipient other than the Contractor, or a financial institution properly recognized under an assignment of claims pursuant to subpart 32.8, is not permitted. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of paragraph (d) of this clause.

(h) Liability for change of EFT information by financial agent. The Government is not liable for errors resulting from changes to EFT information made by the Contractor's financial agent.

(i) Payment information. The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing. However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address contained in the CCR database.

(End of Clause)

52.233-1 DISPUTES. (JUL 2002)

(a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).

(b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.

(c) Claim, as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.

(d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.

(2)(i) The contractors shall provide the certification specified in subparagraph (d)(2)(iii) of this clause when

submitting any claim -

(A) Exceeding \$100,000; or

(B) Regardless of the amount claimed, when using -

(1) Arbitration conducted pursuant to 5 U.S.C. 575-580; or

(2) Any other alternative means of dispute resolution (ADR) technique that the agency elects to handle in accordance with the Administrative Dispute Resolution Act (ADRA).

(ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.

(iii) The certification shall state as follows: "I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor.

(3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.

(e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.

(f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.

(g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative dispute resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the request.

(h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (certified, if required); or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.

(i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

(End of clause)

52.233-3 PROTEST AFTER AWARD (AUG. 1996)

(a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see

FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--

(1) Cancel the stop-work order; or

(2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.

(b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--

(1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and

(2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.

(c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.

(d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.

(e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.

(f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

(End of clause)

52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

As prescribed in 36.502, insert the following clause in solicitations and contracts when a fixed-price construction contract or a fixed-price dismantling, demolition, or removal of improvements contract is contemplated and the contract amount is expected to exceed the small purchase limitation. The Contracting Officer may insert the clause in solicitations and contracts when a fixed-price construction or a fixed-price contract for dismantling, demolition, or removal of improvements is contemplated and the contract amount is expected to be within the small purchase limitation.

(a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of

(1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or

(2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.

(b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.

(c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.

(d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

(End of clause)

52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)

(a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to

(1) conditions bearing upon transportation, disposal, handling, and storage of materials;

(2) the availability of labor, water, electric power, and roads;

(3) uncertainties of weather, river stages, tides, or similar physical conditions at the site;

(4) the conformation and conditions of the ground; and (5) the character of equipment and facilities needed preliminary to and during work performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.

(b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

(End of clause)

52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

(a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.

(b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

(c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

(End of clause)

52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the worksite a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

(End of clause)

52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

(End of clause)

52.236-8 OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under

this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

(End of clause)

52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)

(a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.

(b) The Contractor shall protect from damage all existing improvements and utilities

(1) at or near the work site, and

(2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

(End of clause)

52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)

(a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.

(b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

(End of clause)

52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

(a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.

(b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

(End of clause)

52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

(End of clause)

52.236-13 ACCIDENT PREVENTION (NOV 1991) – ALTERNATE I (NOV 1991)

(a) The Contractor shall provide and maintain work environments and procedures which will

(1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities;

(2) avoid interruptions of Government operations and delays in project completion dates; and

(3) control costs in the performance of this contract.

(b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall-

(1) Provide appropriate safety barricades, signs, and signal lights;

(2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and

(3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.

56 If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.

(1) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.

(e) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontracts.

(f) Before commencing the work, the Contractor shall-

(1) Submit a written proposed plan for implementing this clause. The plan shall include an analysis of the significant hazards to life, limb, and property inherent in contract work performance and a plan for controlling these hazards; and

(2) Meet with representatives of the Contracting Officer to discuss and develop a mutual understanding relative to administration of the overall safety program.

(End of clause)

52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.

(b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination,

the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

(End of clause)

52.236-17 LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

(End of clause)

52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

(a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.

(b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by," or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

(c) Where "as shown," "as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed".

(d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail (1) the proposed fabrication and assembly of structural elements, and (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as

evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

(f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.

(g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

(End of clause)

52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

(End of clause)

52.239-4001 Year 2000 Compliance

The contractor shall ensure products provided under this contract, to include hardware, software, firmware, and middleware, whether acting alone or combined as a system, are Year 2000 compliant as defined as follows: Year 2000 compliant means with respect to information technology, that the information technology accurately processes date/time data (including, but not limited to, calculating, comparing, and sequencing) from, into, and between the twentieth and twenty-first centuries, and the years 1999 and 2000 and leap year calculations, to the extent that other information, used in combination with the information technology being acquired, properly exchanges date/time data with it.

52.239-4005 Year 2000 Compliance - Construction Contracts

a. In accordance with FAR 39.106, the contractor shall ensure that with respect to any design, construction, goods, or services under this contract as well as any subsequent task/delivery orders issued under this contract (if applicable), all information technology contained therein shall be Year 2000 compliant. Specifically:
The contractor shall:

(1) Perform, maintain, and provide an inventory of all major components to include structures, equipment, items, parts, and furnishings under this contract and each task/delivery order which may be affected by the Y2K compliance requirement.

(2) Indicate whether each component is currently Year 2000 compliant or requires an upgrade for compliance prior to government acceptance.

(End of Clause)

52.239-4006 SECURITY CONTRACT LANGUAGE FOR ALL CORPS OF ENGINEERS' UNCLASSIFIED CONTRACTS (PIL 2003-06, 19 FEB 03)

All Contractor employees (U.S. citizens and Non- U.S. citizens) working under this contract (to include grants, cooperative agreements and task orders) who require access to Automated Information Systems (AIS), (stand alone computers, network computers/systems, e-mail) shall, at a minimum, be designated into an ADP-III position (non-sensitive) in accordance with DoD 5220-22-R, Industrial Security Regulation. The investigative requirements for an ADP-III position are a favorable National Agency Check (NAC), SF-85P, Public Trust Position. The contractor shall have each applicable employee complete a SF-85P and submit to the USACE, Savannah District Security Officer, ATTN: CESAS-SL, 100 West Oglethorpe Avenue, Savannah, GA 31401 within three (3) working days after award of any contract or task order, and shall be submitted prior to the individual being permitted access to an AIS. Contractors who have a commercial or government entity (CAGE) Code and Facility Security Clearance through the Defense Security Service shall process the NACs and forward visit requests/results of NAC to the Savannah District Security Officer (address above). For those contractors who do not have a CAGE Code or Facility Security Clearance, the Savannah District Security Office will process the investigation in coordination with the Contractor and contract employees.

In accordance with Engineering Regulation, ER 380-1-18, Section 4, foreign nationals who work on Corps of Engineers' contracts or task orders shall be approved by the HQUSACE Foreign Disclosure Officer or higher before beginning work on the contract/task order. This regulation includes subcontractor employees. (NOTE: exceptions to the above requirement include foreign nationals who perform janitorial and/or ground maintenance services.) The contractor shall submit to the Division/District Contract Office, the names of all foreign nationals proposed for performance under this contract/task order, along with documentation to verify that he/she was legally admitted into the United States and has authority to work and/or go to school in the US. Such documentation may include a US passport, Certificate of US citizenship (INS Form N-560 or N-561), Certificate of Naturalization (INS Form N-550 or N-570), foreign passport with I-551 stamp or attached INS Form I-94 indicating employment authorization, Alien Registration Receipt Card with photograph (INS Form I-151 or I-551), Temporary Resident Card (INS Form I-688), Employment Authorization Card (INS Form I-688A), Reentry Permit (INS Form I-327), Refugee Travel Document (INS Form I-571), Employment Authorization Document issued by the INS which contains a photograph (INS Form I-688B).

Classified contracts require the issuance of a DD Form 254 (Department of Defense Contract Security Classification Specification).

52.242-13 BANKRUPTCY (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written

notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

(End of clause)

52.242-14 SUSPENSION OF WORK (APR 1984)

(a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.

(b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract. (c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

(End of clause)

52.243-4 CHANGES (AUG 1987)

(a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes--

- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or
- (4) Directing acceleration in the performance of the work.

(b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating

- (1) the date, circumstances, and source of the order and

(2) that the Contractor regards the order as a change order.

(c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.

(d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

(e) The Contractor must assert its right to an adjustment under this clause within 30 days after

(1) receipt of a written change order under paragraph (a) of this clause or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.

(f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

(End of clause)

52.244-5 COMPETITION IN SUBCONTRACTING (DEC 1996)

(a) The Contractor shall select subcontractors (including suppliers) on a competitive basis to the maximum practical extent consistent with the objectives and requirements of the contract.

(b) If the Contractor is an approved mentor under the Department of Defense Pilot Mentor-Protege Program (Pub. L. 101-510, section 831 as amended), the Contractor may award subcontracts under this contract on a noncompetitive basis to its proteges.

(End of clause)

52.248-3 VALUE ENGINEERING--CONSTRUCTION (FEB 2000)

(a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) below.

(b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.

"Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.

"Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.

"Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.

"Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) below).

"Value engineering change proposal (VECP)" means a proposal that--

(1) Requires a change to this, the instant contract, to implement; and

(2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--

(i) In deliverable end item quantities only; or

(ii) To the contract type only.

(c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in subparagraphs (1) through (7) below. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:

(1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.

(2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.

(3) A separate, detailed cost estimate for

(i) the affected portions of the existing contract requirement and

(ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) below.

(4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.

(5) A prediction of any effects the proposed change would have on collateral costs to the agency.

(6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.

(7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.

(d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.

(e) Government action.

(1) The Contracting Officer will notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer will notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it shall not be liable for any delay in acting upon a VECP.

If the VECP is not accepted, the Contracting Officer will notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.

Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applies a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The decision to accept or reject all or part of any VECP is a unilateral decision made solely at the discretion of the Contracting Officer.

(f) Sharing.

(1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings and multiplying the result by

(i) 45 percent for fixed-price contracts or

(ii) 75 percent for cost-reimbursement contracts.

(2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--

(i) Accept the VECP;

(ii) Reduce the contract price or estimated cost by the amount of instant contract savings; and

(iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.

(g) Collateral savings. If a VECP is accepted, the Contracting Officer will increase the instant contract amount by 20 percent of any projected collateral savings determined to be realized in a typical year of use after subtracting any Government costs not previously offset. However, the Contractor's share of collateral savings will not exceed the contract's firm-fixed-price or estimated cost, at the time the VECP is accepted, or \$100,000, whichever is greater. The Contracting Officer is the sole determiner of the amount of collateral savings.

(h) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) above, the Contractor's allowable development and implementation costs shall include any subcontractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.

(i) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

"These data, furnished under the Value Engineering-- Construction clause of contract , shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations." If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

(End of clause)

52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (MAY 2004) - ALTERNATE I (SEP 1996)

(a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.

(b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:

(1) Stop work as specified in the notice.

(2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.

(3) Terminate all subcontracts to the extent they relate to the work terminated.

(4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.

(5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.

(6) As directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.

(7) Complete performance of the work not terminated.

(8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.

(9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b)(6) of this clause; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.

(c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.

(d) After expiration of the plant clearance period as defined in Subpart 49.001 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.

(e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1-year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.

(f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid or remaining to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (g) or paragraph (g) of this clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be modified, and the Contractor paid the agreed amount. Paragraph (g) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.

(g) If the Contractor and Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:

(1) For contract work performed before the effective date of termination, the total (without duplication of any items) of--

(i) The cost of this work;

(ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and

(iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.

(2) The reasonable costs of settlement of the work terminated, including--

(i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;

(ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and

(iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.

(h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.

(i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.

(j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal or request for equitable adjustment within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.

(k) In arriving at the amount due the Contractor under this clause, there shall be deducted--

(1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;

(2) Any claim which the Government has against the Contractor under this contract; and

(3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.

(l) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.

(m)(1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.

(2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these

records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

(End of clause)

52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)

(a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.

(b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if--

(1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include

(i) acts of God or of the public enemy,

(ii) acts of the Government in either its sovereign or contractual capacity,

(iii) acts of another Contractor in the performance of a contract with the Government,

(iv) fires,

(v) floods,

(vi) epidemics,

(vii) quarantine restrictions,

(viii) strikes,

(ix) freight embargoes,

(x) unusually severe weather, or delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and

(2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer), notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.

(c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.253-1 COMPUTER GENERATED FORMS (JAN 1991)

(a) Any data required to be submitted on a Standard or Optional Form prescribed by the Federal Acquisition Regulation (FAR) may be submitted on a computer generated version of the form, provided there is no change to the name, content, or sequence of the data elements on the form, and provided the form carries the Standard or Optional Form number and edition date.

(b) Unless prohibited by agency regulations, any data required to be submitted on an agency unique form prescribed by an agency supplement to the FAR may be submitted on a computer generated version of the form provided there is no change to the name, content, or sequence of the data elements on the form and provided the form carries the agency form number and edition date.

57 If the Contractor submits a computer generated version of a form that is different than the required form, then the rights and obligations of the parties will be determined based on the content of the required form.

(End of clause)

252.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

(a) "Definition. Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the contracting officer to perform specific technical or administrative functions.

(b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the contracting officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

252.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE- CONTRACT-RELATED FELONIES (MAR 1999)

(a) Definitions. As used in this clause—

(1) "Arising out of a contract with the DoD" means any act in connection with—

(i) Attempting to obtain;

(ii) Obtaining, or

(iii) Performing a contract or first-tier subcontract of any agency, department, or component of the Department of Defense (DoD).

(2) "Conviction of fraud or any other felony" means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of *nolo contendere*, for which sentence has been imposed.

(3) "Date of conviction" means the date judgment was entered against the individual.

(b) Any individual who is convicted after September 29, 1988, of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--

(1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;

(2) On the board of directors of any DoD contractor or first-tier subcontractor;

(3) As a consultant, agent, or representative for any DoD contractor or first-tier subcontractor; or

(4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.

(c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than 5 years from the date of conviction.

(d) 10 U.S.C. 2408 provides that a defense contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly—

(1) Employing a person under a prohibition specified in paragraph (b) of this clause; or

(2) Allowing such a person to serve on the board of directors of the contractor or first-tier subcontractor.

(e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as—

(1) Suspension or debarment;

(2) Cancellation of the contract at no cost to the Government; or

(3) Termination of the contract for default.

(f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify—

(1) The person involved;

(2) The nature of the conviction and resultant sentence or punishment imposed;

(3) The reasons for the requested waiver; and

(4) An explanation of why a waiver is in the interest of national security.

(g) The Contractor agrees to include the substance of this clause, appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.

(h) Pursuant to 10 U.S.C. 2408(c), defense contractors and subcontractors may obtain information as to whether a particular person has been convicted of fraud or any other felony arising out of a contract with the DoD by contacting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

(End of clause)

252.203-7002 DISPLAY OF DOD HOTLINE POSTER (DEC 1991)

(a) The Contractor shall display prominently in common work areas within business segments performing work under Department of Defense (DoD) contracts, DoD Hotline Posters prepared by the DoD Office of the Inspector General.

(b) DoD Hotline Posters may be obtained from the DoD Inspector General, ATTN: Defense Hotline, 400 Army Navy Drive, Washington, DC 22202-2884.

58 The Contractor need not comply with paragraph (a) of this clause if it has established a mechanism, such as a hotline, by which employees may report suspected instances of improper conduct, and instructions that encourage employees to make such reports.

(End of clause)

252.204-7000 DISCLOSURE OF INFORMATION (DEC 1991)

(a) The Contractor shall not release to anyone outside the Contractor's organization any unclassified information, regardless of medium (e.g., film, tape, document), pertaining to any part of this contract or any program related to this contract, unless--

(1) The Contracting Officer has given prior written approval; or

(2) The information is otherwise in the public domain before the date of release.

(b) Requests for approval shall identify the specific information to be released, the medium to be used, and the purpose for the release. The Contractor shall submit its request to the Contracting Officer at least 45 days before the proposed date for release.

(c) The Contractor agrees to include a similar requirement in each subcontract under this contract. Subcontractors shall submit requests for authorization to release through the prime contractor to the Contracting Officer.

(End of clause)

252.204-7003 CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT (APR 1992)

The Contractor's procedures for protecting against unauthorized disclosure of information shall not require Department of Defense employees or members of the Armed Forces to relinquish control of their work products, whether classified or not, to the contractor.

(End of clause)

252.204-7004 REQUIRED CENTRAL CONTRACTOR REGISTRATION ALTERNATE A (NOV 2003)

(a) Definitions. As used in this clause--

“Central Contractor Registration (CCR) database” means the primary Government repository for contractor information required for the conduct of business with the Government.

“Commercial and Government Entity (CAGE) code” means--

(1) A code assigned by the Defense Logistics Information Service (DLIS) to identify a commercial or Government entity; or

(2) A code assigned by a member of the North Atlantic Treaty Organization that DLIS records and maintains in the CAGE master file. This type of code is known as an “NCAGE code.”

“Data Universal Numbering System (DUNS) number” means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

“Data Universal Numbering System +4 (DUNS+4) number” means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see Subpart 32.11 of the Federal Acquisition Regulation) for the same parent concern.

“Registered in the CCR database” means that--

(1) The Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database;

(2) The Contractor's CAGE code is in the CCR database; and

(3) The Government has validated all mandatory data fields and has marked the records “Active.”

(b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.

(2) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation “DUNS” or “DUNS +4” followed by the DUNS or DUNS +4 number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.

(c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.

(1) An offeror may obtain a DUNS number--

(i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at <http://www.dnb.com>; or

(ii) If located outside the United States, by contacting the local Dun and Bradstreet office.

(2) The offeror should be prepared to provide the following information:

(i) Company legal business.

(ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.

(iii) Company Physical Street Address, City, State, and Zip Code.

(iv) Company Mailing Address, City, State and Zip Code (if separate from physical).

(v) Company Telephone Number.

(vi) Date the company was started.

(vii) Number of employees at your location.

(viii) Chief executive officer/key manager.

(ix) Line of business (industry).

(x) Company Headquarters name and address (reporting relationship within your entity).

(d) If the Offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer will proceed to award to the next otherwise successful registered Offeror.

(e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.

(f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.

(g)(1)(i) If a Contractor has legally changed its business name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-of-name agreements in Subpart 42.12, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to (A) change the name in the CCR database; (B) comply with the requirements of Subpart 42.12 of the FAR; and (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide with the notification sufficient documentation to support the legally changed name.

(ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of-name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.

(2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims (see FAR Subpart 32.8, Assignment of Claims). Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that

Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.

(h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at <http://www.ccr.gov> or by calling 1-888-227-2423, or 269-961-5757.

(End of clause)

252.205-7000 PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS (DEC 1991)

(a) Definition.

"Cooperative agreement holder" means a State or local government; a private, nonprofit organization; a tribal organization (as defined in section 4(c) of the Indian Self-Determination and Education Assistance Act (Pub. L. 93-268; 25 U.S.C. 450 (c))); or an economic enterprise (as defined in section 3(e) of the Indian Financing Act of 1974 (Pub. L. 93-362; 25 U.S.C. 1452(e))) whether such economic enterprise is organized for profit or nonprofit purposes; which has an agreement with the Defense Logistics Agency to furnish procurement technical assistance to business entities.

(b) The Contractor shall provide cooperative agreement holders, upon their request, with a list of those appropriate employees or offices responsible for entering into subcontracts under defense contracts. The list shall include the business address, telephone number, and area of responsibility of each employee or office.

(c) The Contractor need not provide the listing to a particular cooperative agreement holder more frequently than once a year.

(End of clause)

252.209-7000 ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ONSITE INSPECTION UNDER THE INTERMEDIATE-RANGE NUCLEAR FORCES (INF) TREATY (NOV 1995)

(a) The Contractor shall not deny consideration for a subcontract award under this contract to a potential subcontractor subject to on-site inspection under the INF Treaty, or a similar treaty, solely or in part because of the actual or potential presence of Soviet inspectors at the subcontractor's facility, unless the decision is approved by the Contracting Officer.

(b) The Contractor shall incorporate this clause, including this paragraph (b), in all solicitations and contracts exceeding the simplified acquisition threshold in part 13 of the Federal Acquisition Regulation, except those for commercial items.

(End of clause)

252.209-7004 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

(a) Unless the Government determines that there is a compelling reason to do so, the Contractor shall not enter into any subcontract in excess of \$25,000 with a firm, or subsidiary of a firm, that is identified, on the List of Parties

Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country.

(b) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country. The notice must include the name of the proposed subcontractor notwithstanding its inclusion on the List of Parties Excluded From Federal Procurement and Nonprocurement Programs.

(End of clause)

252.215-7000 PRICING ADJUSTMENTS (DEC 1991)

The term "pricing adjustment," as used in paragraph (a) of the clauses entitled "Price Reduction for Defective Cost or Pricing Data - Modifications," "Subcontractor Cost or Pricing Data," and "Subcontractor Cost or Pricing Data - Modifications," means the aggregate increases and/or decreases in cost plus applicable profits.

(End of clause)

252.219-7003 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) (APR. 1996)

This clause supplements the Federal Acquisition Regulation 52.219-9, Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, clause of this contract.

(a) *Definitions. Historically black colleges and universities*, as used in this clause, means institutions determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

Minority institutions, as used in this clause, means institutions meeting the requirements of section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1135d-5(3)). The term also includes Hispanic-serving institutions as defined in section 316(b)(1) of such Act (20 U.S.C. 1059c(b)(1)).

(b) Except for company or division-wide commercial items subcontracting plans, the term *small disadvantaged business*, when used in the FAR 52.219-9 clause, includes historically black colleges and universities and minority institutions, in addition to small disadvantaged business concerns.

(c) Work under the contract or its subcontracts shall be credited toward meeting the small disadvantaged business concern goal required by paragraph (d) of the FAR 52.219-9 clause when:

(1) It is performed on Indian lands or in joint venture with an Indian tribe or a tribally-owned corporation, and

(2) It meets the requirements of 10 U.S.C. 2323a.

(d) Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 46-48), may be counted toward the Contractor's small business subcontracting goal.

(e) A mentor firm, under the Pilot Mentor-Protege Program established under Section 831 of Pub. L. 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded--

(f) The master plan approval referred to in paragraph (f) of the FAR 52.219-9 clause is approval by the Contractor's cognizant contract administration activity.

(g) In those subcontracting plans which specifically identify small, small disadvantaged, and women-owned small businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small, small disadvantaged, or women-owned small businesses for the firms listed in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

(End of clause)

252.223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS (APR 1993)

(a) "Definitions".

As used in this clause --

(1) "Storage" means a non-transitory, semi-permanent or permanent holding, placement, or leaving of material. It does not include a temporary accumulation of a limited quantity of a material used in or a waste generated or resulting from authorized activities, such as servicing, maintenance, or repair of Department of Defense (DoD) items, equipment, or facilities.

(2) "Toxic or hazardous materials" means:

(i) Materials referred to in section 101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980 (42 U.S.C. 9601(14)) and materials designated under section 102 of CERCLA (42 U.S.C. 9602) (40 CFR part 302);

(ii) Materials that are of an explosive, flammable, or pyrotechnic nature; or

(iii) Materials otherwise identified by the Secretary of Defense as specified in DoD regulations.

(b) In accordance with 10 U.S.C. 2692, the Contractor is prohibited from storing or disposing of non-DoD-owned toxic or hazardous materials on a DoD installation, except to the extent authorized by a statutory exception to 10 U.S.C. 2692 or as authorized by the Secretary of Defense or his designee.

(End of clause)

252.225-7012 PREFERENCE FOR CERTAIN DOMESTIC COMMODITIES (MAY 2004)

(a) Definitions. As used in this clause--

(1) Component means any item supplied to the Government as part of an end product or of another component.

(2) End product means supplies delivered under a line item of this contract.

(b) The Contractor shall deliver under this contract only such of the following items, either as end products or components, that have been grown, reprocessed, reused, or produced in the United States, its possessions, or Puerto Rico:

- (1) Food.
 - (2) Clothing.
 - (3) Tents, tarpaulins, or covers.
 - (4) Cotton and other natural fiber products.
 - (5) Woven silk or woven silk blends.
 - (6) Spun silk yarn for cartridge cloth.
 - (7) Synthetic fabric, and coated synthetic fabric, including all textile fibers and yarns that are for use in such fabrics.
 - (8) Canvas products.
 - (9) Wool (whether in the form of fiber or yarn or contained in fabrics, materials, or manufactured articles).
 - (10) Any item of individual equipment (Federal Supply Class 8465) manufactured from or containing fibers, yarns, fabrics, or materials listed in this paragraph (b).
- (c) This clause does not apply--
- (1) To items listed in section 25.104(a) of the Federal Acquisition Regulation (FAR), or other items for which the Government has determined that a satisfactory quality and sufficient quantity cannot be acquired as and when needed at U.S. market prices;
 - (2) To end products incidentally incorporating cotton, other natural fibers, or wool, for which the estimated value of the cotton, other natural fibers, or wool--
 - (i) Is not more than 10 percent of the total price of the end product; and
 - (ii) Does not exceed the simplified acquisition threshold in FAR part 2;
 - (3) To waste and byproducts of cotton or wool fiber for use in the production of propellants and explosives;
 - (4) To foods that have been manufactured or processed in the United States, its possessions, or Puerto Rico, regardless of where the foods (and any component if applicable) were grown or produced, except that this clause does apply to fish, shellfish, or seafood manufactured or processed in the United States and fish, shellfish, or seafood contained in foods manufactured or processed in the United States;
 - (5) To chemical warfare protective clothing produced in the countries listed in subsection 225.872-1 of the Defense FAR Supplement; or
 - (6) To fibers and yarns that are for use in synthetic fabric or coated synthetic fabric (but does apply to the synthetic or coated synthetic fabric itself), if--
 - (i) The fabric is to be used as a component of an end product that is not a textile product. Examples of textile products, made in whole or in part of fabric, include--
 - (A) Draperies, floor coverings, furnishings, and bedding (Federal Supply Group 72, Household and Commercial Furnishings and Appliances);

(B) Items made in whole or in part of fabric in Federal Supply Group 83, Textile/leather/furs/apparel/findings/tents/flags, or Federal Supply Group 84, Clothing, Individual Equipment and Insignia;

(C) Upholstered seats (whether for household, office, or other use); and

(D) Parachutes (Federal Supply Class 1670); or

(ii) The fibers and yarns are para-aramid fibers and yarns manufactured in the Netherlands.

(End of clause)

252.225-7031 SECONDARY ARAB BOYCOTT OF ISRAEL (APR 2003)

(a) Definitions. As used in this provision--

(1) Foreign person means any person (including any individual, partnership, corporation, or other form of association) other than a United States person.

(2) United States person is defined in 50 U.S.C. App. 2415(2) and means--

(i) Any United States resident or national (other than an individual resident outside the United States who is employed by other than a United States person);

(ii) Any domestic concern (including any permanent domestic establishment of any foreign concern); and

(iii) Any foreign subsidiary or affiliate (including any permanent foreign establishment) of any domestic concern that is controlled in fact by such domestic concern.

(b) Certification. If the offeror is a foreign person, the offeror certifies, by submission of an offer, that it--

(1) Does not comply with the Secondary Arab Boycott of Israel; and

(2) Is not taking or knowingly agreeing to take any action, with respect to the Secondary Boycott of Israel by Arab countries, which 50 U.S.C. App. 2407(a) prohibits a United States person from taking.

(End of provision)

252.226-7001 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES, AND HAWAIIAN SMALL BUSINESS CONCERNS (OCT 2003)

(a) Definitions. As used in this clause--

Indian means any person who is a member of any Indian tribe, band, group, pueblo, or community that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any "Native" as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601).

Indian organization means the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C. chapter 17.

Indian-owned economic enterprise means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership constitutes not less than 51 percent of the enterprise.

Indian tribe means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, that is recognized by the Federal Government as eligible for services from BIA in accordance with 25 U.S.C. 1452(c).

Interested party means a contractor or an actual or prospective offeror whose direct economic interest would be affected by the award of a subcontract or by the failure to award a subcontract.

Native Hawaiian small business concern means an entity that is--

(1) A small business concern as defined in section 3 of the Small Business Act (15 U.S.C. 632) and relevant implementing regulations; and

(2) Owned and controlled by a Native Hawaiian as defined in 25 U.S.C. 4221(9).

(b) The Contractor shall use its best efforts to give Indian organizations, Indian-owned economic enterprises, and Native Hawaiian small business concerns the maximum practicable opportunity to participate in the subcontracts it awards, to the fullest extent consistent with efficient performance of the contract.

(c) The Contracting Officer and the Contractor, acting in good faith, may rely on the representation of an Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern as to its eligibility, unless an interested party challenges its status or the Contracting Officer has independent reason to question that status.

(d) In the event of a challenge to the representation of a subcontractor, the Contracting Officer will refer the matter to--

(1) For matters relating to Indian organizations or Indian-owned economic enterprises: U.S. Department of the Interior, Bureau of Indian Affairs, Attn: Chief, Division of Contracting and Grants Administration, 1849 C Street NW, MS-2626-MIB, Washington, DC 20240-4000. The BIA will determine the eligibility and will notify the Contracting Officer.

(2) For matters relating to Native Hawaiian small business concerns: Department of Hawaiian Home Lands, PO Box 1879, Honolulu, HI 96805. The Department of Hawaiian Home Lands will determine the eligibility and will notify the Contracting Officer.

(e) No incentive payment will be made--

(1) While a challenge is pending; or

(2) If a subcontractor is determined to be an ineligible participant.

(f)(1) The Contractor, on its own behalf or on behalf of a subcontractor at any tier, may request an incentive payment in accordance with this clause.

(2) The incentive amount that may be requested is 5 percent of the estimated cost, target cost, or fixed price included in the subcontract at the time of award to the Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern.

(3) In the case of a subcontract for commercial items, the Contractor may receive an incentive payment only if the subcontracted items are produced or manufactured in whole or in part by an Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern.

(4) The Contractor has the burden of proving the amount claimed and shall assert its request for an incentive payment prior to completion of contract performance.

(5) The Contracting Officer, subject to the terms and conditions of the contract and the availability of funds, will authorize an incentive payment of 5 percent of the estimated cost, target cost, or fixed price included in the subcontract awarded to the Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern.

(6) If the Contractor requests and receives an incentive payment on behalf of a subcontractor, the Contractor is obligated to pay the subcontractor the incentive amount.

(g) The Contractor shall insert the substance of this clause, including this paragraph (g), in all subcontracts exceeding \$500,000 for which further subcontracting opportunities may exist.

(End of clause)

252.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)

(a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail (i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

252.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)

When the allowability of costs under this contract is determined in accordance with part 31 of the Federal Acquisition Regulation (FAR), allowability shall also be determined in accordance with part 231 of the Defense FAR Supplement, in effect on the date of this contract.

(End of clause)

252.236-7000 MODIFICATION PROPOSALS - PRICE BREAKDOWN. (DEC 1991)

(a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.

(b) The price breakdown --

(1) Must include sufficient detail to permit an analysis of profit, and of all costs for --

(i) Material;

(ii) Labor;

(iii) Equipment;

(iv) Subcontracts; and

(v) Overhead; and

(2) Must cover all work involved in the modification, whether the work was deleted, added, or changed.

(c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.

(d) The Contractor's proposal shall include a justification for any time extension proposed.

252.242-7000 POSTAWARD CONFERENCE (DEC 1991)

The Contractor agrees to attend any postaward conference convened by the contracting activity or contract administration office in accordance with Federal Acquisition Regulation subpart 42.5.

(End of clause)

252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)

When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR part 31 and DFARS part 231, in effect on the date of this contract, apply.

252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)

(a) The amount of any request for equitable adjustment to contract terms shall accurately reflect the contract adjustment for which the Contractor believes the Government is liable. The request shall include only costs for performing the change, and shall not include any costs that already have been reimbursed or that have been separately claimed. All indirect costs included in the request shall be properly allocable to the change in accordance with applicable acquisition regulations.

(b) In accordance with 10 U.S.C. 2410(a), any request for equitable adjustment to contract terms that exceeds the simplified acquisition threshold shall bear, at the time of submission, the following certificate executed by an individual authorized to certify the request on behalf of the Contractor:

I certify that the request is made in good faith, and that the supporting data are accurate and complete to the best of my knowledge and belief.

(Official's Name)

(Title)

(c) The certification in paragraph (b) of this clause requires full disclosure of all relevant facts, including--

(1) Cost or pricing data if required in accordance with subsection 15.403-4 of the Federal Acquisition Regulation (FAR); and

(2) Information other than cost or pricing data, in accordance with subsection 15.403-3 of the FAR, including actual cost data and data to support any estimated costs, even if cost or pricing data are not required.

(d) The certification requirement in paragraph (b) of this clause does not apply to----

(1) Requests for routine contract payments; for example, requests for payment for accepted supplies and services, routine vouchers under a cost-reimbursement type contract, or progress payment invoices; or

(2) Final adjustment under an incentive provision of the contract.

252.247-7023 TRANSPORTATION OF SUPPLIES BY SEA (MAY 2002)

(a) Definitions. As used in this clause --

(1) "Components" means articles, materials, and supplies incorporated directly into end products at any level of manufacture, fabrication, or assembly by the Contractor or any subcontractor.

(2) "Department of Defense" (DoD) means the Army, Navy, Air Force, Marine Corps, and defense agencies.

(3) "Foreign flag vessel" means any vessel that is not a U.S.-flag vessel.

(4) "Ocean transportation" means any transportation aboard a ship, vessel, boat, barge, or ferry through international waters.

(5) "Subcontractor" means a supplier, materialman, distributor, or vendor at any level below the prime contractor whose contractual obligation to perform results from, or is conditioned upon, award of the prime contract and who is performing any part of the work or other requirement of the prime contract.

(6) "Supplies" means all property, except land and interests in land, that is clearly identifiable for eventual use by or owned by the DoD at the time of transportation by sea.

(i) An item is clearly identifiable for eventual use by the DoD if, for example, the contract documentation contains a reference to a DoD contract number or a military destination.

(ii) "Supplies" includes (but is not limited to) public works; buildings and facilities; ships; floating equipment and vessels of every character, type, and description, with parts, subassemblies, accessories, and equipment; machine tools; material; equipment; stores of all kinds; end items; construction materials; and components of the foregoing.

(7) "U.S.-flag vessel" means a vessel of the United States or belonging to the United States, including any vessel registered or having national status under the laws of the United States.

(b)(1) The Contractor shall use U.S.-flag vessels when transporting any supplies by sea under this contract.

(2) A subcontractor transporting supplies by sea under this contract shall use U.S.-flag vessels if--

(i) This contract is a construction contract; or

(ii) The supplies being transported are--

(A) Noncommercial items; or

(B) Commercial items that--

(1) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it contracts for f.o.b. destination shipment);

(2) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or

(3) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.

(c) The Contractor and its subcontractors may request that the Contracting Officer authorize shipment in foreign-flag vessels, or designate available U.S.-flag vessels, if the Contractor or a subcontractor believes that --

(1) U.S.-flag vessels are not available for timely shipment;

(2) The freight charges are inordinately excessive or unreasonable; or

(3) Freight charges are higher than charges to private persons for transportation of like goods.

(d) The Contractor must submit any request for use of other than U.S.-flag vessels in writing to the Contracting Officer at least 45 days prior to the sailing date necessary to meet its delivery schedules. The Contracting Officer will process requests submitted after such date(s) as expeditiously as possible, but the Contracting Officer's failure to grant approvals to meet the shipper's sailing date will not of itself constitute a compensable delay under this or any other clause of this contract. Requests shall contain at a minimum --

(1) Type, weight, and cube of cargo;

(2) Required shipping date;

(3) Special handling and discharge requirements;

(4) Loading and discharge points;

(5) Name of shipper and consignee;

(6) Prime contract number; and

(7) A documented description of efforts made to secure U.S.-flag vessels, including points of contact (with names and telephone numbers) with at least two U.S.-flag carriers contacted. Copies of telephone notes, telegraphic and facsimile message or letters will be sufficient for this purpose.

(e) The Contractor shall, within 30 days after each shipment covered by this clause, provide the Contracting Officer and the Maritime Administration, Office of Cargo Preference, U.S. Department of Transportation, 400 Seventh Street SW., Washington, DC 20590, one copy of the rated on board vessel operating carrier's ocean bill of lading, which shall contain the following information:

(1) Prime contract number;

(2) Name of vessel;

(3) Vessel flag of registry;

(4) Date of loading;

(5) Port of loading;

(6) Port of final discharge;

(7) Description of commodity;

(8) Gross weight in pounds and cubic feet if available;

(9) Total ocean freight in U.S. dollars; and

(10) Name of the steamship company.

(f) The Contractor shall provide with its final invoice under this contract a representation that to the best of its knowledge and belief--

(1) No ocean transportation was used in the performance of this contract;

(2) Ocean transportation was used and only U.S.-flag vessels were used for all ocean shipments under the contract;

(3) Ocean transportation was used, and the Contractor had the written consent of the Contracting Officer for all non-U.S.-flag ocean transportation; or

(4) Ocean transportation was used and some or all of the shipments were made on non-U.S.-flag vessels without the written consent of the Contracting Officer. The Contractor shall describe these shipments in the following format:

ITEM DESCRIPTION	CONTRACT LINE ITEMS	QUANTITY
_____	_____	_____
_____	_____	_____
_____	_____	_____
TOTAL	_____	_____

(g) If the final invoice does not include the required representation, the Government will reject and return it to the Contractor as an improper invoice for the purposes of the Prompt Payment clause of this contract. In the event there has been unauthorized use of non-U.S.-flag vessels in the performance of this contract, the Contracting Officer is entitled to equitably adjust the contract, based on the unauthorized use.

(h) In the award of subcontracts for the types of supplies described in paragraph (b)(2) of this clause, the Contractor shall flow down the requirements of this clause as follows:

(1) The Contractor shall insert the substance of this clause, including this paragraph (h), in subcontracts that exceed the simplified acquisition threshold in part 2 of the Federal Acquisition Regulation.

(2) The Contractor shall insert the substance of paragraphs (a) through (e) of this clause, and this paragraph (h), in subcontracts that are at or below the simplified acquisition threshold in part 2 of the Federal Acquisition Regulation.

(End of clause)

(a) The Contractor has indicated by the response to the solicitation provision, Representation of Extent of Transportation by Sea, that it did not anticipate transporting by sea any supplies. If, however, after the award of this contract, the Contractor learns that supplies, as defined in the Transportation of Supplies by Sea clause of this contract, will be transported by sea, the Contractor --

(1) Shall notify the Contracting Officer of that fact; and

(2) Hereby agrees to comply with all the terms and conditions of the Transportation of Supplies by Sea clause of this contract.

(b) The Contractor shall include this clause; including this paragraph (b), revised as necessary to reflect the relationship of the contracting parties--

(1) In all subcontracts under this contract, if this contract is a construction contract; or

(2) If this contract is not a construction contract, in all subcontracts under this contract that are for--

(i) Noncommercial items; or

(ii) Commercial items that--

(A) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it subcontracts for f.o.b. destination shipment);

(B) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or

(C) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.

(End of clause)

Section 00800 - Special Contract Requirements

CLAUSES INCORPORATED BY REFERENCE

52.232-4007 ACCOUNTING AND APPROPRIATION DATA DEC 1999

CLAUSES INCORPORATED BY FULL TEXT

52.211-10 COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984)

The Contractor shall be required to (a) commence work under this contract within five calendar days after the date the Contractor receives the notice to proceed, (b) prosecute the work diligently, and (c) complete the entire work ready for use not later than 600 calendar days after receipt of the Notice to Proceed. The time stated for completion shall include final cleanup of the premises.

(End of clause)

52.211-12 LIQUIDATED DAMAGES--CONSTRUCTION (SEP 2000)

(a) If the Contractor fails to complete the work within the time specified in the contract, the Contractor shall pay liquidated damages to the Government in the amount of \$1,301.91 for each calendar day of delay until the work is completed or accepted.

(b) If the Government terminates the Contractor's right to proceed, liquidated damages will continue to accrue until the work is completed. These liquidated damages are in addition to excess costs of repurchase under the Termination clause.

(End of clause)

52.219-4002 REPORTING REQUIREMENTS--SUBCONTRACTING PLAN (CESAD-CT JUL 1993)

(a) Retainage will be withheld from progress payments in an amount sufficient to protect the Government's ability to assess Liquidated Damages in accordance with FAR clause 52.219-0016 for failure to submit timely SF 294 and SF 295 Reports. The amount of retainage will be determined in accordance with the following formula:

(b) Total dollar amount proposed for subcontracting to small business multiplied by percentage of actual progress on the contract, up to a maximum of 10% of the given progress payment, shall be withheld from the next progress payment due after a contractor fails to submit a required report. If one or more reports have been submitted before such failure, formula for determining the amount of retainage will be adjusted by deducting any amounts reported as subcontracted to small business from the total dollar amount proposed to be subcontracted and the difference multiplied by the percent of actual progress, up to a maximum of 10% of the given progress payment.

(End of clause)

52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPA-DESIGNATED PRODUCTS (AUG 2000)

(a) Definitions. As used in this clause--

Postconsumer material means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of "recovered material."

Recovered material means waste materials and by-products recovered or diverted from solid waste, but the term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.

(b) The Contractor, on completion of this contract, shall--

(1) Estimate the percentage of the total recovered material used in contract performance, including, if applicable, the percentage of postconsumer material content; and

(2) Submit this estimate to Savannah District Office.

(End of clause)

52.223-4002 U.S. ARMY CORPS OF ENGINEERS SAFETY AND HEALTH REQUIREMENTS MANUAL, EM 385-1-1

This paragraph applies to contracts and purchase orders that require the contractor to comply with EM 385-1-1 (e.g., contracts that include the Accident Prevention clause at FAR 52.236-13 and/or other safety provisions). EM 385-1-1 and its changes are available at <http://www.hq.usace.army.mil>. (At the HQ homepage, select Safety and Occupational Health.) The Contractor shall be responsible for complying with the current edition and all changes posted on the web through the date that is 10 calendar days prior to the date offers are due. If the solicitation is amended to extend the time set for receipt of offers, the 10 calendar days rule stated above shall be applied against the amended date. (For example, if offers are due on 10 April, all changes posted on or before 31 March shall apply to the contract. If the time for receipt of offers is extended from 10 April to 20 April, all changes posted on or before 10 April shall apply to the contract.)

52.228-4002 REQUIRED INSURANCE (FEB 1987 SAS) (Ref. FAR 28.307)

(a) The Contractor shall procure and maintain during the entire period of his performance under this contract the following minimum insurance:

Comprehensive and Employer's Liability Insurance in the amount required by the State law in which the work is to be performed under this contract.

Comprehensive General Liability Insurance in an amount not less than \$500,000 per accident.

Automobile Liability Insurance: \$200,000 per person and \$500,000 per accident for bodily injury liability and \$20,000 property damage liability.

(b) Prior to the commencement of work hereunder, the Contractor shall furnish to the Contracting Officer a certificate or written statement of the above-required insurance. The policies evidencing required insurance shall contain an endorsement to the effect that cancellation, or any material change in the policies adversely affecting the interests of the Government in such insurance, shall not be effective for such period as may be prescribed by the laws of the State in which this contract is to be performed and in no event less than 30 days after written notice thereof to the Contracting Officer.

(c) The Contractor agrees to insert the substance of this clause, including this subparagraph (c), in all subcontracts hereunder.

(End of clause)

52.231-5000 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE
MAR 1995)--EFARS

(a) This clause does not apply to terminations. See 52.249-5000, Basis for Settlement of Proposals and FAR Part 49.

(b) Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by a contractor or subcontractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial and series for which the Government can determine both ownership and operating costs from the contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment or groups of similar serial or series equipment from the contractor's accounting records, costs for that equipment shall be based upon the applicable provisions of EP 1110-1-8, Construction Equipment Ownership and Operating Expense Schedule, Region III. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the contracting officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be used or a rate may be developed using the formula provided in the schedule. For forward pricing, the schedule in effect at the time of negotiations shall apply. For retroactive pricing, the schedule in effect at the time the work was performed shall apply.

(c) Equipment rental costs are allowable, subject to the provisions of FAR 31.105(d)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-leaseback arrangements, will be determined using the schedule, except that actual rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.

(d) When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the contracting officer shall request the contractor to submit either certified cost or pricing data, or partial/limited data, as appropriate. The data shall be submitted on Standard Form 1411, Contract Pricing Proposal Cover Sheet.

(End of clause)

52.232-4008 DESIGNATED BILLING OFFICE (APR 1989 CESAS-RM)

Invoices will be mailed to:

U.S. Army Corps of Engineers
P.O. Box 558
Hinesville, Georgia 31313-0558

(End of Clause)

52.232-4009 DESIGNATED PAYMENT OFFICE (AUG 1998 CESAS-RM-F)

Payment will be made by:

U.S. Army Corps of Engineers Finance Center
ATTN: CEFC-AO-P
5720 Integrity Drive

Millington, TN 38054-5005
(End of clause)

52.236-1 PERFORMANCE OF WORK BY THE CONTRACTOR (APR 1984)

The Contractor shall perform on the site, and with its own organization, work equivalent to at least twenty percent of the total amount of work to be performed under the contract. This percentage may be reduced by a supplemental agreement to this contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government.

(End of clause)

52.236-4013 CONTRACTOR-PREPARED NETWORK ANALYSIS SYSTEM
(January 2002 SAS) (Ref. DFARS 236.273)

The progress chart to be prepared by the contractor pursuant to FAR 52.236-15, Schedules for Construction Contracts, shall utilize the Critical Path Method (CPM) of network calculation. (See Attachment 1 to Section 00800).

52.236-4015 PRECONSTRUCTION CONFERENCE (OCT 1988 SAS) (Ref. FAR 36.305)

(a) A preconstruction conference will be arranged by the Area/Resident Engineer after award of contract and before commencement of work. The Area/Resident Engineer will notify the Contractor of the time and date set for the meeting. At this conference, the Contractor shall be oriented with respect to Government procedures and line of authority, contractual, administrative, and construction matters.

(b) The Contractor shall bring to this conference, in completed form, a Certificate of Insurance, plus the following items in either completed or draft form:

- Accident Prevention Plan (5 copies)
(use format shown in Attachment 1 to SECTION 00800)
- Quality Control Plan (5 copies)
- Letter Appointing Superintendent
- Transmittal Register
- Power of Attorney and Certified Copy of Resolution
- Network Analysis System, when applicable
- List of Subcontractors

(c) A letter of record will be written documenting all items discussed at the conference, and a copy will be furnished by the Area/Resident Engineer to all in attendance.

(End of clause)

52.236-4016 VIDEO TAPING OPERATING AND MAINTENANCE INSTRUCTIONS (MAR 1987 SASCD-SQ)

For all of the operating and maintenance instructions which are required in the contract specifications, the Contractor shall video tape these instructions as they are presented to the Government representatives. These tapes shall provide clear and understandable detailed instructions for all items required by the contract specifications. The tapes shall be prepared by an experienced video director/cameraman using good quality half-inch VHS color tape with correct sound equipment, lighting, and backdrop. The sound and picture quality shall be high and subject to approval by the Contracting Officer. The tapes are intended as followup training for other Government representatives at a later date. They must be suitable for this purpose. The Contractor shall be responsible for the contents of the instructions and shall verify that they are correct prior to taping. The Contractor may submit individual equipment manufacturer's instructional tape(s), provided they meet the above qualifications and cover the actual equipment that is installed. The tape(s) shall be for specific equipment identified by contents and contract name and number. The Contractor shall submit one copy of the tape(s) to the Contracting Officer for review and approval. Unacceptable tapes are to be corrected by the Contractor as indicated by the Contracting Officer at no additional cost to the Government.

(End of clause)

52.236-4017 SUBMITTAL OF MODIFICATION COST ESTIMATE PROPOSALS (MAR 1992 SAS)
(Ref. DFARS 52.236-7000)

When submittals of Cost Estimate Proposals are required for additions or deletions to work under this contract by modification, the Contractor shall use DA Form 5418-R titled "Cost Estimate Analysis" (see Attachment 1 to SECTION 00800). A separate assemblage will be prepared for submittal by each trade affected by the proposed work.

(End of clause)

52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)

(a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.

(b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.

(c) Government inspections and tests are for the sole benefit of the Government and do not--

(1) Relieve the Contractor of responsibility for providing adequate quality control measures;

(2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;

(3) Constitute or imply acceptance; or

(4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) of this section.

(d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.

(e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

(f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.

(g) If the Contractor does not promptly replace or correct rejected work, the Government may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor or (2) terminate for default the Contractor's right to proceed.

(h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.

(i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

(End of clause)

52.246-21 WARRANTY OF CONSTRUCTION (MAR 1994)

(a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

(b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

(c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements; or

(2) Any defect of equipment, material, workmanship, or design furnished.

- (d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.
- (e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.
- (f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.
- (g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--
- (1) Obtain all warranties that would be given in normal commercial practice;
 - (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
 - (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.
- (h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.
- (i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.
- (j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.
- (End of clause)

52.249-4001 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER (APR 1991 OCE)
(Ref. FAR 52.249-10)

(a) This provision specifies the procedure for the determination of time extensions for unusually severe weather in accordance with the contract clause entitled DEFAULT (FIXED-PRICE CONSTRUCTION). In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:

- (1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.
- (2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the Contractor.

(b) The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The Contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY											
WORKDAYS BASED ON 5-DAY WORK WEEK											
JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
6	6	5	4	5	7	9	8	5	2	3	6

(c) Upon acknowledgment of the Notice to Proceed and continuing through-out the contract, the Contractor will record on the daily Contractor Quality Control report the occurrence of adverse weather and resultant impact to normally scheduled work. Actual adverse weather delay days must prevent work on critical activities for 50 percent or more of the Contractor's scheduled workday. The number of actual adverse weather delay days shall include days impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the last day in each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph (b) above, the Contracting Officer will convert any qualifying delays to calendar days, giving full consideration for equivalent fair weather workdays, and issue a modification in accordance with the contract clause entitled DEFAULT (FIXED PRICE CONSTRUCTION).
(End of clause)

52.249-5000 BASIS FOR SETTLEMENT OF PROPOSALS - EFARS

Actual costs will be used to determine equipment costs for a settlement proposal submitted on the total cost basis under FAR 49.206-2(b). In evaluating a terminations settlement proposal using the total cost basis, the following principles will be applied to determine allowable equipment costs:

- (1) Actual costs for each piece of equipment, or groups of similar serial or series equipment, need not be available in the contractor's accounting records to determine total actual equipment costs.
- (2) If equipment costs have been allocated to a contract using predetermined rates, those charges will be adjusted to actual costs.
- (3) Recorded job costs adjusted for unallowable expenses will be used to determine equipment operating expenses.
- (4) Ownership costs (depreciation) will be determined using the contractor's depreciation schedule (subject to the provisions of FAR 31.205-11).
- (5) License, taxes, storage and insurance costs are normally recovered as an indirect expense and unless the contractor charges these costs directly to contracts, they will be recovered through the indirect expense rate.
(End of Clause)

ATTACHMENT 1 TO SECTION 00800

LIST OF ATTACHMENTS

1. Contract Drawings: FS-2714, Sheets 1 through 124
2. Rates of Wages:
3. Formats:
 - Army Project Sign
 - Project Sign Legend Defined
 - Project Sign Erection Detail
 - Corps of Engineers Logo
 - Construction Quality Control Report
 - Small and Disadvantaged Business Subcontracting Plan
 - Weekly Temporary Electrical Inspection
4. Minimum Standard for Temporary Electrical Service (Ref. FAR 52.236-14)
5. Forms:
 - SAS Form 9 - Activity Hazard Analysis
 - SAD Form 1666a-R - Safety Checklist for Crawler, Truck & Wheel Mounted Cranes
 - SAD Form 1666b-R - Safety Checklist for Portal, Tower, and Pillar Cranes
 - SAD Form 1666c-R - Safety Checklist for Rigging
 - SAD Form 1666d-R - Safety Checklist for Motor Vehicles, Trailers and Trucks
 - SAD Form 1666e-R - Safety Checklist for Crawler Tractors and Dozers
 - SAD Form 1666f-R - Safety Checklist for Scrapers, Motor Graders, and Other Mobile Equipment
 - SAD Form 1666g-R - Safety Checklist for Material Hoists
 - SAD Form 1666h-R - Safety Checklist for Earth Drilling Equipment
 - ENG Form 4025 - Transmittal of Shop Drawings, Equipment Data, Material Samples, or Manufacturer's Certificates of Compliance
 - DA Form 5418-R - Cost Estimate Analysis
 - Standard Form LLL-A - Disclosure of Lobbying Activities
 - Real Property Inventory
 - Fort Stewart and Hunter Army Airfield Borrow Pit Excavation Permit

Superseded General Decision No. GA020060

State: Georgia

Construction Type:

HEAVY

SEWER AND WATER LINE

County(ies):

APPLING	FLOYD	PAULDING
ATKINSON	FORSYTH	PEACH
BACON	FRANKLIN	PICKENS
BAKER	GILMER	PIERCE
BALDWIN	GLASCOCK	PIKE
BANKS	GORDON	POLK
BARROW	GRADY	PULASKI
BARTOW	GREENE	PUTNAM
BEN HILL	HABERSHAM	QUITMAN
BERRIEN	HALL	RABUN
BIBB	HANCOCK	RANDOLPH
BLECKLEY	HARALSON	RICHMOND
BRANTLEY	HARRIS	ROCKDALE
BROOKS	HART	SCHLEY
BRYAN	HEARD	SCREVEN
BULLOCH	HENRY	SEMINOLE
BURKE	HOUSTON	SPALDING
BUTTS	IRWIN	STEPHENS
CALHOUN	JACKSON	STEWART
CANDLER	JASPER	SUMTER
CARROLL	JEFF DAVIS	TALBOT
CATOOSA	JEFFERSON	TALIAFERRO
CHARLTON	JENKINS	TATTNALL
CHATTAHOOCHEE	JOHNSON	TAYLOR
CHATTOOGA	JONES	TELFAIR
CHEROKEE	LAMAR	TERRELL

CLARKE	LANIER	THOMAS
CLAY	LAURENS	TIFT
CLINCH	LEE	TOOMBS
COFFEE	LIBERTY	TOWNS
COLQUITT	LINCOLN	TREUTLEN
COLUMBIA	LONG	TROUP
COOK	LOWNDES	TURNER
COWETA	LUMPKIN	TWIGGS
CRAWFORD	MACON	UNION
CRISP	MADISON	UPSON
DADE	MARION	WALKER
DAWSON	MCDUFFIE	WALTON
DECATUR	MCINTOSH	WARE
DODGE	MERIWETHER	WARREN
DOOLY	MILLER	WASHINGTON
DOUGHERTY	MITCHELL	WAYNE
DOUGLAS	MONROE	WEBSTER
EARLY	MONTGOMERY	WHEELER

ECHOLS	MORGAN	WHITE
ELBERT	MURRAY	WHITFIELD
EMANUEL	MUSCOGEE	WILCOX
EVANS	NEWTON	WILKES
FANNIN	OCONEE	WILKINSON
FAYETTE	OGLETHORPE	WORTH

HEAVY CONSTRUCTION PROJECTS; SEWER AND WATER LINE CONSTRUCTION PROJECTS

Modification Number Publication Date

0 06/13/2003

COUNTY(ies):

APPLING	FLOYD	PAULDING
ATKINSON	FORSYTH	PEACH
BACON	FRANKLIN	PICKENS
BAKER	GILMER	PIERCE

BALDWIN	GLASCOCK	PIKE
BANKS	GORDON	POLK
BARROW	GRADY	PULASKI
BARTOW	GREENE	PUTNAM
BEN HILL	HABERSHAM	QUITMAN
BERRIEN	HALL	RABUN
BIBB	HANCOCK	RANDOLPH
BLECKLEY	HARALSON	RICHMOND
BRANTLEY	HARRIS	ROCKDALE
BROOKS	HART	SCHLEY
BRYAN	HEARD	SCREVEN
BULLOCH	HENRY	SEMINOLE
BURKE	HOUSTON	SPALDING
BUTTS	IRWIN	STEPHENS
CALHOUN	JACKSON	STEWART
CANDLER	JASPER	SUMTER
CARROLL	JEFF DAVIS	TALBOT
CATOOSA	JEFFERSON	TALIAFERRO
CHARLTON	JENKINS	TATTNALL
CHATTAHOOCHEE	JOHNSON	TAYLOR
CHATTOOGA	JONES	TELFAIR
CHEROKEE	LAMAR	TERRELL
CLARKE	LANIER	THOMAS
CLAY	LAURENS	TIFT
CLINCH	LEE	TOOMBS
COFFEE	LIBERTY	TOWNS
COLQUITT	LINCOLN	TREUTLEN
COLUMBIA	LONG	TROUP
COOK	LOWNDES	TURNER
COWETA	LUMPKIN	TWIGGS
CRAWFORD	MACON	UNION
CRISP	MADISON	UPSON
DADE	MARION	WALKER
DAWSON	MCDUFFIE	WALTON
DECATUR	MCINTOSH	WARE
DODGE	MERIWETHER	WARREN
DOOLY	MILLER	WASHINGTON

DOUGHERTY	MITCHELL	WAYNE
DOUGLAS	MONROE	WEBSTER
EARLY	MONTGOMERY	WHEELER
ECHOLS	MORGAN	WHITE
ELBERT	MURRAY	WHITFIELD
EMANUEL	MUSCOGEE	WILCOX
EVANS	NEWTON	WILKES
FANNIN	OCONEE	WILKINSON

FAYETTE	OGLETHORPE	WORTH
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SUGA1058A 02/07/1992

	Rates	Fringes
BOILERMAKER:		
Storage tank erection/repair	12.96	
All other work	16.20	
BRICKLAYER	8.35	
CARPENTER	6.50	
CEMENT MASON/CONCRETE FINISHER	5.31	
ELECTRICIAN	8.78	
IRONWORKER	8.72	
LABORERS:		
Unskilled	5.15	
Pipelayer	5.15	
Drill	5.15	
PAINTER	8.00	
PLUMBER & PIPEFITTER	6.00	
POWER EQUIPMENT OPERATORS:		
Backhoe	5.70	
Bulldozer	5.73	
Crane, derrick, dragline	7.85	
Front end loader	5.15	
Motor grader	5.34	
Roller	5.15	
Scraper - pan	5.15	
TRUCK DRIVER	5.15	
WELL DRILLER	6.40	

WELDERS - Receive rate prescribed for craft performing operation
to which welding is incidental.
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Unlisted classifications needed for work not included within
the scope of the classifications listed may be added after
award only as provided in the labor standards contract clauses
(29 CFR 5.5(a)(1)(ii)).

In the listing above, the "SU" designation means that rates
listed under that identifier do not reflect collectively
bargained wage and fringe benefit rates. Other designations
indicate unions whose rates have been determined to be
prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can
be:

- * an existing published wage determination
- * a survey underlying a wage determination

- * a Wage and Hour Division letter setting forth a
position on a wage determination matter
- * a conformance (additional classification and rate)
ruling

On survey related matters, initial contact, including requests
for summaries of surveys, should be with the Wage and Hour
Regional Office for the area in which the survey was conducted
because those Regional Offices have responsibility for the
Davis-Bacon survey program. If the response from this initial
contact is not satisfactory, then the process described in 2.)
and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

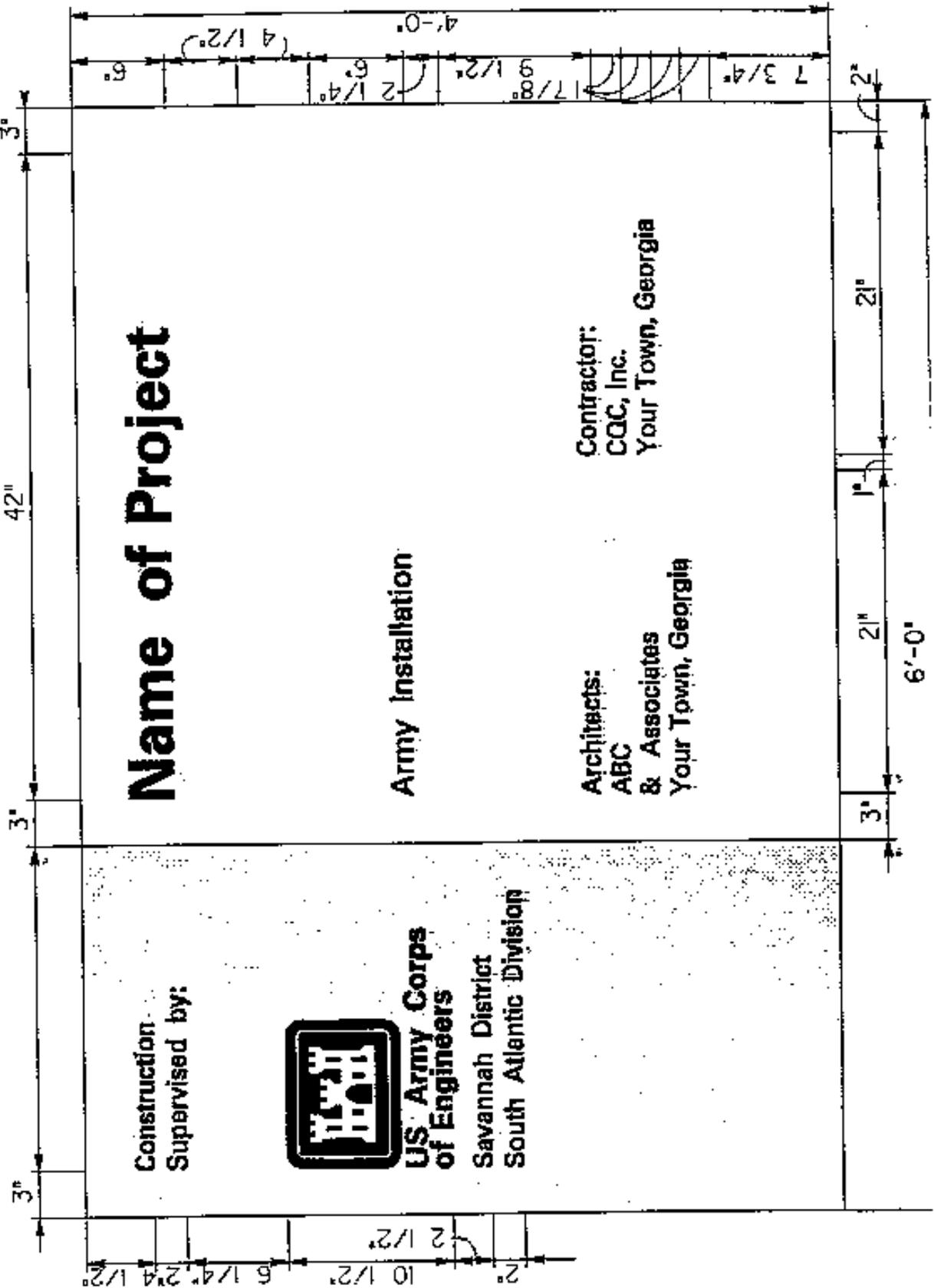
3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

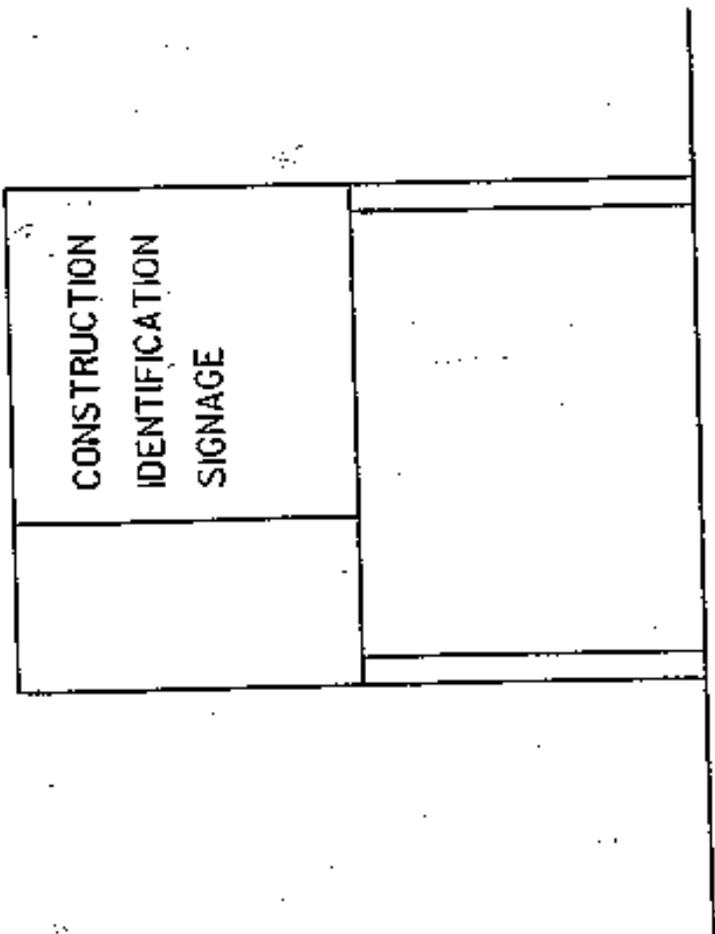
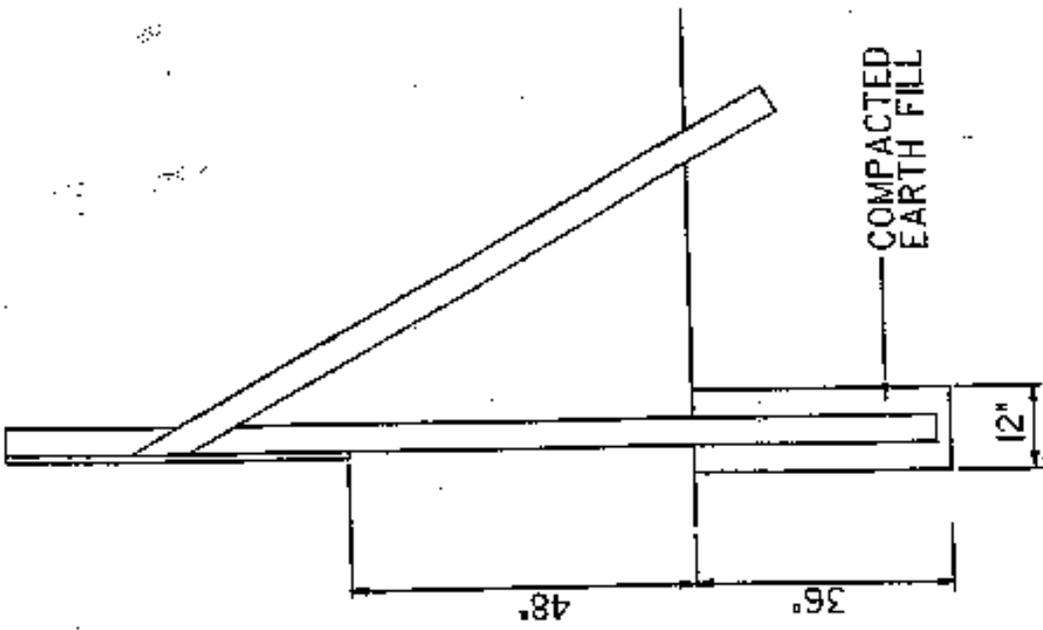
Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

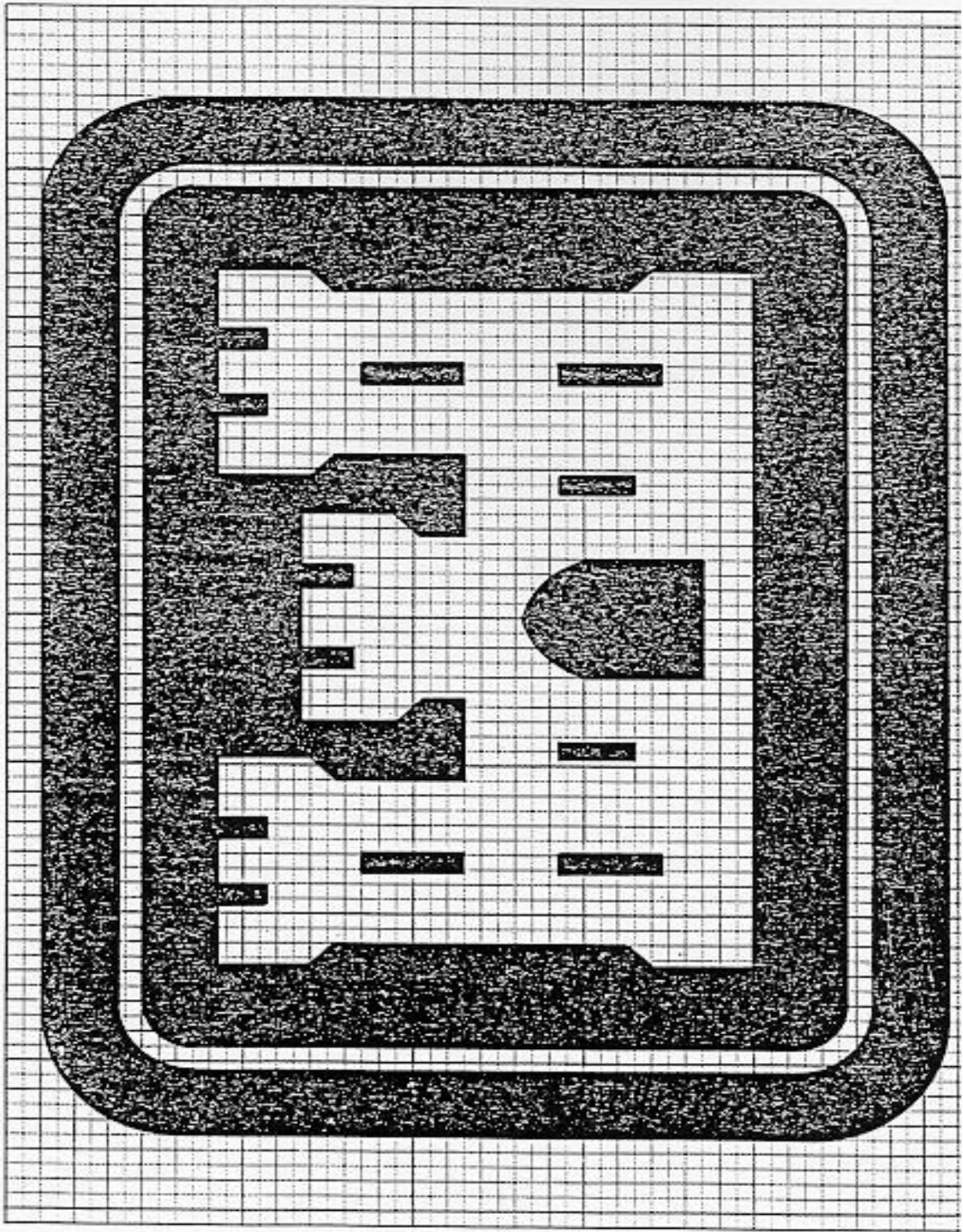
4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION









CORPS OF ENGINEERS LOGO
HALF SIZE

F O R M A T

CONTRACTOR'S NAME
(Address)

CONSTRUCTION QUALITY CONTROL REPORT

Date: _____ Report No. _____

Contract No.: _____

Description and Location of Work: _____

WEATHER: (Clear)(P. Cloudy)(Cloudy); Temperature: ___Min, ___Max;
Rainfall ___Inches

Contractor/Subcontractors and Area of Responsibility

- a. _____
- b. _____
- c. _____
- d. _____
- e. _____
- f. _____
- g. _____
- h. _____

1. Work Performed Today:

(Indicate location and description of work performed. Refer to work performed by prime and/or subcontractors by letter in table above.)

2. Results of Control Activities:

(Indicate whether: P-Preparatory, I-Initial, or F-Followup and include satisfactory work completed or deficiencies with action to be taken.)

3. Test Required by Plans and/or Specifications Performed and Results of Tests:

4. Monitoring of Materials and Equipment:

5. Offsite Surveillance Activities:

6. Job Safety:

(Daily comment required.)

7. Remarks:

- a. (Cover any conflicts in plans, specifications or instructions.)
- b. (Action taken in review of submittal.)
- c. (Verbal instructions received.)

Inspector

CONTRACTOR'S VERIFICATION:

The above report is complete and correct and all material and equipment used and work performed during this reporting period are in compliance with the contract plans and specifications except as noted above.

Contractor's Approved
Authorized Representative

SAMPLE

SMALL AND DISADVANTAGED BUSINESS SUBCONTRACTING PLAN

BETTER BUILDERS, INC.

DATE: February 11, 2003

SOLICITATION NO. DACA21-0X-X-XXXX

TITLE: Barracks Complex, Fort Swampy, Georgia

Type of Work: Design and Construction

In accordance with applicable contract clauses of the solicitation noted above, Better Builders, Inc. submits the following Small Business Subcontracting Plan (includes small, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns).

It is company policy to follow all public laws including P.L. 99-661, Section 1207, P.L. 100-180, Section 806, P.L. 105-135 and P.L. 106-50. We have informed all purchasers to follow these laws in hiring subcontractors and buying materials.

1. The following goals (expressed in terms of percentages of the total dollars available for subcontract/purchase order award) would be applicable to a contract awarded under the cited solicitation. You must also provide the dollar amounts for each of the goals listed below.
 - a. Total Proposed Contract Amount: \$26,961,000
 - b. Total amount available for Subcontract award: \$18,300,000
 - c. Large Business: \$7,832,400 – 42.8%
 - d. Total amount to be subcontracted to all small business: \$10,467,600 - 57.2%
 - e. Small Disadvantaged Business: \$1,628,700 – 8.9%
 - f. Women-Owned Small Business: \$1,482,300 – 8.1%
 - g. Service-Disabled Veteran-Owned Small Business: \$549,000 - 3%
 - h. HUBZone Small Business: \$549,000 – 3%

- i. There are no options in this solicitation. (*NOTE: If there are options in the solicitation you must provide the same information as listed in paragraph 1 a-h for each option year/period.*)
- j. Indirect and overhead costs have not been included in the goals specified in this section for amounts available for subcontract/purchase order award.
- k. Consideration was given to HCBU/MI's but no opportunities were found to be included in the small disadvantaged business goals.

NOTE: While Savannah District does not have a specific goal for subcontracting with Veteran-Owned small business, it must be addressed in any subcontracting plan. However, FAR 52.219-9 requires a goal in your subcontracting plan for Veteran-Owned small business concerns.

2. The following principal products and/or services will be subcontracted under this contract, and the distribution among all small business concerns are as follows:

Large Business - Earthwork
 Small Business - Windows and Storm Doors, Recreation, Site Utilities Plumbing
 Veteran Owned Small Business – Materials, Equipment
 Service Disabled Veteran Owned Small Business – Asphalt, Electrical, Doors
 HUBZone Small Business - Window Treatment, HVAC, Concrete
 Small Disadvantaged Business - Vinyl Siding, Insulation, Gutters
 Women Owned Small Business – Carpentry, Ceramic Tile, Fencing

NOTE: Company names should be provided for each product and/or service listed.

The following method was used in developing our subcontracting goals: (1) all areas of potential subcontract work were determined to be available for subcontract award to all types of small business concerns, and (2) will be actively recruited for participation through the many sources described hereinafter.

3. The following individual will administer this Subcontract Plan on behalf of Better Builders, Inc.:

Name: Freddie Better Title: Executive Vice President

Address and Telephone Number: 4845 Tonka Drive
 Fair Haven, CT 27413
 800-621-4845

The individual's specific duties with regard to the conduct of our firm's Subcontracting Plan will include, but will not be limited to the following:

a. Developing and maintaining bidders lists of all types of small business concerns using sources such as the Pronet System developed by the Small Business Administration, the Minority Business Development Agency of the U.S. Department of Commerce, Local Minority Business Development Centers and Minority Contractor Associations, and the General Business Services Center in the project's Standard Metropolitan Statistical Area.

b. Assuring the inclusion of all types of small business concerns in all solicitations for products or services which they are capable of providing; and ensuring that all solicitations are structured to permit the maximum possible participation by all types of small business concerns.

c. Establishing and maintaining records of all solicitations and subcontract awards to all types of small business concerns to ensure that the members of the firm who review bidders proposals document their reasons for selecting or not selecting a bid.

d. Preparing and submitting the Subcontracting Report for Individual Contracts (SF 294) and the Summary Subcontract Report (SF 295) in accordance with the instructions provided on the forms, and coordinating and preparing for all compliance reviews by Federal agencies.

e. Conducting or arranging for all other activities necessary to further the intent and attainment of goals of the Plan to include motivational training of the firm's purchasing personnel attendance at workshop, seminars and trade fairs conducted by or on behalf of all types of small business concerns, and general cooperation with members of these concerns or their representatives.

4. The following steps will be taken to ensure that all types of small business concerns receive notice and have an equitable opportunity to compete for intended awards of subcontracts and/or purchase orders for the products and/or services described in paragraph 2 above:

a. Sources will be requested through the SBA's ProNet system, business development organizations, small business trade associations and at small business procurement conferences; sources will be contacted and bidding materials will be provided to all responding parties with interest.

b. Internally, motivational training will be conducted to guide and encourage purchasing personnel; source lists and guides to all types of small business concerns will be maintained and utilized by purchasing personnel while soliciting subcontracts and purchase orders; activities will be monitored to ensure sufficient time is allowed for interested bidders to prepare their bids and to evaluate continuing compliance with this Subcontracting Plan.

5. Better Builders, Inc. agrees that the clause entitled "Utilization of Small Business Concerns" will be included in all subcontracts which offer further subcontracting opportunities. All subcontractors, except small business concerns, who receive subcontracts in excess of \$500,000 (\$1,000,000 in the case of construction) will be required to adopt and comply with a subcontracting plan similar to this one. Such plans will be reviewed to assure that all minimum requirements of an acceptable subcontracting plan have been satisfied.

The acceptability of goals shall be determined on a case-by-case basis depending on the supplies/services involved, the availability of all potential small business and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports or, as time and availability of funds permit, periodic visits to subcontractor's facilities to review applicable records and subcontracting program progress.

6. Better Builders, Inc. agrees to submit such periodic reports and cooperate in any studies or surveys as may be required by the Contracting agency or the Small Business Administration in order to determine the extent of compliance by the offeror with the subcontracting plan and with the clause entitled "Utilization of Small Business Concerns" contained in the solicitation.

7. Better Builders, Inc. agrees to maintain at least the following types of records to document compliance with this Subcontracting Plan:

a. The names of all organizations, agencies, and associations contacted for all small business sources, along with records of attendance at conferences, seminars and trade fairs where additional sources were developed.

b. Source lists, guides, and other data identifying all types of small business concerns

c. Records on all subcontract solicitations, on a contract-by-contract basis, indicating (1) whether all types of small business concerns were solicited, and if not, why not; and (2) the reasons for the failure of all solicited small businesses to receive a subcontract award.

d. Records of all subcontract award data, to include subcontractor's name and address, to be kept on a contract-by-contract basis.

e. Minutes of internal motivational and training meetings held for the guidance and encouragement of purchasing personnel, and records of all monitoring activities performed for compliance evaluation.

f. Copies of SF 294 and SF 295 showing date and place of filing and copies of all other reports or results of reviews conducted by the contracting agency or other interested agencies of the Federal government to monitor our compliance with this Subcontracting Plan.

In closing Better Builders, Inc. states that it will be the policy of Better Builders, Inc. to afford every practicable opportunity to all types of small business concerns to participate in construction contracts awarded to Better Builders, Inc. by the Federal Government to ensure that equitable opportunity is provided to all types of small business concerns to compete for award of subcontracts and purchase orders, and to diligently pursue the achievement of our goals by participation of all types of small business concerns in the dollars available for subcontract/purchase order award under the solicitation.

BY _____

DATE _____

Signature

Title, and Company Name

Contract Specialist

DATE _____

Approval Recommended

SADBU

DATE _____

Approve/Disapprove

Contracting Officer

DATE _____

Approve/Disapprove

Procurement Center Representative
Small Business Administration

DATE _____

WEEKLY TEMPORARY ELECTRICAL INSPECTION

Week ending _____

Contract No. _____

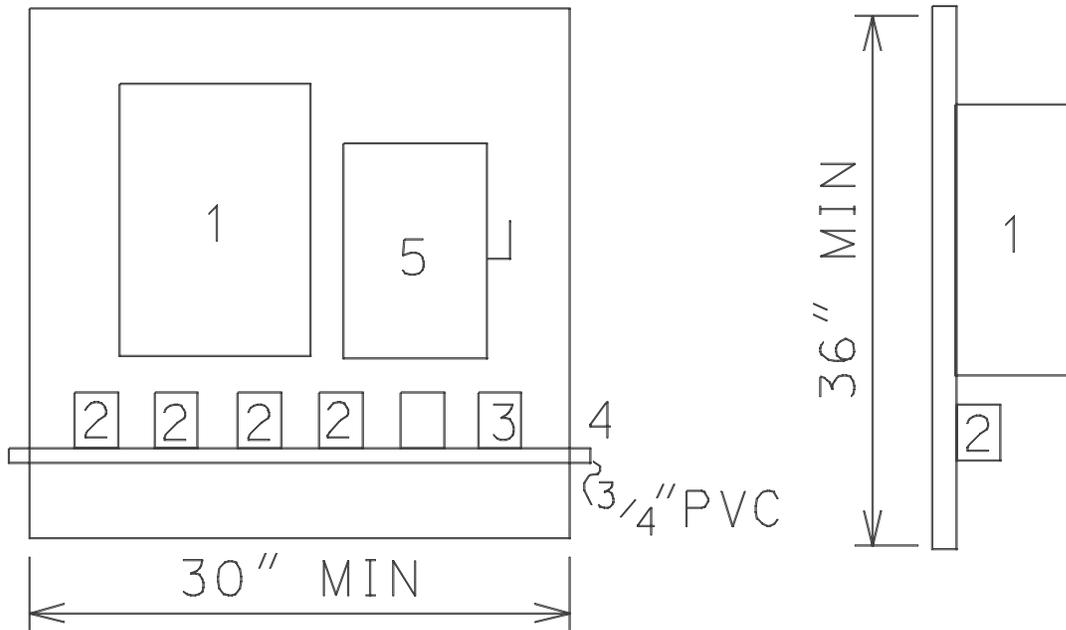
Contract Description _____

The following items were inspected in accordance with requirements in National Electrical Code and Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1.

1. Wire (size, type, condition).
2. Systems and devices (polarity, continuity of ground, resistance to ground).
3. Resistance of ground rods (25 OHMS) measured and recorded.
4. Check GFI for 15/20 amp 120 volt circuits.
5. Plugs and receptacles (type, NEMA rating).
6. Circuit breakers and disconnect (size, type, weatherproof).
7. Extension cords (type, UL listed, insulation condition, splices, location).
8. Open wiring on insulators, nonmetallic sheathed cable, outside clearance (600 volts or less), Festoon lighting (as applicable).

Signature Electrician/Electrical Engineer

MINIMUM STANDARD FOR TEMPORARY ELECTRICAL SERVICE



(DIMENSIONS ARE APPROXIMATE)

A. The backboard for temporary service shall consist of not less than 1/2 inch plywood of exterior grade.

B. Numbers above correspond to the item below:

Item 1 - NEMA 3R circuit breaker type panelboard. This panelboard shall consist of 1 two-pole 60 amp main circuit breaker, 4* one pole 20 AMP branch circuit breakers, and 1* two pole 20 AMP branch circuit breaker. Breakers shall meet Federal Specifications Standards for Class 1A breakers and shall be plug-in type. (*Number of breakers to be adjusted to suit the job requirements.)

Item 2 - Duplex grounding type convenience outlets in standard utility type outlet boxes with covers, meeting the NEC and NEMA requirements for wet locations. Connections to the branch circuit breakers shall be grounded by two conductors #12 NMC cable.

Item 3 - (Optional) A single three-conductor grounding type outlet rated for 250 volt service meeting the NEC and NEMA requirements for wet locations. Connections from this outlet to the two pole breaker shall be by two conductor grounded type NMC cable.

Item 4 - 3/4 inch PVC. This shall be used to support extension cords.

Item 5 - NEMA 3R service disconnect safety switch - 60 amp minimum.

C. The panelboard shall be grounded by #6 copper wire connected to a 3/4 inch by 10-foot long ground rod.

D. Service to the panel shall consist of three copper conductor #6 minimum service entrance cable. This cable may enter the top or side of the panelboard.

E. Periodic inspections of systems and devices will be made by the Contractor at intervals not to exceed 1 week, and a report will be submitted indicating the results.

F. All receptacle outlets that provide temporary electrical power during construction, remodeling, maintenance, repair, or demolition shall have ground-fault circuit-interrupter (GFCI) protection for personnel. GFCI protection shall be provided on all circuits serving portable electric hand tools or semi-portable electric power tools (such as block/brick saws, table saws, air compressors, welding machines, and drill presses). See EM 385-1-1 for exceptions.

G. Per EM 385-1-1 all temporary power distribution systems shall be submitted to the field office before installation.

ACTIVITY HAZARD ANALYSIS

1. Phase of Construction		
2. Location	3. Contract No.	4. Project
5. Prime Contractor	6. Date of Preparatory	7. Estimated Start Date
Potential Safety Hazard	Procedure to Control Hazard	
8. Contractor's Representative (signature)	9.	

SAFETY CHECKLIST FOR CRAWLER, TRUCK & WHEEL MOUNTED CRANES

Contract # and title:				
Equipment name & number: owned or leased?				
Contractor:		Subcontractor:		
Contract Inspector:		Date inspected:		
		Yes	No	N/A
1. Unless the manufacture has specified an on-rubber rating, outriggers will be fully extended and down? (16.D.10)				
2. Are lattice boom cranes equipped with a boom angle indicator, load indicating device, or a load moment indicator? (16.D.01)				
3. Are lattice boom and hydraulic cranes equipped with a means for the operator to visually determine levelness? (16.D.02)				
4. Are lattice boom and hydraulic cranes, except articulating booms cranes, equipped with drum rotation indicators located for use for the operator? (16.D.03)				
5. Are lattice boom and hydraulic mobile cranes equipped with a boom angle or radius indicator within the operator's view? (16.D.04)				
6. Are lattice boom cranes, with exception of duty cycle cranes, equipped with an anti-two blocking device? (16.D.05)				
7. When duty cycle machines are required to make a non-duty lift, is the crane equipped with an international orange warning device and is a signal person present? (16.D 05)				
8. Are the following with the crane at all times: (16.C.02)				
<ul style="list-style-type: none"> a. the manufacturer's operating manual? b. the load rating chart? c. the crane's log book documenting use, maintenance, inspections and tests? d. operating manual for crane operator aids used on the crane. 				

	Yes	No	N/A
9. Are the following on the project site: a. completed periodic inspection report prior to initial work? (16.C.12) b. pre-operational checklist used for daily inspection? (16.C.12) c. written reports of the operational performance test? (16.C.13) d. written reports of the load performance test? (16.C.13)			
10. Are all operators physically qualified to perform work? (16.C.05)			
11. Are all operators qualified by written and practical exam or by appropriate licensing agency for the type crane they are to operate? (16.C.05)			
12. Is the crane designed and constructed IAW the standards listed in Table 16-1? (16.C.06)			
13. Is a hazard analysis for set-up and set-down available? (16.C.08)			
14. Are accessible areas within the swing radius of the rear of the crane barricaded? (16.C.09)			
15. Are there at least 3 wraps of cable on the drum? (16.C.10)			
16. Are the hoisting ropes installed IAW the manufacturer's recommendations? (16.C.10)			
17. Are critical lift plans available? (16.C.18)			
18. Are minimum clearance distance for high voltage lines posted at the operator's position? (11.E.04)			
19. Do older lattice boom cranes with anti-two block warning devices in lieu of anti-two block prevention devices have a written exemption? (16.D.05)			
20. Is the slow moving emblem used on all vehicles which by design move at 25 MPH or less on public roads? (08.A.04)			
21. Are all vehicles which will be parked or moving slower than normal traffic on haul roads equipped with a yellow flashing light or flasher visible from all directions? (16.A.13)			

	Yes	No	N/A
22. Is all equipment to be operated on public roads provided with: (16A.07) a. headlights? b. brake lights? c. taillights? d. back-up lights? e. front and rear turn signals?			
23. Are seat and seat belts provided for the operator and each rider on equipment? (16.A.07 and 16.B.08)			
24. Is all equipment with windshields equipped with powered wipers and defogging or defrosting devices? (16.A.07)			
25. Is the glass in the windshield or other windows clear and unbroken to provide adequate protection and visibility for the operator? (16.A.07, 16.B.10)			
26. Is all equipment equipped with adequate service brake system and emergency brake system? (16.A.18)			
27. Are areas on equipment where employees walk or climb equipped with platforms, footwalks, steps, handholds, guardrails, toeboards and non-slip surfaces? (16.B.03)			
28. Is all self propelled equipment equipped with automatic, audible, reverse signal alarms? (16.B.01)			
29. Is there a record of manufacturer's approval of any modification of equipment which affects its capacity or safe operation? (16.A.18)			
30. Are truck and crawler cranes attached to a barge or pontoon by a slack tiedown system? (16.F.06)			
31. Have the following conditions been met for land cranes mounted on barges or pontoons: (16.F.04) a. Have load ratings been modified to reflect the increased loading from list, trim, wave, and wind action? b. Are all deck surfaces above the water? c. Is the entire bottom area of the barge or pontoon submerged? d. Are tie downs available? e. Are cranes blocked and secured?			
32. Are all belts, gears, shafts, spindles, drums, flywheels, or other rotating parts of equipment guarded where is a potential for exposure to workers? (16.B.03)			

	Yes	No	N/A
33. Is the area where the crane is to work level, firm and secured? (16.A.10)			
34. Is a dry chemical or carbon dioxide fire extinguisher rated at least 5-B:C on the crane? (16.A.26)			
35. Are trucks, for truck mounted cranes, equipped with a working reverse signal alarm? (16.B.01)			
36. Is a signal person provided where there is danger from swinging loads, buckets, booms, etc.? (16.B.13)			
37. Is there adequate clearance from overhead structures and electrical sources for the crane to be operated safely? (16.C.09)			
38. Is there adequate lighting for night operations? (16.C.19)			
39. Has the the boom stop test on cable-supported booms been performed? (16.D.06)			
40. Is the boom disengaging device functioning as required? (16.D.06)			
41. Has all rigging and wire rope been inspected? (Section 15)			
Remarks:(Enter actions taken for all "no" answers.)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

	Yes	No	N/A
6. Are the following on the project site: a. completed periodic inspection report prior to initial work? (16.C.12) b. pre-operational checklist used for daily inspections? (16.C.12) c. written reports of the operational performance tests? (16.C.13) d. written reports of the load performance tests? (16.C.13)			
7. Is every crane operator certified by a physician to be physically qualified to perform work? (16.C.05)			
8. Are all operators qualified by written and practical exam or by appropriate licensing agency for the type crane they are to operate? (16.C.05)			
9. Is the crane designed and constructed IAW the standards listed in Table 16-1? (16.C.05)			
10. Is a hazard analysis for set-up and set-down available? (16.C.08)			
11. Are there at least 3 wraps of cable on the drum? (16.C.10)			
12. Are the hoisting ropes installed IAW the manufacturer's recommendations? (16.C.10)			
13. Is there a record of manufacturer's approval of any modification of equipment which affects its capacity or safe operation? (16.A.07)			
5. Remarks: (Enter actions taken)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

SAFETY CHECKLIST FOR RIGGING

Contract # and title:			
Equipment name & number: owned or leased?			
Contractor		Subcontractor:	
Contractor inspector:		Date inspected:	
			Yes
			No
			N/A
1. Has all defective rigging been removed? (15.A.01)			
2. Is rigging stored properly? (15.A.01)			
3. Are running lines within 6.5' of the ground or working level guarded? (15.A.03)			
4. Are all eye splices made in an approved manner with rope thimbles? (sling eyes excepted) (15.A.04)			
5. Are positive latching devices used to secure loads? (15.A.05)			
6. Are all custom lifting accessories marked to indicate their safe working loads? (15A.07)			
7. Are all custom designed lifting accessories proof-tested to 125% of their rated load? (15.A.07)			
8. Are the following conditions met for wire rope: (15.B.01-09)			
a. Are they free of rust or broken wires?			
b. Are defective ropes cut up or marked as unusable?			
c. Do rope clips attached with U-bolts have the U-bolts on the dead end or short end of the rope?			
d. Are protruding ends of strands in splices on slings and bridles covered or blunted?			
e. Except for eye splices in the end of wires and for all endless wire rope slings, are all wire ropes used in hoisting, lowering, or pulling loads one continuous piece, free of knots or splices?			

	Yes	No	N/A
<p>f. Do all eye splices have at least 5 full tucks?</p> <p>g. If used, are wedge sockets fastening attached without attached the dead end of the wire rope to the live rope?</p> <p>h. Are they free of eyes or splices formed by wire rope clips or knots?</p>			
<p>9. Are the following conditions met for chain? (15.C.01-04)</p> <p>a. Are all chains alloyed?</p> <p>b. Do all coupling links or other attachments have rated capacities at least equal to that of the chain.</p> <p>c. Are makeshift fasteners restricted from use?</p>			
<p>10. Are the following conditions met for fiber rope:(15.D.01-07)</p> <p>a. Are all ropes protected from freezing, excessive heat or corrosive materials?</p> <p>b. Are all ropes protected from abrasion?</p> <p>c. Are splices made IAW manufacture's recommendations?</p> <p>d. Do all eye splices in manila rope contain at least 3 full tucks and do all short splices contain at least 6 full tucks(3 on each side of the centerline of the splice)?</p> <p>e. Do all splices in layed synthetic fiber rope contain at least 4 full tucks and do short splices contain at least 8 full tucks (4 on each side of the centerline of the splice)?</p> <p>f. Do the tails of fiber rope splices extend at least 6 rope diameters (for rope 1" diameter or greater) past the last full tuck?</p> <p>g. Are all eye splices large enough to provide an included angle of not greater than 60* at the splice when the eye is placed over the load or support?</p>			
<p>11. Are the following conditions met for all slings:(15.E.01-06)</p> <p>a. Is protection provided between the sling and sharp surfaces?</p> <p>b. Do all rope slings have minimum clear length of 40 times the diameter of component ropes between each end fitting or eye splice?</p> <p>c. Do all braided slings have a minimum clear length of 40 times the diameter of component ropes between each end fitting or eye splice?</p>			

	Yes	No	N/A
d. Do all welded alloy steel chain slings have affixed permanent identification stating size, grade, rated capacity and manufacturer? e. Is each synthetic web sling marked or coded to identify its manufacturer, rated capacities for each type hitch and the type material?			
12. Are drums, sheaves, and pulley smooth and free of surface defects? (15.F.01)			
13. Is the ratio of the diameter of the rigging and the drum, block sheave or pulley thread diameter such that the rigging will adjust without excessive wear, deformation, or damage? (15F.02)			
14. Have all damaged drums, sheaves and pulleys been removed from service? (15.F.04)			
15. Are all connections, fittings, fastenings, and attachments of good quality, proper size and strength, and installed IAW manufacturer's recommendations? (15.F.05)			
16. Are all shackles and hooks sized properly? (15.F.06 & .07)			
17. Are hoisting hooks rated at 10 tons or greater provided with safe handling means? (15.F.07)			
18. Do all drums have sufficient rope capacity? (15.F.08)			
19. Is the drum end of the rope anchored by a clamp securely attached to the drum in a manner approved by the manufacturer? (15.F.08)			
20. Do grooved drums have the correct groove pitch for the diameter of the rope and is the groove depth correct? (15.F.08)			
21. Do the flanges on grooved drums project beyond the last layer of rope at a distance of either 2" or twice the diameter of the rope, whichever is greater? (15.F.08)			
22. Do the flanges on ungrooved drums project beyond the last layer of rope a distance of either 2.5" or twice the diameter of the rope, which ever is greater.			

SAD Form 1666c-R Previous editions may be used for contracts
Mar 97 referencing the 1992 edition of EM 385-1-1.

	Yes	No	N/A
23. Are the sheaves compatible with the size of rope used and as specified by the manufacture? (15F.09)			
24. Are sheaves properly aligned, lubricated, and in good condition? (15.F.09)			
25. When rope is subject to riding or jumping off a sheave, are sheaves equipped with cablekeepers? (15.F.09)			
26. Are eye bolts loaded in the plane of the eye and at angles less than 45* to the horizontal? (15.F.10)			
27. Remarks: (Enter actions taken for "no" answers.)			
Contractor inspector signature			
Contractor QC/safety/project manager signature			

SAFETY CHECKLIST FOR MOTOR VEHICLES , TRAILERS AND TRUCKS

Contract # and title: owned or leased?			
Equipment name & number:			
Contractor:		Subcontractor:	
Contractor inspector:		Date inspected:	
	Yes	No	N/A
1. Are records of safety inspections of all vehicles available? (18.A.02)			
2. Are all vehicles to be operated between sunset and sunrise equipped with: (18.A.04)			
<ul style="list-style-type: none"> a. 2 headlights? b. taillights and brake lights? c. front and back turn signals? d. 3 emergency flares, reflective markers, or equivalent portable warning devices? 			
3. Are vehicles, except trailers or semi-trailers having a gross weight of 5000 lbs or less, equipped with service brakes and manually operated parking brakes? (18.A.05)			
4. Are service brakes on trailers and semitrailers controlled from the driver's seat of the prime mover? (18A.06)			
5. Does the vehicle have: (18.A.06)			
<ul style="list-style-type: none"> a. a speedometer? b. a fuel gage? c. an audible warning device (horn)? d. a windshield & adequate windshield wiper? e. an operable defroster and defogging device? f. an adequate rearview mirror? g. a cab, cab shield, and other protection to protect the driver from the elements and falling or shifting materials? h. non-slip surfaces on steps? I. a power-operated starting device? 			

	Yes	No	N/A
6. Is all the glass safety glass and is all broken or cracked glass replace? (18.A.07)			
7. Do trailers meet the following: (18A.08) a. Are all towing devices adequate for the weight drawn? b. Are all towing devices properly mounted? c. Are locking devices or a double safety system provided on every 5th wheel mechanism and tow bar arrangement to prevent accidental separation? d. Are trailers coupled with safety chains or cables to the towing vehicle? e. Are trailers equipped with the power brakes equipped with a break-away device which will lock-up the brakes in the event the trailer separates from the towing vehicle?			
8. Are all dump trucks:(18.A.10) a. equipped with a holding device to prevent accidental lowering of the body? b. equipped with a hoist lever secured to prevent accidental starting or tipping? c. equipped with means to determine (from the operator's position) if the dump box is lowered? d. equipped with trip handles for tailgates that allow the operator to be clear?			
9. Are all buses, trucks and combination of vehicles with a carrying capacity of 1.5 tons or more, to be operated on public roads equipped with: (18.A.11) a. 3 reflective markers? b. 2 wheel chocks for each vehicle? c. at least one 2A:10B:C fire extinguisher? d. at least two properly rated fire extinguishers (for vehicles carrying flammable cargo)? e. a red flag not less than 1 foot square.			
10. Is vehicle exhaust controlled so as not to present a hazard to personnel? (18.A.13)			
11. Are all rubber tired motor vehicles equipped with fenders or with mud flaps if the vehicle is not designed for fenders? (18.A.14)			

	Yes	No	N/A
12. Are all vehicles, except buses, equipped with seat belts? (18.B.02)			
13. Does all self-propelled construction and industrial equipment have a working reverse signal alarm? (16.B.01)			
14. Are all hot surfaces of equipment, including exhaust pipes or other lines, guarded or insulated to prevent injury or fire? (16.B.03)			
15. If an off the road vehicle, is it equipped with rollover protective structures? (16.B.12)			
16. Remarks: (Enter actions taken for "no" answers)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

SAFETY CHECKLIST FOR CRAWLER TRACTORS AND DOZERS

Contract # and title:			
Equipment name & number: owned or leased?			
Contractor:		Subcontractor:	
Contractor inspector:		Date inspected:	
	Yes	No	N/A
1. Are initial and daily/shift inspection records available? (16.A.01& .02)			
2. Are only qualified operators assigned to operate mechanized equipment? (16.A.04)			
3. Are sufficient lights provided for night operations? (16.A.11)			
4. Is the unit shut down before refueling? (16.A.14)			
5. Does the unit have as a minimum a 5-B:C fire extinguisher? (16.A.26)			
6. Is there an effective, working reverse alarm? (16.B.01)			
7. Are moving parts, shafts, sprockets, belts, etc., guarded? (16.B.03 ,07, and 13)			
8. Is protections against hot surfaces, exhausts, etc., provided? (16.B.03 and .13)			
9. Are fuel tanks located in a manner to prevent spills or overflows from running onto engine exhaust or electrical equipment?			

	Yes	No	N/A
10. Are exhaust discharges directed so they do not endanger person or obstruct operator vision?(16.B.05)			
11. Are seat belts provided? (16B.08)			
12. Is protection (grills, canopies, screens) provided to shield operator from falling or flying objects? (16.B.10 and .11)			
13. Is roll over protection provided? (16.B.12)			
14. Remarks: (Enter actions taken for "no" answers)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

SAFETY CHECKLIST FOR SCRAPERS, MOTOR GRADERS, AND OTHER MOBILE EQUIPMENT

Contract # and title:			
Equipment name and number: owned or leased?			
Contractor:		Subcontractor:	
Contractor inspector:		Date inspected:	
	Yes	No	N/A
1. Are initial and daily/shift inspection records available? (16.A.01 & .02)			
2. Are only qualified operators assigned to operate equipment? (16.A.04)			
3. Are sufficient lights provided for night operations? (16.A.11)			
4. Does the unit have as a minimum a 5-B:C fire extinguisher? (16.A.26)			
5. Is there an effective working reverse alarm? (16.B.01)			
6. Is the unit shut down for refueling? (16.A.14)			
7. Are moving parts, shafts, sprockets, belts, etc., guarded? (16.B.03, .07 and .13)			
8. Is protection against hot surfaces, exhausts, etc., provided? (16.B.03 and .13)			
9. Are fuel tanks located in a manner to prevent spills or overflow from running onto engine exhaust or electrical equipment? (16.B.04)			
10. Are exhaust discharges directed so they do not endanger persons or obstruct operator vision? (16.B.05)			

	Yes	No	N/A
11. Are seat belts provided for each person required to ride on the equipment? (16.B.08)			
12. Is protection (grills, canopies, screens) provided to shield operators from falling or flying objects? (16.B.10 and .11)			
13. Is roll over protection provided? (16.B.12)			
14. Is a safe means of access to the cab provided (steps, grab bars, non-slip surfaces)? (16.B.03)_			
15. Are adequate head and tail lights provided? (16.A.07)			
16. Have brakes been tested and found satisfactory? (16.A.07)			
17. Does the unit have an emergency brake which will automatically stop the equipment upon brake failure? Is this system manually operable from the drivers position? (16.A.07)			
18. Is all equipment with windshields equipped with powered wipers and defogging or defrosting system? (16.A.07)			
19. Are all vehicles which will be parked or moving slower than normal traffic on haul roads equipped with a yellow flashing light or flasher visible from all directions? (16.A.13)			
20. Is the slow moving emblem used on all vehicles which by design move at 25 MPH or less on public roads? (08A.04)			

	Yes	No	N/A
21. Have air tanks been tested and certified? (20.A.01)			
22. Is an air pressure gage in working condition installed on the unit? (20.A.12)			
23. Does the air tank have an accessible drain valve? (20.B.17)			
24. Remarks: (Enter action taken for all "no" answers)			
Contractor inspector signature			
Contractor QC/safety officer/project manager			

SAFETY CHECKLIST FOR MATERIAL HOISTS

Contract # and title:			
Equipment name & number:			
Contractor:		Subcontractor:	
Contract Inspector:		Date inspected:	
	Yes	No	N/A
1. Are all hoist towers, masts, guys or braces, counterweights, drive machinery supports, sheave supports, platforms, supporting structures, and accessories designed by a licensed engineer? (16.K.02)			
2. Is a copy of the hoist operating manual available? (16.K.04)			
3. Do all floors and platforms have slip-resistant surfaces? (16.K.08)			
4. Are landings and runways adequately barricaded and is overhead protection provided where needed? (16.K.08)			
5. Are hoisting ropes installed IAW manufacturer's instructions? (16.K.10)			
6. Are operating rules posted at the hoist operator's station? (16.K.14)			
7. Are air powered hoists connected to an air supply of sufficient capacity and pressure to safely operate the hoist? (16.K.15)			
8. Are pneumatic hoses secured by some positive means to prevent accidental disconnection? (16.K.15)			
9. Remarks: (Enter actions taken for all "no" answers.)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

SAFETY CHECKLIST FOR EARTH DRILLING EQUIPMENT

Contract # and title:			
Equipment name & number:			
Contractor:		Subcontractor:	
Contractor inspector:		Date inspected:	
	Yes	No	N/A
1. Is a copy of the manual for all drilling equipment available? (16.M.01)			
2. Have all overhead electrical hazards and potential ground hazards been identified in a site layout plan and addressed in an activity hazard analysis? (16.M.02)			
3. Are MSDSs for all drilling fluids available? (16.M.05)			
4. Does the drilling equipment have 2 easily accessible emergency shut down devices (one for the operator and one for the helper)? (16.M.06)			
5. Is the equipment posted with a warning of electrical hazards? (16.M.06)			
6. Is there a spotter or an electrical proximity warning device available to ensure safe distances from power lines are maintained? (16.M.06)			
7. Remarks: (Enter actions taken for "no" answers)			
Contractor inspector signature			
Contractor QC/safety officer/project manager			

INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
4. Submittals requiring expeditious handling will be submitted on a separate form.
5. Separate transmittal form will be used for submittals under separate sections of the specifications.
6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
7. Form is self-transmittal, letter of transmittal is not required.
8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

- | | |
|---|---|
| A -- Approved as submitted. | E -- Disapproved (See attached). |
| B -- Approved, except as noted on drawings. | F -- Receipt acknowledged. |
| C -- Approved, except as noted on drawings.
Refer to attached sheet resubmission required. | FX -- Receipt acknowledged, does not comply
as noted with contract requirements. |
| D -- Will be returned by separate correspondence. | G -- Other (<i>Specify</i>) |

10. Approval of items does not relieve the contractor from complying with all the requirements of the contract plans and specifications.

(Reverse of ENG Form 4025-R)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individuals(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.

Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by
OM
0348-0046

Reporting Entity: _____ Page _____ of _____

REAL PROPERTY INVENTORY

ITEM	TALLY	TOTAL
WASH BASIN		
AIR COMPRESSOR		
HOISTS		
INVENTORY BY:		DATA:
RECONCILED BY:		DATA:

FORT STEWART & HUNTER ARMY AIRFIELD
BORROW PIT EXCAVATION PERMIT

*Date of Application:

*Applicant (user/unit):

*Borrow Pit Location (Pit #): Ft. Stewart/HAAF training area,

*Cubic Yards required:

*Type of Material:

*Final Destination:

*Date to Begin:

*Date to End:

*POC (On-site Manager):

*POC Phone Number:

Below line for office use only.

On-Site Manager/Operator – mobile number

Government Contracting Officer Representative

Approved for Borrow Pit Number

Borrow Pit Management - Environmental

(912-767-9443/fax-767-9779, George Harris or Anthony Austermann)

****Please retain a copy of this Permit, on site, with the operator and notify Range Control when entering or leaving the training area.***

Borrow Pit Release/Checkout is required before leaving the borrow pit area.

Release Approved by/date

Surface Mining (Borrow Pit) Standard Operating Procedure

Excavation Procedure:

1. The Operator of the borrow pit will maintain or create a rim ditch to maximize accessibility to borrow pit materials and ultimately to optimize excavation of that material.
2. The Operator will utilize a water pump, where and when appropriate allowing him access to fill materials in a manner consistent with proper surfacing mining procedures.
3. The Operator shall show a plan as to how the property will be mined, the limits of the affected acreage, the natural drainage features and water disposal, the initial mining and overburden (spoil) area(s), the erosion and sedimentation controls, the ingress/egress area(s), the direction and schedule of mining advancement, the area to be left undisturbed (buffer) where necessary, and a plan that shows projected final reclamation of the site.
4. All borrow pit design and excavation actions shall support the objective of the borrow pit eventually becoming a recreational fishpond, if soil conditions, location and ground water resources favor such development. To accomplish this objective the following procedures must be employed.
 - a. Average depth, when abandoned, will be 6 feet minimum and 12 feet maximum (water depth will range from 3-8 feet).
 - b. Borrow pits will be excavated in a manner, *from the beginning*, to ultimately move them to a useable recreational fishpond.

***The pit operator shall be responsible for maintaining a 4:1 slope on all pit walls/edges and marking these slopes/edges in a manner as to prevent any foot or vehicle traffic from inadvertently falling into the pit.**

Erosion & Sedimentation Control Measures:

- Remain within the boundaries of the borrow pit, which are marked by "surrounding trees and the perimeter road", while making every effort to retain and/or create a buffer zone(s) of undisturbed/natural vegetation following all guideline within *Georgia's Best Management Practices* to prevent silts and sediments from leaving the borrow pit area and entering the waters of the State of Georgia.

Water Quality Control Measures:

- Borrow pit excavation shall not be conducted within 100 feet of the banks of any waters of the State of Georgia, nor discharges to the water or ground to ensure no adverse affects on these waters.
- No Point Source discharges shall be allowed without coordination with the Environmental Branch, and BMPs will be followed at all times.

Sensitive/Special Sites Measures:

- If historical or archaeological resources are encountered during excavation of this borrow pit. Stop working until the Environmental Branch has cleared the pit. ***Immediately contact the Cultural Resources Office - 767-3359/2010***

- No borrow pit may be expanded that is in the proximity of a protected cultural resource site or within the cantonment area.

**Where applicable, adverse effects from audible elements (blasting), and visual elements are to be avoided near these sites so as not to diminish the integrity of the location, design, setting, materials, workmanship, or other structural details.*

Fish and Wildlife Measures:

- Borrow pits or portions of the pits that are no longer suitable for further excavation for fill may be moved toward a final excavation phase that will produce a manageable fish pond.

- The following construction criteria shall strive to be incorporated during excavation for this purpose:

1. A water depth of 3 to 8 feet
2. An area of 3 to 10 acres
3. *All edges sloped at 4:1, with a 5:1 entrance/exit point for gopher tortoise escape, to be converted to a boat ramp upon pond completion.*
4. Earthen piers may be left within the excavated pond
5. Soil and erosion controls to stabilize slope and pond margins with appropriate ground cover plants
6. Borrow pit/pond shall be free of hazards including pilings, poles, abandoned equipment, etc.

Endangered/Protected Species Measures:

- If the borrow pit you are proposing to use is near a protected species, another borrow pit of the same type of material which is near your final destination will be assigned for your use.
- If threatened or endangered species are encountered during excavation of this borrow pit, all work is to be discontinued, and *immediately contact the Fish & Wildlife Office at 767-7263/2584.*

Wetlands Measures:

- Maintain a minimum distance of 50 feet from borrow pit banks to wetlands delineation (footprints).

Solid/Hazardous Waste Measures:

- All solid and hazardous wastes shall be disposed of properly.
- No debris will be left at, in, or around the borrow pit.

Air Quality Measures:

- Where applicable, adverse effects from atmospheric elements, specifically fugitive dust, are to be prevented so as to avoid any significant deterioration of the air quality.

*** If the borrow pit you are proposing to use has been moved into this final excavation phase or has already been converted into a fishpond, another borrow pit of the same type of material which is near your final destination will be assigned for your use. Also, seasonal conditions may be such as to merit utilization of another site to offset unsafe conditions. These sites will require coordination with your POC for utilization. If necessary, another borrow pit of the same material type that is near your final destination will be assigned for your use.**

** The following pass must be obtained from the Range Control Office **before entering** any Training Area.*

POV PASS FOR FORT STEWART ROADS AND TRAINING AREAS		
TO: Appropriate Range Guards and/or military police		
FROM: Chief Range Division, Fort Stewart Ga. 31314 (912) 767-877/8100		
The following individual(s) is/are authorized access to the following Training Area/Facilities beginning _____ thru _____ (Start Date) (End Date)		
Rank, Name (Last, First) _____	Training Area(s) Facility _____	
Organization _____	Phone Number _____	
Reason for Access Pass _____		
Vehicle (Model) (State)	Year	(License Plate Number)
<p style="text-align: center;"><u>Statement of understanding</u></p> <p>I understand that I am permitted to use only the above listed training area(s) and roads leading to and from that area. I may only use that area/facility for the date and time listed below. I understand that I am using these roads at my own risk, and the Commander, 3rd infantry Division and Fort Stewart Assume no responsibility for my safety.</p> <p>Permanently off limit areas- High Risk Dud Area: Artillery Impact Area, Aerial Gunnery Ranges 1-3 (AGR), EOD Area, Tank Gunnery Ranges (B9-16) and small arms impact area, Luzon Range. Abandoned Ranges located in C1 and C4 training areas.</p> <p>CAUTION: DO NOT DISTURB UNEXPLODED AMMUNITION! Mark location and notify Range Control (912) 767-8777 or call the Military Police at 911.</p> <p>I have been briefed and understand the OFF-LIMITS areas and the limitations of this pass. I will notify Range Control at 767-8777 prior to entering an area and upon departure.</p>		
_____ Chief, Range Division	_____ Bearer's Signature	
<u>POST ON DASHBOARD OF VEHICLE</u>		

DIVISION 01 -GENERAL REQUIREMENTS

SECTION 01312A

QUALITY CONTROL SYSTEM (QCS)

1.1 GENERAL

1.1.1 Correspondence and Electronic Communications

1.1.2 Other Factors

1.2 QCS SOFTWARE

1.3 SYSTEM REQUIREMENTS

1.4 RELATED INFORMATION

1.4.1 QCS User Guide

1.4.2 Contractor Quality Control (CQC) Training

1.5 CONTRACT DATABASE

1.6 DATABASE MAINTENANCE

1.6.1 Administration

1.6.1.1 Contractor Information

1.6.1.2 Subcontractor Information

1.6.1.3 Correspondence

1.6.1.5 Equipment

1.6.1.7 Management Reporting

1.6.2 Finances

1.6.2.1 Pay Activity Data

1.6.2.2 Payment Requests

1.6.3 Quality Control (QC)

1.6.3.1 Daily Contractor Quality Control (CQC) Reports

1.6.3.2 Deficiency Tracking.

1.6.3.3 Three-Phase Control Meetings

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1.6.3.5 Features of Work

1.6.3.6 QC Requirements

1.6.4 Submittal Management

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1.6.6 Import/Export of Data

1.7 IMPLEMENTATION

1.8 DATA SUBMISSION VIA COMPUTER DISKETTE OR CD-ROM

1.8.1 File Medium

1.8.2 Disk or CD-ROM Labels

1.8.3 File Names

1.9 MONTHLY COORDINATION MEETING'

1.10 NOTIFICATION OF NONCOMPLIANCE

--End of Section Table of Contents --

SECTION 01312A
QUALITY CONTROL SYSTEM (QC)

Use of electronic communication is encouraged.

The clauses and sections listed below are closely related to this section and must be coordinated with this section. These clauses and sections should reference Section 01312 Quality Control System (QCS) whenever appropriate necessary to require use of QCS by the contractor.

- Contract Clause, Schedules for Construction – Contracts
- Contract Clause, Payments
- Section 01320A PROJECT SCHEDULE
- Section 01330 SUBMITTAL PROCEDURES
- Section 01451A CONTRACTOR QUALITY CONTROL

1.1 GENERAL

The Government will use the Resident Management System for Windows (RMS) to assist in its monitoring and administration of this contract. The Contractor shall use the Government- furnished construction Contractor Module of RMS, referred to as QCS, to record, maintain, and submit various information throughout the contract period. The contractor module, user manuals, updates, and training information can be downloaded from the RMS web site. This joint Government-Contractor use of RMS and QCS will facilitate electronic exchange of information and overall management of the contract. QCS provides the means for the Contractor to input, track, and electronically share information with the Government in the following areas:

Administration
Finances
Quality Control
Submittal Monitoring
Scheduling
Import/Export of Data

1.1.1 Correspondence and Electronic Communications

For ease and speed of communications, both Government and Contractor will, to the maximum extent feasible, exchange correspondence and other documents in electronic format. Correspondence pay requests and other documents comprising the official contract record shall also be provided in paper format, with signatures and dates where necessary. Paper documents will govern, in the event of discrepancy with the electronic version.

1.1.2 Other Factors

Particular attention is directed to contract Clause, "Schedules for Construction Contracts," Contract Clause, "Payments", Section 01320, PROJECT SCHEDULE, Section 01330, SUBMITTAL PROCEDURE, and Section 01451, CONTRACTOR QUALITY CONTROL, which have a direct relationship to the reporting to be accomplished through QCS. Also, there is no separate payment for establishing and maintaining the QCS database; all costs associated therewith shall be included in the contract pricing for the work

1.2 QCS SOFTWARE

QCS is a Windows-based program that can be run on a stand-alone personal computer or on a network. The Government will make available the QCS software to the contractor after award of the construction contract. Prior to the Pre-Construction Conference, the contractor shall be responsible to download, install and use the latest version of the QCS software from the Government's RMS Internet Website. Upon specific justification and request by the Contractor, the Government can provide QCS on 3-1/2 inch high-density diskettes or CD-ROM. Any program updates of QCS will be made available to the Contractor via the Government RM Website as they become available.

1.3 SYSTEM REQUIREMENTS

The following listed hardware and software is the minimum system configuration that the Contractor shall have to run QCS:

Hardware

- IBM-compatible PC with 500 MHz Pentium or higher processor
- 128+ MB RAM for workstation or 256+ MB RAM for server
- 1 GB hard drive disk space for sole use by the QCS system
- 3 1/2 inch high-density floppy drive
- Compact disk (CD) Reader, 8x speed or higher
- SVGA or higher resolution monitor (1024 x 768, 256 colors)
- Mouse or other pointing device
- Windows compatible printer (Laser printer must have 4+ MB of RAM)
- Connection to the Internet, minimum 56 BPS

Software

- MS Windows 98, ME, NT, or 2000
- Word Processing software compatible with MS Word 97 or newer
- Latest version of: Netscape Navigator, Microsoft Internet Explorer, or other browser that supports IHTML 4.0 or higher
- Electronic mail (E-mail), MAPI compatible
- Virus protection software that is regularly upgraded with all issued manufacturer's updates.

1.4 RELATED INFORMATION

1.4.1 QCS User Guide

After contract award, the contractor shall download instructions for the installation and use of QCS from the Government RMS Internet Website; the contractor can obtain the current address from the Government. In case of justifiable difficulties, the Government will provide the Contractor with a CD-ROM containing these instructions

1.4.2 Contractor Quality Control (CQC) Training

The use of QCS will be discussed with the contractor's QC System Manager during the mandatory CQC Training class.

1.5 CONTRACT DATABASE

Prior to the pre-construction conference, the Government shall provide the Contractor with basic contract award data to use on QCS. The Government will provide data updates to the contractor as needed, generally by files attached to E-mail. These updates will generally consist of submittal reviews, correspondence status, QA comments, and other administrative and QA data

1.6 DATABASE MAINTENANCE

The Contractor shall establish, maintain, and update data for the contract in the QCS database throughout the duration of the contract. The Contractor shall establish and maintain the QCS database at the Contractor's site office. Data update to the Government shall be submitted by E-mail with file attachments, e.g., daily reports, schedule updates, payment requests. If permitted by the Contracting Officer, a data diskette or CD-ROM may be used instead of E-mail (see Paragraph DATA SUBMISSION VIA COMPUTER DISKETTE OR CD-ROM). The QCS database typically shall include current data on the following items

1.6.1 Administration

1.6.1.1 Contractor Information

The database shall contain the Contractor's name, address, telephone numbers, management staff, and other required items. Within 14 calendar days of receipt of QCS software from the Government, the Contractor shall deliver Contractor administrative data in electronic format via E-mail.

1.6.1.2 Subcontractor Information

The database shall contain the name, Trade, address, phone numbers, and other required information for all subcontractors. A subcontractor must be listed separately for each trade to be performed. Each subcontractor/trade shall be assigned a unique Responsibility Code, provided in QCS. Within 14 calendar days of receipt of QCS software from the Government, the Contractor shall deliver subcontractor administrative data in electronic format via E-mail.

1.6.1.3 Correspondence

All Contractor correspondence to the Government shall be identified with a serial number. Correspondence initiated by the Contractor's site office shall be prefixed with "S". Letters initiated by the Contractor's home (main) office shall be prefixed with "H". Letter shall be numbered starting from 0001. (e.g., H-0001 OR S-0001). The Government's letters to the Contractor will be prefixed with "C".

1.6.1.5 Equipment

The Contractor's QCS database shall contain a current list of equipment planned for use or being used on the jobsite, including the most recent and planned equipment inspection dates.

1.6.1.7 Management Reporting

QCS includes a number of reports that contractor management can use to track the status of the project. The value of these reports is reflective of the quality of the data input, and is maintained in the various sections of QCS. Among these reports are: Progress payment Request worksheet, QA/QC comments, Submittal Register Status, Three-Phase inspection checklists.

1.6.2 Finances

1.6.2.1 Pay Activity Data

The QCS database shall include a list of pay activities that the Contractor shall develop in conjunction with the construction schedule. The sum of all pay activities shall be equal to the total contract amount, including modifications. Pay activities shall be grouped by Contract Line Item Number (CLIN) and the sum of the activities shall equal the amount of each CLIN. The total of all CLINs equals the Contract amount.

1.6.2.2 Payment Requests

All progress payment requests shall be prepared using QCS. The Contractor shall complete the payment request worksheet and include it with the payment request. The work completed under the contract, measured as percent or as specific quantities, shall be updated at least monthly. After the update, the Contractor shall generate a payment request report using QCS. The Contractor shall submit the payment requests with supporting data by E-mail with file attachment(s). If permitted by the Contracting Officer, a data diskette may be used instead of E-mail. A signed paper copy of the approved payment request is also required, which shall govern in the event of discrepancy with the electronic version.

1.6.3 Quality Control (QC)

QCS provides a means to track implementation of the 3-phase QC Control System, prepare daily reports, identify and track deficiencies, document progress of work, and support other contractor QC requirements. The Contractor shall maintain this data on a daily basis. Entered data will automatically output to the QCS generated daily report. The Contractor shall provide the Government a Contractor Quality Control (CQC) Plan within the time required in Section O1451A, CONTRACTOR QUALITY CONTROL. Within seven calendar days of

Government acceptance, the Contractor shall submit a data diskette or CD-ROM reflecting the information contained in the accepted CQC Plan: schedule, pay activities features of work, submittal register, QC requirements, and equipment list.

1.6.3.1 Daily Contractor Quality control (CQC) Reports

QCS includes the means to produce the, Daily CQC Report. The Contractor may use other formats to record basic QC data. However, the Daily CQC Report generated by QCS shall be the contractor's official report. Data from any supplemental reports by the Contractor shall be summarized and consolidated onto the QCS Report. Daily CQC Reports shall be submitted as required by Section 01451A, CONTRACTOR QUALITY CONTROL. Reports shall be submitted electronically to the government using E-mail or diskette within 24 hours after the date covered by the report. Use of either mode of submittal shall be coordinated with the Government representative. The Contractor shall also provide the Government a signed, printed copy of the daily CQC report

1.6.3.2 Deficiency Tracking.

The Contractor shall use QCS to track deficiencies. Deficiencies identified by the Contractor will be numerically tracked using QC punch list items. The Contractor shall maintain a current log of its QC punch list items in the QCS database. The Government will log the deficiencies it has identified using its QA punch list items. The Government's QA punch list items will be included in its export file to the Contractor. The Contractor shall regularly update the correction status of both QC and QA punch list items.

1.6.3.3 Three-Phase Control Meetings

The Contractor shall maintain scheduled and actual dates and times of preparatory and initial control meetings in QCS.

1.6.3.4 Accident/Safety Tracking.

The Government will issue safety comments, directions, or guidance whenever safety deficiencies are observed. The Government's safety comments will be included in its export file to the Contractor. The Contractor shall regularly update the correction status of the safety comments. In addition, the contractor shall utilize QCS to advise the Government of any accidents occurring on the jobsite. This brief supplemental entry is not to be considered as a substitute for completion of mandatory reports, e.g., ENG Form 3394 and OSHA Form 200 or OSHA Form 300.

1.6.3.5 Features of Work

The Contractor shall include a complete list of the features of work in the QCS database. A feature of work may be associated with multiple pay activities. However, each pay activity (see subparagraph "Pay Activity Data") of paragraph "Finances") will only be linked to a single feature of work.

1.6.3.6 QC Requirements

The Contractor shall develop and maintain a complete list of QC testing, transferred and installed property, and user training requirements in QCS. The

Contractor shall update all data on these QC requirements as work progresses, and shall promptly provide this information to the Government via QCS.

1.6.4 Submittal Management

The Government will provide the initial submittal register, ENG Form 4288, SUBMITTAL REGISTER, in electronic format. Thereafter, the Contractor shall maintain a complete list of all submittals, including completion of all data columns. Dates on which submittals are received and returned by the contractor shall use QCS to track and transmit all submittals. ENG Form 4025, submittal transmittal form, and submittal register update, ENG Form 4288, shall be produced using QCS. RMS will be used to update, store and exchange submittal registers and transmittals, but will not be used for storage of actual submittals.

1.6.5 Schedule

The Contractor shall develop a construction schedule consisting of pay activities, in accordance with Contract Clause "Schedules for Construction Contracts", or Section 01320A, PROJECT SCHEDULE, as applicable. This schedule shall be input and maintained in the QCS database either manually or by using the Standard Data Exchange Format (SDEF) (see Section 01320A PROJECT SCHEDULE). The updated schedule data shall be included with each pay request submitted by the contractor.

1.6.6 Import/Export of Data

QCS includes the ability to export Contractor data to the Government and to import submittal register and other Government – provided data, and Schedule data using SDEF.

1.7 IMPLEMENTATION

Contractor use of QCS as described in the preceding paragraphs is mandatory. The Contractor shall ensure that sufficient resources are available to maintain its QCS database, and to provide the Government with regular database updates. QCS shall be an integral part of the Contractor's management of quality control.

1.8 DATA SUBMISSION VIA COMPUTER DISKETTE OR CD-ROM

The Government-preferred method for contractor's submission of updates, payment requests, correspondence and other data is by E-mail with file attachment(s). For locations where this is not as feasible, the Contracting Officer may permit use of computer diskettes or -R M for data transfer. Data on the disks or CDs shall be exported using the QCS built-in export function. If used, diskettes and CD ROMs will be submitted in accordance with the following:

1.8.1 File Medium

The Contractor shall submit required data on 3_1/ 2' inch double-sided high-density diskettes formatted to hold 1.44 MB of data, capable of running under Microsoft Windows 95.0 newer. Alternatively, CD-ROMS may be used. They shall conform to industry standards used in the United States. All data shall be provided in English.

1.8.2 Disk or CD-ROM Labels

The Contractor shall affix a permanent exterior label to each diskette and: CD-ROM submitted. The label shall indicate in English, the QCS file name, full contract number, contract name, project location, data date, name and telephone number of person responsible for the data.

1.8.3 File Names

The Government will provide the file names to be used by the Contractor with the QCS software. I

1.9 MONTHLY COORDINATION MEETING

The Contractor shall update the QCS database each workday. At least monthly, the Contractor shall generate and submit an export file to the Government with schedule update and progress payment request. As required in Contract Clause "Payments", at least one week prior to submittal, the Contractor shall meet with the Government representative to review the planned progress payment data submission for errors and omissions.

The Contractor shall make all required correction prior to Government acceptance of the export files and progress payment request. Payment requests accompanied by incomplete /incorrect data submittals will be returned. The Government will not process progress payments until an acceptable QCS export file is received.

1.10 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the contractor of any detected noncompliance with the requirements of this specification. The Contractor shall take immediate corrective action after receipt of such notice. work site, shall be deemed sufficient for the purpose of notification.

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01320-- PROJECT SCHEDULE

DIVISION 01 -GENERAL REQUIREMENTS

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1.1 REFERENCES

The publications listed below form a part of the specification to the extent referenced. The publication is referenced in the text by basic designation only.

ER 1-1-11 Progress, Schedules, and Network Analysis Systems (June 1995)

1.2 QUALIFICATIONS -CONTRACTOR SCHEDULING REPRESENTATIVE

The Contractor shall designate, a scheduling representative, the individual tasked with the responsibility for preparation-updating-revision of the NAS schedule who shall be responsible for the preparation and submittal of the entire NAS project schedule including all items specified below and revisions to the schedule or supplemental completion schedules, as applicable or directed by the Contracting Officer.

The scheduling representative shall be approved by the Contracting Officer based on a resume indicating as a minimum, formal training from software vendor or 5 years experience in working with NAS schedules.

EXECUTION

3.1 GENERAL REQUIREMENTS

Pursuant to the Contract Clause, SCHEDULE FOR CONSTRUCTION CONTRACTS, a Project Schedule as described below shall be prepared. The NAS Project Schedule shall be a composite schedule including the design and construction activities. The scheduling of construction only and design-construction shall be the responsibility of the Contractor. Contractor management personnel shall actively participate in its development. Subcontractors and suppliers Designers, Subcontractors and suppliers working on the project shall also contribute in developing and maintaining an accurate Project Schedule. The approved Project Schedule shall be used to measure the progress of the work, to aid in evaluating time extensions, and to provide the basis of all progress payments.

The Government will use the NAS Project Schedule to evaluate the contractor's progress for timely completion, plan for Quality Assurance verification of the work and evaluate the effects of a proposed modification on the contract duration (critical path activities).

3.2 BASIS FOR PAYMENT

The schedule shall be the basis for measuring Contractor progress. Lack of an approved schedule or scheduling personnel will result in an inability of the Contracting Officer to evaluate Contractor's progress for the purposes of payment. Failure of the Contractor to provide all information, as specified below, shall result in the disapproval of the entire Project Schedule submission and the inability of the Contracting Officer to evaluate Contractor progress for payment purposes. In the case where Project Schedule revisions have been directed by the Contracting Officer and these revisions have not been included in the Project Schedule, the Contracting Officer may hold retainage up to the maximum allowed by contract, each payment period, until revisions to the Project Schedule have been made.

3.3 PROJECT SCHEDULE

Project schedule software

The contractor shall prepare the NAS schedule using a computer software system. The system utilized by the Contractor shall be capable of satisfying all requirements of this specification and ER 1-1-11. Manual methods used to produce any required information shall require prior approval by the Contracting Officer. The Contracting Officer intends to use PRIMAVERA P3.

Should the contractor utilize software that is different than that utilized by the Contracting Officer, based on the software utilized by the contractor for the preparation of the NAS schedule, the contractor shall provide a copy of the software and a license to the Administrative Contracting Officer at the Government field office until final payment. The software and license shall be returned to the contractor. The Contractor shall submit a copy of the user's manual outlining the selected CPM computer program's mathematical analysis capabilities, details, functions and operation.

The Contractor shall provide to the Government a complete input listing for the alternate software proposed.

3.3.3.1 Use of the Critical Path Method

The Critical Path Method (CPM) of network calculation shall be used to generate the Project Schedule. The Contractor shall provide the Project Schedule in the Precedence Diagram Method (PDM).

3.3.3.2 Level of Detail Required

The Project Schedule shall include an appropriate level of detail. Failure to develop or update the project Schedule or provide data to the Contracting Officer at the appropriate level of detail, as specified by the Contracting Officer, shall result in the disapproval of the schedule. The Contracting Officer will use, but is not limited to, the following conditions to determine the appropriate level of detail to be used in the Project Schedule:

3.3.2.1 Activity Durations

Contractor submissions shall follow the direction of the Contracting Officer regarding reasonable activity durations. Reasonable durations are those that allow the progress of activities to be accurately determined between payment periods (usually less than 2 percent of all non-procurement activities' Original Durations are greater than 20 days). Durations shall be in workdays.

The contractor's NAS preparer should select the proper calendar in PRIMAVERA, based on his proposed weekly work schedule. (5-day, 6-day, 7-day, etc)

3.3.2.2 N/A

3.3.2.3 Procurement Activities

Tasks related to the procurement of long lead materials or equipment shall be included as separate activities in the project schedule. Long lead materials and equipment are those materials that have a procurement cycle of over {90} days. Examples of key procurement

activities include, but are not limited to: shop drawing submittals/ approvals or review/and fabrication/delivery.

3.3.2.4 Critical Submission Activities

The following activities shall be listed as separate line activities on the Contractor's project schedule:

- a. Submission and approval of mechanical/electrical layout drawings
- b Submission and approval of O & M manuals
- c. Submission and approval of as-built drawings
- d. Submission and approval of 1354 data and installed equipment lists
- e. Submission and approval of testing and air balance (TAB)
- f. Submission of TAB specialist design review report
- g. Submission and approval of fire protection specialist
- h. Submission and approval of testing and balancing of HVAC plus commissioning plans and data and water balance dates

3.3.2.5 Government Activities

Government and other agency activities that could impact progress shall be included in the schedule. These activities include, but are not limited to: Government approvals, Government review and verification that design submittals are in accordance with the RFP inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements. Government approval of shop drawings activities should be shown with the duration at least the minimum allowed by the contract. The contractor's failure to provide reasonable durations in its schedule for Government activities does not establish or change the Government's review or approval path periods and the durations established for Government's activities are subject to approval by the Contracting Officer.

3.3.2.5.1 Work activities to be included on the critical path.

The contractor must include a reasonable duration and reasonable cost for each of the following work activities for labor and materials to be expended by the contractor and/or subcontractors. The duration and reasonable cost of each work activity must be acceptable as reasonable to the Government.

CQC –debugging (all) mechanical systems test (indicate the specific system)

CQC- debugging (all) electrical system tests (indicate the specific system)

Government QA (all) mechanical system acceptance/operational test (indicate specific system)

Government QA (all) electrical system acceptance /operational test (indicate specific system)

CQC completion inspection of the entire project

Contractor works off CQC punchlist

Prefinal inspection

Contractor works off prefinal punchlist

Final/acceptance inspection

Contractor works off final punchlist.

Contractor shall allow a minimum of 70 calendar days total duration prior to current contract completion date for the above stated activities. (See specification section 01445 Contractor Quality Control). All mechanical and electrical systems shall be completed installed – all components and ready for CQC debugging system testing prior to the contract status reaching 90% of the contract duration completed.

Include all Government and other agency activities that could impact progress shall be shown. These activities include, but are not limited to: approvals, approvals, design reviews, environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

3.3.2.5.2 Contracts with multiple buildings/facilities

The contractor shall prepare a separate detailed NAS schedule for each building/facility indicating its critical path for specified interim completion dates or critical milestone date. The master NAS schedule shall indicate the interface/lag/link between buildings/facilities to maximize/level the labor and other resources. The master schedule critical path must be indicated through the various buildings/facilities and total duration equal to the contract duration.

The contractor should break out each building/ facility (with proper coding) to allow a separate progress analysis of each—monthly. This separate analysis should include separate NAS sorts (such as total float----LS/AS-LF/AF –float----and earning curve for each separate building/ facility). The master NAS should indicate the contract critical path. Each building/facility that is scheduled to complete prior to the contract CCD (target completion date) the NAS should include a work activity “contingency” with the duration of the period for the building/facility completion which is less than the duration of the contract critical path. This will require the separate NAS schedules to indicate the (internal critical path) for each separate building/facility such that an analysis can be performed on the progress of the separate building/facility completion toward the target completion date.

3.3.2.6 Responsibility

All activities shall be identified in the project schedule by the party responsible to perform the work. Responsibility includes, but is not limited to, the subcontracting firm, contractor work force, or government agency performing a given task. Activities shall not belong to

more than one responsible party. The responsible party for each activity shall be identified by the Responsibility Code.

3.3.2.7 Work Areas

All activities shall be identified in the project schedule by the work area in which the activity occurs. Activities shall not be allowed to cover more than one work area. The work area of each activity shall be identified by the Work Area Code.

3.3.2.8 Modification or Claim Number

Any activity that is added or changed by contract modification or used to justify claimed time shall be identified by a mod or claim code that changed the activity. Activities shall not belong to more than one modification or claim item. The modification or claim number of each activity shall be identified by the Mod or Claim Number. Whenever possible, changes shall be added to the schedule by adding new activities. Existing activities shall not normally be changed to reflect modifications

3.3.2.9 Bid Item

All activities shall be identified in the project schedule by the Bid Item to which the activity belongs. An activity shall not contain work in more than one bid item. The bid item for each appropriate activity shall be identified by the Bid Item Code.

3.3.2.10 Phase of Work

All activities shall be identified in the project schedule by the phases of work in which the activity occurs. Activities shall not contain work in more than one phase of work. The project phase of each activity shall be by the unique Phase of Work Code.

3.3.2.11 Category of Work

NOTE: Selection of construction or design-build construction text required.

All Activities shall be identified in the project schedule according to the category of work which best describes the activity. Category of work refers, but is not limited, to the procurement chain of activities including such items as submittals designs, design package submissions design reviews, review conferences, permits, submittals, approvals, procurement, fabrication, delivery, installation, start-up, and testing. The category of work for each activity shall be identified by the Category of Work Code.

3.3.2.12 Feature of Work

All activities shall be identified in the project schedule according to the feature of work to which the activity belongs. Feature of work refers, but is not limited to, a work breakdown structure for the project. The feature of work for each activity shall be identified by the Feature of Work Code.

3.3.3 Scheduled Project Completion

The schedule duration shall extend from NTP to the official contract completion date as awarded (unless approved by Contracting Officer-for early completion).

3.3.3.1 Project Start Date

The schedule shall start no earlier than the date on which the NTP was acknowledged. The Contractor shall include as the first activity in the project schedule an activity called "Start

Project". The "Start Project" activity shall have an "ES" constraint date equal to the date that the NTP was acknowledged, and a zero day duration.

3.3.3.2 Constraint of Last Activity

Completion of the last activity in the schedule shall be constrained by the contract completion date. Calculation on project updates shall be such that if the early finish of the last activity falls after the contract completion date, then the float calculation shall reflect a negative float on the critical path. The Contractor shall include as the last activity in the project schedule an activity called "End Project". The "End Project" activity shall have an "LF" constraint date equal to the completion date for the project, and a zero day duration.

3.3.3.3 Early Project Completion

In the event the project schedule shows completion of the project prior to the contract completion date, the Contractor shall identify those activities that have been accelerated and/or those activities that are scheduled in parallel to support the Contractor's "early" completion. Contractor shall specifically address each of the activities noted in the narrative report at every project schedule update period to assist the Contracting Officer in evaluating the Contractor's ability to actually complete prior to the contract period.

The Contractor shall include an activity named "contingency" with no cost and a duration equal to the number of calendar days from the date all the contract work is planned to be completed, to the official contract completion date as awarded.

3.3.4 Interim Completion Dates

Contractually specified interim completion dates shall also be constrained to show negative float if the early finish date of the last activity in that phase falls after the interim completion date.

The contractor shall provide a reasonable duration and cost for each work activity as listed below, in accordance with the specified design duration or not to exceed 180 calendar days for the design phase.

Design phase

The contractor shall include the following design phase activities in the composite design and construction NAS Project schedule

Prewrite conference within 5 days after NTP

Design Charrette (Preliminary Design) within 7 days after NTP

Submittal of preliminary design (60%)

Design review conference of Preliminary design

Submittal of Final design (95%)

Design review conference of Final design

Submittal of Corrected Final design (100%)

Design review conference of Corrected Final design

Design Complete--- {The contracting officer shall advise the contractor in writing when the final design documents are approved for construction}

The duration of each of these activities must be the duration as included in the contract award.

3.3.4.1 Start Phase

The Contractor shall include as the first activity for a project phase an activity called "Start Phase X" where "X" refers to the phase of work. The "Start Phase X" activity shall have an "ES" constraint date equal to the date on which the NTP was acknowledged, and a zero day duration.

3.3.4.2 End Phase

The Contractor shall include as the last activity in a project phase an activity called "End Phase X" where "X" refers to the phase of work. The "End Phase X" activity shall have an "LF" constraint date equal to the completion date for the project, and a zero day duration.

3.3.4.3 Phase X

The Contractor shall include a hammock type activity for each project phase called "Phase X" where "X" refers to the phase of work. The "Phase X" activity shall be logically tied to the earliest and latest activities in the phase.

3.3.5 Default Progress Data Disallowed

NOTE: The last 2 sentences of the paragraph will be deleted if not required for the project.

Actual Start and Finish dates shall not be automatically updated by default mechanisms that may be included in CPM scheduling software systems. Actual Start and Finish dates on the CPM schedule shall match those dates provided from Contractor Quality Control Reports. Failure of the Contractor to document the Actual Start and Finish dates on the Daily Quality Control report for every in-progress or completed activity, and failure to ensure that the data contained on the Daily Quality Control reports is the sole basis for schedule updating shall result in the disapproval of the Contractor's schedule and the inability of the Contracting Officer to evaluate Contractor progress for payment purposes. Updating of the percent complete and the remaining duration of any activity shall be independent functions. Program features which calculate one of these parameters from the other shall be disabled.

3.3.6 Out-of-sequence Progress

Activities that have posted progress without all preceding logic being satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case approval of the Contracting Officer. The Contractor shall propose logic corrections to eliminate all out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule.

3.3.7 Negative Lags

Lag durations contained in the project schedule shall not have a negative value.

3.4 PROJECT SCHEDULE SUBMISSIONS

The Contractor shall provide the submissions as described below. The data for each submission is as follows:

The contractor shall provide a bar chart schedule for the first 30 calendar days of the contract at the Pre-construction conference.

3.4.1 Preliminary NAS Project Schedule Submission

The Preliminary NAS Project Schedule, defining the Contractor's planned operations for the first 60 calendar days shall be submitted for approval at within 30 days after NTP.

The approved preliminary schedule shall be used for payment purposes not to exceed 60 calendar days after NTP. The preliminary schedule shall be detailed for the first 90 days and depict the remainder of the project in summary format.

The preliminary schedule shall be submitted on data disk or CD (2 copies).

3.4.2 Initial NAS Project Schedule Submission

The Initial NAS Project Schedule shall be submitted for approval within 50 calendar days after NTP is acknowledged. The schedule shall include detailed activities for the entire project with a reasonable sequence of activities, and shall be at a reasonable level of detail as approved by the Contracting Officer.

The initial schedule shall be submitted on data disk or CD (2 copies).

The initial (base line) schedule submittal must include the following in three hard copies as a minimum (for each building or facility –if the contract includes multiple buildings or facilities) and/or the entire contract –as applicable

Total float sort

Earning –cash flow curve

Milestone sort

Manpower resource curve (total # of manpower vs % of duration)

LS-AS/LF-AF sort (sorted in chronological order by LS dates from NTP to CCD)

Narrative report

Three hard copies of updates of all diagrams including summary diagram, reports/sorts, cash flow curves and narrative report

3.4.3 Periodic-Monthly Updates (entire NAS project schedule)

The Contractor shall submit monthly schedule updates to the Contracting Officer for approval. Monthly updates shall continue until the contract is accepted by the Contracting Officer. These submissions shall enable the Contracting Officer to assess Contractor's monthly progress. The monthly updates shall be submitted on data disk or CD (2 copies).

Three hard copies of updates of all diagrams including summary diagram, reports/sorts, cash flow curves and narrative report

The following is the data/sorts needed monthly by field office staff

Project Engr (monitor progress) /updating	Office Engr (progress payments)	QAR (tracking progress for progress payments)
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NARRATIVE REPORT

ANTICIPATED EARNING NETWORK DIAGRAM

LATE START -ACTUAL START SORT

LATE FINISH-ACTUAL FINISH SORT EARNING BY BID ITEM ACTIVITY ID SORT

TOTAL FLOAT SORT

MILESTONE SORT

EARNINGS CURVE (SCH AND ACTUAL
% COMPLETE--AS OF DATA DATE)

The Contractor shall submit monthly schedule updates to the Contracting Officer for approval. Monthly updates shall continue until the contract is accepted by the Contracting Officer. These submissions shall enable the Contracting Officer to evaluate the Contractor's monthly progress.

The contractor's invoice may be deemed as an improper invoice, and the invoice will be returned to the contractor without progress payment, if it fails to provide monthly updates acceptable to Contracting Officer, this may delay progress payment and may result in an interim unsatisfactory performance rating.

The contractor shall include its requests to revise/adjust the NAS schedule for approval, prior to implementing the revisions into the official schedule.

3.4.4 Standard Activity Coding Dictionary

The Contractor shall use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11, Appendix A. This exact structure is mandatory, even if some fields are not used.

3.5 SUBMISSION REQUIREMENTS

3.5.1 DATA DISKS

Two data disks containing the project schedule shall be provided.

Data on the disks shall adhere to the SDEF format specified in ER 1-1-11, Appendix A.

3.5.1.1 File Medium

Required data shall be submitted on CD including the baseline and all updates (cumulative). Monthly data disks must be, 3.5 disks, formatted to hold 1.44 MB of data, under the MS-DOS Version 5.0 or 6.x, unless otherwise approved by the Contracting Officer.

3.5.1.2 Disk Label

A permanent exterior label shall be affixed to each disk submitted. The label shall indicate the type of schedule (Preliminary, Initial, Update, or Change), full contract number, project name, project location, data date, name and telephone number or person responsible for the schedule, and the MS-DOS version used to format the disk

3.5.1.3 File Name

Each file submitted shall have a name related to either the schedule data date, project name, or contract number. The Contractor shall develop a naming convention that will ensure that the names of the files submitted are unique. The Contractor shall submit the file naming convention to the Contracting Officer for approval. Provide the naming convention (limited to 4 characters: i.e. Filename (contract 99-47) = 47BL for Baseline and 4701 for 1st monthly).

3.5.2 Narrative Report

The contractor should provide a comprehensive and meaningful narrative report with the preliminary, initial NAS submittals and all monthly updates. The narrative report is important to indicate that the contractor has reviewed and evaluated the updated NAS and has developed a plan to recover the original schedule (if applicable) or is anticipating the work activities and the necessary planning and resources to accomplish the scheduled work. The narrative report should be reviewed by the government representative prior to scheduling the second monthly progress meeting with the contractor to perform a joint analysis and evaluation of the updated NAS schedule.

A typical narrative should include:

- Abstract – Project is / is not on schedule, problems, and any changes in logic or time estimates.
- Critical Path – The critical path did / did not shift. The critical path goes through the listed events... (listing must follow).
- Milestones – Before the next report... list the milestones that will complete.
- Discussion – include the following
 - Status information
 - Crew Size, remain the same, must go up or down.
 - Activities that need to be pushed.
 - List system or phases in operation in the coming weeks.
- List Attachments – Sorts, plots, daily reports, photos, other required documentation.

A Narrative Report shall be provided with the preliminary, initial, and each monthly update of the project schedule. This report shall include: a description of activities along the most critical paths, a description of current and anticipated problem areas or delaying factors and their impact, and an explanation of corrective actions taken or required to be taken. The narrative report is expected to relay to the Government, the Contractor's thorough analysis of the schedule output and its plans to compensate for any problems, either current or potential, which are revealed through that analysis. If the contractor believes that any Government action or inaction has, or potentially, will impact its progress, it will include the specific notice of the fact in this report. This information should include the activity number of the impacted work, nature and duration of the impact.

The narrative report shall address all modifications and weather activities that were input for the progress and their impact on the contract completion and total float.

3.5.3 Approved Changes Verification

Only project schedule changes that have been previously approved by the Contracting Officer shall be included in the schedule submission. The Narrative Report shall specifically reference, on an activity-by-activity basis, all changes made since the previous period and relate each change to documented, approved schedule changes.

3.5.3.1 Project Monthly and Specific Milestone Dates

Milestone dates shall be shown on the diagram for start of project, each monthly milestone for the critical path activity in progress as of the data date, specific milestones such as: foundation complete, structure complete, roof complete, facility dried in, interim completion dates, and other specific contract milestones as required by the Contracting Officer.

3.5.4 Schedule Reports

The format for each activity for the schedule reports listed below shall contain: Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float. Actual Start and Actual Finish Dates shall be printed for those activities in progress or completed.

Milestone Report

The established monthly and special milestones shall be included in this report.

All mechanical and electrical systems shall be completed installed – all components and ready for CQC debugging system testing prior to the contract status reaching 90% of the contract duration completed.

The Late start /Actual start ----Late finish / Actual finish ----float sort (sorted by late start in chronological order from NTP to CCD).

The actual recorded dates during the monthly period should be reported as a part of the monthly schedule update to the NAS prepared for updating.

3.5.4.1 Activity Report

A list of all activities sorted according to activity number

3.5.4.2 Logic Report

A list of Preceding and Succeeding activities for every activity in ascending order by activity number. Preceding and succeeding activities shall include all information listed above in paragraph Schedule Reports. A blank line shall be left between each activity grouping.

3.5.4.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. Activities which have the same amount of total float shall be listed in ascending order of Early Start Dates. Completed activities shall not be shown on this report.

3.5.4.4 Earnings Report

A compilation of the Contractor's Total Earnings on the project from the NTP until the most recent Monthly Progress Meeting. This report shall reflect the Earnings of specific activities based on the agreements made in the field and approved between the Contractor and Contracting Officer at the most recent Monthly Progress Meeting. Provided that the Contractor has provided a complete schedule update, this report shall serve as the basis of determining Contractor Payment. Activities shall be grouped by bid item and sorted by activity numbers. This report shall: sum all activities in a bid item and provide a bid item percent; and complete and sum all bid items to provide a total project percent complete. The printed report shall contain, for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Total Quantity, Quantity to Date, Percent Complete (based on cost), and Earnings to Date.

3.5.5 Network Diagram

{At least one hard copy of the network diagram shall be required on the preliminary schedule, initial schedule submission, and updated on each monthly schedule submissions. Monthly updates must indicate actual progress as of the data date. The network diagram shall depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished.}

Network diagrams shall show the order and interdependence of project activities and the sequence in which the work is to be accomplished, as planned by the Contractor. The network diagramming procedure which will be used will show how the start of a given activity is dependent on the completion of preceding activities, and how its completion restricts the start of following activities.

Activity Duration:

The activity duration shall be indicated in "work" days, and revise the assigned calendar. The contractor may request to change the work days from 5 days/week to 6 or 7 days/week should this action become necessary to regain the schedule due to problems unrelated to the Government actions.

Contractor submissions shall include reasonable activity durations as determined by the contractor and subcontractors. The durations are to be determined by the contractor using the planned crew size/composition.

All activities shall be resource loaded with the crew size and composition

The network diagram shall be required on the initial schedule submission and on monthly schedule update submissions. The network diagram shall depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.5.1 Continuous Flow

Diagrams shall show a continuous flow from left to right with no arrows from right to left. The activity number, description, duration, and estimated earned value shall be shown on the diagram.

3.5.5.2 Project Milestone Dates

Dates shall be shown on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.5.3 Critical Path

The critical path shall be clearly shown

3.5.5.4 Banding

Activities shall be grouped to assist in the understanding of the activity sequence. Typically, this flow will group activities by category of work, work area and/or responsibility.

3.5.5.5 S-Curves

Earnings (cash flow) curves (as required for submissions) shall show scheduled ES/EF and LS/LF curves and actual progress plotted as of the data date. The cash flow curves are affected by the assigned cost and duration of the activities.

Earnings curves showing projected early and late earnings and earnings to date.

3.6 PERIODIC-MONTHLY PROGRESS MEETINGS

There will be two progress meetings.

First- A progress update meeting will be held at the onsite between USACE and the authorized contractor representatives, on the agreed cut-off date established at the pre-construction conference. During this meeting the Contractor shall indicate it's requested percentage completed on each activity on which there was a revised percentage of completion. The Contracting Officer must approve actual progress percentages for each Progress meetings to discuss payment shall include a monthly onsite meeting or other regular intervals mutually agreed to at the pre-construction conference. During this meeting the Contractor shall describe, on an activity-by-activity basis, all proposed

revisions and adjustments to the project schedule required to reflect the current status of the project.

The Contracting Officer will approve activity progress, proposed revisions, and adjustments as appropriate.

The updated progress data will be evaluated at the second progress meeting.

3.6.1 FOR WORK ACTIVITIES WHICH ARE SUBSTANTIALLY COMPLETED (99%)-EXCEPT FOR MINOR DEFICIENCIES

It is necessary for work activities, which are substantially completed (99%) and have only minor deficiencies remaining to be corrected or completed to be eliminated from the NAS schedule and reported as 100%.

This is necessary to allow the NAS schedule update to reflect a more accurate contract progress status and to provide schedule dates and NAS sorts to be used for planning and accurate evaluation for timely completion.

The following is recommended action to be taken at the time of monthly updating for progress payments.

- If a work activity is determined to be substantially complete (99%) by the contractor and the Government representative-the work activity should be reported at 100% complete and the actual date of completion recorded.

- The cost assigned to the work activity must be reduced by 1% of the assigned cost of the work activity.

- A new work activity must be established in the NAS ---“Punchlist items”

- The cost of 1% of the reduction of the work activity, which is substantially complete, must be reported as the cost of the new work activity “Punchlist items”

- The Government and contractor representative must prepare and maintain a comprehensive listing of all deficiencies included in the new work activity and their individual cost of the total cost reported for the new work activity.

- Upon completion of each monthly NAS update the listing of deficiencies must be complete with individual costs assigned and the current total cost of the new work activity agreed by the contractor and the Government representative and reported to the contractor’s NAS individual for updating the NAS schedule.

- As the noted deficiencies are corrected or completed, the % of the total cost of the new work activity “Punchlist items” will be included in the progress payment for payment in the month the deficiency was corrected.

Second- a progress evaluation meeting shall be held with the contractor, after the updating of the current progress period work activities percentage is complete including modifications and adverse weather activities, to evaluate progress and the NAS schedule.

adjustments to the NAS schedule. Update information must include the Actual Start Dates, Actual Finish Dates, Remaining Durations, and Cost-to-Date. The Contractor must address all the activities on an activity-by-activity basis during the second progress meeting. The monthly updated NAS schedule is submitted to the Contracting Officer, for approval, with the contractor's request for progress payment. The evaluation will include a review of actual durations compared to scheduled durations for critical and non-critical activities, progress on critical activities and near critical activities, trends, and current/potential problem areas, cash flow progress, and projected workflow of activities.

The contractor's narrative report shall be available for review at least three days prior to the second progress meeting.

The monthly NAS schedule update must include an adverse weather activity for work activities impacted greater than 50% of the work shift or were impacted by previous adverse weather (carry-over). The adverse weather activities must be added and applied to the NAS schedule, (all work activities –within 10 days float or less when compared to the current critical path and current critical activities)--AFTER all of the modifications finalized within the month have been applied to the NAS schedule in the sequential order of finalization during the progress month

The time extension for usually severe weather (in calendar days) must result from the agreement reached or (as directed) by the Contracting Officer) following the joint Contractor and Contracting Officer monthly weather evaluation held to review the CQC and QA daily reports, not later than 7 calendar days after the end of the progress month. The Contracting Officer will confirm the results of this evaluation to the contractor in writing monthly.

The official contract completion date must be revised on the NAS schedule, monthly (if applicable) based on the Contracting Officer's letter confirming the results of the monthly evaluation, to include the time extension in calendars of unusually severe weather (actual adverse weather impact less the specified anticipated adverse weather impact, for the specific month).

A contract modification (SF 30) for a time extension to the official contract completion date, due to unusually severe weather (if any), will be completed quarterly by the Contracting Officer based on the monthly evaluations.

3.6.1 Meeting attendance

The Contractor's Project Manager/Superintendent, Chief Quality Control, and Contractor's Scheduler, (as approved in paragraph B), shall attend the second monthly progress meeting. The onsite Government representatives shall be advised of the meetings location, time and date.

3.6.2 Update submission following progress meeting

A complete update of the entire NAS project progress schedule containing all approved revisions, and adjustments, based on the second monthly progress meeting, shall be

submitted not later than 6 working days after the second monthly progress meeting, (if applicable).

3.6.3 Progress Meeting contents

3.6.3.1 Start and Finish Dates

The Actual Start and Actual Finish dates for each activity currently in-progress or completed.

3.6.3.2 Time Completion

The estimated Remaining Duration for each activity in-progress. Time-based progress calculations shall be based on Remaining Duration for each activity.

3.6.3.3 Cost Completion

The earnings for each activity started. Payment will be based on earnings for each in-progress or completed activity. Payment for individual activities will not be made for work that contains quality defects. A portion of the overall project amount may be retained based on delays of activities.

3.6.3.4 Logic Changes

All logic changes pertaining to NTP on change orders, change orders to be incorporated into the schedule, contractor proposed changes in work sequence, corrections to schedule logic for out-of-sequence progress, lag durations, and other changes that have been made pursuant to contract provisions shall be specifically identified and discussed.

3.6.3.5 Other Changes

Other changes required due to delays in completion of any activity or group of activities include: 1) delays beyond the Contractor's control, such as strikes and unusual weather. 2) delays encountered due to submittals, Government Activities, deliveries or work stoppages, which make re-planning the work necessary. 3) Changes required correcting a schedule, which does not represent the actual or planned prosecution and progress of the work.

3.7 REQUESTS FOR TIME EXTENSIONS

In the event the Contractor requests a time extension of the contract completion date, or any interim milestone date, the Contractor shall furnish the following for a determination as to whether or not the Contractor is entitled to an extension of time under the provisions of the contract: justification, project schedule data, and supporting evidence as the Contracting Officer may deem necessary. Submission of proof of delay shall be based on a subnet/fragnet of work activities, revised activity logic, duration, and costs (updated to the specific date that the delay occurred) is required for any time extension approvals. The project schedule shall clearly display that the Contractor has used, in full, all the float time available for the work involved with this request. Actual delays that are found to be caused by the Contractor's own actions, which result in the extension of the schedule, shall not be a cause for a time extension to the contract completion date.

3.7.1 Justification of Delay

The project schedule shall clearly display that the Contractor has used, in full, all the float time available for the work involved with this request.

The Contracting Officer's determination as to the number of allowable days of contract extension shall be based upon the project schedule updates in effect for the time period in question, and other factual information. Actual delays that are found to be caused by the Contractor's own actions, which result in the extension of the schedule, will not be a cause for a time extension to the contract completion date.

3.7.2 Submission Requirements –for time extension requests

The Contractor shall submit a comprehensive time analysis and justification for each “Request for Proposal” for a change in the contract, based upon the most recent approved schedule update at the time of the RFP issued. Such a time analysis and justification shall be in accordance with the requirements of other appropriate Contract Clauses and shall include, as a minimum:

a. A subnet/fragnet of activities indicating all new change activities and the affect on existing schedule activities.

b. A brief explanation of the causes of the change.

c. An analysis of the overall impact the subnet/fragnet has when applied to the current-updated approved NAS schedule.

Activities impacted in each justification for change shall be identified by a unique activity code contained in the required data file.

Modifications to the contract

Unpriced, unilateral and bilateral (without agreement on time) modifications

Upon receipt of the signed SF 30, for un-priced and unilateral modifications (or bilateral modifications with agreement on costs without an agreement on time, the Contractor shall submit proposed schedule revisions (in the form of a proposed subnet/fragnet) to the Contracting Officer for approval, within 14 days of the SF 30 being issued. The proposed (subnet/fragnet) revisions to the schedule will be approved by the Contracting Officer prior to application of those changes within the project schedule.

Should the contractor fail or refuse to submit the provisions, the Contracting Officer may furnish the Contractor suggested (subnet/fragnet) revisions to the project schedule.

Upon receipt, the Contractor shall include these subnet/fragnet revisions in the project schedule.

If the Contractor has any objections to the revisions furnished by the Contracting Officer, the Contractor shall advise the Contracting Officer within 14 days of receipt of the revisions.

Regardless of the objections, the Contractor shall continue to update the schedule with the

Contracting Officer's revisions until a mutual agreement on the revisions is reached.

If the Contractor fails to submit alternative revisions within 2 weeks of receipt of the Contracting officer's proposed revisions, the contractor will be deemed to have concurred with the Contracting Officer's proposed revisions. The proposed revisions will be the basis for an "equitable adjustment" for performance of the work.

Bilateral modifications shall be entered into the NAS schedule, utilizing the subnet/fragnet as agreed during negotiations, immediately after receipt of signed SF 30. Entries to the schedule must be approved by Contracting Officer.

All modifications subnets/fragnets shall be applied to the NAS schedule immediately in the sequence in which they were finalized (received signed SF 30). Weather time extensions must be included upon receipt of the results of the monthly weather evaluation.

Contractor falls behind the approved project schedule

If the Contractor falls behind its approved schedule, (behind the LS/LF cash flow curve or more than 20 work days of negative float) or performs the work in such a manner that the network diagram and mathematical analysis no longer indicate reasonable logic and duration for completion of the work by the current contract completion date, as determined by the Contracting Officer, the Contractor shall promptly provide a supplemental NAS recovery or completion schedule for completion by the current completion date, by reducing the remaining durations, revising logic, or adjusting resources onsite (in addition to the original approved NAS schedule) as approved by the Contracting Officer. The supplemental schedule shall be (resource loaded with crew size and productivity for each remaining activity, and indicating overtime, weekend work, double shifts needed to regain the schedule), in accordance with FAR 52.236-15, without additional cost to the Government.

The supplement schedule shall not replace the original approved schedule as the official contract schedule. The original approved schedule shall be updated monthly (in addition to the supplemental schedule) and monitored by the contractor and the Contracting Officer to determine the effect of the supplemental schedule progress has on the contract progress to regain its rate of progress for timely completion as specified.

The failure of the contractor to perform in accordance with his supplemental recovery schedule will be considered justification for an interim unsatisfactory performance rating and require the contractor to submit a second supplement recovery schedule. Failure to perform in accordance with the second supplemental recovery schedule will result in a final unsatisfactory performance rating.

The Contractor shall not artificially improve its progress by revising the schedule logic restraints or shortening future work activity durations.

The contractor may improve its progress by performing sequential work activities concurrently or by performing activities more quickly than planned, but such improvements shall be indicated on a supplement schedule and shall not be recorded on the official until they have actually been achieved by the contractor.

The additional resources required to improve the progress must be evident on the work site.

Failure of the contractor to perform work and maintain progress in accordance with the supplemental recovery or completion schedule, may result in an interim and final unsatisfactory performance rating and/or may result in corrective action by the contracting officer in accordance with FAR 52.236-15.

- a. A list of affected activities, with their associated project schedule activity number.
- b A brief explanation of the causes of the change
- c. An analysis of the overall impact of the changes proposed
- d. sub-network of the affected area

Activities impacted in each justification for change shall be identified by a unique activity code contained in the required data file.

3.7.3 Additional Submission Requirements

For any requested time extension of over 2 weeks, the Contracting Officer may request an interim update with revised activities for a specific change request. The Contractor shall provide this disk within 4 days of the Contracting Officer's request.

3.8 DIRECTED CHANGES

If the NTP is issued for changes prior to settlement of price and/or time, the Contractor shall submit proposed schedule revisions to the Contracting Officer: within 2 weeks of the NTP being issued. The proposed revisions to the schedule will be approved by the Contracting Officer prior to inclusion of those changes within the project schedule. If the Contractor fails to submit the proposed revisions, the Contracting Officer may furnish the Contractor with suggested revisions to the project schedule. The Contractor shall include these revisions in the project schedule until revisions are submitted, and final changes and impacts have been negotiated. If the Contractor has any objections to the revisions furnished by the Contracting Officer, the Contractor shall advise the Contracting Officer within 2 weeks of receipt of the revisions. Regardless of the objections, the Contractor shall continue to update the schedule with the Contracting Officer's revisions until a mutual agreement in the revisions is reached. If the Contractor fails to submit alternative revisions within 2 weeks of receipt of the Contracting Officer's proposed revisions, the Contractor will be deemed to have concurred with the Contracting Officer's proposed revisions. The proposed revisions will then be the basis for an equitable adjustment for performance of the work.

3.9 OWNERSHIP OF FLOAT

Float available in the schedule, at any time, shall not be considered for the exclusive use of either the Government or the Contractor.

End of Section

SECTION 01330

SUBMITTAL PROCEDURES

- 1.1 Submittal Classification
 - 1.1.1 Government Approved
 - 1.1.2 For Information Only (FIO)
- 1.2 Approved Submittals
- 1.3 Disapproved Submittals
- 1.4 Withholding of Payment
- 2.1 General
- 2.2 Submittal Register (ENG Form 4288)
- 2.3 Scheduling
- 2.4 Transmittal Form (ENG Form 4025)
- 2.5 Submittal Procedure
 - 2.5.1 Procedures
 - 2.5.2 Deviations
- 2.6 Control of Submittals
- 2.7 Government Approved Submittals
- 2.8 Information Only Submittals
- 2.9 Stamps

1.1 SUBMITTAL CLASSIFICATION

Submittals are identified with submittal description (SD) numbers and are classified as follows:

1.1.1 Government Approved

Governmental approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," they are considered to be "shop drawings."

These items are tagged GA/AE or GA/RE in the submittal register.

The designer of record approves GA/AE review materials. This is usually an architectural-engineering design firm hired by the construction contractor. The Corps of Engineers construction resident engineer approves GA/RE review materials. This is usually a group of engineers who work for the installation's resident engineer.

1.1.2 For Information Only (FIO)

All submittals not requiring Government approval will be for information only. These items are tagged FIO in the submittal register. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above.

1.2 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory.

Approval will not relieve the Contractor of the responsibility for any error, which may exist, as the Contractor under the CQC requirements of this contract is responsible for dimensions, the design of adequate connections and details, and the satisfactory construction of all work.

After submittals have been approved by the Contracting Officer, no re-submittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.3 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the Contracting Officer and promptly furnish a corrected submittal in the form and number of copies specified for the initial submittal.

If the Contractor considers any correction indicated on the submittals to constitute a change to the contract, a notice in accordance with the Contract Clause "Changes" shall be given promptly to the Contracting Officer.

1.4 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

2.1 GENERAL

The Contractor shall make submittals as required by the specifications. The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Units of weights and measures used on all submittals shall be the same as those used in the contract drawings. Each submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements.

Prior to submittal, all items {GA and FIO} shall be checked and approved by the Contractor's Quality Control (CQC) representative and each item shall be stamped, signed, and dated by the CQC representative indicating action taken.

Proposed deviations from the contract requirements shall be clearly identified.

Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

Submittals requiring Government approval shall be scheduled and made prior to the acquisition of the material or equipment covered thereby.

Samples remaining upon completion of the work shall be picked up and disposed of in accordance with manufacturer's Material Safety Data Sheets (MSDS) and in compliance with existing laws and regulations.

2.2 SUBMITTAL REGISTER (ENG FORM 4288)

At the end of this section is one set of ENG Form 4288 listing items of equipment and materials for which submittals are required by the specifications; this list may not be all-inclusive and additional submittals may be required.

The Contractor will also be given the submittal register as a diskette containing the computerized ENG Form 4288 and instructions on the use of the diskette. Columns "d" through "r" have been completed by the Government; the Contractor shall complete columns "a" and "s" through "u" and submit the forms (hard copy plus associated electronic file) to the Contracting Officer for approval within 30 calendar days after Notice to Proceed.

The Contractor shall keep this diskette up-to-date and shall submit it to the Government together with the monthly payment request. The approved submittal register will become the scheduling document and will be used to control submittals throughout the life of the contract. The submittal register and the progress schedules shall be coordinated.

2.3 SCHEDULING

Submittals covering component items forming a system or items that are interrelated shall be scheduled to be coordinated and submitted concurrently.

All submittals for all interior finishes (wall, floor, ceiling), all base, casework, toilet partitions, window treatments and all other similar items requiring coordinated color selection shall be submitted concurrently. Certifications to be submitted with the pertinent drawings shall be so scheduled.

The contractor shall allow 30 calendar days, exclusive of mailing time, and this period shown on the submittal register and NAS schedule for submittals requiring Government review and approval.

No delay damages or time extensions will be allowed for time lost in late submittals or resubmittals.

An additional 10 calendar days shall be allowed and shown on the register and NAS schedule for the review and approval of submittals for food service equipment and refrigeration and HVAC control systems.

2.4 TRANSMITTAL FORM (ENG FORM 4025)

The sample transmittal form (ENG Form 4025) attached to this section shall be used for submitting both Government approved and for information only submittals in accordance with the instructions on the reverse side of the form. These forms will be furnished to the Contractor. This form shall be properly completed by filling out all the heading blank spaces and identifying each item submitted.

Special care shall be exercised to ensure proper listing of the specification paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item.

2.5 SUBMITTAL PROCEDURE

Submittals shall be made as follows:

2.5.1 Procedures

The Contractor shall be responsible for the scheduling and control of all submittals.

The Contractor is responsible for confirming that the submittal register includes all submittals required by the contract documents.

In addition to those items listed on ENG Form 4288, the Contractor will furnish submittals for any deviation from the plans or specifications. The scheduled need dates must be recorded on the document for each item for control purposes and critical items must be tied to the contractor's approved schedule where applicable.

The contractor shall prepare and submit a comprehensive (coordination) shop drawing including all mechanical and electrical materials and equipment on one consolidated and coordinated shop drawing, as specified in Section 01500 and submit to the Contracting Officer for approval (GA type submittal) 30 days prior to the start of any mechanical and electrical install of material and/or equipment within the spaces specified in section 01500.

The Contractor will submit to the Contracting Officer for approval five (5) copies of all G/AE or G/RE level and for all FIO level submittals. This number of copies of submittals specified in this portion of the contract shall be as specified in lieu of the number as specified by FAR 52.236-21.

For those contracts requiring project schedule--Network Analysis System (NAS), the Contractor will schedule on the NAS, critical or key items of material or equipment which the procurement activities will, or have the potential to, significantly impact project critical path and completion. The list of key or critical items of material or equipment and all GA type submittals. The list of submittals shall be submitted for approved by the Contracting Officer.

Where ENG Form 4025 must be submitted prior to approval of the Construction Progress Schedule, the Contractor shall submit an initial annotated ENG Form 4288 upon which dates for submittal, approval and delivery of procurement items shall be included for the first 60 days of the work.

Upon approval of the Construction Progress Schedule, or no later than 60 days after Notice to Proceed, the Contractor shall submit final annotated copies of ENG Form 4288-Submittal Register. Dates shall be coordinated with the approved Construction Progress Schedule to logically interface with the sequence of construction. Critical item numbers will be shown on the listing if NAS is required.

Furnishing the schedule shall not be interpreted as relieving the Contractor of his obligation to comply with all the specification requirements for the items on the schedule.

Contractor's Quality Control representative shall review the listing at least every 30 days and take appropriate action to maintain an effective system.

The Contractor shall furnish a list each 30 days of all submittals on which either Government's or Contractor's action is past due. This monthly list of delayed items shall also be annotated by the Contractor to show what corrective action he is taking with regard to slippages in submittal schedule that are attributable to actions by him, his subcontractors, or suppliers.

The Contractor shall provide updated submittal register data, electronically or on floppy disk, to the contracting Officer, monthly, indicating the current status and codes of all submittals in order update the master submittal register maintained by the Contracting Officer and to assure that the contractor's schedule is being maintained.

He shall also furnish revised due dates in those cases when the original submittal schedule is no longer realistic.

The Contractor shall certify that each submittal is correct and in strict conformance with the contract drawings and specifications. All submittals not subject to the approval of the Contracting Officer will be submitted for Information purposes only, (FIO).

No Corps of Engineers action will be required for FIO submittals prior to incorporating these items into the work, but the submittal shall be furnished to the Area/Resident Engineer not less than 2 weeks prior to procurement of Contractor certified material, equipment, etc.

These Contractor approved submittals (FIO), will be used to verify that material received and used in the job is the same as that described and approved and will be used as record copies.

All samples of materials submitted as required by these specifications shall be properly identified and labeled for ready identification, and upon being certified by the Contractor and reviewed by the Contracting Officer, shall be stored at the site of the work for job site use until all work has been completed and accepted by the Contracting Officer.

Delegation of this approval authority to Contractor Quality Control does not relieve the Contractor from the obligation to conform to any contract requirement and will not prevent the Contracting Officer from requiring removal and replacement of construction not in contract conformance; nor does it relieve the Contractor from the requirement to furnish "samples" for testing by the Government Laboratory or check testing by the Government in those instances where the technical specifications so prescribe.

Contractor certified drawings will be subject to quality assurance review by the Government at any time during the duration of the contract.

No adjustment for time or money will be allowed for corrections required as a result of noncompliance with plans and specifications.

Submittals Requiring Government Approval (G/AE Level or G/RE Level). Where the review authority is designated to the Government, the Contractor is required to sign the certification on ENG Form 4025 in the box beside the remarks block in Section I. The Government will code the items in block h and sign the approval action block in Section II as the approving authority.

Operating and Maintenance Instructions. Six (6) complete sets of instructions containing the manufacturer's operating and maintenance instructions for each piece of equipment shall be furnished. Each set shall be permanently bound and shall have a hard cover.

One (1) complete set shall be furnished at the time test procedures are submitted.

Remaining sets shall be furnished to the Contracting Officer on the date of final/acceptance inspection of the project.

The following identification shall be inscribed on the covers: The words "OPERATING AND MAINTENANCE INSTRUCTIONS," name and location of the facility, name of the Contractor, and contract number. Flysheets shall be placed before instructions covering each subject. Instruction sheets shall be approximately 8-1/2 by 11 inches, with large sheets of drawings folded in. Instructions shall include but are not limited to:

- (1) System layout showing piping, valves and controls;
- (2) Approved wiring and control diagrams;
- (3) A control sequence describing startup, operation and shutdown;
- (4) Operating and maintenance instructions for each piece of equipment, including lubrication instructions and troubleshooting guide; and
- (5) Manufacturer's bulletins, cuts and descriptive data; parts lists and recommended parts.

2.5.2 Deviations

For submittals, which include proposed deviations requested by the Contractor, the column "variation" of ENG Form 4025 shall be checked.

The Contractor shall set forth in writing the reason for any deviations and annotate such deviations on the submittal.

The Government reserves the right to rescind inadvertent approval of submittals containing unnoted deviations.

The contractor is not authorized to take action on an approved deviation until the deviation is included in a final contract modification.

2.6 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

2.7 GOVERNMENT APPROVED SUBMITTALS

Upon completion of review of submittals requiring Government approval, the submittals will be identified as having received approval by being so stamped and dated.

Three (3) copies of the GA submittals will be retained by the Contracting Officer and two (2) copies of the submittal will be returned to the Contractor-within the time specified-with action code.

Submittals requiring re-submittal to the Government are due immediately upon receipt by the contractor to avoid contractor delay to the project.

2.8 INFORMATION ONLY SUBMITTALS

Three (3) copies of the submittal will be retained by the Contracting Officer and two (2) copies returned to the contractor.

Not all FIO submittals will be reviewed by the Government. This Government review will be a quality assurance review only of a sample of the entire number of submittals.

Approval of the Contracting Officer is not required on information only submittals. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract.

This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

FIO submittals noted for re-submittal to the Government for clarification or additional data are due immediately upon receipt by the contractor.

2.9 STAMPS

Stamps used by the Contractor on the submittal data to certify that the submittal meets contract requirements shall be similar to the following:

	CONTRACTOR	
	(Firm Name)	
_____ Approved		
_____ Approved with corrections as noted on submittal data and/or attached sheets(s).		
SIGNATURE: _____		
TITLE: _____		
DATE: _____		

--End of Section--

SECTION 01351

SAFETY HEALTH AND EMERGENCY RESPONSE
(HTRW/UST)

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Fort Stewart CEP
21814004

W912HN-04-R-0051

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GENERAL

REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to the text by basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH Limit Values (1999) Threshold Limit Values for Chemical Substances and Physical Agents Biological Exposure Indices

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z358.1 (1998) Emergency Eyewash and Shower Equipment

AMERICAN PETROLEUM INSTITUTE (API)

API Pub 2219 (1999) Safe Operation of Vacuum Trucks in Petroleum Service, 2nd Edition

API RP 1604 (1996) Closure of Underground Petroleum Storage Tanks

API Std 2015 (1994) Safe Entry and Cleaning of Petroleum Storage Tanks

U S NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20 Standards for protection Against Radiation

29 CFR 1904 Recording and Reporting Occupational Injuries and Illnesses

29 CFR 1910 Occupational safety and Health Standards

29 CFR 1926 Safety and Health Regulations for Construction

,9 CFR 171 General Information, Regulations, and Definitions

9 CFR 172 Hazardous Materials Table, Special Provisions, Hazardous Materials Communications Emergency Response Information, and Training Requirements

U.S ARMY CORPS OF ENGINEERS' (USACE)

EM 385-1-1 (1996) U.S. Army Corps of Engineers Safety and Health Requirements Manuals

ER 385-1-92

(2000) Safety and occupational Document
Requirements for Hazardous, Toxic and
Radioactive Waste (HTRW) Activities

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH (NIOSH)

NIOSH 85-115

1985) occupational Safety and Health
Guidance Manual for Hazardous Waste Site
Activities

1.2 DESCRIPTION OF WORK

This section requires contractors to implement practices and procedures for working safely and in compliance With OSHA and USACE regulation while performing cleanup activities on uncontrolled hazardous waste sites.

1.3 SAFETIES AND HEALTH PROGRAM

The Contractor shall develop and implement a Safety and Health Program (SHP) which incorporates requirements in OSHA standards 29 CFR 1910, Section .120 (b) and 29 CFR 1926, Section .65 (b) and section 01.A.07 of EM 385-1-1. The Safety and Health program shall address the items in paragraph (b) of 29 CFR 1910.1201 9 CFR 1926.65 and Appendix A of EM 385-1-1 and Specification 01500 in corporate specific detail. These items are: signature Sheet; Background Information; Statement of Safety and Health Policy; Responsibilities and Lines of Authority; Subcontractors and Suppliers; Training; Safety and Health Inspections; Safety and Health Expectations, Incentives programs and Compliance; Accident Reporting; Medical Surveillance/Medical Support; Personal Protective Equipment; Standard Operating Procedures and Corporate Plans supporting occupational safety and health.

1.4 SITE SAFETY AND HEALTH PLAN

The Contractor shall develop and implement a Site Safety and Health Plan (SSHP) meeting the requirements of section 01.A.10 of EM 385-1-1 and 29 CFR 1910.120/29 CFR 1926.65 (h) (4). At a minimum, the SSHP shall address each element in Appendix C of ER 385-1-92 and shall in corporate an Activity Hazard Analysis meeting the requirements of 01.A.1 and Figure 1-1 of EM 385-1-1.

a. The SSHP shall be considered a living document and shall be updated as occupational safety and health conditions change during project execution and improved as occupational safety and health lessons are learned during the course of the project.

b. SSHP elements in Appendix C of ER 385-1-92 are:

1. Site Descriptions and Contamination Characterization;
2. Activity Hazard Analysis;
3. Health and Safety Staff Organization, Qualifications and Responsibilities for the project;
4. Health and Safety Training requirements for the project;
5. Personal Protective Equipment;
6. Medical Surveillance requirements for the project;
7. Radiation Dosimetry, if applicable;
8. Exposure Monitoring/Air Sampling;

9. Heat Stress Cold Stress Prevention;
10. Applicable elements of the Safety and Health Program edited to meet site specific conditions and site specific standard operating safety procedures, engineering controls and work practices used to reduce exposure to contaminants and prevent accidents;
11. Site control Measures;
12. Personal Hygiene and Decontamination;
13. Equipment Decontamination;
14. Emergency Equipment and First Aid Requirements;
15. Emergency Reports and Contingency Procedures.
16. Accident Prevention;
17. Logs, Reports and Record keeping.

1.4.1 Acceptance and Modifications

Prior to submittal, the SSHP shall be signed and dated by the Safety and Health Manager and the Site Superintendent.

The SHP shall be submitted for review 10 days after the Preconstruction Conference. Deficiencies in the SSHP will be discussed at the mutual understanding safety conference, and the SSHP shall be revised to correct the deficiencies and resubmitted for acceptance.

Onsite work shall not begin until the plan has been accepted—to the extent determined by Contracting Officer.

A copy of the written SSHP shall be maintained onsite. Changes and modifications to the accepted SSHP shall be made with the knowledge and concurrence of the Safety and Health Manager, the Site Superintendent, and the Contracting Officer.

Should any unforeseen hazard become evident during the performance of the work, the Site Safety and Health Officer (SSHO) shall bring such hazard to the attention of the Safety and Health Manager, the Site Superintendent, and the Contracting Officer, both verbally and in writing, for resolution as soon as possible.

In the interim, necessary action shall be taken to establish and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public, and the environment.

1.4.2 Availability

The SSHP shall be made available in accordance with 29 CFR 1910, Section .120 (b) (1) (v) and 29 CFR 1926, Section .65 (b) (1) (V).

The Contractor shall stop work and contact the Contracting Officer if ordnance and explosives are discovered during HTR remedial action construction.

1.5 STAFF ORGANIZATION QUALIFICATION AND RESPONSIBILITIES

1.5.1 Safety and Health Manager

Safety and Health Manager shall be a safety professional certified by the Board of Certified Safety Professionals

- 1). The Safety and Health Manager shall have the following additional qualifications:
 - a. A minimum of 3 years experience in developing and implementing safety and health programs at hazardous waste sites.

b. Documented experience in supervising professional and technician level personnel. e. Working knowledge of state and Federal occupational safety and health regulations.

2) The Safety and Health Manager shall:

- a. Be responsible for the development, implementation, oversight, and enforcement Of the SSHP
- b. Sign and date the SSHP prior to submittal
- c. Conduct initial site-specific training
- d. Be present onsite during the **first 3 days** of remedial activities and at the startup of each new major phase.
- e. Visit the site at least **once per month** for the duration of activities, to audit the effectiveness of the SSHP.
- f. Be available for emergencies
- g. Provide onsite consultation as determined by the Contractor Officer to ensure the SSHP is fully implemented.
- h. Coordinate any modification, to the SSHP, with the Site superintendent, the SSSHO, and the Contracting Officer
- i. Review accident reports and results of daily inspections
- j. Serve as a member of the contractor's quality control staff
- k. Conduct accident investigations and prepare accident reports
- l. Review results of daily quality control inspections and document safety and health findings into the Daily Safety inspection Log.
- m. In coordination with site management and the Safety and Health Manager, recommend corrective actions for identified deficiencies and oversee the corrective actions.

1.6 Persons Certified in First Aid and CPR

At least two persons who are currently certified in first aid and CPR by the American Red Cross or other approved agency shall be onsite at all times during site operations. They shall be trained in universal precautions and the use of PPE as described in the Blood-borne Pathogens Standard of 29 CFR 1910, Section .1030. These persons may perform other duties but shall be immediately available to render first aid when needed

1.7 HOT WORK

Hot work will not be permitted on or within the tanks except as outlined herein. Prior to conducting hot work, a hot work permit shall be prepared and submitted. An example format for a hot work permit shall be included in the SSHP. The permit shall describe

compliance with the following procedures. After tank interiors have been decontaminated, hot work may be conducted only when the tank is inverted, and to the extent necessary to begin dismantling the tanks. After decontamination of tank interiors, hot work shall not be performed unless monitoring indicates atmospheres within and immediately surrounding the tanks are less than 8% oxygen inside the tank and less than 10% of the LFL outside the tank; continuous monitoring shall continue until the hot work is completed. The hot work prohibition includes welding, cutting, grinding, sawing, or other similar operations, which could be expected to potentially generate combustion-producing temperatures or sparks, or which could produce potentially hazardous fumes or vapors. An individual at each hot work site shall be designated as a fire watch. This person's sole responsibility shall be to monitor the hot work and have immediate access to the fire extinguisher located at each hot work site. A new permit shall be obtained at the start of each work shift during which hot work will be conducted.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION (Not Applicable)

End of Section --

SECTION 01354

EROSION AND SEDIMENTATION CONTROL

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1.1 SUMMARY: The purpose of this narrative is to outline the requirements, enforcement and violations, for all Erosion and Sediment Control plans, (E&S), Stormwater Management, Notice of Intent, (NOI), and Notice of Termination, (NOT), to be submitted with all construction projects, on the Fort Stewart/Hunter Army Airfield.

1.1.1 Erosion and Sediment Control plans – Submitted to DPW upon awarding of contract, reviewed by USDA-NRCS. Must be approved before land-disturbance of any kind can proceed. (Exhibit 1)

1.1.2 Notice of Intent – Contractor to send this form, with the land-disturbance permit, from USDA-NRCS, to Georgia EPD,

Coastal District- Brunswick Office
Georgia Environmental Protection Division
One Conservation Way
Brunswick, GA 31520-8687
(912) 264-7284

14 days in advance of any land disturbing activities. Land-disturbance permit requires three (3) signatures, A) USDA-NRCS, B) DPW representative, C) Contractor responsible for work. Notice of Intent is required for Stormwater management. (Exhibit 2)

1.1.3 Notice of Termination – To be submitted to, Georgia EPD,

Coastal District- Brunswick Office

Georgia Environmental Protection Division
One Conservation Way
Brunswick, GA 31520-8687
(912) 264-7284

by Contractor upon site being 70% stabilized. Concurrence is needed from the COR or PM and USDA-NRCS, and Environmental.

(Exhibit 3)

1.1.4 Time line for documentation:

1. E&S plan submitted to ER&S, DPW.
2. E&S plan reviewed NRCS.
3. Upon E&S plan approval contractor will be notified by DPW.

Contractor to send NOI to the State of Georgia, Environmental Protection Division, 14 days prior to start date. Copies of notification to be sent to:

DPW – Vic Maulden, Chief EP&SD
CHIEF ENGINEER PLAN and SERVICES DIVISION (BLDG 1114)
DIRECTORATE of PUBLIC WORKS (USDA-NRCS)
HQS 3D IN DIV (MECH) AND FORT STEWART, SUITE 101
1117 FRANK COCHRAN DRIVE
FORT STEWART, GA. 31314-4940

Environmental - Tressa M. Rutland
Environmental Branch
Directorate of Public Works, Bldg. 1137
1550 Frank Cochran Drive
Fort Stewart, Georgia 31314-4927

NRCS – James Freeman
CHIEF ENGINEER PLAN and SERVICES DIVISION (BLDG 1114)
DIRECTORATE of PUBLIC WORKS (USDA-NRCS)
HQS 3D IN DIV (MECH) AND FORT STEWART, SUITE 101
1117 FRANK COCHRAN DRIVE
FORT STEWART, GA. 31314-4940

NOTE - project cannot begin until these items have been completed.

1.2 REFERENCES: The publication listed below form a part of this specification to the extent referenced. The publication is referred to in the text by basic designation only.

Manual for Erosion and Sediment Control of Georgia, 5th Edition -
<http://www.gaswcc.org/>

Environmental Protection Agency - <http://www.state.ga.us/dnr/environ/>

Part 2

2.1 MINIMUM REQUIREMENTS FOR EROSION AND SEDIMENTATION CONTROL USING BEST MANAGEMENT PRACTICES

2.1.1 GENERAL PROVISIONS: Excessive soil erosion and resulting sedimentation can take place during land-disturbing activities. Therefore, plans for those land-disturbing activities, which are not excluded by this ordinance, shall contain provisions for application of soil erosion and sedimentation control measures and practices. The provisions shall be incorporated into the erosion and sedimentation control plans. Soil erosion and sedimentation control measures and practices shall conform to the minimum requirements of Section 2.2 of this ordinance. The application of measures and practices shall apply to all features of the site, including street and utility installations, drainage facilities and other temporary and permanent improvements. Measures shall be installed to prevent or control erosion and sedimentation pollution during all stages of any land-disturbing activity.

2.2 MINIMUM REQUIREMENTS/ Best Management Practices, (BMP's)

2.2.1. Best management practices, as set forth in Section 2.2 of this ordinance shall be required for all land-disturbing activities. Proper design, installation, and maintenance of best management practices shall constitute a complete defense to any action by the Director or to any other allegation of noncompliance with paragraph (2) of this subsection or any substantially similar terms contained in a permit for the discharge of storm water issued pursuant to subsection (f) of Code Section 12-5-30, the "Georgia Water Quality Control Act". As used in this subsection the terms "proper design" and "properly designed" mean designed to control soil erosion and sedimentation for all rainfall events up to and including a 25-year, 24- hour rainfall event.

2.2.2. Failure to properly design, install, or maintain best management practices shall constitute a violation of any land-disturbing permit issued by Fort Stewart / Hunter Army Airfield DPW.

2.2.3. Fort Stewart / Hunter Army Airfield / DPW may require, in accordance with regulations adopted by the Board, reasonable and prudent monitoring of the turbidity level of receiving waters into which discharges from land disturbing activities occur.

2.2.4 The rules and regulations, ordinances, or resolutions adopted pursuant to this chapter for the purpose of governing land-disturbing activities shall require, as a minimum, best management practices, including sound conservation and engineering practices to prevent and minimize erosion and resultant sedimentation, which are consistent with, and no less stringent than, those practices contained in the Manual for Erosion and Sediment Control in Georgia published by the Georgia Soil

and Water Conservation Commission as of January 1 of the year in which the land-disturbing activity was permitted, as well as the following:

2.3.1. Stripping of vegetation, re-grading and other development activities shall be conducted in a manner so as to minimize erosion.

2.3.2. Cut-fill operations must be kept to a minimum, as defined by contract.

2.3.3. Development plans must conform to topography and soil type, so as to create the lowest practical erosion potential.

2.3.4. Whenever feasible, natural vegetation shall be retained, protected and supplemented, as called by design.

2.3.5. The disturbed area and the duration of exposure to erosive elements shall be kept to a practicable minimum.

2.3.6. Disturbed soil shall be stabilized as quickly as practicable.

2.3.7. Temporary vegetation or mulching shall be employed to protect exposed critical areas during development.

2.3.8. Permanent vegetation and structural erosion control practices shall be installed as soon as practicable.

2.3.9. To the extent necessary, sediment in run-off water must be trapped by the use of debris basins, sediment basins, silt traps, or similar measures until the disturbed area is stabilized. As used in this paragraph, a disturbed area is stabilized when it is brought to a condition of continuous compliance with the requirements of O.C.G.A. 12-7-1 et. seq.

2.3.10. Adequate provisions must be provided to minimize damage from surface water to the cut face of excavations or the sloping of fills.

2.3.11. Cuts and fills may not endanger adjoining property.

2.3.12. Fills may not encroach upon natural watercourses or constructed channels in a manner so as to adversely affect other property owners.

2.3.13. Grading equipment must cross, flowing streams by means of bridges or culverts except when such methods are not feasible, provided, in any case, that such crossings are kept to a minimum;

2.3.14. Land-disturbing activity plans for erosion and sedimentation control shall include provisions for treatment or control of any source of sediments and adequate sedimentation control facilities to retain sediments on-site or preclude sedimentation of adjacent waters beyond the levels specified in Section IV B. 2. of this ordinance.

2.3.15. A 25 foot buffer along the banks of all state waters, as measured horizontally from the point

where vegetation has been wrested by normal stream flow or wave action, except where the Director determines to allow a variance that is at least as protective of natural resources and the environment, where otherwise allowed by the Director pursuant to O.C.G.A. 12-2-8, or where a drainage structure or a roadway drainage structure must be constructed, provided that adequate erosion control measures are incorporated in the project plans and specifications, and are implemented; provided, however, the buffers of at least 25 feet established pursuant to part 6 of Article 5, Chapter 5 of Title 12, the "Georgia Water Quality Control Act", shall remain in force unless a variance is granted by the Director as provided in this paragraph. The following requirements shall apply to any such buffer:

No land-disturbing activities shall be conducted within a buffer and a buffer shall remain in its natural, undisturbed state of vegetation until all land-disturbing activities on the construction site are completed. Once the final stabilization of the site is achieved, a buffer may be thinned or trimmed of vegetation as long as a protective vegetative cover remains to protect water quality and aquatic habitat and a natural canopy is left in sufficient quantity to keep shade on the stream bed; provided, however, that any person constructing a single-family residence, when such residence is constructed by or under contract with the owner for his or her own occupancy, may thin or trim vegetation in a buffer at any time as long as protective vegetative cover remains to protect water quality and aquatic habitat and a natural canopy is left in sufficient quantity to keep shade on the stream bed.

2.3.16. The fact that land-disturbing activity for which a permit has been issued results in injury to the property of another shall neither constitute proof of nor create a presumption of a violation of the standards provided for in this ordinance or the terms of the permit.

PART 3

3.1 APPLICATION/PERMIT PROCESS

Fort Stewart / Hunter Army Airfield / DPW, shall review plans and detailed plans of Fort Stewart / Hunter Army Airfield, that affect the tract to be developed and the area surrounding it.

3.2 APPLICATION REQUIREMENTS

3.2.1. No person shall conduct any land-disturbing activity within the jurisdictional boundaries of Fort Stewart / Hunter Army Airfield without first obtaining a permit from Fort Stewart / Hunter Army Airfield / DPW to perform such activity.

3.2.2 The application for a permit shall be submitted to Fort Stewart / Hunter Army Airfield / DPW and must include the applicant's erosion and sedimentation control plan with supporting data, as necessary. Said plans shall include, as a minimum, the data specified in Section 3.3 of this ordinance. Soil erosion and sedimentation control plans shall conform to the provisions of Section 2.2 of this ordinance. Applications for a permit will not be accepted unless accompanied by (4)

copies of the applicant's soil erosion and sedimentation control plans.

3.2.3. Immediately upon receipt of an application and plan for a permit, Fort Stewart / Hunter Army Airfield / DPW shall refer the application and plan to the USDA-NRCS for its review and approval or disapproval concerning the adequacy of the erosion and sedimentation control plan. The results of the USDA-NRCS review shall be forwarded to the Fort Stewart / Hunter Army Airfield / DPW. No permit will be issued unless the plan has been approved by the USDA-NRCS, and any variances required by Section IV C. 15. & 16.

3.2.4. If a permit applicant has had two or more violations of previous permits, this ordinance section, or the Erosion and Sedimentation Act, as amended, within three years prior to the date of filing of the application under consideration, the Fort Stewart / Hunter Army Airfield / DPW may deny the permit application.

3.3 PLAN REQUIREMENTS

3.3.1. Plans must be prepared to meet the minimum requirements as contained in Section 3.3 of this ordinance. Conformance with the minimum requirements may be attained through the use of design criteria in the current issue of the Manual for Erosion and Sediment Control in Georgia, published by the State Soil and Water Conservation Commission as a guide; or through the use of more stringent, alternate design criteria which conform to sound conservation and engineering practices. The Manual for Erosion and Sediment Control in Georgia is hereby incorporated by reference into this ordinance. The plan for the land-disturbing activity shall consider the interrelationship of the soil types, geological and hydrological characteristics, topography, watershed, vegetation, proposed permanent structures including roadways, constructed waterways, sediment control and storm water management facilities, local ordinances and State laws.

3.3.2. Data Required for Site Plan

3.3.3. Narrative or notes, and other information: Notes or narrative to be located on the site plan in general notes or in erosion and sediment control notes.

3.3.4. Description of existing land use at project site and description of proposed project.

3.3.5. Name, address, and phone number of the property owner.

3.3.6. Name and phone number of 24-hour local contact who is responsible for erosion and sedimentation controls.

3.3.7. Size of project, or phase under construction, in acres.

3.3.8. Activity schedule showing anticipated starting and completion dates for the project. Include the statement, in bold letters, that "The installation of erosion and sedimentation control measures and practices shall occur prior to or concurrent with land-disturbing activities."

3.3.9. Stormwater and sedimentation management systems-storage capacity, hydrologic study, and

calculations, including off-site drainage areas.

3.3.10. Vegetative plan for all temporary and permanent vegetative measures, including species, planting dates, and seeding, fertilizer, lime, and mulching rates. The vegetative plan should show options for year-round seeding.

3.3.11. Detail drawings for all structural practices. Specifications may follow guidelines set forth in the Manual for Erosion and Sediment Control in Georgia.

3.3.12. Maintenance statement - "Erosion and sedimentation control measures will be maintained at all times. Additional erosion and sedimentation control measures and practices will be installed if deemed necessary by onsite inspection."

3.3.13. Maps, drawings, and supportive computations shall bear the signature/seal of a registered or certified professional in engineering, architecture, landscape architecture, land surveying, or erosion and sedimentation control. The certified plans shall contain:

3.3.14. Graphic scale and north point or arrow indicating magnetic north.

3.3.15. Vicinity maps showing location of project and existing streets.

3.3.16. Boundary line survey.

3.3.17. Delineation of disturbed areas within project boundary.

3.3.18. Existing and planned contours, with an interval in accordance with the following:

Map Scale	Ground Slope	Contour Interval, ft.			
1 inch = 100 ft	Flat 0-2%.	0.5 or 1	larger scale	Rolling 2-8%	1 or 2
	Steep 8% +	2, 5 or 10			

3.3.19. Adjacent areas and features areas such as streams, lakes, residential areas, etc. which might be affected should be indicated on the plan.

3.3.20. Proposed structures or additions to existing structures and paved areas.

3.3.21. Delineate the 25-foot horizontal buffer adjacent to state waters and the specified width in MRPA areas.

3.3.22. Location of erosion and sedimentation control measures and practices using coding symbols from the Manual for Erosion and Sediment Control in Georgia, Chapter 6.

3.3.23. Maintenance of all soil erosion and sedimentation control practices, whether temporary or permanent, shall be at all times the responsibility of the property owner.

3.4 PERMITS

3.4.1. Permits shall be issued or denied as soon as practicable, but in any event not later than fifteen (15) days after receipt by Fort Stewart / Hunter Army Airfield / DPW of a completed application.

3.4.2. No permit shall be issued by Fort Stewart / Hunter Army Airfield/ DPW unless the erosion and sedimentation control plan has been approved by USDA-NRCS and Fort Stewart / Hunter Army Airfield/ DPW has affirmatively determined that the plan is in compliance with this ordinance and all ordinances and rules and regulations in effect within the jurisdictional boundaries of the Fort Stewart / Hunter Army Airfield / DPW are met. If the permit is denied, the reason for denial shall be furnished to the applicant.

3.4.3. If the tract is to be developed in phases, then a separate permit shall be required for each phase.

3.4.4. The permit may be suspended, revoked, or modified by Fort Stewart / Hunter Army Airfield/ DPW, as to all or any portion of the land affected by the plan, upon finding that the holder or his successor in the title is not in compliance with the approved erosion and sedimentation control plan or that the holder or his successor in title is in violation of this ordinance. A holder of a permit shall notify any successor in title to him as to all or any portion of the land affected by the approved plan of the conditions contained in the permit.

3.5 INSPECTIONS AND ENFORCEMENT

3.5.1 Fort Stewart / Hunter Army Airfield / DPW or USDA-NRCS representative, will periodically inspect the sites of land-disturbing activities for which permits have been issued to determine if the activities are being conducted in accordance with the plan and if the measures required in the plan are effective in controlling erosion and sedimentation. If, through inspection, it is deemed that a person engaged in land-disturbing activities as defined herein has failed to comply with the approved plan, with permit conditions, or with the provisions of this ordinance, a written Notice to comply shall be served upon that person. The notice shall set forth the measures necessary to achieve compliance and shall state the time within which such measures must be completed (fifteen days). If the person engaged in the land-disturbing activity fails to comply within the time specified, he shall be deemed in violation of this ordinance.

3.5.2. Fort Stewart / Hunter Army Airfield/ DPW or USDA-NRCS representative shall have the power to conduct such investigations as it may reasonably deem necessary to carry out duties as prescribed in this ordinance, and for this purpose to enter at reasonable times upon any site, for the purpose of investigation and inspecting the sites of land-disturbing activities.

3.5.3. No person shall refuse entry or access to any authorized representative or agent of Fort Stewart/Hunter Army Airfield / DPW or USDA-NRCS representative, who requests entry for the purposes of inspection, and who presents appropriate credentials, nor shall any person obstruct, hamper or interfere with any such representative while in the process of carrying out their official

duties.

3.6 PENALTIES AND INCENTIVES

3.6.1 FAILURE TO OBTAIN A PERMIT FOR LAND-DISTURBING ACTIVITY

If any person commences any land-disturbing activity requiring a land-disturbing permit as prescribed in this ordinance without first obtaining said permit, the person shall be subject to a stop work order within the boundaries of Fort Stewart / Hunter Army Airfield.

3.7. STOP-WORK ORDERS

3.7.1. For the first violation of the provisions of this ordinance, Fort Stewart / Hunter Army Airfield / DPW shall issue a written warning to the violator. The violator shall have a designated amount of time to correct the violation. If the violation is not corrected within allotted time, Fort Stewart / Hunter Army Airfield/ DPW shall issue a stop-work order requiring that land-disturbing activities be stopped until necessary corrective action or mitigation has occurred; provided, however, that, if the violation presents an imminent threat to public health or waters of the state or if the land-disturbing activities are conducted without obtaining the necessary permit, Fort Stewart / Hunter Army Airfield/ DPW shall issue an immediate stop-work order in lieu of a warning;

3.7.2. For a third and each subsequent violation, Fort Stewart / Hunter Army Airfield / DPW shall issue an immediate stop-work order; and;

3.7.3. All stop-work orders shall be effective immediately upon issuance and shall be in effect until the necessary corrective action or mitigation has occurred.

4.0 DEFINITIONS: The following definitions shall apply in the interpretation and enforcement of this ordinance, unless otherwise specifically stated:

Definitions

1. Best Management Practices (BMP's):

A collection of structural practices and vegetative measures which, when properly designed, installed and maintained, will provide effective erosion and sedimentation control for all rainfall events up to and including a 25-year, 24-hour rainfall event.

2. Board: The Board of Natural Resources.

3. Buffer: The area of land immediately adjacent to the banks of state waters in its natural state of vegetation, which facilitates the protection of water quality and aquatic habitat.

4. Commission: The State Soil & Water Conservation Commission.

5. Cut: A portion of land surface or area from which earth has been removed or will be removed by excavation; the depth below original ground surface to excavated surface. Also known as excavation.

6. Department: The Department of Natural Resources.

7. Director: The Director of the Environmental Protection Division of the Department of Natural Resources.

8. Division: The Environmental Protection Division of the Department of Natural Resources.

9. Drainage Structure: A device composed of a virtually non-erodible material such as concrete, steel, plastic or other such material that conveys water from one place to another by intercepting the flow and carrying it to a release point for storm-water management, drainage control, or flood control purposes.

10. Erosion: The process by which land surface is worn away by the action of wind, water, ice or gravity.

11. Erosion and Sedimentation Control Plan:

A plan for the control of soil erosion and sedimentation, resulting from a land-disturbing activity.

12. Fill: A portion of land surface to which soil or other solid material has been added; the depth above the original ground.

13. Finished Grade: The final elevation and contour of the ground after cutting or filling and conforming to the proposed design.

14. Grading: Altering the shape of ground surfaces to a predetermined condition; this includes stripping, cutting, filling, stockpiling and shaping or any combination thereof and shall include the land in its cut or filled condition.

15. Ground Elevation: The original elevation of the ground surface prior to cutting or filling.

16. Issuing Authority: DPW

17. Land-Disturbing Activity: Any activity which may result in soil erosion from water or wind and the movement of sediments into state waters or onto lands within the state, including, but not limited to, clearing, dredging, grading, excavating, transporting, and filling of land but not including agricultural practices as described in Section III, Paragraph 5.

18. Metropolitan River Protection Act (MRPA): A state law referenced as O.C.G.A. 12-5-440 et.seq., which addresses environmental and developmental matters in certain metropolitan river corridors and their drainage basins.

19. Natural Ground Surface: The ground surface in its original state before any grading, excavation or filling.

20. Nephelometric Turbidity Units (NTU): Numerical units of measure based upon photometric analytical techniques for measuring the light scattered by finely divided particles of a substance in suspension. This technique is used to estimate the extent of turbidity in water in which colloiddally dispersed particles are present.

21. Permit: The authorization necessary to conduct a land-disturbing activity under the provisions of this ordinance.

22. Person: Any individual, partnership, firm, association, joint venture, public or private corporation, trust, estate, commission, board, public or private institution, utility, cooperative, state agency, municipality or other political subdivision of this State, any interstate body or any other legal entity.

23. Project: The entire proposed development project regardless of the size of the area of land to be disturbed.

24. Roadway Drainage Structure: A device such as a bridge, culvert, or ditch, composed of a virtually non-erodible material such as concrete, steel, plastic, or other such material that conveys water under a roadway by intercepting the flow on one side of a traveled way consisting of one or more defined lanes, with or without shoulder areas, and carrying water to a release point on the other side.

25. Sediment: Solid material, both organic and inorganic, that is in suspension, is being transported, or has been moved from its site of origin by air, water, ice, or gravity as a product of erosion.

26. Sedimentation: The process by which eroded material is transported and deposited by the action

of water, wind, ice or gravity.

27. Stabilization: The process of establishing an enduring soil cover of vegetation by the installation of temporary or permanent structures for the purpose of reducing to a minimum the erosion process and the resultant transport of sediment by wind, water, ice or gravity.

28. State Waters: Any and all rivers, streams, creeks, branches, lakes, reservoirs, ponds, drainage systems, springs, wells, and other bodies of surface or subsurface water, natural or artificial, lying within or forming a part of the boundaries of the State which are not entirely confined and retained completely upon the property of a single individual, partnership, or corporation.

29. Structural Erosion and Sedimentation Control Practices: Practices for the stabilization of erodible or sediment-producing areas by utilizing the mechanical properties of matter for the purpose of either changing the surface of the land or storing, regulating or disposing of runoff to prevent excessive sediment loss. Examples of structural erosion and sediment control practices are riprap, sediment basins, dikes, level spreaders, waterways or outlets, diversions, grade stabilization structures, sediment traps and land grading, etc. Such practices can be found in the publication Manual for Erosion and Sediment Control in Georgia.

30. Vegetative Erosion and Sedimentation Control Measures: Measures for the stabilization of erodible or sediment-producing areas by covering the soil with:

A. Permanent seeding, sprigging or planting, producing long-term vegetative cover; or

B. Temporary seeding, producing short-term vegetative cover; or

C. Sodding, covering areas with a turf of perennial sod-forming grass. Such measures can be found in the publication Manual for Erosion and Sediment Control in Georgia.

31. Watercourse: Any natural or artificial watercourse, stream, river, creek, channel, ditch, canal, conduit, culvert, drain, waterway, gully, ravine, or wash in which water flows either continuously or intermittently and which has a definite channel, bed and banks, and including any area adjacent thereto subject to inundation by reason of overflow or floodwater.

32. Wetlands: Those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas.

Exhibit 1

EROSION AND SEDIMENT CONTROL PLAN REVIEW CHECKLIST

Project Name _____ Date on Plans _____

Location – Fort Stewart/Hunter Army Airfield

Site plan:

- 1) Show graphic scale and north arrow.
- 2) Provide vicinity map showing site's relation to surrounding area.
- 3) Provide both existing and planned contours with contour lines drawn at an interval in accordance with the following:

<u>Map Scale</u>	<u>Ground Slope</u>	<u>Contour Interval, ft</u>
1 inch = 100 ft	Flat 0-2%	0.5 or 1
	Rolling 2-8%	1 or 2
or larger scale	Steep 8% +	2, 5 or 10

- 4) Delineate contributing drainage areas both on and off site. Include hydrology study and maps of

drainage basins for both the pre- and post-developed conditions.

- 5) Delineate all state waters located on or within 200 feet of the protect site. I
- 6) Show location of erosion and sediment practices using uniform coding symbols from the Manual for erosion and sediment Control in Georgia, Chapter 6, with legend.
- 7) Delineate 25-foot undisturbed buffers of state waters. Clearly note areas of impact.
- 8) Delineate all wetlands and provide regulatory documentation permitting any proposed impacts.
- 9) Include soil series and their delineation.
- 10) Describe adjacent areas — neighboring areas such as streams, lakes, residential areas, etc which might be affected.

Narrative Notes and Other Information: (Notes or narrative should be located on the site plan under erosion notes or under erosion and sediment control notes).

- 1) Provide description of existing land use at project site and description of proposed project.
- 2) Name and phone number of 24-hour local contact that is responsible for erosion and sediment controls.
- 3) Show signature and seal of qualified plan preparer.
- 4) Note total and disturbed acreage of the project or phase under construction.
- 5) Provide detailed construction activity schedule— show anticipated starting and completion dates for events, include vegetation and mulching timeline.
- 6) Clearly note the statement in bold letters, “The escape of sediment from the site shall be prevented by the installation of erosion and sediment control measures and practices prior to, or concurrent with, land-disturbing activities.”
- 7) Provide 67 cubic yards per acre sediment storage. Include specific design information and calculation for all structural measures on site, such as temporary sediment basins, retrofitted detention ponds, and channels.
- 8) Show storm-drain pipe and weir velocities and provide appropriate outlet protection to accommodate discharges without erosion.
- 9) Provide vegetative plan, noting all temporary and permanent vegetative practices. Include species, planting dates and seeding, fertilizer, lime, and mulching rates. Vegetative plan shall be site specific for appropriate time of year, that seeding will take place and for the Fort Stewart/HAAF area.
- 10) Provide detailed drawings for all structural practices Specifications must, at a minimum, meet guidelines set forth in the Manual for Erosion and Sediment Control in Georgia.
- 11) Clearly note maintenance statement — “Erosion control measures will be maintained at all times. If full implementation of the approved plan does not provide for effective erosion control, additional erosion and sediment control measures shall be implemented to control or treat the sediment source.”

GaSWCC (AmeMed - 2000)

Exhibit 2



For Official Use Only

NOTICE OF INTENT

01354-17

17

Number of Outfalls: _ Appendix B NTU Value: __ Surface Water Drainage Area: ____
IV. ATTACHMENTS

Indicate below the items attached to this Notice of Intent:

_____ Location map showing the receiving stream(s), outfall(s) or combination thereof to be monitored.

_____ Erosion, Sedimentation and Pollution Control Plan (if project is greater than 50 acres).

_____ Comprehensive Monitoring Program (if the project is greater than 50 acres).

_____ List of known secondary permittees.

_____ Schedule for the timing of the major construction activities.

V. CERTIFICATIONS

1. I certify that the location of the receiving water(s) or outfall(s) or a combination of receiving water(s) and outfall(s) to be monitored is shown on a map or drawing of appropriate scale and a copy of this map or drawing are attached to this Notice.

2. I certify that the Erosion, Sedimentation, and Pollution Control Plan (Plan) has been prepared in accordance with Part IV of the General NPDES Permit GAR100000, the Plan will be implemented, and that such Plan will provide for compliance with this permit. If the site is greater than 50 acres of disturbed area, I certify that a copy of the Erosion, Sedimentation, and Pollution Plan is attached to the notice.

3. I certify that a copy of the Erosion, Sedimentation and Pollution Control Plan or the applicable portions of the Plan will be provided to each and every secondary permittee. A list of the secondary permittees known at the time of making this Notice is attached.

4. I certify that a schedule for the timing of the various major construction activities, if applicable, is attached to this Notice.

5. I certify that the receiving water(s) or the outfall(s) or a combination of receiving water(s) and outfall(s) will be monitored in accordance with the Comprehensive Monitoring Program.

6. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based upon my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Owner's Printed Name: _____

Title: _____

Fort Stewart CEP
21814004

W912HN-04-R-0051

Signature: _____

Date: _____

Operator's Printed Name: _____

Title: _____

Signature: _____

Date: _____

Instructions
Notice of Intent - Primary Permittee
For Storm Water Discharges
Associated With Construction Activity
To Be Covered Under The NPDES General Permit No. GAR100000
Who must file a Notice of Intent (NOI) Form

This Notice of Intent must be typed. Any NOI that contains illegible information will not be accepted, will be returned, and the site will not be granted Permit coverage. All information on this NOI must be submitted to be a valid Notice. Any information requested on the NOI that is not applicable to the owner and operator or to the site must be marked "N/A".

The Owner and Operator of an activity that has a discharge of storm water from a site where construction activities occur must apply for a National Pollutant Discharge Elimination System (NPDES) Permit. The Georgia Environmental Protection Division has issued this General NPDES Permit for storm water discharges from construction activities on June 12, 2000, with an effective date of August 1, 2000. The Permit is available for review at EPD's offices and on EPD's web page at www.dnr.state.ga.us/epd. It is highly recommended that the permittee read and understand the terms and conditions of the Permit. New non-linear projects 250 acres or greater in size are not eligible for coverage under this Permit. Such projects should contact EPD at 404/675-6240 for application guidance. Contact EPD at the Regional Office or District Office shown on the next page for assistance in completing this NOI.

Where to file NOI Forms -- The NOI and attachments must be sent to the Regional Office or District Office shown on the next page.

Section I. Site / Primary Permittee Information

Enter the information required. The site/project name is the physical location of the construction activity. Should the site lack a street address, describe where the facility sufficient so one can locate the site. Should additional space is needed, attach the description to the notice.

The facility contact is the person who the primary permittee has assigned the responsibility for the daily on-site operational control. Please do not leave any blanks in this section.

Section II. Site Activity Information

The start date and completion date are expected for the construction activity for which this NOI is applicable.

Estimated disturbed acreage is the total number of disturbed acres the primary permittee will disturb.

Section III. Receiving Water Information

If the facility discharges storm water directly or indirectly (but not through a MS4) to the receiving water(s), enter the name(s) of the receiving water(s) and indicate whether the water(s) is a trout stream or a warm water fisheries stream. Attach to this notice a written description and a map of the location of the receiving water(s).

If the storm water discharges to a municipal separate storm sewer system (MS4), enter the name of the operator of the MS4 (e.g., city name or county name) and the name of the receiving water at the point of discharge from the MS4. A MS4 is defined as a conveyance or system of conveyances (including: roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, man-made channels, or storm drains) that is owned or operated by a city or county which is designed or used for collecting or conveying storm water. It may be necessary to contact the city or county that operates the MS4 to determine the name of the receiving waters. Indicate whether the receiving water(s) is a trout stream or a warm water fisheries stream.

Section IV. Certifications

All applicants must sign this certification. Federal and State statutes provide specific requirements as to whom is authorized to sign Notice of Intents. Signing of a Notice of Intent by others is not a valid submittal. Please be aware Federal and State statutes provide severe penalties for submitting false information on this application form. Federal and State regulations require this application to be signed as follows:

- For a corporation: by a responsible corporate officer;
 - For a partnership or sole proprietorship: by a general partner or the proprietor; or
 - For a municipality, state, Federal or other public facility: by either a principal executive officer or ranking elected official.

Fort Stewart CEP
21814004

W912HN-04-R-0051

Exhibit 3



For

Official Use only
NOTICE OF TERMINATION

State of Georgia
Environmental Protection Division
To Cease Coverage Under General Permit GAR100000
To Discharge Storm Water Associated With Construction Activity

I. SITE / PERMITTEE INFORMATION

Site/Project Name: _____

Site Location and Street Address: _____

City: _____ County: _____

Subdivision Name: _____ Lot Number: _____

Permittee Name: _____ Phone: _____

Address: _____ City: _____ State: _____ Zip Code: _____

Type of Permittee: Primary Secondary Tertiary

Facility Contact: _____ Phone: _____

If Applicable:

Primary Permittee's Name: _____ Phone: _____

Address: _____ City: _____ State: _____ Zip Code: _____

II. SITE ACTIVITY INFORMATION

Construction Activity Completed

No Longer Owner / Operator of Construction Activity

Construction Activity: Commercial Industrial Municipal DOT Utility
Residential Primary Permittee of a Subdivision Development, or
Individual Lot, or
Individual Lot within a Surface Water Drainage Area
where the Primary Permittee has ceased Permit Coverage

Land Disturbance Activity Permit Number: _____ Date Issued: _____

Name of Initial Receiving Water(s): _____

Name of Municipal Storm Sewer System Owner/Operator: _____

Name of Receiving Waters: _____

III. ATTACHMENT

Indicate below if this item is attached to this Notice of Termination:

_____ Final monitoring report for receiving water(s) and/or stormwater outfalls.

IV. CERTIFICATIONS

1. I certify that a copy of the final monitoring report, if applicable, is attached to this Notice. This monitoring report shows the monitoring data for a receiving water(s) and/or storm water outfall(s) collected between the period of final stabilization and the filing of this Notice.

2. I certify under penalty of law that either: (a) all storm water discharges associated with construction activity from the portion of the construction activity where I was an Owner or Operator have ceased or have been eliminated; (b) all storm water discharges associated with construction activity from the identified site that are authorized by General NPDES Permit No. GAR 100000 have ceased; (c) I am no longer an Owner or Operator at the construction site and a new Owner or Operator has assumed operational control for those portions of the construction site where I previously had ownership or operational control; and/or if I am a primary permittee filing this Notice of Termination under Part VII.A.4. of this permit, I will notify by written correspondence to the subsequent legal title holder of any remaining lots that these lot Owners and /or Operators will become tertiary permittees for purposes of this permit and I will provide these tertiary permittees with the primary permittee's Erosion, Sedimentation and Pollution Control Plan. I understand that by submitting this Notice of Termination, that I am no longer

authorized to discharge storm water associated with construction activity by the general permit, and that discharging pollutants in storm water associated with construction activity to waters of Georgia is unlawful under the Georgia Water Quality Control Act and the Clean Water Act where the discharge is not authorized by a NPDES permit.

3. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based upon my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Printed Name: _____

Title: _____

Signature: _____

Date: _____

Instructions
Notice of Termination (NOT) For Storm Water Discharges
Associated With Construction Activity
NPDES General Permit No. GAR100000

Who must file a Notice of Termination (NOT) Form

This Notice of Termination must be typed. Any NOT that contains illegible information will not be accepted. All information on this NOT must be submitted to be a valid Notice. Any information requested on the NOT that is not applicable to the owner and operator or the site must be marked "N/A".

When the facility/site has been finally stabilized and all storm water discharges from construction activities authorized by the NPDES General Permit No. GAR100000 have ceased or when the Owner/Operator of the site changes, the Owner/Operator of the facility/site must submit a Notice of Termination (NOT). Final stabilization means that all soil disturbing activities at the site have been completed, and that for unpaved areas and areas not covered by permanent structures, at least 70% of the soil surface is uniformly covered in permanent vegetation or equivalent permanent stabilization measures (such as the use of rip rap, gabions, permanent mulches or geotextiles) have been employed. Permanent vegetation shall consist of: planted trees, shrubs, perennial vines; a crop of perennial vegetation appropriate for the time of year and region; or a crop of annual vegetation and a seeding of target crop perennials appropriate for the region, such that within the growing season a 70% coverage by perennial vegetation shall be achieved. Final stabilization applies to each phase of construction. For linear construction projects on land used for agricultural or silvicultural purposes, final stabilization may be accomplished by stabilizing the disturbed land for its agricultural or silvicultural use. (General NPDES Permit Part VII.A and Part I.A.11)

Where to file NOT Forms - The NOT and attachments must be sent to the Regional Office or District Office shown on the next page.

Section I. Site / Permittee Information

Enter the information required. The site/project name is the physical location of the construction activity. Should the site lack a street address, describe where the facility sufficient so one can locate the site. Should additional space be needed, attach the description to the notice.

The facility contact is the person who the Owner and Operator has assigned the responsibility for the

daily on-site operational control. Please do not leave any blanks in this section.

Section II. Site Activity Information

Indicate by marking the appropriate block whether this NOT is submitted due to completion of the construction activity or a change in the Owner and Operator of the construction activity.

Mark the appropriate box to indicate the class of construction activity that was conducted at the site. For residential sites, also mark the block as either a subdivision development or an individual lot.

Enter the Land Disturbance Activity (LDA) Permit Number assigned by the city government, county government, or EPD for the facility/site for which this NOT is being submitted. Enter N/A if a LDA Permit Number was not required for this facility/site.

Section III. Attachment

Indicate by marking with an "X" if this item is attached to this Notice.

Section IV. Certifications

All applicants must sign this Notice. Federal and State statutes provide specific requirements as to whom is authorized to sign Notice of Terminations. Signing of a Notice of Termination by others is not a valid submittal. Please be aware Federal and State statutes provide severe penalties for submitting false information on this application form. Federal and State regulations require this application to be signed as follows:

- For a corporation: by a responsible corporate officer;
- For a partnership or sole proprietorship: by a general partner or the proprietor; or
- For a municipality, state, Federal or other public facility: by either a principal executive officer or ranking elected official.

SECTION 01451
CONTRACTOR QUALITY CONTROL

- 1.1 REFERENCES
- 1.2 Payment
- 2.1 General
- 2.2 Quality Control Plan
 - 2.2.1 General
 - 2.2.2 Content of the CQC Plan
 - 2.2.3 Acceptance of Plan
 - 2.2.4 Notification of changes
- 2.3 `Coordination Meeting
- 2.4 Quality Control Organization
 - 2.4.1 General
 - 2.4.2 CQC System Manager
 - 2.4.2.1 Safety and Health Manager
 - 2.4.3 CQC Personnel
 - 2.4.4 Additional Requirements
 - 2.4.5 Organizational Changes
- 2.5 Submittals
- 2.6 Control
 - 2.6.1 Preparatory Phase
 - 2.6.2 Initial Phase

- 2.6.3 Follow up Phase
- 2.6.4 Additional Preparatory and Initial Phases
- 2.7 Tests
 - 2.7.1 Testing Procedure
 - 2.7.2 Testing Laboratories
 - 2.7.2.1 Capability Check
 - 2.7.2.2 Capability Recheck
 - 2.7.3 Onsite Laboratory
 - 2.7.4 Furnishing or Transportation of Samples for Testing
- 2.8 Completion Inspection
 - 2.8.1 CQC Completion Inspection of entire project
 - 2.8.2 Pre Final Inspection
 - 2.8.3 Final Acceptance Inspection
- 2.9 Documentation
- 2.10 Sample Forms
- 2.11 Notification of Non compliance

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 3740 (1994a) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

ASTM E 329

(1995b) Agencies Engaged in the Testing and/or
Inspection of Materials Used in Construction

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program, and all costs associated therewith shall be included in the applicable unit prices or lump-sum prices contained in the Bidding Schedule.

2.1 GENERAL

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause titled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product, which complies with the contract requirements. The system shall cover all construction operations, both onsite and offsite, and shall be keyed to the proposed construction sequence. The project superintendent and CQC manager will be held responsible for the quality of work on the job and is subject to removal by the Contracting Officer for non-compliance with quality requirements specified in the contract.

2.2 QUALITY CONTROL PLAN

2.2.1 General

The Contractor shall furnish for review by the Government, not later than 5 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause titled "Inspection of Construction." The plan shall identify personnel, procedures, control, instructions, test, records, and forms to be used. The Government will consider an interim plan for the first 60 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan subject to supplements, as applicable. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

2.2.2 Content of the CQC Plan

The CQC Plan shall include, as a minimum, the following to cover all construction operations, both onsite and offsite, including work by subcontractors, fabricators, suppliers, and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC System Manager.

- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures shall be in accordance with Section 01330 SUBMITTAL PROCEDURES.
- e. A complete list of all specified control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, approximate date of each test and name of commercial laboratory responsible for each test. The contractor shall obtain a current listing of the Government approved commercial laboratories in the area and verify that the named laboratory is approved to perform the test –as indicated on the list of tests.

(Laboratory facilities will be approved by the Contracting Officer)

Approved Testing Laboratories

A composite listing of approved testing laboratories within the South Atlantic Division is available upon request. The Contractor should engage the services of a laboratory contained in the composite list. Contractors may obtain the list by calling (912) 652-5094 or 912 652-5244. Fax requests can be made to Doug Saxon at number 912 652-6016.

- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking construction and safety deficiencies from identification through acceptable corrective action. These procedures shall establish verification that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work.

- j. A definable feature of work is a task, which is separate and distinct from other tasks, has separate control requirements, and may be identified by different trades or disciplines, or it may be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there is frequently more than one definable feature under a particular section.
- k. This list will be agreed upon during the coordination meeting.

2.2.3 Acceptance of Plan

Acceptance of the Contractor's plan by the Contracting Officer (in total or acceptance subject to additional supplements within 30 days after start of work) is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in his CQC Plan and operations, including removal of personnel, as necessary, to obtain the quality specified through the effective implementation by the contractor of the contractor's quality control plan.

2.2.4 Notification of Changes

After acceptance of the CQC Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change.

Proposed changes are subject to acceptance by the Contracting Officer-prior to the action being taken by the contractor.

2.3 COORDINATION MEETING

After the Preconstruction Conference, and within 15 calendar days after the start of construction, and prior to final total acceptance by the Government of the CQC Plan (including any supplements –as applicable), the Contractor shall meet with the Contracting Officer to discuss the Contractor's quality control system and its implementation.

The CQC Plan shall be submitted for review a minimum of 7 calendar days prior to the Coordination Meeting.

During the meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance.

Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to

reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures, which may require corrective action by the Contractor.

2.4 QUALITY CONTROL ORGANIZATION

2.4.1 General

This contract requires that the contractor provide a CQC System Manager and CQC staff including additional qualified CQC personnel as listed below during the contract performance to ensure contract compliance and quality as specified. The Contractor shall provide a CQC manager and staff which shall be at the site at all times during progress of the work, including weekends and holidays, with complete authority to take any action necessary to ensure compliance with the contract. The CQC manager and all CQC staff members shall be subject to acceptance by the Contracting Officer, and accepted subject to demonstrated satisfactory implementation of the accepted CQC plan.

2.4.2 CQC System Manager

The Contractor shall identify as CQC System Manager an individual employed by the prime contractor on the work site who shall be responsible for overall management of CQC staff, implementation of the accepted CQC plan and have the authority to act for the prime contractor in all CQC matters

The CQC manager shall report directly to the same individual in the contractor's home office that the superintendent reports

The CQC manager and CQC staff members may not be removed from their assigned CQC position by the contractor, prior to submitting written justification to the Contracting Officer.

The CQC System Manager shall be a person with comprehensive construction experience, professional engineer or an equivalent of 10 years minimum experience on all aspects of construction and served as superintendent and/or CQC manager on projects with similar facilities to the facilities included this contract. This CQC System Manager shall be on the site at all times when any construction work is being performed including the work performed by all subcontractor. The CQC System Manager shall be assigned no other duties. An alternate for the CQC System Manager shall be identified in the CQC plan to serve in the event of the System Manager's absence due to sickness or emergency. The requirements for the alternate shall be the same as for the designated CQC System Manager.

2.4.2.1 Safety and Health Manager

The contractor shall select and submit for approval a Safety and Health Manager to serve as the contractor's onsite safety and health specialist with the responsibility to control the jobsite safety and health for the workmen and to implement the contractor's accident prevention plan. The safety and health manager may be also the CQC manager or a member of the CQC staff.

The safety and health manager is required to be on the jobsite full time during the performance of all work including the work by the subcontractors, the jobsite safety officer's safety experience and training including the industry accepted Red Cross and CPR and the 10 hours of OSHA training certificate must be submitted for review for the assigned jobsite safety officer.

The jobsite safety officer must obtain the training and certificate within 60 days after his assignment

The contractor's safety and health manager shall have all tools and meters available at the jobsite when any work is in progress which are necessary to assure the safety and health of the workmen on the jobsite, as listed below but not limited to the following items

Light foot-candle meter

Decibel meter

Electrical polarity device

GFI checking device

2.4.3 CQC Personnel

The Contractor shall provide as part of the CQC organization specialized personnel to assist the CQC System Manager for the following areas:

1 each Electrical QC----

1 each Mechanical QC

1 each Structural/civil

1 each submittals clerk.

with the minimum experience and qualifications indicated below

These individuals may be employees of the prime or subcontractor; be responsible to the CQC System Manager; be physically present at the construction site during work on their areas of responsibility; have the necessary education and/or experience in accordance with the experience matrix listed herein. These individuals may not perform any other duties and must demonstrate satisfactory performance of their assigned quality control duties as described in the Quality Control Plan.

Experience Matrix

Area	Qualifications
a. Mechanical	Graduate Mechanical Engineer with 2 years' related experience or person with 5 yrs related experience.
b. Electrical	Graduate Electrical Engineer

with 2 years' related experience or person with 5 yrs related experience.

c. Structural/Civil

Graduate Structural/Civil Engineer with 2 years' experience or person with 5 yrs related experience.

d. Submittals

Submittal Clerk with 1 year experience.

Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization.

2.4.4 Additional Requirement

In addition to the above experience and education requirements the CQC System Manager and entire CQC staff shall have completed the course entitled "Construction Quality Management For Contractors." This course is offered on a quarterly basis within the Savannah District boundaries. CQC system Managers and staff who have not successfully completed this course must attend the next available training session. Failure to successfully complete this training within the next available training date will be grounds for removal as CQC System Manager.

2.4.5 Organizational Changes

The Contractor shall maintain the CQC staff at full strength at all times and be physically present at the construction site within 30 days after notice to proceed until final acceptance. When it is necessary to make changes to the CQC staff, the Contractor shall revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

2.5 SUBMITTALS

Submittals shall be made as specified in Section 01330 SUBMITTAL PROCEDURES. The CQC organization shall be responsible for certifying that all submittals are in compliance with the contract requirements.

2.6 CONTROL

Contractor Quality Control is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control shall be conducted by the CQC System Manager for each definable feature of work as follows:

2.6.1 Preparatory Phase

The Government shall be notified at least 48 hours in advance of beginning the preparatory control phase.

This phase shall include a meeting conducted by the CQC System Manager or CQC staff and attended by the superintendent, other CQC staff (as applicable), and the foreman responsible for the definable feature and prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase shall include:

- a. A review of all paragraphs of applicable specifications.
- b. A review of the applicable contract drawings and details.
- c. A check to assure that all materials and/or equipment have been tested, submitted, and approved by submittals.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. A review of the CQC prepared appropriate activity hazard analysis to control the hazards and to assure safety requirements is met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. A check to ensure that the prior portion of the necessary to allow the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the scheduling for initial inspection for this feature of work.
- k. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report.

1. The prime Contractor and foreman for subcontractors shall instruct applicable subcontractor workers as to the acceptable level of workmanship required in order to meet contract specifications.

2.6.2 Initial Inspection Phase

This phase shall be accomplished after a complete sample of the work for a definable feature of work is in progress or completed—as applicable. The following shall be accomplished:

The Government shall be notified at least 24 hours in advance of beginning the initial phase.

- a. A check of the first segment of work to assure that it is in full compliance with technical contract and workmanship requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing.
- c. Establish a representative sample of acceptable level of workmanship and verify that it meets minimum acceptable workmanship standards. This initial sample of the work shall serve as the sample for the remainder of the work, upon acceptance by the Government and shall be used for an acceptable standard for technical and workmanship during the follow up inspections for this feature of work—
- d. Resolve all differences.
- d. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis.
- e. Review the activity hazard analysis with each worker.
- f. Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.
- g. The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

2.6.3 Follow-up Inspection Phase

Daily checks or follow-up inspections shall be performed on the completion of the work for each feature, to assure control activities, including control testing, are providing continued compliance with contract requirements and meet the technical and workmanship standards established during the initial inspection by the initial sample of work, until all work is completed for this particular feature of work.

The results of the follow-up inspections shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work, which may be affected by the deficient work.

The Contractor shall not build upon nor conceal non-conforming work.

2.6.4 Additional Preparatory and Initial Inspection Phases

Additional preparatory and initial phases shall be conducted on the same definable features of work if the quality of on-going work is unacceptable, if there are changes in the applicable CQC staff, onsite production supervision or work crew, if work on a definable feature is resumed after a substantial period of inactivity, or if other problems develop.

2.7 TESTS

2.7.1 Control Testing Procedure

The Contractor shall perform all specified and required control tests to verify that CQC control measures are adequate to provide a quality product, which conforms to contract requirements.

Upon request, the Contractor shall furnish to the Government duplicate samples of test specimens for possible testing by the Government.

Mechanical and Electrical system testing shall include QC (debugging) tests and QA (operational/functional and acceptance tests) for all mechanical and electrical systems and their components as specified in specification sections 01500 and 01320.

The Contractor shall procure the services of an independent testing laboratory, approved by the Corps of Engineers or establish an approved testing laboratory at the project site. The Contractor shall perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Results of all tests taken, both passing and failing tests, shall be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test shall be given. If approved by the Contracting Officer, actual test reports may be submitted later with

a reference to the test number and date taken. An information copy of tests performed by an offsite or commercial test facility shall be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

2.7.2 Testing Laboratories

2.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

2.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge (as appropriate determined by Contracting Officer) to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor.

2.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

2.8 COMPLETION INSPECTION

2.8.1 CQC Completion Inspection of entire facility (contract)

Near the completion of all work, (when the project is determined to be substantially completed), or any increment thereof established by a completion time stated in the Special Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the CQC System Manager and CQC staff shall conduct a detailed-comprehensive inspection of the entire project and develop a list of deficiencies, items which are not totally completed or do not conform to the approved drawings and specifications and any items remaining of the CQC deficiency tracking list.

Such a list of deficiencies shall be included in the CQC documentation, and provided to the Contracting Officer, as required by paragraph DOCUMENTATION below. The list shall include the scheduled completion date for each item.

The CQC System Manager and staff shall make a second follow-up inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the CQC completion inspection punchlist has been completed and the contract facilities are ready for prefinal inspection.

2.8.2 Pre-Final Inspection

Notice shall be given to the Contracting Officer on the completion of the CQC inspection punchlist at least 14 days prior to completion to allow the scheduling of the prefinal inspection.

The Government will perform a verification inspection to assure that the facility is ready for the prefinal inspection. There shall not be more than 20-30 minor deficiencies remaining.

All QC and QA mechanical and electrical systems must be completed and the contractor has performed the Government witnessed QA operational/functional acceptance testing for all mechanical and electrical systems / components prior to the scheduling the prefinal inspection.

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative shall be in attendance at this inspection. Additional Government personnel including, but not limited to, those from Government Project Manager, Base Civil Engineer/ DPW, user groups, and major commands may also be in attendance.

A Government QAR shall prepare a Prefinal punchlist, as a result of this inspection.

Items noted on the Pre-Final inspection punchlist shall be corrected in a timely manner and the contractor provide the contracting officer a schedule for completion of each item noted on the prefinal punchlist. The Government will advise the contractor of any of the remaining deficiencies that do not have to be corrected or completed prior to the final inspection.

The Contractor's CQC System Manager and staff shall ensure that all applicable items on this Government prefinal punchlist have been corrected before notifying the Government that the contract facilities are ready for a final inspection

2.8.3 Final /Acceptance Inspection

The Contractor's Quality Control manager and staff, superintendent, subcontractor and other applicable person, Contracting Officer's Representative, Project Engineer, and Government Quality Assurance Representative shall be in attendance at this inspection. Other applicable Government personnel including, but not limited to, Government Project Manager, Base Civil Engr/Post DPW, final user/occupant groups, and major commands may also be in attendance.

The final/acceptance inspection will be formally scheduled by the Contracting Officer based upon verification that the deficiencies noted on the prefinal inspection punchlist have been corrected and are acceptable. There shall not be more than 10 minor deficiencies –as agreed

with the contractor during the meeting following the prefinal inspection, remain to be completed or corrected.

Notice and certification that all applicable deficiencies will be completed or corrected by the scheduled final/acceptance inspection date, shall be given by the contractor to the Contracting Officer at least 14 days prior to the scheduled the final/acceptance inspection date.

Failure of the Contractor to have all applicable work completed for this final/acceptance inspection, may result in rescheduling and issuing a credit modification to compensate the Government for the Government's additional inspection cost in accordance with the contract clause titled "Inspection of Construction".

The final/acceptance inspection as required by the above stated paragraph, shall be accomplished within the specified contract completion date, as revised due to modifications, for completion of the entire work or any particular increment thereof if the project is divided into increments by separate completion dates

2.9 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Control test and/or control activities performed with results and references to specifications/drawings requirements. The control phase should be identified (Preparatory, Initial, Follow-up). List deficiencies noted along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals reviewed, with contract reference, by whom, and action taken.
- g. Off-site surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

- i. Instructions given/received and conflicts in plans and/or specifications.
- j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in the work and workmanship comply with the contract. The original and one copy of these records in report form shall be furnished to the Government daily within 24 hours after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, one report shall be prepared and submitted for every 7 days of no work and on the last day of a no work period. All calendar days shall be accounted for throughout the life of the contract. The first report following a day of no work shall be for that day only. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

2.10 SAMPLE FORMS

Sample forms enclosed at the end of Section 00800.

2.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements.

The Contractor shall take immediate corrective action after receipt of such notice.

Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification.

If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

--End of Section--

SECTION 01500

TEMPORARY CONSTRUCTION FACILITIES—MISCELLANEOUS ITEMS

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1.1 GENERAL REQUIREMENTS

1.1.1 Site Mobilization Plan

The Contractor shall prepare and submit a site mobilization plan for approval indicating the proposed location and dimensions of any area to be fenced and used by the Contractor, the location of the project sign, the number of trailers to be used, avenues of ingress/egress to the fenced area and details of the fence installation. The mobilization plan must be approved by the contracting officer—prior to mobilization on the site.

Any areas which may have to be graveled to prevent the tracking of mud shall also be identified. The Contractor shall also indicate if the use of a supplemental or other staging area is desired.

1.1.2 Excavation {digging} and HOT (burning) Permits

Excavation and HOT (burning) permits will be submitted to Corps of Engineers field office representative and will require 10 working days for approval and will be subject to the Contracting Officer's approval. Request for permits shall be accompanied with specific date and duration (start time / finish time) and a sketch showing the location of the proposed {digging} excavation or burning (torch, welding, etc) .

Hot (burning) permits see section 01351 Safety and Health

1.1.3 Identification of Employees

All personnel shall have badges and vehicle passes or decals to enter the installation. Badges will be required to be worn at all times while on the installation. Decals will be required to enter the installation or the driver will be required to go to the MP station for a permit which will require driver license, proof of insurance, contract number, and point of contact for company before being allowed to enter.

This military installation requires the following information to remain on file throughout the life of this contract. Provide the following information to the Contracting Officer at the Pre-work and/or Pre-Construction meeting.

Contract No.
Contractor Name
Contractor Mailing Address
POC for Contractor (Name) and Phone No.
POC for Contract (COE or Installation) and Phone No.

Installation that contractor needs access; i.e. Hunter or Stewart

This requirement applies to Construction Firms, Design AE firms, Consultants and Studies firms. Prescribed identification shall immediately be delivered to the Contracting Officer for cancellation upon release of any employee.

Procedure for obtaining Vehicle Registration ---ID badges for contractors { working on USACE contracts at Ft Stewart and HAAF }

Reference

3d ID (M) FSGA/ HAAF –Vehicle Pre-Registration Packet for Contractors—dated 13 Jun 01

The procedure/ Process should be as follows for employee ID cards (FOR CONTRACTORS WORKING ON FT STEWART AND HAAF CONTRACTS):

-The Contractor must provide the specified information to the Resident Engineer at the Preconstruction Conference

Contract No-----Contractor Name---Contractor Mailing Address---POC for the contractor (Name and Phone #)---POC for Contract (Corps of Engrs) and Phone #)

- The contractor (President or Principle) must prepare a letter to HQ, 3d Inf Div (Mech) and Ft Stewart ---Director of Contracting---Attn: Bernice Harper—1042 William H Wilson Ave Ste 219—Ft Stewart, Ga. 31314-3324

The letter must include the information and data as indicated in the sample letter included in the referenced “Vehicle pre-registration packet for contractors”---enclosures must include Personnel Roster---Cover Page of Contract issued by Corps of Engineers –Contracting Officer

- The contractor must also prepare a second letter to HQ, 3d Inf Div (Mech) and Ft Stewart—Civilian Personnel Advisory Center—Attn: Karen Bandera—101 W Bultman Ave Ste 100—Ft Stewart, Ga.

This letter must include the information and data as indicated in the sample letter included in the referenced “Vehicle pre-registration packet for contractors”---enclosures must include Employee Information for Contractor personnel ---Contract # {for each employee requiring access to the installation}

Full Name-----SS #----Date of Birth----Weight----Height----Color of Hair----Color Eyes---Sex---Identified as Recovery Personnel (yes /no)

:

The contractor sends a list of their personnel to the DOC (by letter) so that they can verify that the contractor has a contract on post and for how long...

The information is sent to CPAC from DOC and accompanied by the contractor's second letter ----- the ID cards are prepared by CPAC for each individual employee.

CPAC suggests that 4 or 5 people in groups---- every couple of hours...at that rate, we would get about 20 people done per day...

These are the POC at this time.

May Riggins is filling in for Sandy Sanders at the DOC and is verifying the contractors (767-6781).

Carolyn Colin works for CPAC and is the contact for the IDs (767-4346) and Karen Bandera is her director (767-8358).

At this point, the actual individual has to pick up the ID and get his picture and fingerprint put on it at Ft Stewart, Ga.

According to Lenell at DOC, once they have their card from CPAC, they proceed to the welcome center to get the photo and the finger print at Ft Stewart, Ga.

Vehicle Registration procedure/ process for Ft Stewart and HAAF contractors

The individual employee must procedure to the vehicle registration building with written certification for the contractor ----which the individual is an employee of the contractor and the vehicle registration is requested.

Ft Stewart-----building 285

HAAF ---building 1240

Data needed for vehicle registration

Drivers license---Proof of Insurance-----Vehicle Registration (State)—Special power of Attorney—(if you wish to register a vehicle and your name does not appear on the vehicle state registration)

This action completes the vehicle registration and employee ID for access to the installation

Tammy Jefferson is POC for badges at Ft Stewart. Provide at least 3-5 days to input it into the computer. (912) 767-8896/8894.

1.1.3 Employee Parking

Contractor employees shall park privately owned vehicles in an area designated by the Contracting Officer. This area will be within the area construction limits. Contractor employee parking shall not interfere with existing and established parking requirements of the military installation.

1.2 AVAILABILITY AND USE OF UTILITY SERVICES

1.2.1 Payment for Utility Services

The Government will make all reasonably required utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to or paid for by the Contractor at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. The Contractor shall carefully conserve any utilities furnished without charge.

1.2.2 Meters and Temporary Connections

The Contractor, at its expense and in a manner satisfactory to the Contracting Officer, shall provide and maintain necessary temporary connections, distribution lines, meters and meter bases required to measure the amount of each utility used for the purpose of determining charges. The Contractor shall submit its proposed temporary power installation including tie-in to the contracting officer for review prior to installation. The Contractor shall notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established.

The contractor shall perform a weekly electrical inspection by a qualified electrician, for all temporary electrical service and provide a report to the contracting officer.

1.2.3 Advance Deposit

An advance deposit for utilities consisting of an estimated month's usage or a minimum of \$50.00 will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed to the Contractor prior to the end of the current fiscal year.

1.2.4 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, the Contractor shall notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading. The Contractor shall then remove all the temporary distribution lines, meter and meter bases, and associated paraphernalia. The Contractor shall pay all outstanding utility bills before final acceptance of the work by the Government.

The amount of electric power consumed by the contractor after permanent power connection (for contractor use in testing, heating/cooling and lighting) shall be estimated by the government and contractor will be advised of the estimated kwh consumed monthly until acceptance of the facility.

1.2.5 Sanitation

The Contractor shall provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer. Government toilet facilities will not be available to Contractor's personnel.

1.2.6 Telephone

The Contractor shall make arrangements and pay all costs for telephone facilities desired with off post providers.

1.3 BULLETIN BOARD, PROJECT SIGN, AND PROJECT SAFETY SIGN

1.3.1 Bulletin Board

Immediately upon beginning of work, the Contractor shall provide a weatherproof glass-covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, and other information approved by the Contracting Officer. The bulletin board shall be located at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer. Legible copies of the aforementioned data shall be displayed until work is completed. Upon completion of work the bulletin board shall be removed by and remain the property of the Contractor.

1.3.2 Project Signs

The Contractor shall furnish and install a project sign at the location selected by the Contracting Officer. The wording on the project sign must be approved by the contracting officer. The project sign shall be painted on 1/2 inch thick exterior grade plywood. The sign layout shall be in accordance with the graphic format shown in Attachment 1 to Section 00800. The 4' by 4' right-hand section shall be painted white (Color No. 37875, Fed. Std. 595a) with black (Color No. 37038, Fed. Std. 595a) lettering. The 2' by 4' left-hand section shall be painted red (Color No. 12199, Fed. Std. 595a) with white lettering. Eight additional signs of similar construction and finish shall be furnished and installed at locations selected by the Contracting Officer. These signs shall be 36 inches wide, 15 inches high, with red letters 2 inches high on a white background and shall read as follows:

"OFF LIMITS TO UNAUTHORIZED PERSONNEL"

1.4 PROTECTION AND MAINTENANCE OF TRAFFIC

During construction the Contractor shall provide access and temporary relocated roads as necessary to maintain traffic. The Contractor shall maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment and the work, and the erection and maintenance of adequate warning, danger, and direction signs, shall be as required by the State and local authorities having jurisdiction. The traveling public shall be protected from damage to person and property. The Contractor's traffic on roads selected for hauling material to and from the site shall interfere as little as possible with public traffic. The Contractor shall investigate the adequacy of existing roads and the allowable load limit on these roads.

The Contractor shall be responsible for the repair of any damage to roads caused by construction operations.

1.4.1 Haul Roads

The Contractor will be required to use the haul routes shown on the plans unless otherwise permitted in writing by the Contracting Officer. When haul routes are not designated on the plans, the Contractor must obtain approval of the Contracting Officer of haul routes he intends to use. The Contractor shall maintain the haul routes and shall keep the dust problem under control by wetting the surface as needed. Sweeping and cleaning of pavements will be done as necessary to remove spillage resulting from the hauling operations. After all hauling has been completed; the Contractor shall restore the earth areas used for the haul routes to original condition by final grading, shaping, compacting, and grassing, and shall clean and sweep all paved areas as required. Any pavement damaged as a result of hauling operations under this contract for both the earth and the Contractor, as approved by the Contracting Officer, shall promptly repair other materials. The cost of maintenance and repair of the haul routes, as

mentioned above, shall be considered as a subsidiary obligation of the Contractor. The axle load of earth hauling equipment operating on paved streets shall not exceed 12,000 pounds.

1.4.2 Barricades

The Contractor shall erect and maintain temporary barricades to limit public access to hazardous areas. Such barricades shall be required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Barricades shall be securely placed, clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

1.5 CONTRACTOR'S TEMPORARY FACILITIES

1.5.1 Administrative Field Offices

The Contractor shall provide and maintain administrative field office facilities within the construction limits at the project site. Government office and warehouse facilities will not be available to the Contractor's personnel.

1.5.2 Storage Area

The Contractor shall construct a temporary 6-foot high chain link fence around trailers and materials. The fence shall include plastic strip inserts, colored brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Trailers, materials, or equipment shall not be placed or stored outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the military boundaries. Trailers, equipment, or materials shall not be open to public view with the exception of those items that are in support of ongoing work on any given day. Materials shall not be stockpiled outside the fence in preparation for the next day's work. Mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment, shall be parked within the fenced area at the end of each workday.

1.5.3 Supplemental Storage Area

Upon Contractor's request, the Contracting Officer will designate another or supplemental area (if available) for the Contractor's use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but shall be within the military boundaries. Fencing of materials or equipment will be required at this site; and the Contractor shall be responsible for cleanliness and orderliness of the area used and for the security of any material or equipment stored in this area. The Government will not provide utilities to this area.

1.5.4 Appearance of Trailers

Trailers utilized by the Contractor for administrative or material storage purposes shall present a clean and neat exterior appearance and shall be in a state of good repair. Trailers that, in the opinion of the Contracting Officer, require exterior painting or maintenance will not be allowed on the military property.

1.5.5 Maintenance of Storage Area

Fencing shall be kept in a state of good repair and proper alignment. Should the Contractor elect to traverse, with construction equipment or other vehicles, grassed or unpaved areas which are not established roadways, such areas shall be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation shall be at the Contractor's discretion. Grass located within the boundaries of the construction site shall be mowed for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers shall be edged or trimmed neatly.

1.5.7 Security Provisions

Adequate outside security lighting shall be provided at the Contractor's temporary facilities. The Contractor shall be responsible for the security of its own equipment; in addition, the Contractor shall notify the appropriate law enforcement agency requesting periodic security checks of the temporary project field office.

1.5.8 Utilities

All utilities will be metered and paid for by contractor.

Rates to Charge Contractor for Ft Stewart and Hunter

Electric \$.0799 per KWH
Water \$.7585 per KGal
Sewage \$1.5433 per KGal

:

1.6 PLANT COMMUNICATION

Whenever the Contractor has the individual elements of its plant so located that operation by normal voice between these elements is not satisfactory, the Contractor shall install a satisfactory means of communication, such as telephone or other suitable devices. The devices shall be made available for use by Government personnel.

1.7 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, the Contractor shall furnish and erect temporary project safety fencing at the work site. The safety fencing shall be a high visibility orange colored, high density polyethylene grid or approved equal, a minimum of 42 inches high, supported and tightly secured to steel posts located on maximum 10 foot centers, constructed at the approved location. The safety fencing shall be maintained by the Contractor during the life of the contract and, upon completion and acceptance of the work, shall become the property of the Contractor and shall be removed from the work site.

1.8 PARTNERING

Within 60 calendar days after NTP, the Government intends to propose a partnering relationship with the Contractor. This partnering relationship will attempt to draw on strengths of each organization to facilitate communications and minimize delays to achieve a safe contract resulting a quality product, within budget, and on schedule. Participation in such partnering activities may include the initial/follow-up partnering workshops and attendance at weekly-monthly coordination meetings with the Government and cooperation in other efforts to promote the partnering relationship. The Government and the Contractor will equally share the total cost for the initial/follow-up partnering workshops and the entire partnering relationship. Participation in partnering will not result in any change in the terms or price of the contract.

1.8.1 WEEKLY / Monthly COORDINATION MEETINGS

The Contractor shall participate in weekly/Monthly coordination meetings with representatives of the Contracting Officer and the other Government representatives-as applicable to be held until final/acceptance inspection. The Contractor shall be responsible for preparing the agenda (sample agenda are as follows), distributing the agenda, conducting the meeting, preparing the meeting minutes, distributing the minutes, and tracking action items. The weekly and monthly coordination meetings are to be considered an extension of the initial partnering workshop.

Typical topics shall consist of but not limited to the following and the issues indicated on the following sample agenda:

review of the previous week's activities and progress; forecast of the following week's activities and progress; discuss any upcoming utility outage or street closure; pending submittal reviews; late submittal reviews; upcoming submittal reviews; any request-for-information (RFI) and their status; pending modifications; anticipated modifications; any proposed schedule changes; and any other concerns/and or issues.

The monthly coordination meeting shall be held in lieu of one of the routine weekly coordination meetings. The monthly meeting will include an expanded agenda and address issues of concern to the contractor's home office and field office staffs.

For the weekly meeting as a minimum, the Contractor shall have in attendance their on-site managers (project superintendents, project engineers, or project managers), their QC Managers, and their project schedule—as applicable {if the contract is behind schedule}.

For the monthly meeting as a minimum, the contractor shall have in attendance the same staff as indicated for the routine weekly meetings and the home office Project manager and home office Project manager for subcontractors-as applicable.

WEEKLY COORDINATION MEETING AGENDA

1. Safety Plan implementation/problems/staffing
 - Weekly electrical inspections
 - Weekly tool box meetings
 - MSDS (Hazard Communication implementation)
 - Activity Hazard Analysis (prepared prior to and used in Prep meetings)
 - Safety deficiencies noted on deficiency tracking list and status on RMS
2. Clean-up/Staffing for clean-up
3. Security
4. Submittal Register status
 - Review of reports from RMS
5. Request for Information (RFI/DCR) status
6. Review NAS schedule
 - NAS Diagram
 - LS-AS / LF-AF sort and total float sort
 - Review key procurement items on NAS
 - Review crew sizes, productivity, equipment in use, milestones, durations, for work activities which have negative float.
 - Current contract completion date
7. QC Plan implementation/problems/staffing
 - Quality deficiencies noted and status
 - Deficiency tracking list on RMS
8. Coordination problems
9. Verbal instruction issued to the contractor by COR or ACO (subject to no cost or time)
10. Status of changes
 - Pending modifications –RFP issued
 - status of proposal from the contractor

-Potential modifications –RFP not issued

11. Outstanding correspondence
 - from the contractor(serial #)
 - to the contractor to the Government (serial #)

12. Field problems--
 - Status of resolution of pending field problems
 - New or potential field problems

13. Environmental Protecting Plan Implementation

14. Partnering

MONTHLY COORDINATION MEETING AGENDA

1. Safety Plan implementation/problems/staffing
 - Weekly electrical inspections
 - Weekly tool box meetings
 - MSDA (Hazard Communication implementation)
 - Safety deficiencies noted on deficiency tracking list and status of correction
 - Monthly Supervisor Safety Meeting
 - Area lighting/noise control
 - Activity Hazard Analysis (prepared prior to and used in Prep meetings)
 - Safety deficiencies noted on deficiency tracking list and status on RMS
2. Clean-up/Staffing for clean-up
3. Security
4. Submittal Register status
 - Review of reports from RMS
5. Request for Information (RFI/DCR) status
7. Review NAS schedule
 - NAS Diagram
 - LS-AS / LF-AF sort and total float sort
 - Review key procurement items on NAS
 - Review crew sizes, productivity, equipment in use, milestones, durations, for work activities which have negative float.
 - Current contract completion date
8. QC Plan implementation/problems/staffing
 - Quality deficiencies noted and status

-Deficiency tracking list on RMS

9. Coordination problems
10. Verbal instruction issued to the contractor by COR or ACO (subject to no cost or time)
11. Status of changes
 - Pending modifications –RFP issued
 - status of proposal from the contractor
 - Review the status of modifications in negotiations and modifications awaiting contractor's signature
 - Review the contractor's urgency to receive NTP (SF 30)
 - Potential modifications –RFP not issued
12. Outstanding correspondence
 - from the contractor(serial #)
 - to the contractor to the Government (serial #)
12. Field problems
 - Status of resolution of pending field problems
 - New or potential field problems
13. Environmental Protecting Plan Implementation
- 14 Review QC field test logs and companion reports for all control tests
 - concrete- compaction density-etc
15. Review as-built drawings update and status
- 16 Review unusually severe weather status and modification (as applicable)
17. Review the implementation of the prime contractor's subcontractor plan implementation
18. Payroll status (all contractor employees/subcontractors)
19. Partnering
 - Review the need for a follow-up partnering session

1.9 INITIAL MEETING WITH THE CONTRACTOR –MODIFICATION MARKUPS

1. Initial meeting with contractor Not later than 60 days after NTP and prior to the first modification on the contract –see guidance for details

The Project Engineer must arrange a meeting with the contractor and major sub-contractor's home-office project manager/ representative to determine the allowable

contractor's G&A percentage –{for the next 6-month period of the contract}----bond premium rate-----labor burden for all crafts anticipated on the jobsite to be used for construction modifications

A follow-up meeting to verify the data-----must be held every 6 months ----

The contractor must provide his detailed breakdown of G&A costs---these costs must be in accordance with the costs elements that are allowable in FAR

The contractor may provide a recent (within last 6 months)–company audit with his breakdown of cost elements.

INITIAL MEETING WITH THE CONTRACTOR TO ESTABLISH MARK-UPS FOR MODIFICATIONS

Contractor home-office general and administrative costs –field overhead ---labor burden rate---bond cost

{Indirect costs----applicable to construction modifications mark-up}

All construction modifications will include indirect costs mark-ups for contractor's home office general and administrative costs and additional bond premium –(based on the increase in contract price)

INDIRECT COSTS –MARK-UPS

Indirect costs consist of Field and Home Office Overhead and Bond.

DEFINITIONS

General and Administrative Costs---Indirect overhead home-office costs for the contractor – including his cost of doing business----usually expressed as a %.

This G&A percentage is subject to change based on the home-office costs and/or the total earnings anticipated for the contractor (additional contract awards)

All allowable FAR home- office costs (total costs)

Total cost to the contractor (all contracts)

= G&A %

HOME OFFICE OVERHEAD

Home Office Overhead or General and Administrative costs are costs that the Contractor must incur to support all their field construction projects. Home Office Overhead costs are usually expressed as a percentage of total direct costs for all contracts awarded to the contractor at the time of the calculation.

Types of services, which may be included in Home Office Overhead, include; Estimating, payroll and administrative costs, purchasing, Company Officer Salary, accounting, legal costs, warehousing, mechanics and other costs.

Shown below is a listing of some of the Home Office Overhead costs

Administrative Salaries
Officer's Salaries Accounting costs
Legal Costs -----not allowable
Professional Services Engineering costs
Repairs and maintenance
Insurance
Vehicle costs Utilities
Computer costs
IT Professionals
Pension Plan costs
Depreciation
Office Expense
Entertainment -----not allowable
Rent
Shop Supplies
Interest -----not allowable

It should be noted that not all Home Office Overhead costs are allowable in the Federal Acquisition Regulations (FAR). The types of costs that are unallowable are shown in Part 31 of the FAR. Some examples of unallowable costs are; Interest expense, entertainment, legal expense involved in a claim against the Government, and bad debts.

Home office overhead costs are calculated as a percentage of total direct costs. The Contractor will present their total overhead costs in categories as shown above based upon financial audits. The costs are normally calculated on a yearly basis since financial statements are done yearly. The overhead costs will then be divided by the total direct costs the Contractor incurred on all their jobs for that calendar year.

Determination of Home Office –G&A overhead is required for all major sub-contractors-

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FIELD OFFICE OVERHEAD ---These costs consist of Contractor costs at the Job Site required to manage the construction work. The original contract should include all the field overhead costs needed for the original construction duration.

Examples of these costs are:

Superintendent Salary
Safety Engineer Salary
QC Manager Salary
Secretary Salary
Office Trailer Rent /Utilities

Office Equipment
Office Supplies
Portable Toilets
Water for Employees

The Contractor's field overhead costs generally will not increase unless the Contractor is delayed or the Contract time is extended.

DAILY FIELD OVERHEAD COST --- based on the amount of plant –equipment and personnel on jobsite at the time the modification is performed)

LABOR BURDEN RATE ---Direct cost mark-up on labor rates

Labor costs are comprised of hours worked and the type of labor or employee. Labor rates for various types of employees can be found in the front of all contracts under the Davis-Bacon wages section. This section lists base wage rates and fringes rates for all the categories of employees to be expected to work on the contract for the Contractors

The Contractor must also pay what we shall call "Labor Burden" or taxes and insurance on all labor costs. "Labor Burden" consists of costs such as Workmen's Compensation, Employer's Liability Costs, Unemployment Insurance and Social Security. "Labor Burden" can vary depending on the efficiency and safety record of the Contractor. The Contractor should submit a breakdown of the "Labor Burden" percentage in their proposal to justify the percentage proposed. Once the "Labor Burden" is known a new hourly rate can be calculated incorporating the Base Labor rate, fringes and "Labor Burden" (See Form ENG 4715 for details).

Bond premium---The contractor will incur additional payment and performance bond premiums upon an increase in the contract price. This indirect cost should be applied at the same rate as the contractor's premium rate to the bonding company for the contract. Customarily the bond premium is (0.50%-1.0 %)

Not later than 60 days after NTP and prior to the first modification on the contract

The Project Engineer must arrange a meeting with the contractor and major sub-contractor's home-office project manager/ representative to determine the allowable contractor's G&A percentage –{for the next 6-month period of the contract}----bond premium rate-----labor burden for all crafts anticipated on the jobsite to be used for construction modifications

A follow-up meeting to verify the data-----must be held every 6 months ----

The contractor must provide his detailed breakdown of G&A costs---these costs must be in accordance with the costs elements that are allowable in FAR

1.10 INSTALLATION REGULATIONS

The employees of the Contractor will be required to abide by all installation regulations as published by the Commanding Officer. A copy of these regulations can be obtained from the

Area/Resident Engineer at the installation. All costs in connection therewith shall be included in the contract price for the work. All costs in connection therewith shall be included in the contract price for the work.

1.11 TESTING LABORATORIES

Control testing is required by the Contractor as part of his Quality Control Plan, in accordance with section 01451, to verify and contract quality and compliance with contract requirements. This Quality Control Testing is to be conducted by a project or independent commercial laboratory that has been found adequate and approved by a Corps of Engineers.

1.11.1 Approved Testing Laboratories

A composite listing of approved testing laboratories within the South Atlantic Division is available upon request. The Contractor should engage the services of a laboratory contained in the composite list. Contractors may obtain the list by calling (912) 652-5094 or (912) 652-5244. Fax requests can be made Doug Saxon (912) 652-6016.

1.11.2 Other Laboratory Services

The Contractor may engage the services of a laboratory other than those approved by Corps of Engineers Division Laboratory Inspection Team if they comply with the following:

- a. The Contractor identifies and proposes the unapproved laboratory a minimum of 90 days prior to the start of testing. This time is necessary to allow for scheduling an inspection by a Corps of Engineers Division Laboratory team. The time for Government inspection will not be the basis for an increase in the contract performance period.
- b. All costs of Government inspection shall be the responsibility of the Contractor.

The Contractor may request Government inspection and approval by calling (912) 652-5094 or (912) 652-5244. Fax requests can be made Doug Saxon (912) 652-6016.

1.12 CONTAMINATION

1.12.1 Site Evaluation

The job site has been evaluated for potential site contamination.

1.12.2 Contractual Responsibilities of All Parties in the Event of Encounter with Contamination

If the Contractor encounters materials or conditions which indicate that there may be contamination on the site, the Contractor shall stop all work on the job site and report the discovery of the contaminants to the Contracting Officer's Representative (COR). The COR,

will issue a written order to the Contractor to resume work or to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government as provided in FAR 52.212-12 - SUSPENSION OF WORK. The Government will be responsible for making an assessment of the contaminated site if this course of action is determined to be appropriate. After the assessment has been completed, the Government reserves the right to the following courses of action:

- a. Direct the Contractor to resume work.
- b. Clean up the contaminated site prior to directing the Contractor to resume work. The COR will determine whether the cleanup is to be accomplished by others or the Contractor.
- c. Relocate the project site.
- d. Terminate the contract for the convenience of the Government as provided in FAR 52.249-1 - TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) or FAR 52.249-2 - TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) - ALTERNATE I as applicable.

1.13 ENVIRONMENTAL PROTECTION PLANS AND IMPLEMENTATION

The contractor shall implement the specified environment protection plans indicated in the contract documents in accordance with the State of Georgia regulations.

The contractor shall perform all corrective actions noted during the routine inspections by the State of Georgia inspector for environmental protection immediately upon receipt.

The contractor shall perform detailed inspections of all environmental protection measures immediately after significant rainfall > 0.5" and perform all applicable corrective actions

Should it be determined by the State of Georgia inspector that the current environment protection plan is no longer adequate to comply with the State of Georgia regulations, at any time during the contract duration, the contractor shall prepare and submit an updated plan for approval and perform the implementation of the approved environmental protection plan.

1.14 CONSTRUCTION SCHEDULE RESTRAINTS - FORT STEWART, GA

1.14.1 Occupancy

All buildings listed to be demolished are fully occupied and will be occupied during construction (as noted in the sequence and phasing requirements –below). It is the intent of these provisions to provide for maximum coordination between construction activities pursuant

to this contract and concurrent ongoing routine activities of base personnel. Interference with and inconvenience to the occupants or routine of the facility shall be held to an absolute minimum.

1.14.2 Protection

Contractor is responsible to provide such covering, shields and barricades as are required to protect building occupants, equipment, stores, supplies, etc., from dust, debris, weather intrusion, water, moisture or other cause of damage resulting from construction.

1.14.3 Phasing and Sequence

1.14.3.1 In addition to the submittals required by clause SCHEDULES FOR CONSTRUCTION CONTRACTS FAR 52.236-15) the Contractor shall submit for approval a summary work schedule setting forth schedule dates for initiation and completion of construction in each occupied work area. No work shall be performed prior to approval of this schedule and all work shall be performed in strict adherence thereto. If departures from this schedule appear to be required or desired, the Contracting Officer shall be promptly notified and his approval will be required prior to implementation of said departure(s).

1.14.3.2 Special Phasing and Coordination Restraints

Schedule: Phasing and Sequencing will be required prior to construction of new distribution piping system and prior to shutdown of any buildings. The following is the priority of time required by the contractor to complete each building.

Barracks can only be shut down for 24 hours and only one (1) barrack building can be shutdown at one (1) time.

Contractor shall provide a barrack building shutdown schedule 30 days prior to any building shutdown.

All other buildings besides the barracks and dinning facilities are to have a maximum of five (5) day shutdown.

The dining facilities shall only be shutdown for three (3) days. Only one (1) dining facility can be shutdown at one (1) time.

If any building is shutdown longer than the allotted time, the contractor shall provide temporary space heat and/or service heating water for domestic water for that building.

The contractor shall provide a complete schedule for the entire project which shows the work schedule for the trench work and distribution piping system, mechanical room work and all building connection hook-ups

Any marked utilities which are cut by the contractor, the contractor shall temporarily or permanently repair within eight (8) hours or before the close of business for that day of the incident and the contracting officer shall be notified immediately. All permanent repairs shall be repaired

within 24 hours. This applies to all trades and all drawings. The contracting officer shall be notified immediately for any unmarked utilities which are cut by the contractor. If the contracting officer is not notified immediately, then the contractor is responsible for temporary or permanent repair within 24 hours.

The contractor shall field survey all existing buildings to receive new heat exchanger, control valves and match existing building control components, except as indicated in specification section 15951A, paragraph 1.2.

Schedule: Phasing and Sequencing will be required prior to construction of new facility and prior to demolition of buildings. The following is the priority of demolition and time required by the user to vacate each building.

1.14.4 Time of Performance

1.14.4.1 Access to Buildings

All work requiring access to occupied building interiors excluding attics, crawl spaces, etc., and all other work shall be performed between 7:30 a.m. and 4 p.m. (normal working hours for base where project is located) excluding official holidays, unless otherwise indicated or approved by the Contracting Officer. Requests to work during other than these normal hours shall be made in writing at least 36 hours in advance. For example, a request to work on a Saturday shall be submitted no later than Thursday at noon.

1.14.4.2 Work Requiring Outages

Work requiring outages of utilities or building systems will be accomplished during normal working hours in accordance with prior approved schedule(s).

1.14.5 Outages

Contractor's work requiring outages of utility systems or building systems will require 14 calendar days advance notice and will be subject to the approval of the Contracting Officer. Notice shall include type of outage, date, and time outage will commence and estimated duration of outage.

1.14.6 Continuity

All tools, labor and materials required to complete any item of work within a given work area or requiring an outage of any building utility or system, shall be available at the site prior to commencement thereof. Once work has commenced on an item of work, said work shall be continuously and diligently performed to completion and acceptance.

1.14.7 Road and/or Railroad Closures

Road and/or railroad closures will require 2 weeks' advance written notice and be subject to the Contracting Officer's approval. Notice shall state reason for closure; date and time closure will commence and estimated duration of closure. A sketch shall be provided showing location of excavated area and placement of barricades and signs. Closures shall be limited to a maximum of 5 calendar days.

1.14.8 Entry

Entry to all areas, except the cantonment area, to be coordinated with Range Control, Building 7901 (intersection of Georgia Highway 144 and Fort Stewart Road 47) and the Provost Marshal's office.

1.14.9 Fire Zone

The Contractor is required to provide 72-hour notice to the Contracting Officer prior to the final programming of the fire zone information at the main fire station.

1.14.10 Excavated Debris

All excavated debris will be hauled off post. No landfills are available on Fort Stewart.

1.15 REQUEST FOR INFORMATION (RFI) SYSTEM

The Government has developed an electronic database, the Request for Information (RFI) System, to track and answer Contractor questions and requests for information and clarification during construction. The use of the RFI System for all requests (the Contractor's as well as the subcontractors'/suppliers') is a contractual requirement for this project. The Contractor will enter the system over the Internet using any WEB browser and any Internet service provider. The Government will provide the Contractor a user identification and password for the system that will only allow the Contractor to enter and view the requests for this project. The Contractor will provide the Government the E-mail address for the individual(s) inputting into the system in order that E-mail messages can be sent from the Government to the Contractor indicating a response to the request. The Government will provide training in the use of the software, which is a Lotus NOTES application. The Contractor will enter all requests indicating the question, recommended solution (if applicable), and needed response date. The Government will be notified through an E-mail message that the Contractor has entered a request into the system. When the Government has answered the request, an E-mail message will be sent to the Contractor, informing the Contractor that the answer to the request is in the system. The Contractor will enter the system to retrieve the answer using the same procedure to enter the question. The RFI System assigns a unique number to each request. The Contractor will not be reimbursed separately for

the required use of this system. The Contractor shall include any costs associated with the use of this system into the appropriate bid item.

1.16 RED ZONE meeting

When the project facility is approximately 90 % complete or not less than 60 calendar days from ACO anticipated prefinal inspection date.

- ACO, Project Engineer, PM/forward, DPW (POC for transfer/warranty) and other interested persons having action to result in a fully operational facility will meet with the Contractor –Supt., CQC, PM (home office) to develop a RED ZONE PLAN /schedule for completion
 - project completion
 - prefinal inspection
 - Use and possession prior to completion
 - final inspection
 - transfer/acceptance of the project
 - items due to the DPW at transfer
 - warranty plan with POC for implementation
 - develop a bar chart schedule for completion

PROJECT COMPLETION

- Coordination and interface with DPW—USER—FIRE MARSHALL
 - Coordinate the contractor's permanent tie-in for utilities with DPW—arrange for an outage (date-time-area to be affected)----- --advise all personnel to be affected by the outage- (include duration of outage)
 - Permanent power
 - Chilled water
 - Heating water
 - Natural gas
 - Domestic water (Does this include sprinkler/fire protection systems also?)
 - Sanitary sewer
 - EMCS
 - . Coordinate with DOIM for the installation of the telephone and data line service to the facility.
 - It may be advantageous for the DOIM to work
 - With the contractor's work force
 - Or after normal duty hours-weekends

- And prior to final ceiling installation
- . Coordinate the transfer of payment for utilities from the contractor to DPW or User (as applicable)—final meter readings
- . Coordinate with Fire Department (Ft Stewart –Hunter AAF)
 - Arrange an inspection of the entire fire protection and reporting system by the Fire Marshall
 - Coordinate the fire reporting system with Post system
 - Assure fire extinguishers are provided by the contractor or DPW
 - Coordinate for Fire Marshall –or representative to witness the QA/acceptance operational testing for all fire protection and reporting systems.
- . Coordinate with User for the utility requirements of the final Equipment ---furniture for the facility (proper power/voltage, accessibility, computers, Internet access/ telephone/cable, lighting, water supply, drains, natural gas, and etc.
- Not less than 30 work days prior to prefinal inspection---All testing of mechanical and electrical systems must be completely installed and ready for QC control testing (testing by CQC-subcontractor to assure the system is operational and to work out minor deficiencies)
- Not less than 15 work days prior to prefinal inspection---All mechanical and electrical systems must be ready for the QA/acceptance testing (testing performed by the contractor to demonstrate to QA/DPW - that the systems are operating properly- as designed)
- Not less than 10 work days prior to prefinal inspection--CQC must perform his completion inspection of the entire project and prepare a detailed punchlist—see spec section 01451-3.8.1 (the list must not exceed 50-100 minor items /deficiencies) and submit list to PE with a schedule date for completion of each item.

Project Engineer, QA and (Resident Engineer-if available) will review the CQC punchlist and add items as needed---based on the

QAR continuing deficiency-tracking list—throughout the contract period.

1.17 QC(DEBUGGING) AND QA (FUNCTIONAL/OPERATIONAL ACCEPTANCE)
TESTING FOR MECHANICAL AND ELECTRICAL SYSTEMS

The initial (QC) debugging startup system tests are required for all mechanical and electrical systems and/or system components specified in this contract.

The acceptance (QA) operational demonstration system tests are required for all mechanical and electrical systems and/or system components specified in this contract.

The initial (QC) and acceptance (QA) operation system testing shall be completed prior to prefinal inspection.

HVAC Commissioning (as specified), should be performed after the completion of the QC and QA system testing.

The requirements of scheduling and performing the QC and QA mechanical and electrical system testing is specified in Section 01451 Contractor Quality Control.

1.18 PROGRESS PHOTOGRAPHS- MONTHLY

The Contractor shall furnish to the Contracting Officer digital progress photographs which depict progress of construction, monthly during the entire contract performance period, until final acceptance. A qualified photographer, acceptable to the Contracting Officer, shall perform the photographic work. The photographs shall be taken between the 1st and 5th day of each month and be delivered to the Contracting Officer (in electronic format) not later than the 20th day of the same month taken. The photographs shall be taken from not less than ten positions for each month as selected by the Contracting Officer. They shall show, inasmuch as practicable, work accomplished during the previous month. Each photograph shall be identified showing date made, contract title and number and a brief description of work depicted and shall be sequentially numbered. The identifying data shall be placed in the electronic file with the digital photos. No separate payment will be made for these services and all costs in connection therewith shall be considered incidental to costs of the overall project.

1.19 SCAFFOLD TAGGING SYSTEM

The contractor's onsite safety and health representative, responsible for implementation of the accident prevention plan, must perform inspections and tagging for all scaffolds after erection and prior to use for each work shift. The contractor's representative must establish a tagging system (with initials on the tag) to indicate that the scaffold has been inspected and is safe for use. The tagging system should include a color tag -such as green for safe and red for unsafe. The tag should provide a space for the contractor's representative to sign -daily after his inspection of the scaffold for safe use prior to the start of each work shift.

Scaffolds without the proper tagging shall not be used.

1.20 SHOP DRAWINGS FOR MECHNICAL AND ELECTRICAL MATERIAL AND EQUIPMENT INSTALLATION SPACES

Detailed and comprehensive shop drawings (including comprehensive-coordinated shop drawings indicating all mechanical and electrical materials and equipment to be provided in the spaces) are required to be submitted for approval to the Contracting Officer, for all spaces where HVAC mechanical and electrical materials and equipment are installed.

These spaces are, but not limited to, the following areas:

- Above ceilings
- Mechanical room areas
- Plenum and chase areas
- Electrical closets

Shop Drawings shall consist of plan view for equipment layout (for specific equipment to be provided) including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications; and piping layout showing the location of all guides and anchors, the load imposed on each support or anchor, and typical support details.

Shop Drawings shall include plan and all elevation views of all areas as required and approved by the Contracting Officer, to demonstrate that the entire mechanical and electrical systems including all ductwork and equipment has been coordinated with all other installation and trades and can be properly installed within the areas and all other systems maybe properly installed, including clearances required for operation and maintenance.

The shop drawing shall be submitted and approved a minimum of 30 days prior to scheduled date for installation of the mechanical and electrical material and equipment.

1.21 N/A

1.22 CLEANUP

Construction debris, waste materials, packaging material and the like shall be removed from the work site daily. Any dirt or mud that is tracked onto paved or surfaced roadways shall be cleaned away. Materials resulting from demolition activities that are salvageable shall be stored within the fenced area described above or at the supplemental storage area. Stored material not in trailers, whether new or salvaged, shall be neatly stacked when stored.

1.23 LAYOUT OF FACILITIES AND BUILDINGS

The Contractor shall lay out its work from Government established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout.

The contractor shall utilize the services of a registered professional surveyor to layout and locate the final location of all buildings and associated support facilities.

The contractor shall submit for approval a written and sealed surveyors plot, indicating the final locations.

1.24 RESTORATION OF STORAGE AREA

Upon completion of the project and after removal of trailers, materials, and equipment from within the fenced area, the fence shall be removed and will become the property of the Contractor. Areas used by the Contractor for the storage of equipment or material, or other use, shall be restored to the original or better condition. Gravel used to traverse grassed areas shall be removed and the area restored to its original condition, including topsoil and seeding as necessary.

1.25 REFERENCED STANDARDS (IN TECHNICAL SPECIFICATION SECTIONS)

The contractor (QC representative) shall provide a hard copy of all referenced standards in the technical specification sections –at the time of the preparatory inspection for each definable feature of work---as listed in the contractor’s Quality control plan.

The contractor shall submit for approval to the Contracting Officer ----- evidence of his procedure for access to the reference standards for all technical specifications (e.g. ASTM, ACI , NFPA and etc.) and include this submittal in the contractor’s Quality Control Plan.

1.26 N/A

1.27 BUILDERS RISK INSURANCE

The contractor must establish and maintain a builder’s risk (BR) insurance policy on all facilities and/or buildings for lost or damage during the contract duration, until final acceptance by the government. The company policy may have a deductible on each occurrence. The premium for the policy should be included in the home office General and Administrative overhead cost.

1.28 ACCIDENT PREVENTION PLAN

In addition to the requirements in Division 01351 and 01500, the contractor shall submit an accident prevention plan to the government for review prior to the start of any work. The accident prevention plan shall be in accordance with EM 385-1-1-(dated 3 November 2003) and the format of the accident prevention plan in EM 385-1-1--- Appendix A

MINIMUM BASIC OUTLINE FOR ACCIDENT PREVENTION PLAN

An accident prevention plan is, in essence, a safety and health policy and program document. The following areas are typically addressed in an accident prevention plan, but a plan shall be job-specific and shall also address any unusual or unique aspects of the project or activity for which it is written. The accident prevention plan shall interface with the employer's overall safety and health program. Any portions of the overall safety and health program that are referenced in the accident prevention plan shall be included as appropriate.

1. SIGNATURE SHEET. Title, signature, and phone number of the following:

- a. plan preparer (corporate safety staff person, QC).
- b. plan approval, by company /corporate officers authorized to obligate the company (e.g., owner, company president, regional vice president, etc.)
- c. plan concurrence (e.g., Chief of Operations, Corporate Chief of Safety, Corporate Industrial Hygienist, project manager or superintendent, project safety professional, project QC) (provide concurrence of other applicable corporate and project personnel (Contractor)).

2. BACKGROUND INFORMATION. List the following:

- a. contractor.
- b. contract number
- c. project name
- d. brief project description, description of work to be performed, and location (map);
- e. contractor accident experience (provide information such as EMR, OSHA 300 Forms, corporate safety trend analyses);
- f. listing of phases of work and hazardous activities requiring activity hazards analyses.

3. STATEMENT OF SAFETY AND HEALTH POLICY. Provide a copy of your current corporate/company Safety and Health Policy Statement. (In addition to the corporate/company policy statement, a copy of the corporate safety program may provide a significant portion of the information required by the accident prevention plan.)

4. RESPONSIBILITIES AND LINES OF AUTHORITIES.

- a. Identification and accountability of personnel responsible for safety - at both corporate and project level. (Contracts specifically requiring safety r industrial hygiene personnel should include a copy of the resume. The District Safety and Occupational Health Office will review the qualifications for acceptance.) (jobsite safety officer required to be on the jobsite full time during the performance of all work including the work by the subcontractors), the jobsite safety officer's safety experience and training including the industry accepted Red Cross and CPR and the 10 hours of OSHA training certificate must be submitted for review for the assigned jobsite safety officer (the jobsite safety officer must obtain the training and certificate within 60 days after his assignment)
- b. lines of authority.

5. SUBCONTRACTORS AND SUPPLIERS. Provide the following:

- a. Identification of subcontractors and suppliers (if known);
- b. Means for controlling and coordinating subcontractors and suppliers;
- c. Safety responsibilities of subcontractors and suppliers.

6. TRAINING.

- a. List subjects to be discussed with employees in safety indoctrination.
- b. List mandatory training and certifications, which are applicable to this project (e. g., explosive actuated tools, confined space entry, crane operator, diver, vehicle operator, HAZWOPER training and certification, personal protective equipment) and any requirements for periodic retraining/recertification.
- c. Identify requirements for emergency response training.
- d. Outline requirements (who attends, when given, who will conduct etc.) for supervisory and employee safety meetings.

7. SAFETY AND HEALTH INSPECTIONS. Provide details on:

- a. Who will conduct safety inspections (e.g., PM safety professional, QC, supervisors, employees), proof of inspector's training/qualifications, when inspections will be conducted, how the inspections will be recorded, deficiency tracking system, follow-up procedures, etc. The names of competent and/or qualified person(s) and proof of competency/qualification to meet specific OSHA competent/qualified person(s) requirements must be attached.
- b. Any external inspections/certifications, which may be required (e.g., Coast Guard).

8. SAFETY AND HEALTH EXPECTATIONS, INCENTIVE PROGRAMS, AND COMPLIANCE.

- a. The company's written safety program goals, objectives, and accident experience goals for this contract should be provided.
- b. A brief description of the company's safety incentive programs (if any) should be provided.
- c. Policies and procedures regarding noncompliance with safety requirements (to include disciplinary actions for violation of safety requirements) should be identified.
- d. Provide written company procedures for holding managers and supervisors accountable for safety.

9. ACCIDENT REPORTING. The contractor shall identify who, how and when the following will be completed:

- a. Exposure data (man-hours worked);
- b. Accident investigations, reports and logs;
- c. Immediate notification of major accidents.

10. MEDICAL SUPPORT. Outline on-site medical support and off-site medical arrangements including rescue and medical duties for those employees who are to perform them, and the names(s) of on-site Contractor personnel trained in first aid and CPR.

11. PERSONAL PROJECTIVE EQUIPMENT. Outline procedures (who, when, how) for conducting hazard assessments and written certifications for use of personal protective equipment. Outline procedures to be followed to assure the proper use, selection, and maintenance of personal protective and life saving equipment (e.g., protective footwear, protective gloves, hard hats, safety glasses, hearing protection, body harnesses, lanyards.

12. PLANS (PROGRAMS, PROCEDURES) REQUIRED BY THE SAFETY MANUAL (as applicable)

a. Layout plans (04.A.01)

b. Emergency response plans:

-procedures and tests (01.E.01) -spill plans (01. E.01, 06.A.02)

-firefighting plan (01.E.01, 19.A.04)

-posting of emergency telephone numbers (01.E.04) -wildfire prevention plan (09.K.01)

-man overboard/abandon ship (19.A.04)

c. Hazard communication program (01.B.06). Provide the location of MSDS records of Contractor employee training, and inventory of hazardous materials (including approximate quantities and a site map) that will be brought onto Government project by the Contractor and subcontractor.

d. respiratory protection plan (05.E.01);

e. health hazard control program (06.A.02);

f. lead abatement plan (06.B.05 & specifications

h Asbestos abatement plan (06.8.05 & specifications~;

i. Confined space (06.1)

j. Hazardous energy control plan

k Critical lift procedures (16.C.18)

l Contingency plan for severe weather (19.A.03)

m Access and haul road plan (8.D.1)

n. demolition plan (engineering and asbestos surveys) (23.A.01);

o. emergency rescue (tunneling) (26~A.05);

p. underground construction fire prevention and protection plan (26.D.01)

q. compressed air plan (26.1.01)

r. formwork and shoring erection and removal plans (27.B.02)

s. Jacking Plan (lift) slab plans (27.D.01)

t. SHP and SSHP (for HTRW work an SSHP must be submitted and shall contain all information required by the accident prevention plan -two documents are not required (28.8.02);

u. blasting plan (29.A.01); v. diving plan (30.A.13);

w. plan for prevention of alcohol and drug abuse (Defense Federal Acquisition Regulation Supplement Subpart 252.223-7004)

x. Fall protection plan (section 21)

y. Steel erection plan (27.E.01)

z. Night operations lighting plan (16.C.19.d)

aa. Site sanitation plan (Section 02)

bb. Fire Prevention Plan (09.A.01)

13. The contractor shall provide information on how they will meet the requirements of applicable Sections of this manual in the APP. As a minimum, excavations, scaffolding, medical and first aid requirements, sanitation, PPE, fire prevention machinery and mechanized equipment, electrical safety, public safety requirements; and chemical, physical agent, and biological occupational exposure prevention requirements shall be addressed as applicable.

14. SITE-SPECIFIC HAZARDS AND CONTROLS.

Detailed site-specific hazards and controls shall be provided in the AHA for each activity of the operation.

--End of Section--

SECTION 01780

AS-BUILT DRAWINGS AND WARRANTY

1.1 SUBMITTALS

1.2 Project Record Documents

1.2.1 As-Built Drawings

1.2.1.1 Government Furnished Materials

1.2.1.2 Working A-Built and Final As-Built Drawings

1.2.1.2.1 AS Built Drawing and Specifications Management

1.2.1.3 Drawing Preparation

1.2.1.4 Computer Aided Design and Drafting (CADD) Drawings

1.2.1.5 Manually Prepared Drawings

1.2.1.6 Payment

1.2.2 As-Built Record of Equipment and Materials

1.2.3 Final Approved Shop Drawings

1.2.4 Construction Contract Specifications

1.2.5 Real Property Equipment

1.3 Warranty Management

1.3.1 Pre Warranty Conference

1.3.2 Warranty Management Plan

1.4 Performance Bond

1.5 Contractor's Response to Construction Warranty Service Requirements

1.6 Mechanical Testing, Adjusting, Balancing and Commissioning

1.7 Operation and Maintenance Manuals

1.8 Final Cleaning

PART 1 GENERAL

1.1 SUBMITTALS

SD-02 Shop Drawings

As-Built Drawings; G, RE

Drawings showing final as-built conditions of the project. The final CADD as-built drawings shall consist of one set of electronic CADD drawing files in the specified format, two sets of blue-line prints, and one set of the approved working as-built drawings.

SD-03 Product Data

As-Built Record of Equipment and Materials;

Three copies of the record listing the as-built materials and equipment incorporated into the construction of the project.

Warranty Management Plan; G, RE

Two sets of the warranty management plan containing information relevant to the warranty of materials and equipment incorporated into the construction project, including the starting date of warranty of construction. The Contractor shall furnish with each warranty the name, address, and telephone number of each of the guarantor's representatives nearest to the project location.

Warranty Tags; G, RE

Three record copies of the warranty tags showing the layout and design.

Final Cleaning; G, RE

Three copies of the listing of completed final clean-up items.

1.2 PROJECT RECORD DOCUMENTS

1.2.1 As-Built Drawings

This paragraph covers as-built drawings complete, as a requirement of the contract. The terms "drawings," "contract drawings," "drawing files," "working as-built drawings" and "final as-built drawings" refer to contract drawings, which are revised to be used for final as-built drawings.

1.2.1.1 Government Furnished Materials

One set of electronic CADD files in the specified software and format revised to reflect all bid amendments will be provided by the Government to the contractor at the prework conference.

1.2.1.2 Working As-Built and Final As-Built Drawings

The Contractor shall revise 2 full size sets of paper drawings by red-line process to show the as-built conditions during the prosecution of the project. These working as-built marked drawings shall be kept current on a weekly basis and at least one set shall be available on the jobsite at all times. Changes from the contract plans which are made in the work or additional information, which might be uncovered in the course of construction, shall be accurately and neatly recorded as they occur by means of details and notes.

The working as-built marked prints and final as-built drawings will be jointly reviewed for accuracy and completeness by the Contracting Officer and the Contractor prior to submission of each monthly pay estimate. If the Contractor fails to maintain the working and final as-built drawings as specified herein, the Contracting Officer will deduct from the monthly progress payment an amount representing the estimated cost of maintaining the as-built drawings up the 10% retainage due to lack of satisfactory progress and consider this failure as justification for interim unsatisfactory performance. This monthly deduction will continue until an agreement can

be reached between the Contracting Officer and the Contractor regarding the accuracy and completeness of updated drawings. The working and final as-built drawings shall show, but shall not be limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, the as-built drawings shall show, by offset dimensions to two permanently fixed surface features, the end of each run including each change in direction. Valves, splice boxes and similar appurtenances shall be located by dimensioning along the utility run from a reference point. The average depth below the surface of each run shall also be recorded.

b. The location and dimensions of any changes within the building structure.

c. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

d. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor; including but not limited to fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment foundations, etc.

e. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

f. Changes or modifications, which result from the final inspection.

g. Where contract drawings or specifications present options, only the option selected for construction shall be shown on the final as-built prints.

h. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, the Contractor shall furnish a contour map of the final borrow pit/spoil area elevations.

i. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

j. Modifications (change order price shall include the Contractor's cost to change working and final as-built drawings to reflect modifications) and compliance with the following procedures.

(1) Directions in the modification for posting descriptive changes shall be followed.

(2) A Modification Circle shall be placed at the location of each deletion.

(3) For new details or sections, which are added to a drawing, a Modification Circle shall be placed by the detail or section title.

(4) For minor changes, a Modification Circle shall be placed by the area changed on the drawing (each location).

(5) For major changes to a drawing, a Modification Circle shall be placed by the title of the affected plan, section, or detail at each location.

(6) For changes to schedules or drawings, a Modification Circle shall be placed either by the schedule heading or by the change in the schedule.

- (7) The Modification Circle size shall be 12.7 mm 1/2 inch diameter unless the area where the circle is to be placed is crowded. Smaller size circle shall be used for crowded areas.

1.2.1.2.1 AS-BUILT DRAWING AND SPECIFICATIONS MANAGEMENT

- a---the contractor must maintain -2 full size set of contract plans as an as-built set and one set of specifications designated as as-built specifications -----on the jobsite and updated weekly.
- b---the contractor QC and superintendent will indicate any and all changes (modifications – RFI , letters of clarification, submittals, and daily as-built changes and revisions) during the entire construction period on the set of as-built plans— and specifications.
- c---immediately after the final /acceptance inspection --the contractor must submit two (2) marked up full size as-built contract plans and 2 sets of marked up as built specifications -----to the Corps of Engineer--field office for approval by the Contracting Officer.
- d---one approved full size set of as-built plans and one approved set of as-built specifications are forwarded to the DPW (by transmittal letter -receipt acknowledge -requested) for their use until the final as built in CADD format are completed
- e--the contractor is required to prepare the final as-built plans and specifications in CADD format as specified in this section , within 30 calendar days after final inspection/ acceptance of the facility. Upon receipt of the final CADD as-built plans and specifications --the final CADD as-builts must be verified as complete and approved by the Government.
- e. In the event that the contractor does not provide the final CADD format as-built plans and specifications, within the required 30 calendar days after the final/acceptance inspection, the Contracting Officer may have the final as-built plans and specifications prepared by others at the contractor's expense with a credit modification issued on the contract.

1.2.1.3 Drawing Preparation

The as-built drawings shall be modified as may be necessary to correctly show the features of the project as it has been constructed by bringing the contract set into agreement with approved working as-built prints, and adding such additional drawings as may be necessary. These working as-built marked prints shall be neat, legible and accurate. These drawings are part of the permanent records of this project and shall be returned to the Contracting Officer after approval

by the Government. Any drawings damaged or lost by the Contractor shall be satisfactorily replaced by the Contractor at no expense to the Government.

1.2.1.4 Computer Aided Design and Drafting (CADD) Drawings

Only personnel proficient in the preparation of CADD drawings shall be employed to modify the contract drawings or prepare additional new drawings. Additions and corrections to the contract drawings shall be equal in quality and detail to that of the originals. Line colors, line weights, lettering, layering conventions, and symbols shall be the same as the original line colors, line weights, lettering, layering conventions, and symbols. If additional drawings are required, they shall be prepared using the specified electronic file format applying the same graphic standards specified for original drawings. The title block and drawing border to be used for any new final as-built drawings shall be identical to that used on the contract drawings. Additions and corrections to the contract drawings shall be accomplished using CADD files. Electronic files will be supplied on compact disc, read-only memory (CD-ROM). The Contractor shall be responsible for providing all program files and hardware necessary to prepare final as-built drawings. The Contracting Officer will review final as-built drawings for accuracy and the Contractor shall make required corrections, changes, additions, and deletions.

a. CADD colors shall be the "base" colors of red, green, and blue. Color code for changes shall be as follows;

(1) Deletions (red) -Deleted graphic items (lines) shall be colored red with red lettering in notes and leaders.

(2) Additions (Green) -Added items shall be drawn in green with green lettering in notes and leaders.

(3) Special (Blue) -Items requiring special information, coordination, or special detailing or detailing notes shall be in blue.

b. The Contract Drawing files shall be renamed in a manner related to the contract number (i.e., 98-C-10.DGN) as instructed in the Pre-Construction conference. Marked-up changes shall be made only to those renamed files. All changes shall be made on the layer/level as the original item. There shall be no deletions of existing lines; existing lines shall be over struck in red. Additions shall be in green with line weights the same as the drawing. Special notes shall be in blue on layer #63.

c. When final revisions have been completed, the cover sheet drawing shall show the wording "RECORD DRAWING AS-BUILT" followed by the name of the Contractor in letters at least 5 mm 3/16 inch high. All other contract drawings shall be marked either "AS-Built" drawing denoting no revisions on the sheet or "Revised As-Built" denoting one or more revisions. Original contract drawings shall be dated in the revision block.

d. Within 20 days for contracts \$5 million and above after Government approval of all of the working as-built drawings for a phase of work, the Contractor shall prepare the final CADD as-built drawings for that phase of work and submit two sets of blue-lined prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 10 days for contracts \$5-million and above the Contractor shall revise the CADD files accordingly at no additional cost and submit one set of final prints for the completed phase of work to the Government. Within 20 days for contracts \$5 million and above of substantial completion of all phases of work, the Contractor shall submit the final as-built drawing package for the entire project. The submittal shall consist of one set of electronic files on compact disc, read-only memory (CD-ROM), two sets of blue-line prints and

one set of the approved working as-built drawings. They shall be complete in all details and identical in form and function to the contract drawing files supplied by the Government. Any transactions or adjustments necessary to accomplish this are the responsibility of the Contractor. The Government reserves the right to reject any drawing files it deems incompatible with the customer's CADD system. Paper prints, drawing files and storage media submitted will become the property of the Government upon final approval. Failure to submit final as-built drawing files and marked prints as specified shall be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final as-built drawings shall be accomplished before final payment is made to the Contractor.

1.2.1.5 Manually Prepared Drawings

Only personnel proficient in the preparation of manually prepared drawings shall be employed to modify the original contract drawing or prepare additional new drawings. Additions and corrections to the contract drawings shall be neat, clean and legible, shall be done to the same level of detail, and shall match the adjacent existing line work, and lettering being annotated in type, density, size and style. Drafting work shall be done using the same medium (pencil, plastic lead or ink) that was employed on the original contract drawings and with graphite lead on paper base material. The Contracting Officer will review as-built drawings for accuracy and conformance to the above specified drafting standards. Corrections, changes, additions, and deletions required shall meet these standards. The title block to be used for any new as-built drawings shall be similar to that used on the original drawings.

a. When final revisions have been completed, each drawing shall be lettered or stamped with the words "RECORD DRAWING AS-BUILT" followed by the name of the Contractor in letters at least 5 mm (3/16 inch) high. Original contract drawings shall be marked either "As-Built" drawings denoting no revisions on the sheet or "Revised As-Built" denoting one or more revisions. All original contract drawings shall be dated in the revision block.

b. Within 20 days for contracts \$5 million and above after Government approval of all of the working as-built drawings for a phase of work, the Contractor shall prepare the final as-built drawings for that phase of work and submit two sets of blue-line prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 10 days for contracts \$5 million and above the Contractor shall revise the drawings accordingly at no additional cost and submit one set of final prints for the completed phase of work to the Government. Within 20 days for contracts \$5 million and above of substantial completion of all phases of work, the Contractor shall submit the final as-built drawing package for the entire project. The submittal shall consist of the completed final as-built drawings, two blue-line prints of these drawings and the return of the approved marked as-built prints. The drawings shall be complete in all details. Paper prints and reproducible drawings will become the property of the Government upon final approval. Failure to submit final as-built drawings and marked prints, as required herein, will be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final as-built drawings shall be accomplished before final payment is made to the Contractor.

1.2.1.6 Payment

No separate payment will be made for as-built drawings required under this contract, and all costs accrued in connection with such drawings shall be considered a subsidiary obligation of the Contractor.

1.2.2 As-Built Record of Equipment and Materials

The Contractor shall furnish two full size copies of preliminary record of equipment and materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 2 days after final inspection with Government comments. Two sets of final record of equipment and materials shall be submitted 10 days after final inspection. The designations shall be keyed to the related area depicted on the contract drawings. The record shall list the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA

Description	Specification and Catalog,	Manufacturer and Size Model, and Serial Number	Composition	Where Section Used
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1.2.3 Final Approved Shop Drawings

The Contractor shall furnish final approved project shop drawings 30 days after transfer of the completed facility.

1.2.4 Construction Contract Specifications

The Contractor shall furnish final as-built construction contract specifications, including modifications thereto, 30 days after transfer of the completed facility.

1.2.5 Real Property Equipment

The Contractor shall furnish a list of installed equipment furnished under this contract. The list shall include all information usually listed on manufacturer's nameplate. The "EQUIPMENT-IN-PLACE LIST" shall include, as applicable, the following for each piece of equipment installed: description of item, location (by room number), model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. A draft list shall be furnished at time of transfer. The final list shall be furnished 30 days after transfer of the completed facility.

1.3 WARRANTY MANAGEMENT

1.3.1 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, pre-warranty conference shall be held on a date that is not later than 90% contract complete or less than 50 days from the ACO anticipated pre-final date for each building or facility to be completed and have a final/acceptance inspection. The Contractor shall meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty shall be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, the Contractor shall furnish the name, telephone number and address of a licensed and bonded company, which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, shall be continuously available, and shall be responsive to Government inquiry on warranty work action and status.

This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.3.2 Warranty Management Plan

The Contractor shall develop a warranty management plan, which shall contain information relevant to the clause Warranty of Construction. At least 30 days before the planned pre-warranty conference, the Contractor shall submit the warranty management plan for Government approval for each facility, which has a final/acceptance inspection. The warranty management plan shall include all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan shall be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below shall include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase shall be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Approved information shall be assembled in a binder and shall be turned over to the Government upon acceptance of the work. The construction warranty period shall begin on the date of project acceptance and shall continue for the full product warranty period. A joint 4-month and 9-month warranty inspection shall be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Information contained in the warranty management plan shall include, but shall not be limited to, the following:

- a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.
- c. A list for each warranted equipment, item, and feature of construction or system indicating:
 1. Name of item.
 2. Model and serial numbers.
 3. Location where installed.
 4. Name and phone numbers of manufacturers or suppliers.
 5. Names, addresses and telephone numbers of sources of spare parts.
 6. Warranties and terms of warranty. This shall include 1 year overall warranty of construction. Items which have extended warranties shall be indicated with separate warranty expiration dates.
 7. Cross-reference to warranty certificates as applicable.
 8. Starting point and duration of warranty period.

9. Summary of maintenance procedures required to continue the warranty in force.
 10. Cross-reference to specific pertinent operation and maintenance manuals.
 11. Organization, names and phone numbers of persons to call for warranty service.
 12. Typical response time and repair time expected for various warranted equipment.
- d. The Contractor's plans for attendance at the 4- and 9-month post-construction warranty inspections conducted by the Government.
 - e. Procedure and status of tagging of all equipment covered by extended warranties.
 - f. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

POINTS OF CONTACT -----POC

Contractor's Bonding Company

Obtain the POC from the contractor list of warranted equipment. (paragraph 1.3.1 (c))

The point of contact {name and duty phone numbers for
Manufacturers or suppliers

The point of contact (names, addresses, and duty phone numbers for the sources
of spare parts.

The contractor's Performance Bond shall remain effective throughout the
construction period and one-year warranty period. -paragraph 1.3.2

The contractor shall furnish the POC----name, duty and non-duty telephone
number and address of a licensed and bonded company, which is authorized to
initiate and pursue construction warranty work action on behalf of the contractor.

This POC for bonding company will be located within the local service area of the
warranted construction and shall be continuously available ---and shall be
responsive to Government inquiry on warranty work-paragraph 1.3.2 (c)

PRIME CONTRACTOR:

(Home office -----
and local area POC)

Primary and Alternate

{Name—duty and non-duty (emergency) telephone numbers—pagers—cell
phone numbers and address}

SUBCONTRACTORS:

Primary and Alternate
{Name—duty and non-duty (emergency) telephone numbers—pagers—cell
phone numbers and address} for the warranty work for the following construction
items.

Structure (steel)

Exterior walls /windows (CMU –vapor barrier—insulation)

Roof—Building dry-in

Wall finish---- (gypsum wallboard—coatings—wall coverings)

Doors and hardware

Floor finish (VCT—carpet—tile)

Painting

Miscellaneous Equipment /casework/ kitchen equipment

Ceiling

Plumbing (finish-fixtures—toilet partitions)

HVAC—equipment (Fan cool units--AHU Chillers-chilled water pipe etc)
(Heating –boilers –heating piping -etc) ---

HVAC controls

Interior electrical systems (light fixtures—intercom—security – fire system
controls --etc)

Interior mechanical systems (fire sprinkler system -domestic water –water
heater –etc)

ONSITE UTILITIES—SD—SS—Exterior Electrical—telephone--

Paving/ Landscaping

1.4 Performance Bond

The Contractor's payment and performance bond shall remain effective throughout the one-year construction warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, at the Contractor's expense and after completion of the work, will charge the contractor for the expense of performing the warranty work, including government administrative expenses.

b. In the event retained funds are not available to cover the expense of the construction warranty work performed by the Government, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, the Contractor shall respond in a timely manner. Written verification will follow oral instructions. Failure of the Contractor to respond will be cause for the Contracting Officer to proceed to have the work performed by others.

1.5 Contractor's Response to Construction Warranty Service Requirements

Following oral or written notification by the Contracting Officer, the Contractor shall respond to construction warranty service requirements in accordance with the "Construction Warranty Service Priority List" and the three categories of priorities listed below. The Contractor shall submit a report on any warranty item that has been repaired during the warranty period. The report shall include the cause of the problem, date reported, corrective action taken, and when the repair was completed. If the Contractor does not perform the construction warranty within the timeframes specified, the Government will perform the work and back charge the construction warranty payment item established.

a. First Priority Code 1. Perform onsite inspection to evaluate situation, and determine course of action within 4 hours, initiate work within 6 hours and work continuously to completion or relief.

b. Second Priority Code 2. Perform onsite inspection to evaluate situation, and determine course of action within 8 hours, initiate work within 24 hours and work continuously to completion or relief.

c. Third Priority Code 3. All remaining work shall be initiated within 3 workdays and work progress shall be continuous to completion or relief.

d. The "Construction Warranty Service Priority List" is as follows:

Code 1-Air Conditioning Systems

- (1) Recreational support.
- (2) Air conditioning leak in part of building, if causing damage.
- (3) Air conditioning system not cooling properly.

Code 1-Doors

- (1) Overhead doors not operational, causing a security, fire, or safety problem.
- (2) Interior, exterior personnel doors or hardware, not functioning properly, causing a security, fire, or safety problem.

Code 3-Doors

- (1) Overhead doors not operational.
- (2) Interior/exterior personnel doors or hardware not functioning properly.

Code 1-Electrical

- (1) Power failure (entire area or any building operational after 1600 hours).
- (2) Security lights
- (3) Smoke detectors

Code 2-Electrical

- (1) Power failure (no power to a room or part of building).
- (2) Receptacle and lights (in a room or part of building).

Code 3-Electrical
Streetlights.

Code 1-Gas

- (1) Leaks and breaks.
- (2) No gas to family housing unit or cantonment area.

Code 1-Heat

- (1). Area power failure affecting heat. (2). Heater in unit not working.

Code 2-Kitchen Equipment

- (1) Dishwasher not operating properly.
- (2) All other equipment hampering preparation of a meal.

Code 1-Plumbing

- (1) Hot water heater failure.
- (2) Leaking water supply pipes.

Code 2-Plumbing

- (1) Flush valves not operating properly.
- (2) Fixture drain, supply line to commode, or any water pipe leaking.
- (3) Commode leaking at base.

Code 3-Plumbing

Leaky faucets.

Code 3-Interior

- (1) Floors damaged.
- (2) Paint chipping or peeling. (3) Casework.

Code 1-Roof Leaks

Temporary repairs will be made where major damage to property is occurring.

Code 2-Roof Leaks

Where major damage to property is not occurring, check for location of leak during rain and complete repairs on a Code 2 basis.

Code 2-Water (Exterior)

No water to facility.

Code 2-Water (Hot)

No hot water in portion of building listed.

Code 3-All other work not listed above.

1.3.5 Warranty Tags

At the time of installation, each warranted item shall be tagged with a durable, oil and water-resistant tag approved by the Contracting Officer. Each tag shall be attached with a copper wire and shall be sprayed with a silicone waterproof coating. The date of acceptance and the QC signature shall remain blank until project is accepted for beneficial occupancy. The tag shall show the following information.

- Type of product/material _____.
- b. Model number _____.
- c. Serial number _____.
- d. Contract number _____.
- e. Warranty period from _____ to _____.
- f. Inspector's signature _____.
- g. Construction Contractor _____.
- Address _____.
- Telephone number _____.
- h. Warranty contact _____.
- Address _____.
- Telephone number _____.
- i. Warranty response time priority code _____.
- j. **WARNING -PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.**

1.6 MECHANICAL TESTING, ADJUSTING, BALANCING, AND COMMISSIONING

Prior to prefinal inspection; all reports, statements, certificates, and completed checklists for testing, adjusting, balancing, and commissioning of mechanical systems shall be submitted to and approved by the Contracting Officer as specified in applicable technical specification sections.

1.7 OPERATION AND MAINTENANCE MANUALS

Operation manuals and maintenance manuals shall be submitted as specified. Operation manuals and maintenance manuals provided in a common volume shall be clearly differentiated and shall be separately indexed.

1.8 FINAL CLEANING

The premises shall be left broom clean. Stains, foreign substances, and temporary labels shall be removed from surfaces. Carpet and soft surfaces shall be vacuumed. Equipment and fixtures shall be cleaned to a sanitary condition. Filters of operating equipment shall be replaced. Debris shall be removed from roofs, drainage systems, gutters, and downspouts. Paved areas shall be swept and landscaped areas shall be raked clean. The site shall have waste, surplus materials, and rubbish removed. The project area shall have temporary structures, barricades, project signs, and construction facilities removed. A list of completed clean-up items shall be submitted on the day of final inspection.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

--End of Section --

SECTION 02220

DEMOLITION

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ENGINEERING MANUALS (EM)

EM 385-1-1 (1996) U.S. Army Corps of Engineers Safety and Health Requirements Manual

1.2 GENERAL REQUIREMENTS

The work includes demolition, salvage of identified items and materials, and removal of resulting rubbish and debris. Rubbish and debris shall be removed from Government property daily, unless otherwise directed, to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Contracting Officer. In the interest of occupational safety and health, the work shall be performed in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections. In the interest of conservation, salvage shall be pursued to the maximum extent possible; salvaged items and materials shall be disposed of as specified.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Statements

Work Plan

The procedures proposed for the accomplishment of the work. The procedures shall provide for safe conduct of the work, including procedures and methods to provide necessary supports, lateral bracing and shoring when required, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress, and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations in accordance with EM 385-1-1.

1.4 DUST CONTROL

The amount of dust resulting from demolition shall be controlled to prevent the spread of dust to occupied portions of the construction site and to avoid creation of a nuisance in the surrounding area. Use of water will not be permitted when it will result in, or create, hazardous or objectionable conditions such as ice, flooding and pollution.

1.5 PROTECTION

1.5.1 Protection of Personnel

During the demolition work the Contractor shall continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the demolition site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.5.2 Protection of Structures

Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, shall remain standing without additional bracing, shoring, or lateral support until demolished, unless directed otherwise by the Contracting Officer. The Contractor shall ensure that no elements determined to be unstable are left unsupported and shall be responsible for placing and securing bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, or demolition work performed under this contract.

1.5.3 Protection of Existing Property

Before beginning any demolition work, the Contractor shall survey the site and examine the drawings and specifications to determine the extent of the work. The Contractor shall take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government; any damaged items shall be repaired or replaced as approved by the Contracting Officer. The Contractor shall coordinate the work of this section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed under this contract.

1.5.4 Protection From the Weather

The interior of buildings to remain; salvageable materials and equipment shall be protected from the weather at all times.

1.5.5 Protection of Trees

Trees within the project site which might be damaged during demolition, and which are indicated to be left in place, shall be protected by a 6 foot high fence. The fence shall be securely erected a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Any tree designated to remain that is damaged during the work under this contract shall be replaced in kind or as approved by the Contracting Officer.

1.5.6 Environmental Protection

The work shall comply with the requirements of Section 01410 ENVIRONMENT PROTECTION.

1.6 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

1.7 USE OF EXPLOSIVES

Use of explosives will not be permitted.

2 PRODUCTS (NOT APPLICABLE)

3 EXECUTION

3.1 UTILITIES

Disconnection of utility services, with related meters and equipment, are specified in Sections 02510, "WATER DISTRIBUTION SYSTEM", 02531, "SANITARY SEWERS", 02630, "STORM-DRAINAGE SYSTEMS", and 16375, "ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND". Existing utilities shall be removed as indicated. When utility lines are encountered that are not indicated on the drawings, the Contracting Officer shall be notified prior to further work in that area.

3.2 DISPOSITION OF MATERIAL

If cultural/historic materials are encountered within the work area, the Contractor is to stop work in that work area. The Contractor is to notify the Contracting office of his discovery immediately.

Title to material and equipment to be demolished, except Government salvage and historical items, is vested in the Contractor upon receipt of notice to proceed. The Government will not be responsible for the condition, loss or damage to such property after notice to proceed.

3.2.1 Salvageable Items and Material

Contractor shall salvage items and material to the maximum extent possible.

3.2.1.1 Material Salvaged for the Contractor

Material salvaged for the Contractor shall be stored as approved by the Contracting Officer and shall be removed from Government property before completion of the contract. Material salvaged for the Contractor shall not be sold on the site.

3.2.1.2 Items Salvaged for the Government

Salvaged items to remain the property of the Government shall be removed in a manner to prevent damage. Items damaged during removal or storage shall be repaired or replaced to match existing items. The following item is reserved as property of the Government: fire hydrants.

3.2.1.3 Historical Items

If cultural/historic materials are encountered within the work area, the contractor shall stop work in that area. Contractor shall notify Contracting Officer immediately of the discovery.

Historical items shall be removed in a manner to prevent damage. The following historical items shall be delivered to the Government for disposition: Corner stones, contents of corner stones, and document boxes wherever located on the site.

3.2.2 Unsalvageable Material

Concrete, masonry, and other noncombustible material, except concrete permitted to remain in place, shall be disposed of in the disposal area located off base. Combustible material shall be disposed of in the sanitary fill area located off base.

3.3 CLEAN UP

Debris and rubbish shall be removed from basement and similar excavations. Debris shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Local regulations regarding hauling and disposal shall apply.

3.4 PAVEMENTS

Existing pavements designated for removal shall be saw cut and removed in accordance with the details shown on the drawings and to the limits and depths indicated on the drawings.

SECTION 02230

CLEARING AND GRUBBING

1 GENERAL

1.1 DEFINITIONS

1.1.1 Clearing

Clearing shall consist of the felling, trimming, and cutting of trees into sections and the satisfactory disposal of the trees and other vegetation designated for removal, including down timber, snags, brush, and rubbish occurring in the areas to be cleared.

1.1.2 Grubbing

Grubbing shall consist of the removal and disposal of stumps, roots larger than 3 inches in diameter, and matted roots from the designated grubbing areas.

2 PRODUCTS (NOT APPLICABLE)

3 EXECUTION

3.1 CLEARING

Trees, stumps, roots, brush, and other vegetation in areas to be cleared shall be cut off flush with or below the original ground surface, except such trees and vegetation as may be indicated or directed to be left standing. Trees designated to be left standing within the cleared areas shall be trimmed of dead branches 1-1/2 inches or more in diameter and shall be trimmed of all branches the heights indicated or directed. Limbs and branches to be trimmed shall be neatly cut close to the bole of the tree or main branches. Cuts more than 1-1/2 inches in diameter shall be painted with an approved tree-wound paint. Trees and vegetation to be left standing shall be protected from damage incident to clearing, grubbing, and construction operations by the erection of barriers or by such other means as the circumstances require. Clearing shall also include the removal and disposal of structures that obtrude, encroach upon, or otherwise obstruct the work.

3.2 GRUBBING

Material to be grubbed, together with logs and other organic or metallic debris not suitable for foundation purposes, shall be removed to a depth of not less than 18 inches below the original surface level of the ground in areas indicated to be grubbed and in areas indicated as construction areas under this contract, such as areas for buildings, and areas to be paved. Depressions made by grubbing shall be filled with suitable material and compacted to make the surface conform with the original adjacent surface of the ground.

3.3 TREE REMOVAL

Where indicated or directed, trees and stumps that are designated as trees shall be removed from areas outside those areas designated for clearing and grubbing. This work shall include the felling of such trees and the removal of their stumps and roots as specified in paragraph GRUBBING. Trees shall be disposed of as specified in paragraph DISPOSAL OF MATERIALS.

3.4 DISPOSAL OF MATERIALS

3.4.1 Materials Other Than Salable Timber

Logs, stumps, roots, brush, rotten wood, and other refuse from the clearing and grubbing operations, except for salable timber, shall be disposed of outside the limits of Government-controlled land at the Contractor's responsibility, except when otherwise directed in writing. Such directive will state the conditions covering the disposal of such products and will also state the areas in which they may be placed.

SECTION 02300

EARTHWORK

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM D 422	(1963; R 1998) Particle-Size Analysis of Soils
ASTM D 1140	(1997) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
ASTM D 1556	(1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 2937	(1994) Density of Soil in Place by the Drive-Cylinder Method
ASTM D 3017	(1988; R 1996e1) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
ASTM D 4318	(1998) Liquid Limit, Plastic Limit, and Plasticity Index of Soils

1.2 DEFINITIONS

1.2.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC,

SW-SC, SP-SM, SP-SC,. Satisfactory materials for grading shall be comprised of stones less than 8 inches, except for fill material for pavements and railroads which shall be comprised of stones less than 3 inches in any dimension.

1.2.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. The Contracting Officer shall be notified of any contaminated materials.

1.2.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Testing required for classifying materials shall be in accordance with ASTM D 4318, ASTM C 136, ASTM D 422, and ASTM D 1140.

1.2.4 Degree of Compaction

Degree of compaction required is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557 abbreviated as a percent of laboratory maximum density.

1.2.5 <TAI OPT=TOPSOIL>1.4.6 Topsoil

Material suitable for topsoils obtained from offsite areas.

1.3 </TAI>1.5 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Test Reports

Testing.

Within 24 hours of conclusion of physical tests, 2 copies of test results, including calibration curves and results of calibration tests.

1.4 CLASSIFICATION OF EXCAVATION

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation.

1.5 BLASTING

Blasting will not be permitted.

1.6 UTILIZATION OF EXCAVATED MATERIALS

Unsatisfactory materials removed from excavations shall be disposed of in designated waste disposal or spoil areas. Satisfactory material removed

from excavations shall be used, insofar as practicable, in the construction of fills, embankments, subgrades, shoulders, bedding (as backfill), and for similar purposes. No satisfactory excavated material shall be wasted without specific written authorization. Satisfactory material authorized to be wasted shall be disposed of in designated areas approved for surplus material storage or designated waste areas as directed. Newly designated waste areas on Government-controlled land shall be cleared and grubbed before disposal of waste material thereon. Coarse rock from excavations shall be stockpiled and used for constructing slopes or embankments adjacent to streams, or sides and bottoms of channels and for protecting against erosion. No excavated material shall be disposed of to obstruct the flow of any stream, endanger a partly finished structure, impair the efficiency or appearance of any structure, or be detrimental to the completed work in any way.

If cultural/historical materials are encountered within the work area, excavation work in that immediate area is to stop. The Contractor is to notify the Contracting Officer immediately of the discovery.

2 PRODUCTS (NOT APPLICABLE)

3 EXECUTION

3.1 <TAI OPT=TOPSOIL>3.1 STRIPPING OF TOPSOIL

Topsoil shall be stripped to a depth of 2 inches. Topsoil shall be spread on areas already graded and prepared for topsoil, or transported and deposited in stockpiles convenient to areas that are to receive application of the topsoil later, or at locations indicated or specified. Topsoil shall be kept separate from other excavated materials, brush, litter, objectionable weeds, roots, stones larger than 2 inches in diameter, and other materials that would interfere with planting and maintenance operations. Any surplus of topsoil from excavations and grading shall be removed from the site.

3.2 </TAI>3.2 GENERAL EXCAVATION

The Contractor shall perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Grading shall be in conformity with the typical sections shown and the tolerances specified in paragraph FINISHING. Satisfactory excavated materials shall be transported to and placed in fill or embankment within the limits of the work. Unsatisfactory materials encountered within the limits of the work shall be excavated below grade and replaced with satisfactory materials as directed. Such excavated material and the satisfactory material ordered as replacement shall be included in excavation. Surplus satisfactory excavated material not required for fill or embankment shall be disposed of in areas approved for surplus material storage or designated waste areas. Unsatisfactory excavated material shall be disposed of in designated waste or spoil areas. During construction, excavation and fill shall be performed in a manner and sequence that will provide proper drainage at all times. Material required for fill or embankment in excess of that produced by excavation within the grading limits shall be excavated <TAI OPT=BORROW>from the borrow areas indicated or</TAI> from other approved areas selected by the Contractor as specified.

3.2.1 Ditches, Gutters, and Channel Changes

Excavation of ditches, gutters, and channel changes shall be accomplished by cutting accurately to the cross sections, grades, and elevations shown. Ditches and gutters shall not be excavated below grades shown. Excessive open ditch or gutter excavation shall be backfilled with satisfactory, thoroughly compacted, material or with suitable stone or cobble to grades shown. Material excavated shall be disposed of as shown or as directed, except that in no case shall material be deposited less than 4 feet from the edge of a ditch. The Contractor shall maintain excavations free from detrimental quantities of leaves, brush, sticks, trash, and other debris until final acceptance of the work.

3.2.2 Drainage Structures

Excavations shall be made to the lines, grades, and elevations shown, or as directed. Trenches and foundation pits shall be of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Rock or other hard foundation material shall be cleaned of loose debris and cut to a firm, level, stepped, or serrated surface. Loose disintegrated rock and thin strata shall be removed. When concrete or masonry is to be placed in an excavated area, the bottom of the excavation shall not be disturbed. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed. Where pile foundations are to be used, the excavation of each pit shall be stopped at an elevation 1 foot above the base of the footing, as specified, before piles are driven. After the pile driving has been completed, loose and displaced material shall be removed and excavation completed, leaving a smooth, solid, undisturbed surface to receive the concrete or masonry.

3.3 OPENING AND DRAINAGE OF EXCAVATION <TAI OPT=BORROW>AND BORROW PITS</TAI>

The Contractor shall notify the Contracting Officer sufficiently in advance of the opening of any excavation or borrow pit to permit elevations and measurements of the undisturbed ground surface to be taken. Except as otherwise permitted, <TAI OPT=BORROW>borrow pits and other</TAI> excavation areas shall be excavated providing adequate drainage. Overburden and other spoil material shall be transported to designated spoil areas or otherwise disposed of as directed. <TAI OPT=BORROW>Borrow pits shall be neatly trimmed and drained after the excavation is completed.</TAI> The Contractor shall ensure that excavation of any area, <TAI OPT=BORROW>operation of borrow pits,</TAI> or dumping of spoil material results in minimum detrimental effects on natural environmental conditions.

3.4 GRADING AREAS

Where indicated, work will be divided into grading areas within which satisfactory excavated material shall be placed in embankments, fills, and required backfills. The Contractor shall not haul satisfactory material excavated in one grading area to another grading area except when so directed in writing.

3.5 BACKFILL

Backfill adjacent to any and all types of structures shall be placed and compacted to at least 90 percent laboratory maximum density for cohesive

materials or 95 percent laboratory maximum density for cohesionless materials to prevent wedging action or eccentric loading upon or against the structure. Ground surface on which backfill is to be placed shall be prepared as specified in paragraph PREPARATION OF GROUND SURFACE FOR EMBANKMENTS. Compaction requirements for backfill materials shall also conform to the applicable portions of paragraphs PREPARATION OF GROUND SURFACE FOR EMBANKMENTS, EMBANKMENTS, and SUBGRADE PREPARATION, and Section 02630 STORM-DRAINAGE SYSTEM; and Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.6 PREPARATION OF GROUND SURFACE FOR EMBANKMENTS

3.6.1 General Requirements

Ground surface on which fill is to be placed shall be stripped of live, dead, or decayed vegetation, rubbish, debris, and other unsatisfactory material; plowed, disked, or otherwise broken up to a depth of 6; pulverized; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. The prepared ground surface shall be scarified and moistened or aerated as required just prior to placement of embankment materials to assure adequate bond between embankment material and the prepared ground surface.

3.6.2 Frozen Material

Embankment shall not be placed on a foundation which contains frozen material, or which has been subjected to freeze-thaw action. This prohibition encompasses all foundation types, including the natural ground, all prepared subgrades (whether in an excavation or on an embankment) and all layers of previously placed and compacted earth fill which become the foundations for successive layers of earth fill. All material that freezes or has been subjected to freeze-thaw action during the construction work, or during periods of temporary shutdowns, such as, but not limited to, nights, holidays, weekends, winter shutdowns, or earthwork operations, shall be removed to a depth that is acceptable to the Contracting Officer and replaced with new material. Alternatively, the material will be thawed, dried, reworked, and recompact to the specified criteria before additional material is placed. The Contracting Officer will determine when placement of fill shall cease due to cold weather. The Contracting Officer may elect to use average daily air temperatures, and/or physical observation of the soils for his determination. Embankment material shall not contain frozen clumps of soil, snow, or ice.

3.7 EMBANKMENTS

3.7.1 Earth Embankments

Earth embankments shall be constructed from satisfactory materials free of organic or frozen material and rocks with any dimension greater than 3 inches. The material shall be placed in successive horizontal layers of loose material not more than 12 inches in depth. Each layer shall be spread

uniformly on a soil surface that has been moistened or aerated as necessary, and scarified or otherwise broken up so that the fill will bond with the surface on which it is placed. After spreading, each layer shall be plowed, disked, or otherwise broken up; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction requirements for the upper portion of earth embankments forming subgrade for pavements shall be identical with those requirements specified in paragraph SUBGRADE PREPARATION. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.8 SUBGRADE PREPARATION

3.8.1 Construction

Subgrade shall be shaped to line, grade, and cross section, and compacted as specified. This operation shall include plowing, disking, and any moistening or aerating required to obtain specified compaction. Soft or otherwise unsatisfactory material shall be removed and replaced with satisfactory excavated material or other approved material as directed. Rock encountered in the cut section shall be excavated to a depth of 6 inches below finished grade for the subgrade. Low areas resulting from removal of unsatisfactory material or excavation of rock shall be brought up to required grade with satisfactory materials, and the entire subgrade shall be shaped to line, grade, and cross section and compacted as specified..

3.8.2 Compaction

Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas and railroads, each layer of the embankment shall be compacted to at least 95 percent of laboratory maximum density.

3.8.2.1 Subgrade for Railroads

Subgrade for railroads shall be compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials.

3.8.2.2 Subgrade for Pavements

Subgrade for pavements shall be compacted to at least 95 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, the top 12 inches of subgrade shall be scarified, windrowed, thoroughly blended, reshaped, and compacted.

3.8.2.3 Subgrade for Shoulders

Subgrade for shoulders shall be compacted to at least 95 percentage laboratory maximum density for the [depth below the surface of shoulder shown] [full depth of the shoulder].

3.9 SHOULDER CONSTRUCTION

Shoulders shall be constructed of satisfactory excavated <TAI OPT=BORROW>or borrow</TAI> material or as otherwise shown or specified. Shoulders shall be constructed as soon as possible after adjacent paving is complete, but in the case of rigid pavements, shoulders shall not be constructed until permission of the Contracting Officer has been obtained. The entire shoulder area shall be compacted to at least the percentage of maximum density as specified in paragraph SUBGRADE PREPARATION above, for specific ranges of depth below the surface of the shoulder. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Shoulder construction shall be done in proper sequence in such a manner that adjacent ditches will be drained effectively and that no damage of any kind is done to the adjacent completed pavement. The completed shoulders shall be true to alignment and grade and shaped to drain in conformity with the cross section shown.

3.10 FINISHING

The surface of excavations, embankments, and subgrades shall be finished to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. The degree of finish for graded areas shall be within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades shall be specified in paragraph SUBGRADE PREPARATION. Gutters and ditches shall be finished in a manner that will result in effective drainage. The surface of areas to be turfed shall be finished to a smoothness suitable for the application of turfing materials.

3.11 <TAI OPT=TOPSOIL>3.12 PLACING TOPSOIL

On areas to receive topsoil, the compacted subgrade soil shall be scarified to a 2 inch depth for bonding of topsoil with subsoil. Topsoil then shall be spread evenly to a thickness of 2 inches and graded to the elevations and slopes shown. Topsoil shall not be spread when frozen or excessively wet or dry. Material required for topsoil in excess of that produced by excavation within the grading limits shall be obtained from [offsite areas] [areas indicated].

3.12 </TAI>3.13 TESTING

Testing shall be performed by an approved commercial testing laboratory or by the Contractor subject to approval. If the Contractor elects to establish testing facilities, no work requiring testing will be permitted until the Contractor's facilities have been inspected and approved by the Contracting Officer. Field in-place density shall be determined in accordance with ASTM D 2922. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, the material shall be removed, replaced and recompact to meet specification requirements. Tests on recompact areas shall be performed to determine conformance with specification requirements. Inspections and test results shall be certified by a registered professional civil engineer. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

3.12.1 Fill and Backfill Material Gradation

One test per 300 cubic yards stockpiled or in-place source material. Gradation of fill and backfill material shall be determined in accordance with [ASTM C 136] [ASTM D 422] [ASTM D 1140].

3.12.2 In-Place Densities

- a. One test per 1700 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than hand-operated machines.
- b. One test per 750 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by hand-operated machines.
- c. One test per 1700 linear feet, or fraction thereof, of each lift of embankment or backfill for roads.

3.12.3 Check Tests on In-Place Densities

If ASTM D 2922 is used, in-place densities shall be checked by ASTM D 1556 as follows:

- a. One check test per lift for each 750 square feet, or fraction thereof, of each lift of fill or backfill compacted by other than hand-operated machines.
- b. One check test per lift for each 750 square feet, of fill or backfill areas compacted by hand-operated machines.
- c. One check test per lift for each 50 linear feet, or fraction thereof, of embankment or backfill for roads.

3.12.4 Moisture Contents

In the stockpile, excavation, or borrow areas, a minimum of two tests per day per type of material or source of material being placed during stable weather conditions shall be performed. During unstable weather, tests shall be made as dictated by local conditions and approved by the Contracting Officer.

3.12.5 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material <TAI OPT=BORROW>including borrow material</TAI> to determine the optimum moisture and laboratory maximum density values. One representative test per 1,000 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

3.12.6 Tolerance Tests for Subgrades

Continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION shall be made during construction of the subgrades.

3.13 SUBGRADE AND EMBANKMENT PROTECTION

During construction, embankments and excavations shall be kept shaped and drained. Ditches and drains along subgrade shall be maintained to drain effectively at all times. The finished subgrade shall not be disturbed by traffic or other operation and shall be protected and maintained by the Contractor in a satisfactory condition until ballast, subbase, base, or pavement is placed. The storage or stockpiling of materials on the finished subgrade will not be permitted. No subbase, base course, ballast, or pavement shall be laid until the subgrade has been checked and approved, and in no case shall subbase, base, surfacing, pavement, or ballast be placed on a muddy, spongy, or frozen subgrade.

SECTION 02315

EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu.m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2216	(1992) Laboratory Determination of Water (Moisture) Content of Soil, and Rock
ASTM D 2487	(1993) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 2937	(1994) Density of Soil in Place by the Drive-Cylinder Method
ASTM D 3017	(1988; R 1993) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
ASTM D 4318	(1995a) Liquid Limit, Plastic Limit, and Plasticity Index of Soils

1.2 DEGREE OF COMPACTION

Degree of compaction is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557, abbreviated as percent laboratory maximum density.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Test Reports

Testing.

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

2 PRODUCTS

2.1 MATERIALS

2.1.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by [ASTM D 2487](#) as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SP-SM, .

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in [ASTM D 2487](#) as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM, GP-GM, GW-GM, SW-SM, SP-SM, and SM shall be identified as cohesionless only when the fines are nonplastic.

2.1.4 Expansive Soils

Expansive soils are defined as soils that have a plasticity index equal to or greater than 15 when tested in accordance with [ASTM D 4318](#).

2.1.5 Nonfrost Susceptible (NFS) Material

Nonfrost susceptible material shall be a uniformly graded washed sand with a maximum particle size of 1/2 inch and less than 5 percent passing the No. 200 size sieve, and with not more than 3 percent by weight finer than 0.02 mm grain size.

2.2 CAPILLARY WATER BARRIER

Capillary Water Barrier shall consist of clean, crushed, nonporous rock, crushed gravel, or uncrushed gravel. The maximum particle size shall be 1-1/2 inches and no more than 2 percent by weight shall pass the No. 4 size sieve.

3 EXECUTION

3.1 CLEARING AND GRUBBING

Clearing and grubbing is specified in Section [02230](#) CLEARING AND GRUBBING. Stumps, logs, roots, and other organic matter shall be completely removed

and the resulting depressions shall be filled with satisfactory material, placed and compacted in accordance with paragraph FILLING AND BACKFILLING. Materials removed shall be disposed of in the designated waste disposal areas.

3.2 TOPSOIL

Topsoil shall be stripped to a depth of 6 inches below existing grade within the designated excavations and grading lines and deposited in storage piles for later use. Excess topsoil shall be disposed as specified for excess excavated material.

3.3 EXCAVATION

Excavation shall conform to the dimensions and elevations indicated for each building, structure, and footing except as specified, and shall include trenching for utility and foundation drainage systems to a point 5 feet beyond the building line of each building and structure, excavation for all work incidental thereof. Excavation shall extend a sufficient distance from walls and footings to allow for placing and removal of forms. Excavations below indicated depths will not be permitted except to remove unsatisfactory material. Unsatisfactory material encountered below the grades shown shall be replaced with satisfactory material. Satisfactory material removed below the depths indicated, without specific direction of the Contracting Officer, shall be replaced, at no additional cost to the Government, with satisfactory materials to the indicated excavation grade; except that concrete footings shall be increased in thickness to the bottom of the overdepth excavations and over-break in rock excavation. Satisfactory material shall be placed and compacted as specified in paragraph FILLING AND BACKFILLING. Determination of elevations and measurements of approved overdepth excavation of unsatisfactory material below grades indicated shall be done under the direction of the Contracting Officer.

3.4 <TAI OPT=DRAINAGE AND DEWATERING>3.4 DRAINAGE AND DEWATERING

3.4.1 Drainage

Surface water shall be directed away from excavation and construction sites to prevent erosion and undermining of foundations. Diversion ditches, dikes and grading shall be provided and maintained as necessary during construction. Excavated slopes and backfill surfaces shall be protected to prevent erosion and sloughing. Excavation shall be performed so that the site, the area immediately surrounding the site, and the area affecting operations at the site shall be continually and effectively drained.

3.4.2 Dewatering

Groundwater flowing toward or into excavations shall be controlled to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. French drains, sumps, ditches or trenches will not be permitted within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Control measures shall be taken by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is

open, the water level shall be maintained continuously, at least 0.5 feet below the working level.

3.5 </TAI><TAI OPT=SHORING>3.5 SHORING

Shoring, including sheet piling, shall be furnished and installed as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Shoring, bracing, and sheeting shall be removed as excavations are backfilled, in a manner to prevent caving.

3.6 </TAI>3.6 CLASSIFICATION OF EXCAVATION

Excavation will be unclassified regardless of the nature of material encountered.

3.7 <TAI OPT=BLASTING>3.7 BLASTING

Blasting will not be permitted.

3.8 </TAI><TAI OPT=UTILITY AND DRAIN TRENCHES>3.8 UTILITY AND DRAIN TRENCHES

Trenches for underground utilities systems and drain lines shall be excavated to the required alignments and depths. The bottoms of trenches shall be graded to secure the required slope and shall be tamped if necessary to provide a firm pipe bed. Recesses shall be excavated to accommodate bells and joints so that pipe will be uniformly supported for the entire length. Rock, where encountered, shall be excavated to a depth of at least 6 inches below the bottom of the pipe, and the overdepth shall be backfilled with satisfactory material placed and compacted in conformance with paragraph FILLING AND BACKFILLING.

3.9 </TAI>3.9 BORROW

Where satisfactory materials are not available in sufficient quantity from required excavations, approved materials shall be obtained as specified from excess spoils from the project.

3.10 EXCAVATED MATERIALS

Satisfactory excavated material required for fill or backfill shall be placed in the proper section of the permanent work required under this section or shall be separately stockpiled if it cannot be readily placed. Satisfactory material in excess of that required for the permanent work and all unsatisfactory material shall be disposed of as specified in a location designated by the Contracting Officer.

3.11 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Excavation to final grade shall not be made until just before concrete is to be placed. Only excavation methods that will leave the foundation rock in a solid and unshattered condition shall be used. Approximately level surfaces shall be roughened, and sloped surfaces shall be cut as indicated into rough steps or benches to provide a satisfactory bond. Shales shall be protected from slaking and all surfaces shall be protected from erosion resulting from ponding or flow of water.

3.12 SUBGRADE PREPARATION

Unsatisfactory material in surfaces to receive fill or in excavated areas shall be removed and replaced with satisfactory materials as directed by the Contracting Officer. The surface shall be scarified to a depth of 6 inches before the fill is started. Sloped surfaces steeper than 1 vertical to 4 horizontal shall be plowed, stepped, benched, or broken up so that the fill material will bond with the existing material. When subgrades are less than the specified density, the ground surface shall be broken up to a minimum depth of 6 inches, pulverized, and compacted to the specified density. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for the adjacent fill. Material shall not be placed on surfaces that are muddy, frozen, or contain frost. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Minimum subgrade density shall be as specified in paragraph FILLING AND BACKFILLING.

3.13 FILLING AND BACKFILLING

Satisfactory materials shall be used in bringing fills and backfills to the lines and grades indicated and for replacing unsatisfactory materials. Satisfactory materials shall be placed in horizontal layers not exceeding 8 inches in loose thickness, or 6 inches when hand-operated compactors are used. After placing, each layer shall be plowed, disked, or otherwise broken up, moistened or aerated as necessary, thoroughly mixed and compacted as specified. Backfilling shall not begin until construction below finish grade has been approved, underground utilities systems have been inspected, tested and approved, forms removed, and the excavation cleaned of trash and debris. Backfill shall be brought to indicated finish grade. Backfill shall not be placed in wet or frozen areas. Where pipe is coated or wrapped for protection against corrosion, the backfill material up to an elevation 2 feet above sewer lines and 1 foot above other utility lines shall be free from stones larger than 1 inch in any dimension. Heavy equipment for spreading and compacting backfill shall not be operated closer to foundation or retaining walls than a distance equal to the height of backfill above the top of footing; the area remaining shall be compacted in layers not more than 4 inches in compacted thickness with power-driven hand tampers suitable for the material being compacted. Backfill shall be placed carefully around pipes or tanks to avoid damage to coatings, wrappings, or tanks. Backfill shall not be placed against foundation walls prior to 7 days after completion of the walls. As far as practicable, backfill shall be brought up evenly on each side of the wall and sloped to drain away from the wall. Each layer of fill and backfill shall be compacted to not less than the percentage of maximum density specified below:

Percent Laboratory maximum density	
Cohesive material	Cohesionless material
_____	_____

Fill, embankment, and backfill

Under structures, building slabs, steps, paved areas, around footings, and in trenches	90	95
Under sidewalks and grassed areas	85	90

Nonfrost susceptible materials 95

Subgrade

Under building slabs, steps, and paved areas, top 12 inches	90	95
Under sidewalks, top 6 inches	85	90

Approved compacted subgrades that are disturbed by the Contractor's operations or adverse weather shall be scarified and compacted as specified herein before to the required density prior to further construction thereon. Recompaction over underground utilities and heating lines shall be by hand tamping.

3.14 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government. Testing shall be performed by an approved commercial testing laboratory or may be performed by the Contractor subject to approval. Field in-place density shall be determined in accordance with [ASTM D 2922](#). When [ASTM D 2922](#) is used, the calibration curves shall be checked and adjusted if necessary by the procedure described in [ASTM D 2922](#), paragraph ADJUSTING CALIBRATION CURVE. [ASTM D 2922](#) results in a wet unit weight of soil and when using this method [ASTM D 3017](#) shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in [ASTM D 3017](#). The calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. The following number of tests, if performed at the appropriate time, shall be the minimum acceptable for each type operation.

3.14.1 In-Place Densities

In-place density and moisture content test results shall be included with the Contractor's daily construction quality control reports.

3.14.1.1 In-Place Density of Subgrades

One test per 625 square foot or fraction thereof.

3.14.1.2 In-Place Density of Fills and Backfills

One test per 625 square foot or fraction thereof of each lift for fill or backfill areas compacted by other than hand or hand-operated machines. The density for each lift of fill or backfill materials for trenches, pits, building perimeters or other structures or areas less than 4 feet in width, which are compacted with hand or hand-operated machines shall be tested as follows: One test per each area less than 625 square feet, or one test for each 25 linear foot of long narrow fills 4 feet or more in length.

3.14.2 Moisture Content

In the stockpile, excavation or borrow areas, a minimum of two tests per day per type of material or source of materials being placed is required during stable weather conditions. During unstable weather, tests shall be made as dictated by local conditions and approved moisture content shall be tested in accordance with [ASTM D 2216](#).

3.14.3 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material, including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 250 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density will be made.

3.15 CAPILLARY WATER BARRIER

Capillary water barrier under concrete floor and area-way slabs on grade shall be placed directly on the subgrade and shall be compacted with a minimum of two passes of a hand-operated plate-type vibratory compactor.

3.16 GRADING

Areas within 5 feet outside of each building and structure line shall be constructed true-to-grade, shaped to drain, and shall be maintained free of trash and debris until final inspection has been completed and the work has been accepted.

3.17 SPREADING TOPSOIL

Areas outside the building lines from which topsoil has been removed shall be topsoiled. The surface shall be free of materials that would hinder planting or maintenance operations. The subgrade shall be pulverized to a depth of 2 inches by disking or plowing for the bonding of topsoil with the subsoil. Topsoil shall then be uniformly spread, graded, and compacted to the thickness, elevations, slopes shown, and left free of surface irregularities. Topsoil shall be compacted by one pass of a cultipacker, roller, or other approved equipment weighing 100 to 160 pounds per linear foot of roller. Topsoil shall not be placed when the subgrade is frozen, excessively wet, extremely dry, or in a condition otherwise detrimental to seeding, planting, or proper grading.

3.18 PROTECTION

Settlement or washing that occurs in graded, topsoiled, or backfilled areas prior to acceptance of the work, shall be repaired and grades reestablished to the required elevations and slopes.

SECTION 02316

EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 3017	(1988; R1996e1) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)

1.2 DEGREE OF COMPACTION

Degree of compaction shall be expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Test Reports

Field Density Tests. Testing of Backfill Materials.

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

2 PRODUCTS

2.1 MATERIALS

2.1.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by **ASTM D 2487** as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, .

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 2 inches. The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials shall include materials classified in **ASTM D 2487** as GW, GP, SW, and SP. Cohesive materials shall include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM shall be identified as cohesionless only when the fines are nonplastic.

2.1.4 <TAI OPT=ROCK EXCAVATION>2.1.4 Rock

Rock shall consist of boulders measuring 1/2 cubic yard or more and materials that cannot be removed without systematic drilling and blasting such as rock material in ledges, bedded deposits, unstratified masses and conglomerate deposits, and below ground concrete or masonry structures, exceeding 1/2 cubic yard in volume, except that pavements shall not be considered as rock.

2.1.5 </TAI>2.1.5 Unyielding Material

Unyielding material shall consist of rock and gravelly soils with stones greater than 2 inches in any dimension or as defined by the pipe manufacturer, whichever is smaller.

2.1.6 Unstable Material

Unstable material shall consist of materials too wet to properly support the utility pipe, conduit, or appurtenant structure.

2.1.7 Select Granular Material

Select granular material shall consist of well-graded sand, gravel, crushed gravel, crushed stone or crushed slag composed of hard, tough and durable particles, and shall contain not more than 10 percent by weight of material passing a No. 200 mesh sieve and no less than 95 percent by weight passing the 1 inch sieve. The maximum allowable aggregate size shall be 3/4 inches, or the maximum size recommended by the pipe manufacturer, whichever is smaller.

2.1.8 Initial Backfill Material

Initial backfill shall consist of select granular material or satisfactory materials free from rocks 2 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, the initial backfill material shall be free of stones larger than 2 inches in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

2.2 PLASTIC MARKING TAPE

Plastic marking tape shall be acid and alkali-resistant polyethylene film, 6 inches wide with minimum thickness of 0.004 inch. Tape shall have a minimum strength of 1750 psi lengthwise and 1500 psi crosswise. The tape shall be manufactured with integral wires, foil backing or other means to enable detection by a metal detector when the tape is buried up to 3 feet deep. The tape shall be of a type specifically manufactured for marking and locating underground utilities. The metallic core of the tape shall be encased in a protective jacket or provided with other means to protect it from corrosion. Tape color shall be as specified in TABLE 1 and shall bear a continuous printed inscription describing the specific utility.

TABLE 1. Tape Color

Red:	Electric
Yellow:	Gas, Oil, Dangerous Materials
Orange:	Telephone, Telegraph, Television, Police, and Fire Communications
Blue:	Water Systems
Green:	Sewer Systems

2.3 #.1 UTILITY STEEL CASINGS

Utility steel casings shall be **ASTM A139**, Grade B in nominal diameters and thickness as noted in construction drawing detail.

3 EXECUTION

3.1 EXCAVATION

Excavation shall be performed to the lines and grades indicated. Rock excavation shall include removal and disposition of material defined as rock in paragraph MATERIALS. Earth excavation shall include removal and disposal of material not classified as rock excavation. During excavation, material satisfactory for backfilling shall be stockpiled in an orderly manner at a distance from the banks of the trench equal to 1/2 the depth of the excavation, but in no instance closer than 2 feet. Excavated material not required or not satisfactory for backfill shall be removed from the site. Grading shall be done as may be necessary to prevent surface water from flowing into the excavation, and any water accumulating shall be removed to maintain the stability of the bottom and sides of the excavation. Unauthorized overexcavation shall be backfilled in accordance with paragraph BACKFILLING AND COMPACTION at no additional cost to the Government.

3.1.1 Trench Excavation Requirements

The trench shall be excavated as recommended by the manufacturer of the pipe to be installed. Trench walls below the top of the pipe shall be sloped, or made vertical, and of such width as recommended in the manufacturer's installation manual. Where no manufacturer's installation manual is available, trench walls shall be made vertical. Trench walls more than 5 feet high shall be shored, cut back to a stable slope, or provided with equivalent means of protection for employees who may be exposed to moving ground or cave in. Vertical trench walls more than 5 feet high shall be shored. Trench walls which are cut back shall be excavated to at least the angle of repose of the soil. Special attention shall be given to slopes which may be adversely affected by weather or moisture content. The trench width below the top of pipe shall not exceed 24 inches plus pipe outside diameter (O.D.) for pipes of less than 24 inches inside diameter and shall not exceed 36 inches plus pipe outside diameter for sizes larger than 24 inches inside diameter. Where recommended trench widths are exceeded, redesign, stronger pipe, or special installation procedures shall be utilized by the Contractor. The cost of redesign, stronger pipe, or special installation procedures shall be borne by the Contractor without any additional cost to the Government.

3.1.1.1 Bottom Preparation

The bottoms of trenches shall be accurately graded to provide uniform bearing and support for the bottom quadrant of each section of the pipe. Bell holes shall be excavated to the necessary size at each joint or coupling to eliminate point bearing. Stones of 2 inches or greater in any dimension, or as recommended by the pipe manufacturer, whichever is smaller, shall be removed to avoid point bearing.

3.1.1.2 Removal of Unyielding Material

Where overdepth is not indicated and unyielding material is encountered in the bottom of the trench, such material shall be removed 2 inches below the required grade and replaced with suitable materials as provided in paragraph BACKFILLING AND COMPACTION.

3.1.1.3 Removal of Unstable Material

Where unstable material is encountered in the bottom of the trench, such material shall be removed to the depth directed and replaced to the proper grade with select granular material as provided in paragraph BACKFILLING AND COMPACTION. When removal of unstable material is required due to the Contractor's fault or neglect in performing the work, the resulting material shall be excavated and replaced by the Contractor without additional cost to the Government.

3.1.1.4 Excavation for Appurtenances

Excavation for manholes, catch-basins, inlets, or similar structures shall be of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Rock shall be cleaned of loose debris and cut to a firm surface either level, stepped, or serrated, as shown or as directed. Loose disintegrated rock and thin strata shall be removed. Removal of unstable material shall be as specified above. When concrete or masonry is to be placed in an excavated area, special care shall be taken not to disturb the bottom of the

excavation. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed.

3.1.1.5 Jacking, Boring, and Tunneling

Unless otherwise indicated, excavation shall be by open cut concrete trench construction or direct bury except that sections of a trench is jacked, bored, or tunneled.

3.1.2 Stockpiles

Stockpiles of satisfactory and wasted materials shall be placed and graded as specified. Stockpiles shall be kept in a neat and well drained condition, giving due consideration to drainage at all times. The ground surface at stockpile locations shall be cleared and grubbed, excavated satisfactory and unsatisfactory materials shall be separately stockpiled. Stockpiles of satisfactory materials shall be protected from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, such material shall be removed and replaced with satisfactory material from approved sources at no additional cost to the Government. Locations of stockpiles of satisfactory materials shall be as shown or subject to prior approval of the Contracting Officer.

3.2 BACKFILLING AND COMPACTION

Backfill material shall consist of satisfactory material, select granular material, or initial backfill material as required. Backfill shall be placed in layers not exceeding 6 inches loose thickness for compaction by hand operated machine compactors, and 8 inches loose thickness for other than hand operated machines, unless otherwise specified. Each layer shall be compacted to at least 95 percent maximum density for cohesionless soils and 90 percent maximum density for cohesive soils, unless otherwise specified.

3.2.1 Trench Backfill

Trenches shall be backfilled to the grade shown. The trench shall be backfilled to 2 feet above the top of pipe prior to performing the required pressure tests. The joints and couplings shall be left uncovered during the pressure test. Removal of backfill to repair pipe not passing pressure testing shall be at the Contractor's expense.

3.2.1.1 Replacement of Unyielding Material

Unyielding material removed from the bottom of the trench shall be replaced with select granular material or initial backfill material.

3.2.1.2 Replacement of Unstable Material

Unstable material removed from the bottom of the trench or excavation shall be replaced with select granular material placed in layers not exceeding 6 inches loose thickness.

3.2.1.3 Bedding and Initial Backfill

Bedding shall be of the type and thickness shown. Initial backfill material shall be placed and compacted with approved tampers to a height of at least one foot above the utility pipe or conduit. The backfill shall be brought up evenly on both sides of the pipe for the full length of the pipe. Care shall be taken to ensure thorough compaction of the fill under the haunches of the pipe.

3.2.1.4 Final Backfill

The remainder of the trench, except for special materials for roadways, railroads and airfields, shall be filled with satisfactory material. Backfill material shall be placed and compacted as follows:

- a. Roadways, Railroads, and Airfields: Backfill shall be placed up to the elevation at which the requirements in Section 02315 EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS. Water flooding or jetting methods of compaction will not be permitted.
- b. Sidewalks, Turfed or Seeded Areas and Miscellaneous Areas: Backfill shall be deposited in layers of a maximum of 12 inch loose thickness, and compacted to 85 percent maximum density for cohesive soils and 90 percent maximum density for cohesionless soils. Water jetting shall not be allowed to penetrate the initial backfill. Compaction by water flooding or jetting will not be permitted. This requirement shall also apply to all other areas not specifically designated above.

3.2.2 Backfill for Appurtenances

After the manhole, catchbasin, inlet, or similar structure has been constructed and the concrete has been allowed to cure for 2 days, backfill shall be placed in such a manner that the structure will not be damaged by the shock of falling earth. The backfill material shall be deposited and compacted as specified for final backfill, and shall be brought up evenly on all sides of the structure to prevent eccentric loading and excessive stress.

3.3 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

3.3.1 Gas Distribution

Trenches shall be excavated or directional drilled to a depth that will provide not less than 30 inches of cover. Trenches shall be graded as specified for pipe-laying requirements in Section 02556 GAS DISTRIBUTION SYSTEM. Gas piping placed under ditches shall maintain 30 _____ of cover at the invert of the ditch; creek crossing shall maintain 48 _____ of cover at the invert of the creek.

3.3.2 Water Lines

Trenches shall be of a depth to provide a minimum cover of 3 feet from the existing ground surface, or from the indicated finished grade, whichever is lower, to the top of the pipe.

3.3.3 Heat Distribution System

Initial backfill material shall be free of stones larger than 1/4 inch in any dimension.

3.3.4 Electrical Distribution System

Direct burial cable and conduit or duct line shall have a minimum cover of 24 inches from the finished grade, unless otherwise indicated. Special trenching requirements for direct-burial electrical cables and conduits are specified in Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.

3.3.5 Plastic Marking Tape

Warning tapes shall be installed directly above the pipe, at a depth of 18 inches below finished grade unless otherwise shown.

3.4 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government.

3.4.1 Testing Facilities

Tests shall be performed by an approved commercial testing laboratory or may be tested by facilities furnished by the Contractor. No work requiring testing will be permitted until the facilities have been inspected and approved by the Contracting Officer.

3.4.2 Testing of Backfill Materials

Classification of backfill materials shall be determined in accordance with ASTM D 2487 and the moisture-density relations of soils shall be determined in accordance with ASTM D 1557. A minimum of one soil classification and one moisture-density relation test shall be performed on each different type of material used for bedding and backfill.

3.4.3 Field Density Tests

Tests shall be performed in sufficient numbers to ensure that the specified density is being obtained. A minimum of one field density test per lift of backfill for every 50 feet of installation shall be performed. One moisture density relationship shall be determined for every 1500 cubic yards of material used. Field in-place density shall be determined in accordance with ASTM D 2922. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted using the sand cone method as described in paragraph Calibration of the ASTM publication. ASTM D 2922 results in a wet unit weight of soil and when using this method, ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job, on each different type of material encountered, at intervals as directed by the Contracting Officer. Copies of calibration curves, results of calibration tests, and field and laboratory density tests shall be furnished to the Contracting Officer. Trenches improperly compacted shall

be reopened to the depth directed, then refilled and compacted to the density specified at no additional cost to the Government.

3.4.4 Displacement of Sewers

After other required tests have been performed and the trench backfill compacted to the finished grade surface, the pipe shall be inspected to determine whether significant displacement has occurred. This inspection shall be conducted in the presence of the Contracting Officer. Pipe sizes larger than 36 inches shall be entered and examined, while smaller diameter pipe shall be inspected by shining a light or laser between manholes or manhole locations, or by the use of television cameras passed through the pipe. If, in the judgement of the Contracting Officer, the interior of the pipe shows poor alignment or any other defects that would cause improper functioning of the system, the defects shall be remedied as directed at no additional cost to the Government.

SECTION 02510

WATER DISTRIBUTION SYSTEM

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN RAILWAY ENGINEERING & MAINTENANCE-OF-WAY ASSOCIATION (AREMA)

AREMA Manual (1999) 1998-1999 Manual for Railway Engineering (Fixed Properties) 4 Vol.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36/A 36M (1997a) Carbon Structural Steel

ASTM A 53 (1998) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated Welded, and Seamless

ASTM B 88 (1996) Seamless Copper Water Tube

ASTM B 88M (1996) Seamless Copper Water Tube (Metric)

ASTM C 76 (1998) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe

ASTM C 76M (1998) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe (Metric)

ASTM D 1599 (1988; R 1995) Short-Time Hydraulic Failure Pressure of Plastic Pipe, Tubing, and Fittings

ASTM D 1784 (1999) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds

ASTM D 1785 (1996b) Poly(Vinyl Chloride) (PVC) Plastic Pipe, Schedules 40, 80, and 120

ASTM D 2241 (1996b) Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)

ASTM D 2464 (1996a) Threaded Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80

ASTM D 2466 (1997) Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 40

ASTM D 2467 (1996a) Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80

- ASTM D 2564 (1996a) Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
- ASTM D 2657 (1997) Heat Fusion Joining Polyolefin Pipe and Fittings
- ASTM D 2774 (1994) Underground Installation of Thermoplastic Pressure Piping
- ASTM D 2855 (1996) Making Solvent-Cemented Joints with Poly(Vinyl Chloride) (PVC) Pipe and Fittings
- ASTM D 2996 (1995) Filament-Wound "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe
- ASTM D 2997 (1995) Centrifugally Cast "Fiberglass" (Glass-Fiber-Reinforced-Thermosetting-Resin) Pipe
- ASTM D 3139 (1998) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals
- ASTM D 3839 (1994a) Underground Installation of "Fiberglass" (Glass-Fiber-Reinforced Thermosetting Resin) Pipe
- ASTM D 4161 (1996) "Fiberglass" (Glass-Fiber-Reinforced Thermosetting Resin) Pipe Joints Using Elastomeric Seals
- ASTM F 477 (1996a) Elastomeric Seals (Gaskets) for Joining Plastic Pipe
- ASTM F 1483 (1998) Oriented Poly(Vinyl Chloride), PVCO, Pressure Pipe

ASME INTERNATIONAL (ASME)

- ASME B1.20.1 (1983; R 1992) Pipe Threads, General Purpose (Inch)
- ASME B16.1 (1989) Cast Iron Pipe Flanges and Flanged Fittings
- ASME B16.3 (1992) Malleable Iron Threaded Fittings
- ASME B16.26 (1988) Cast Copper Alloy Fittings for Flared Copper Tubes
- ASME B36.10M (1996) Welded and Seamless Wrought Steel Pipe

AMERICAN WATER WORKS ASSOCIATION (AWWA)

- AWWA B300 (1992) Hypochlorites
- AWWA B301 (1992) Liquid Chlorine

- AWWA C104 (1995) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water
- AWWA C105 (1993) Polyethylene Encasement for Ductile-Iron Pipe Systems
- AWWA C110 (1993) Ductile-Iron and Gray-Iron Fittings, 3 In. Through 48 In. (75 mm through 1200 mm), for Water and Other Liquids
- AWWA C111 (1995) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
- AWWA C115 (1996) Flanged Ductile-Iron Pipe With Ductile-Iron or Gray-Iron Threaded Flanges
- AWWA C151 (1996) Ductile-Iron Pipe, Centrifugally Cast, for Water or Other Liquids
- AWWA C153 (1994; Errata Nov 1996) Ductile-Iron Compact Fittings, 3 In. Through 24 In. (76 mm through 610 mm) and 54 In. through 64 In. (1,400 mm through 1,600 mm) for Water Service
- AWWA C200 (1997) Steel Water Pipe - 6 In. (150 mm) and Larger
- AWWA C203 (1997) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied
- AWWA C205 (1995) Cement-Mortar Protective Lining and Coating for Steel Water Pipe - 4 In. (100 mm) and Larger - Shop Applied
- AWWA C207 (1994) Steel Pipe Flanges for Waterworks Service - Sizes 4 In. Through 144 In. (100 mm through 3,600 mm)
- AWWA C208 (1996) Dimensions for Fabricated Steel Water Pipe Fittings
- AWWA C300 (1997) Reinforced Concrete Pressure Pipe, Steel-Cylinder Type, for Water and Other Liquids
- AWWA C301 (1992) Prestressed Concrete Pressure Pipe, Steel-Cylinder Type, for Water and Other Liquids
- AWWA C303 (1995) Concrete Pressure Pipe, Bar-Wrapped, Steel Cylinder Type
- AWWA C500 (1993; C500a) Metal-Sealed Gate Valves for Water Supply Service

AWWA C502	(1994; C502a) Dry-Barrel Fire Hydrants
AWWA C503	(1997) Wet-Barrel Fire Hydrants
AWWA C504	(1994) Rubber-Seated Butterfly Valves
AWWA C509	(1994) Resilient-Seated Gate Valves for Water Supply Service
AWWA C600	(1993) Installation of Ductile-Iron Water Mains and Their Appurtenances
AWWA C606	(1997) Grooved and Shouldered Joints
AWWA C651	(1992) Disinfecting Water Mains
AWWA C700	(1995) Cold-Water Meters - Displacement Type, Bronze Main Case
AWWA C701	(1988) Cold-Water Meters - Turbine Type, for Customer Service
AWWA C702	(1992) Cold-Water Meters - Compound Type
AWWA C703	(1996) Cold-Water Meters - Fire Service Type
AWWA C704	(1992) Propeller-Type Meters Waterworks Applications
AWWA C706	(1996) Direct-Reading, Remote-Registration Systems for Cold-Water Meters
AWWA C707	(1982; R 1992) Encoder-Type Remote-Registration Systems for Cold-Water Meters
AWWA C800	(1989) Underground Service Line Valves and Fittings
AWWA C900	(1997; C900a Polyvinyl Chloride (PVC) Pressure Pipe, 4 In. Through 12 In., for Water Distribution
AWWA C901	(1996) Polyethylene (PE) Pressure Pipe and Tubing, 1/2 In. Through 3 In., for Water Service
AWWA C905	(1997) Polyvinyl Chloride (PVC) Water Transmission Pipe, Nominal Diameters 14 In. Through 36 In.
AWWA C950	(1995) Fiberglass Pressure Pipe
AWWA M23	(1980) Manual: PVC Pipe - Design and Installation

ASBESTOS CEMENT PIPE PRODUCERS ASSOCIATION (ACPPA)

ACPPA Work Practices (1988) Recommended Work Practices for A/C Pipe

DUCTILE IRON PIPE RESEARCH ASSOCIATION (DIPRA)

DIPRA-Restraint Design (1997) Thrust Restraint Design for Ductile Iron Pipe

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-80 (1997) Bronze Gate, Globe, Angle and Check Valves

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 24 (1995) Installation of Private Fire Service Mains and Their Appurtenances

NFPA 49 (1994) Hazardous Chemicals Data

NFPA 325-1 (1994) Fire Hazard Properties of Flammable Liquids, Gases, and Volatile Solids

NFPA 704 (1996) Identification of the Fire Hazards of Materials for Emergency Response

NFPA 1961 (1997) Fire Hose

NSF INTERNATIONAL (NSF)

NSF 14 (1998) Plastics Piping Components and Related Materials

NSF 61 (1998) Drinking Water System Components - Health Effects (Sections 1-9)

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC Paint 21 (1991) White or Colored Silicone Alkyd Paint

SSPC Paint 25 (1991) Red Iron Oxide, Zinc Oxide, Raw Linseed Oil and Alkyd Primer (Without Lead and Chromate Pigments)

1.2 PIPING

This section covers water <TAI OPT=DISTRIBUTION LINES>distribution</TAI> lines, and connections to building service at a point approximately 5 feet outside buildings and structures to which service is required. The Contractor shall have a copy of the manufacturer's recommendations for each material or procedure to be utilized available at the construction site at all times.

1.2.1 <TAI OPT=SERVICE LINES>1.2.1 Service Lines

Piping for water service lines less than 3 inches in diameter shall be galvanized steel, polyvinyl chloride (PVC) plastic, Oriented PVC plastic polyethylene, or copper tubing, unless otherwise shown or specified. Piping for water service lines 3 inches and larger shall be ductile iron, polyvinyl chloride (PVC) plastic, unless otherwise shown or specified.

1.2.2 </TAI><TAI OPT=DISTRIBUTION LINES>1.2.2 Distribution Lines 80 mm (3 Inches) or Larger

Piping for water distribution lines 3 inches or larger shall be ductile iron, polyvinyl chloride (PVC) through 36 inch nominal diameter plastic, unless otherwise shown or specified.

1.2.3 </TAI>1.2.3 Supply Lines 80 mm (3 Inches) or Larger

Piping for water supply lines 3 inches or larger shall be ductile iron, polyvinyl chloride (PVC) plastic, through 36 inch nominal diameter, unless otherwise shown or specified.

1.2.4 Sprinkler Supply Lines

Piping for water lines supplying sprinkler systems for building fire protection shall conform to **NFPA 24** from the point of connection with the water distribution system to the building 5 foot line.

1.2.5 Excavation, Trenching, and Backfilling

Excavation, trenching, and backfilling shall be in accordance with the applicable provisions of Section **02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS**, except as modified herein.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Reports

Bacteriological Disinfection.

Test results from commercial laboratory verifying disinfection.

1.4 HANDLING

Pipe and accessories shall be handled to ensure delivery to the trench in sound, undamaged condition, including no injury to the pipe coating or lining. If the coating or lining of any pipe or fitting is damaged, the repair shall be made by the Contractor in a satisfactory manner, at no additional cost to the Government. No other pipe or material shall be placed inside a pipe or fitting after the coating has been applied. Pipe shall be carried into position and not dragged. Use of pinch bars and tongs for aligning or turning pipe will be permitted only on the bare ends of the pipe. The interior of pipe and accessories shall be thoroughly cleaned of foreign matter before being lowered into the trench and shall be kept clean during laying operations by plugging or other approved method. Before

installation, the pipe shall be inspected for defects. Material found to be defective before or after laying shall be replaced with sound material without additional expense to the Government. Rubber gaskets that are not to be installed immediately shall be stored in a cool and dark place.

1.4.1 Coated and Wrapped Steel Pipe

Coated and wrapped steel pipe shall be handled in conformance with [AWWA C203](#).

2 PRODUCTS

2.1 PIPE

Pipe shall conform to the respective specifications and other requirements specified below.

2.1.1 Plastic Pipe

2.1.1.1 PVC Plastic Pipe

Pipe, couplings and fittings shall be manufactured of material conforming to [ASTM D 1784](#), Class 12454B.

a. Pipe Less Than 4 inch Diameter:

(1) Screw-Joint: Pipe shall conform to dimensional requirements of [ASTM D 1785](#) Schedule 80, with joints meeting requirements of 150 psi working pressure, 200 psi hydrostatic test pressure, unless otherwise shown or specified. Pipe couplings when used, shall be tested as required by [ASTM D 2464](#).

(2) Elastomeric-Gasket Joint: Pipe shall conform to dimensional requirements of [ASTM D 1785](#) Schedule 40, with joints meeting the requirements of 150 psi working pressure, 200 psi hydrostatic test pressure, unless otherwise shown or specified, or it may be pipe conforming to requirements of [ASTM D 2241](#), elastomeric joint, with the following applications:

SDR	Maximum Working Pressure psi	Minimum Hydrostatic Pressure psi
17	150	200

(3) Solvent Cement Joint: Pipe shall conform to dimensional requirements of [ASTM D 1785](#) or [ASTM D 2241](#) with joints meeting the requirements of 150 psi working pressure and 200 psi hydrostatic test pressure.

b. Pipe 4 through 12 inch Diameter: Pipe, couplings and fittings shall conform to [AWWA C900](#), Class 150, CIOD pipe dimensions, elastomeric-gasket joint, unless otherwise shown or specified.

c. Pipe 14 through 36 inch Diameter: Pipe shall conform to [AWWA C905](#) unless otherwise shown or specified.

2.1.2 Ductile-Iron Pipe

Ductile-iron pipe shall conform to [AWWA C151](#), working pressure not less than 150 psi, unless otherwise shown or specified. Pipe shall be cement-mortar lined in accordance with [AWWA C104](#). Linings shall be standard. When installed underground, pipe shall be encased with 8 mil thick polyethylene in accordance with [AWWA C105](#). Flanged ductile iron pipe with threaded flanges shall be in accordance with [AWWA C115](#).

2.1.3 Steel Pipe

2.1.3.1 Galvanized Steel Pipe

Galvanized steel pipe shall conform to [ASTM A 53](#), standard weight and used in location as noted only.

2.1.4 Copper Tubing

Copper tubing shall conform to [ASTM B 88](#), Type K, annealed.

2.2 FITTINGS AND SPECIALS

2.2.1 PVC Pipe System

- a. For pipe less than 4 inch diameter, fittings for threaded pipe shall conform to requirements of [ASTM D 2464](#), threaded to conform to the requirements of [ASME B1.20.1](#) for use with Schedule 80 pipe and fittings; fittings for solvent cement jointing shall conform to [ASTM D 2466](#) or [ASTM D 2467](#); and fittings for elastomeric-gasket joint pipe shall be iron conforming to [AWWA C110](#) or [AWWA C111](#). Iron fittings and specials shall be cement-mortar lined (standard thickness) in accordance with [AWWA C104](#).
- b. For pipe 4 inch diameter and larger, fittings and specials shall be iron, bell end in accordance with [AWWA C110](#), 150 psi pressure rating unless otherwise shown or specified, except that profile of bell may have special dimensions as required by the pipe manufacturer; or fittings and specials may be of the same material as the pipe with elastomeric gaskets, all in conformance with [AWWA C900](#). Iron fittings and specials shall be cement-mortar lined (standard thickness) in accordance with [AWWA C104](#). Fittings shall be bell and spigot or plain end pipe, or as applicable. Ductile iron compact fittings shall be in accordance with [AWWA C153](#).

2.2.2 Ductile-Iron Pipe System

Fittings and specials shall be suitable for 150 psi pressure rating, unless otherwise specified. Fittings and specials for mechanical joint pipe shall conform to [AWWA C110](#). Fittings and specials for use with push-on joint pipe shall conform to [AWWA C110](#) and [AWWA C111](#). Fittings and specials for grooved and shouldered end pipe shall conform to [AWWA C606](#). Fittings and specials shall be cement-mortar lined (standard thickness) in accordance with [AWWA C104](#). Ductile iron compact fittings shall conform to [AWWA C153](#).

2.2.3 Steel Pipe System

2.2.3.1 Galvanized Steel Piping

Steel fittings shall be galvanized. Screwed fittings shall conform to ASME B16.3. Flanged fittings shall conform to AWWA C207.

2.2.4 Copper Tubing System

Fittings and specials shall be flared and conform to ASME B16.26.

2.3 JOINTS

2.3.1 Gaskets for Reinforced Concrete Pipe

Rubber-gasket joints shall be of the type using a bell-and-spigot joint design of steel. The gaskets shall conform to AWWA C300, AWWA C301, or AWWA C303, as applicable.

2.3.2 Plastic Pipe Jointing

2.3.2.1 PVC Pipe

Joints, fittings, and couplings shall be as specified for PVC pipe. Joints connecting pipe of differing materials shall be made in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer.

2.3.3 Ductile-Iron Pipe Jointing

- a. Mechanical joints shall be of the stuffing box type and shall conform to AWWA C111.
- b. Push-on joints shall conform to AWWA C111.
- c. Rubber gaskets and lubricants shall conform to the applicable requirements of AWWA C111.

2.3.4 Copper Tubing Jointing

Joints shall be compression-pattern flared and shall be made with the specified fittings.

2.4 VALVES

2.4.1 Gate Valves

Gate valves shall be designed for a working pressure of not less than 150 psi. Valve connections shall be as required for the piping in which they are installed. Valves shall have a clear waterway equal to the full nominal diameter of the valve, and shall be opened by turning counterclockwise. The operating nut or wheel shall have an arrow, cast in the metal, indicating the direction of opening.

- a. Valves smaller than 3 inches shall be all bronze and shall conform to MSS SP-80, Type 1, Class 150.

- b. Resilient-Seated Gate Valves: For valves 3 to 12 inches in size, resilient-seated gate valves shall conform to **AWWA C509**.

2.4.2 Vacuum and Air Relief Valves

Vacuum and air relief valves shall be of the size shown and shall be of a type that will release air and prevent the formation of a vacuum. The valves shall automatically release air when the lines are being filled with water and shall admit air into the line when water is being withdrawn in excess of the inflow. Valves shall be iron body with bronze trim and stainless steel float.

2.4.3 Indicator Post for Valves

Each valve shown on the drawings with the designation "P.I.V." shall be equipped with indicator post conforming to the requirements of **NFPA 24**. Operation shall be by a wrench which shall be attached to each post.

2.5 VALVE BOXES

Valve boxes shall be cast iron or concrete, except that concrete boxes may be installed only in locations not subjected to vehicular traffic. Cast-iron boxes shall be extension type with slide-type adjustment and with flared base. The minimum thickness of metal shall be 3/16 inch. Concrete boxes shall be the standard product of a manufacturer of precast concrete equipment. The word "WATER" shall be cast in the cover. The box length shall adapt, without full extension, to the depth of cover required over the pipe at the valve location.

2.6 <TAI OPT=FIRE HYDRANTS>2.7 FIRE HYDRANTS

Hydrants shall be dry-barrel type conforming to **AWWA C502** with valve opening at least 5 inches in diameter and designed so that the flange at the main valve seat can be removed with the main valve seat apparatus remaining intact, closed and reasonably tight against leakage and with a breakable valve rod coupling and breakable flange connections located no more than 8 inches above the ground grade. Hydrants shall have a 6 inch bell connection, two 2-1/2 inch hose connections and one 4-1/2 inch pumper connection. Outlets shall have American National Standard fire-hose coupling threads. Working parts shall be bronze. Design, material, and workmanship shall be equal to the latest stock pattern ordinarily produced by the manufacturer. Hydrants shall be painted with 1 coat of red iron oxide, zinc oxide primer conforming to **SSPC Paint 25** and 2 finish coats of silicone alkyd paint conforming to **SSPC Paint 21**, of the installation's standard colors or as directed by the Contracting Officer.

2.7 </TAI>2.9 MISCELLANEOUS ITEMS

2.7.1 Service Clamps

Service clamps shall have a pressure rating not less than that of the pipe to be connected and shall be either the single or double flattened strap type. Clamps shall have a galvanized malleable-iron body with cadmium plated straps and nuts. Clamps shall have a rubber gasket cemented to the body.

2.7.2 Corporation Stops

Corporation stops shall have standard corporation stop thread conforming to **AWWA C800** on the inlet end, with flanged joints, compression pattern flared tube couplings, or wiped joints for connections to goosenecks.

2.7.3 Service Stops

Service stops shall be water-works inverted-ground-key type, oval or round flow way, tee handle, without drain. Pipe connections shall be suitable for the type of service pipe used. All parts shall be of bronze with female iron-pipe-size connections or compression-pattern flared tube couplings, and shall be designed for a hydrostatic test pressure not less than 200 psi.

2.7.4 Tapping Sleeves

Tapping sleeves of the sizes indicated for connection to existing main shall be the cast gray, ductile, or malleable iron, split-sleeve type with flanged or grooved outlet, and with bolts, follower rings and gaskets on each end of the sleeve. Construction shall be suitable for a maximum working pressure of 150 psi. Bolts shall have square heads and hexagonal nuts. Longitudinal gaskets and mechanical joints with gaskets shall be as recommended by the manufacturer of the sleeve. When using grooved mechanical tee, it shall consist of an upper housing with full locating collar for rigid positioning which engages a machine-cut hole in pipe, encasing an elastomeric gasket which conforms to the pipe outside diameter around the hole and a lower housing with positioning lugs, secured together during assembly by nuts and bolts as specified, pretorqued to 50 foot-pound.

2.7.5 Service Boxes

Service boxes shall be cast iron or concrete and shall be extension service boxes of the length required for the depth of the line, with either screw or slide-type adjustment. The boxes shall have housings of sufficient size to completely cover the service stop or valve and shall be complete with identifying covers.

2.7.6 Disinfection

Chlorinating materials shall conform to the following:

Chlorine, Liquid: **AWWA B301**.

Hypochlorite, Calcium and Sodium: **AWWA B300**.

3 EXECUTION

3.1 INSTALLATION

3.1.1 Cutting of Pipe

Cutting of pipe shall be done in a neat and workmanlike manner without damage to the pipe. Unless otherwise recommended by the manufacturer and authorized by the Contracting Officer, cutting shall be done with an approved type mechanical cutter. Wheel cutter shall be used when practicable. Copper tubing shall be cut square and all burrs shall be

removed. Squeeze type mechanical cutters shall not be used for ductile iron.

3.1.2 Adjacent Facilities

3.1.2.1 Sewer Lines

Where the location of the water pipe is not clearly defined in dimensions on the drawings, the water pipe shall not be laid closer horizontally than 10 feet from a sewer except where the bottom of the water pipe will be at least 18 inches above the top of the sewer pipe, in which case the water pipe shall not be laid closer horizontally than 6 feet from the sewer. Where water lines cross under gravity-flow sewer lines, the sewer pipe, for a distance of at least 10 feet each side of the crossing, shall be fully encased in concrete or shall be made of pressure pipe with no joint located within 3 feet horizontally of the crossing. Water lines shall in all cases cross above sewage force mains or inverted siphons and shall be not less than 2 feet above the sewer main. Joints in the sewer main, closer horizontally than 3 feet to the crossing, shall be encased in concrete.

3.1.2.2 Water Lines

Water lines shall not be laid in the same trench with sewer lines, gas lines, fuel lines, or electric wiring.

3.1.2.3 Copper Tubing Lines

Copper tubing shall not be installed in the same trench with ferrous piping materials.

3.1.2.4 Nonferrous Metallic Pipe

Where nonferrous metallic pipe, e.g. copper tubing, crosses any ferrous piping material, a minimum vertical separation of 12 inches shall be maintained between pipes.

3.1.2.5 Casing Pipe

Water pipe shall be encased in a sleeve of rigid conduit for the lengths shown. Sleeves shall be in accordance with the criteria contained in [AREMA Manual](#), Part 5.

3.1.3 Joint Deflection

3.1.3.1 Offset for Flexible Plastic Pipe

Maximum offset in alignment between adjacent pipe joints shall be as recommended by the manufacturer and approved by the Contracting Officer, but shall not exceed 2.5 degrees or 1 inch per 20 foot length of pipe.

3.1.3.2 Allowable for Ductile-Iron Pipe

The maximum allowable deflection shall be as given in [AWWA C600](#). If the alignment requires deflection in excess of the above limitations, special bends or a sufficient number of shorter lengths of pipe shall be furnished to provide angular deflections within the limit set forth.

3.1.4 Placing and Laying

Pipe and accessories shall be carefully lowered into the trench by means of derrick, ropes, belt slings, or other authorized equipment. Water-line materials shall not be dropped or dumped into the trench. Abrasion of the pipe coating shall be avoided. Except where necessary in making connections with other lines or as authorized by the Contracting Officer, pipe shall be laid with the bells facing in the direction of laying. The full length of each section of pipe shall rest solidly upon the pipe bed, with recesses excavated to accommodate bells, couplings, and joints. Pipe that has the grade or joint disturbed after laying shall be taken up and relaid. Pipe shall not be laid in water or when trench conditions are unsuitable for the work. Water shall be kept out of the trench until joints are complete. When work is not in progress, open ends of pipe, fittings, and valves shall be securely closed so that no trench water, earth, or other substance will enter the pipes or fittings. Where any part of the coating or lining is damaged, the repair shall be made by and at the Contractor's expense in a satisfactory manner. Pipe ends left for future connections shall be valved, plugged, or capped, and anchored, as shown.

3.1.4.1 Plastic Pipe Installation

RTRP shall be installed in accordance with [ASTM D 3839](#). RPMP shall be installed in accordance with the manufacturer's recommendations. PE Pipe shall be installed in accordance with [ASTM D 2774](#). PVC pipe shall be installed in accordance with [AWWA M23](#).

3.1.4.2 Piping Connections

Where connections are made between new work and existing mains, the connections shall be made by using specials and fittings to suit the actual conditions. When made under pressure, these connections shall be installed using standard methods as approved by the Contracting Officer. Connections to existing asbestos-cement pipe shall be made in accordance with [ACPPA Work Practices](#).

3.1.4.3 Penetrations

Pipe passing through walls of valve pits and structures shall be provided with ductile-iron or Schedule 40 steel wall sleeves. Annular space between walls and sleeves shall be filled with rich cement mortar. Annular space between pipe and sleeves shall be filled with mastic.

3.1.4.4 Flanged Pipe

Flanged pipe shall only be installed above ground or with the flanges in valve pits.

3.1.5 Jointing

3.1.5.1 PVC Plastic Pipe Requirements

- a. Pipe less than 4 inch diameter: Threaded joints shall be made by wrapping the male threads with approved thread tape or applying an approved lubricant, then threading the joining members together. The joint shall be tightened using strap wrenches to prevent damage to the pipe and/or fitting. To avoid excessive torque, joints

shall be tightened no more than one thread past hand-tight. Preformed rubber-ring gaskets for elastomeric-gasket joints shall be made in accordance with ASTM F 477 and as specified. Pipe ends for push-on joints shall be beveled to facilitate assembly and marked to indicate when the pipe is fully seated. The gasket shall be prelubricated to prevent displacement. The gasket and ring groove in the bell or coupling shall match. The manufacturer of the pipe or fitting shall supply the elastomeric gasket. Couplings shall be provided with stops or centering rings to assure that the coupling is centered on the joint. Solvent cement joints shall use sockets conforming to ASTM D 2467. The solvent cement used shall meet the requirements of ASTM D 2564; the joint assembly shall be made in accordance with ASTM D 2855 and the manufacturer's specific recommendations.

- b. Pipe 4 through 12 inch diameter: Joints shall be elastomeric gasket as specified in AWWA C900. Jointing procedure shall be as specified for pipe less than 4 inch diameter with configuration using elastomeric ring gasket.
- c. Pipe 14 through 36 inch diameter: Joints shall be elastomeric gasket push-on joints made in accordance with AWWA M23.

3.1.5.2 Ductile-Iron Pipe Requirements

Mechanical and push-on type joints shall be installed in accordance with AWWA C600 for buried lines or AWWA C606 for grooved and shouldered pipe above ground or in pits.

3.1.5.3 Not Galvanized Steel Pipe Requirements

- a. Mechanical Couplings: Mechanical couplings shall be installed in accordance with the recommendations of the couplings manufacturer.
- b. Rubber Gaskets: Rubber gaskets shall be handled, lubricated where necessary, and installed in accordance with the pipe manufacturer's recommendations.

3.1.5.4 Galvanized Steel Pipe Requirements

Screw joints shall be made tight with a stiff mixture of graphite and oil, inert filler and oil, or with an approved graphite compound, applied with a brush to the male threads only. Compounds shall not contain lead.

3.1.5.5 Copper Tubing Requirements

Joints shall be made with flared fittings. The flared end tube shall be pulled tightly against the tapered part of the fitting by a nut which is part of the fitting, so there is metal-to-metal contact.

3.1.5.6 Transition Fittings

Connections between different types of pipe and accessories shall be made with transition fittings approved by the Contracting Officer.

3.1.6 <TAI OPT=SERVICE LINES>3.1.6 Installation of Service Lines

Service lines shall include the pipeline connecting building piping to water distribution lines to the connections with the building service at a point approximately 5 feet outside the building where such building service exists. Where building services are not installed, the Contractor shall terminate the service lines approximately 5 feet from the site of the proposed building at a point designated by the Contracting Officer. Such service lines shall be closed with plugs or caps. All service stops and valves shall be provided with service boxes. Service lines shall be constructed in accordance with the following requirements:

3.1.6.1 Service Lines 50 mm (2 Inches) and Smaller

Service lines 2 inches and smaller shall be connected to the main by a directly-tapped corporation stop or by a service clamp. A corporation stop shall be provided with either type of connection. Maximum sizes for directly-tapped corporation stops and for outlets with service clamps shall be as in TABLE I. The total clear area of the branches shall be at least equal to the clear area of the service which they are to supply.

TABLE I. SIZE OF CORPORATION STOPS AND OUTLET

Pipe Size Inches	Corporation Stops, Inches For Ductile-Iron Pipe	Outlets w/Service Clamps, Inches Single & Double Strap
3	--	1
4	1	1
6	1-1/4	1-1/2
8	1-1/2	2
10	1-1/2	2
12 & larger	2	2

NOTE:

- a. Service lines 1-1/2 inches and smaller shall have a service stop.
- b. Service lines 2 inches in size shall have a gate valve.

3.1.6.2 Service Lines Larger than 50 mm (2 Inches)

Service lines larger than 2 inches shall be connected to the main by a tapped saddle, tapping sleeve and valve, service clamp or reducing tee, depending on the main diameter and the service line diameter, and shall have a gate valve. Lines 3 inches and larger may use rubber-seated butterfly valves as specified above, or gate valves.

3.1.6.3 Service Lines for Sprinkler Supplies

Water service lines used to supply building sprinkler systems for fire protection shall be connected to the water distribution main in accordance with NFPA 24.

3.1.7 </TAI>3.1.8 Setting of <TAI OPT=FIRE HYDRANTS>Fire Hydrants,</TAI> Meters, Valves and Valve Boxes

3.1.7.1 <TAI OPT=FIRE HYDRANTS>3.1.8.1 Location of Fire Hydrants

Fire hydrants shall be located and installed as shown. Each hydrant shall be connected to the main with a 6 inch branch line having at least as much cover as the distribution main. Hydrants shall be set plumb with pumper nozzle facing the roadway, with the center of the lowest outlet not less than 18 inches above the finished surrounding grade, and the operating nut not more than 48 inches above the finished surrounding grade. Fire hydrants designated on the drawings as low profile shall have the lowest outlet not less than 18 inches above the finished surrounding grade, the top of the hydrant not more than 24 inches above the finished surrounding grade. Except where approved otherwise, the backfill around hydrants shall be thoroughly compacted to the finished grade immediately after installation to obtain beneficial use of the hydrant as soon as practicable. The hydrant shall be set upon a slab of concrete not less than 4 inches thick and 15 in chessquare. Not less than 7 cubic feet of free-draining broken stone or gravel shall be placed around and beneath the waste opening of dry barrel hydrants to ensure drainage.

3.1.7.2 </TAI>3.1.8.3 Location of Valves

After delivery, valves, including those in hydrants, shall be drained to prevent freezing and shall have the interiors cleaned of all foreign matter before installation. Stuffing boxes shall be tightened and hydrants and valves shall be fully opened and fully closed to ensure that all parts are in working condition. Check, pressure reducing, vacuum, and air relief valves shall be installed in valve pits. Valves and valve boxes shall be installed where shown or specified, and shall be set plumb. Valve boxes shall be centered on the valves. Boxes shall be installed over each outside gate valve unless otherwise shown. Where feasible, valves shall be located outside the area of roads and streets. Earth fill shall be tamped around each valve box or pit to a distance of 4 feet on all sides of the box, or the undisturbed trench face if less than 4 feet.

3.1.7.3 Location of Service Boxes

Where water lines are located below paved streets having curbs, the boxes shall be installed directly back of the curbs. Where no curbing exists, service boxes shall be installed in accessible locations, beyond the limits of street surfacing, walks and driveways.

3.1.8 Tapped Tees and Crosses

Tapped tees and crosses for future connections shall be installed where shown.

3.1.9 Thrust Restraint

Plugs, caps, tees and bends deflecting 11.25 degrees or more, either vertically or horizontally, on waterlines 4 inches in diameter or larger, and fire hydrants shall be provided with thrust restraints. Valves shall be securely anchored or shall be provided with thrust restraints to prevent movement. Thrust restraints shall be either thrust blocks or, for ductile-iron pipes, restrained joints.

3.1.9.1 Thrust Blocks

Thrust blocking shall be concrete of a mix not leaner than: 1 cement, 2-1/2 sand, 5 gravel; and having a compressive strength of not less than 2,000 psi after 28 days. Blocking shall be placed between solid ground and the hydrant or fitting to be anchored. Unless otherwise indicated or directed, the base and thrust bearing sides of thrust blocks shall be poured directly against undisturbed earth. The sides of thrust blocks not subject to thrust may be poured against forms. The area of bearing shall be as shown or as directed. Blocking shall be placed so that the fitting joints will be accessible for repair. Steel rods and clamps, protected by galvanizing or by coating with bituminous paint, shall be used to anchor vertical down bends into gravity thrust blocks.

3.1.9.2 Restrained Joints

For ductile-iron pipe, restrained joints shall be designed by the Contractor or the pipe manufacturer in accordance with **DIPRA-Restraint Design**.

3.2 HYDROSTATIC TESTS

Where any section of a water line is provided with concrete thrust blocking for fittings or hydrants, the hydrostatic tests shall not be made until at least 5 days after installation of the concrete thrust blocking, unless otherwise approved.

3.2.1 Pressure Test

After the pipe is laid, the joints completed, <TAI OPT=FIRE HYDRANTS>fire hydrants permanently installed,</TAI> and the trench partially backfilled leaving the joints exposed for examination, the newly laid piping or any valved section of piping shall, unless otherwise specified, be subjected for 1 hour to a hydrostatic pressure test of 200 psi. Water supply lines designated on the drawings shall be subjected for 1 hour to a hydrostatic pressure test of 200 psi. Each valve shall be opened and closed several times during the test. Exposed pipe, joints, fittings, hydrants, and valves shall be carefully examined during the partially open trench test. Joints showing visible leakage shall be replaced or remade as necessary. Cracked or defective pipe, joints, fittings, hydrants and valves discovered in consequence of this pressure test shall be removed and replaced with sound material, and the test shall be repeated until the test results are satisfactory. The requirement for the joints to remain exposed for the hydrostatic tests may be waived by the Contracting Officer when one or more of the following conditions is encountered:

- a. Wet or unstable soil conditions in the trench.

- b. Compliance would require maintaining barricades and walkways around and across an open trench in a heavily used area that would require continuous surveillance to assure safe conditions.
- c. Maintaining the trench in an open condition would delay completion of the project.

The Contractor may request a waiver, setting forth in writing the reasons for the request and stating the alternative procedure proposed to comply with the required hydrostatic tests. Backfill placed prior to the tests shall be placed in accordance with the requirements of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.2.2 Leakage Test

Leakage test shall be conducted after the pressure tests have been satisfactorily completed. The duration of each leakage test shall be at least 2 hours, and during the test the water line shall be subjected to not less than 200 psi pressure. Water supply lines designated on the drawings shall be subjected to a pressure equal to 200 psi. Leakage is defined as the quantity of water to be supplied into the newly laid pipe, or any valved or approved section, necessary to maintain pressure within 5 psi of the specified leakage test pressure after the pipe has been filled with water and the air expelled. Piping installation will not be accepted if leakage exceeds the allowable leakage which is determined by the following formula:

$$L = 0.0001351ND(P \text{ raised to } 0.5 \text{ power})$$

L = Allowable leakage in gallons per hour

N = Number of joints in the length of pipeline tested

D = Nominal diameter of the pipe in inches

P = Average test pressure during the leakage test, in psi gauge

Should any test of pipe disclose leakage greater than that calculated by the above formula, the defective joints shall be located and repaired until the leakage is within the specified allowance, without additional cost to the Government.

3.2.3 Time for Making Test

Except for joint material setting or where concrete thrust blocks necessitate a 5-day delay, pipelines jointed with rubber gaskets, mechanical or push-on joints, or couplings may be subjected to hydrostatic pressure, inspected, and tested for leakage at any time after partial completion of backfill. Cement-mortar lined pipe may be filled with water as recommended by the manufacturer before being subjected to the pressure test and subsequent leakage test.

3.2.4 Concurrent Hydrostatic Tests

The Contractor may elect to conduct the hydrostatic tests using either or both of the following procedures. Regardless of the sequence of tests employed, the results of pressure tests, leakage tests, and disinfection shall be as specified. Replacement, repair or retesting required shall be accomplished by the Contractor at no additional cost to the Government.

- a. Pressure test and leakage test may be conducted concurrently.

- b. Hydrostatic tests and disinfection may be conducted concurrently, using the water treated for disinfection to accomplish the hydrostatic tests. If water is lost when treated for disinfection and air is admitted to the unit being tested, or if any repair procedure results in contamination of the unit, disinfection shall be reaccomplished.

3.3 BACTERIALDISINFECTION

3.3.1 Bacteriological Disinfection

Before acceptance of potable water operation, each unit of completed waterline shall be disinfected as prescribed by [AWWA C651](#). The disinfection shall be repeated until tests indicate the absence of pollution for at least 2 full days. The unit will not be accepted until satisfactory bacteriological results have been obtained. Bacteriological test results shall be submitted to the Contracting Officer. Test results greater than 20 days old will not be accepted and the Contractor shall resample and have the bacterial examination performed at his expense. Five days prior to taking water samples, the Contractor is to notify the Contracting Office of his intent. The Contractor is to accommodate the Post Environmental Health Division to witness the Contractor's samples taking process and to obtain duplicate samples.

3.4 CLEANUP

Upon completion of the installation of water lines, and appurtenances, all debris and surplus materials resulting from the work shall be removed.

SECTION 02531

SANITARY SEWERS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN RAILWAY ENGINEERING & MAINTENANCE-OF-WAY ASSOCIATION (AREMA)

AREMA Manual (1999) Manual for Railway Engineering (4 Vol.)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 74 (1998) Cast Iron Soil Pipe and Fittings

ASTM A 123/A 123M (1997a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM C 14 (1995) Concrete Sewer, Storm Drain, and Culvert Pipe

ASTM C 14M (1995) Concrete Sewer, Storm Drain, and Culvert Pipe (Metric)

ASTM C 33 (1993) Concrete Aggregates

ASTM C 76 (1998) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe

ASTM C 76M (1998) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe (Metric)

ASTM C 94 (1998) Ready-Mixed Concrete

ASTM C 150 (1997) Portland Cement

ASTM C 260 (1998) Air-Entraining Admixtures for Concrete

ASTM C 270 (1997a) Mortar for Unit Masonry

ASTM C 425 (1998) Compression Joints for Vitrified Clay Pipe and Fittings

ASTM C 443 (1998) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets

ASTM C 443M (1998) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets (Metric)

ASTM C 478	(1997) Precast Reinforced Concrete Manhole Sections
ASTM C 478M	(1997) Precast Reinforced Concrete Manhole Sections (Metric)
ASTM C 564	(1997) Rubber Gaskets for Cast Iron Soil Pipe and Fittings
ASTM C 700	(1997) Vitrified Clay Pipe, Extra Strength, Standard Strength, and Perforated
ASTM C 828	(1998) Low-Pressure Air Test of Vitrified Clay Pipe Lines
ASTM C 924	(1989; R 1997) Concrete Pipe Sewer Lines by Low-Pressure Air Test Method
ASTM C 972	(1995) Compression-Recovery of Tape Sealant
ASTM D 412	(1998a) Vulcanized Rubber and Thermoplastic Rubbers and Thermoplastic Elastomers - Tension
ASTM D 624	(1991; R 1998) Tear Strength of Conventional Vulcanized Rubber and Thermoplastic Elastomers
ASTM D 1784	(1999) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
ASTM D 2680	(1995a) Acrylonitrile-Butadiene-Styrene (ABS) and Poly(Vinyl Chloride) (PVC) Composite Sewer Piping
ASTM D 2751	(1996a) Acrylonitrile-Butadiene-Styrene (ABS) Sewer Pipe and Fittings
ASTM D 2996	(1995) Filament-Wound "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe
ASTM D 2997	(1995) Centrifugally Cast "Fiberglass" (Glass-Fiber-Reinforced-Thermosetting-Resin) Pipe
ASTM D 3034	(1998) Type PSM Poly(Vinyl Chloride) (PVC) Sewer Pipe and Fittings
ASTM D 3212	(1996a) Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
ASTM D 3262	(1996) "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Sewer Pipe
ASTM D 3350	(1996) Polyethylene Plastics Pipe and Fittings Materials

- ASTM D 3753 (1981; R 1991) Glass-Fiber-Reinforced Polyester Manholes
- ASTM D 3840 (1988) "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe Fittings for Nonpressure Applications
- ASTM D 4161 (1996) "Fiberglass" (Glass-Fiber-Reinforced Thermosetting Resin) Pipe Joints Using Flexible Elastomeric Seals
- ASTM F 402 (1993) Safe Handling of Solvent Cements, Primers, and Cleaners Used for Joining Thermoplastic Pipe and Fittings
- ASTM F 477 (1996a) Elastomeric Seals (Gaskets) for Joining Plastic Pipe
- ASTM F 714 (1997) Polyethylene (PE) Plastic pipe (SDR-PR) Based on Outside Diameter
- ASTM F 794 (1997) Poly(Vinyl Chloride) (PVC) Profile Gravity Sewer Pipe and Fittings Based on Controlled Inside Diameter
- ASTM F 894 (1998) Polyethylene (PE) Large Diameter Profile Wall Sewer and Drain Pipe
- ASTM F 949 (1996a) Poly(Vinyl Chloride) (PVC) Corrugated Sewer Pipe with a Smooth Interior and Fittings

AMERICAN WATER WORKS ASSOCIATION (AWWA)

- AWWA C105 (1993) Polyethylene Encasement for Ductile-Iron Pipe Systems
- AWWA C110 (1993) Ductile-Iron and Gray-Iron Fittings, 3 In. Through 48 In. (75 mm through 1200 mm), for Water and Other Liquids
- AWWA C111 (1995) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
- AWWA C115 (1996) Flanged Ductile-Iron Pipe with Ductile-Iron or Gray-Iron Threaded Flanges
- AWWA C151 (1996) Ductile-Iron Pipe, Centrifugally Cast, for Water or Other Liquids

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 49 (1994) Hazardous Chemicals Data
- NFPA 325-1 (1994) Fire Hazard Properties of Flammable Liquids, Gases, and Volatile Solids

NFPA 704 (1996) Identification of the Fire Hazards of
Materials for Emergency Response

UNI-BELL PVC PIPE ASSOCIATION (UBPPA)

UBPPA UNI-B-6 (1990) Recommended Practice for the Low-
Pressure Air Testing of Installed Sewer Pipe

UBPPA UNI-B-9 (1990; Addenda 1994) Recommended Performance
Specification for Polyvinyl Chloride (PVC)
Profile Wall Gravity Sewer Pipe and Fittings
Based on Controlled Inside Diameter (Nominal
Pipe Sizes 4-48 inch)

1.2 GENERAL REQUIREMENTS

The construction required herein shall include appurtenant structures and building sewers to points of connection with the building drains 5 feet outside the building to which the sewer system is to be connected. The Contractor shall replace damaged material and redo unacceptable work at no additional cost to the Government. Excavation and backfilling is specified in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Backfilling shall be accomplished after inspection by the Contracting Officer. Before, during, and after installation, plastic pipe and fittings shall be protected from any environment that would result in damage or deterioration to the material. The Contractor shall have a copy of the manufacturer's instructions available at the construction site at all times and shall follow these instructions unless directed otherwise by the Contracting Officer. Solvents, solvent compounds, lubricants, elastomeric gaskets, and any similar materials required to install the plastic pipe shall be stored in accordance with the manufacturer's recommendation and shall be discarded if the storage period exceeds the recommended shelf life. Solvents in use shall be discarded when the recommended pot life is exceeded.

2 PRODUCTS

2.1 PIPE

Pipe shall conform to the respective specifications and other requirements specified below.

2.1.1 <TAI OPT=PLASTIC PIPE>2.1.2 Plastic Pipe

Polyvinyl chloride (PVC) composite sewer piping shall conform to ASTM D 2680. Size 8 inch through 15 inch diameter.

2.1.1.1 PVC Pipe

ASTM D 3034, Type PSM with a maximum SDR of 35, Size 15 inches or less in diameter. ASTM F 949 for corrugated sewer pipes with a smooth interior. UBPPA UNI-B-9 and ASTM F 794, Series 46, for ribbed sewer pipe with smooth interior, size 8 inch through 48 inch diameters. PVC shall be certified by the compounder as meeting the requirements of ASTM D 1784, cell Class 12454B. The pipe stiffness shall be greater than or equal to 735/D for cohesionless material pipe trench backfills.

2.1.2 </TAI><TAI OPT=DUCTILE IRON PIPE>2.1.5 Ductile Iron Pipe

Pipe shall conform to **AWWA C151** unless otherwise shown or specified.

2.2 </TAI>2.2 REQUIREMENTS FOR FITTINGS

Fittings shall be compatible with the pipe supplied and shall have a strength not less than that of the pipe. Fittings shall conform to the respective specifications and other requirements specified below.

2.2.1 <TAI OPT=PLASTIC PIPE>2.2.2 Fittings for Plastic Pipe

PVC composite sewer pipe fittings shall conform to **ASTM D 2680**.

2.2.1.1 Fittings for PVC Pipe

ASTM D 3034 for type PSM pipe. **ASTM F 949** for corrugated sewer pipe with a smooth interior. **UBPPA UNI-B-9** and **ASTM F 794**, Series 46, for ribbed sewer pipe with smooth interior.

2.2.2 </TAI><TAI OPT=DUCTILE IRON PIPE>2.2.5 Fittings for Ductile Iron Pipe

Mechanical fittings shall conform to **AWWA C110**, rated for 150 psi. Push-on fittings shall conform to **AWWA C110** and **AWWA C111**, rated for 150 psi.

2.3 </TAI>2.3 JOINTS

Joints installation shall comply with the manufacturer's instructions. Fittings and gaskets utilized for waste drains or industrial waste lines shall be certified by the manufacturer as oil resistant.

2.3.1 <TAI OPT=PLASTIC PIPE>2.3.2 Plastic Pipe Jointing

Flexible plastic pipe (PVC or high density polyethylene pipe) gasketed joints shall conform to **ASTM D 3212**.

2.3.2 </TAI><TAI OPT=DUCTILE IRON PIPE>2.3.5 Ductile Iron Pipe Jointing

Push-on joints shall conform to **AWWA C111**. Mechanical joints shall conform to **AWWA C111** as modified by **AWWA C151**. Flanged joints shall conform to **AWWA C115**.

2.4 </TAI>2.4 BRANCH CONNECTIONS

Branch connections shall be made by use of regular fittings or solvent cemented saddles as approved. Saddles for PVC composite pipe shall conform to Figure 2 of **ASTM D 2680**; saddles for PVC pipe shall conform to Table 4 of **ASTM D 3034**.

2.5 FRAMES AND COVERS

Frames and covers shall be cast iron, ductile iron or reinforced concrete. Cast iron frames and covers shall be as indicated or shall be of type suitable for the application, circular, without vent holes. The frames and covers shall have a combined weight of not less than 400 pounds. Reinforced concrete frames and covers shall be as indicated or shall conform to **ASTM C**

478 or ASTM C 478M. The word "Sewer" shall be stamped or cast into covers so that it is plainly visible.

2.6 STEEL LADDER

A steel ladder shall be provided where the depth of a manhole exceeds 12 feet. The ladder shall not be less than 16 inches in width, with 3/4 inch diameter rungs spaced 12 inches apart. The two stringers shall be a minimum 3/8 inch thick and 2 inches wide. Ladders and inserts shall be galvanized after fabrication in conformance with ASTM A 123/A 123M.

2.7 CEMENT MORTAR

Cement mortar shall conform to ASTM C 270, Type M with Type II cement.

2.7.1 Portland Cement

Portland cement shall conform to ASTM C 150, Type II or V for concrete used in concrete pipe, concrete pipe fittings, and manholes and type optional with the Contractor for cement used in concrete cradle, concrete encasement, and thrust blocking. Air-entraining admixture conforming to ASTM C 260 shall be used with Type V cement. Where aggregates are alkali reactive, as determined by Appendix XI of ASTM C 33, a cement containing less than 0.60 percent alkalies shall be used.

2.7.2 Portland Cement Concrete

Portland cement concrete shall conform to ASTM C 94, compressive strength of 4000 psi at 28 days, except for concrete cradle and encasement or concrete blocks for manholes. Concrete used for cradle and encasement shall have a compressive strength of 2500 psiminimum at 28 days. Concrete in place shall be protected from freezing and moisture loss for 7 days.

2.8 STRUCTURES

2.8.1 Precast Reinforced Concrete Manhole Sections

Precast reinforced concrete manhole sections shall conform to ASTM C 478, except that portland cement shall be as specified herein. Joints shall be cement mortar, an approved mastic, rubber gaskets, a combination of these types; or the use of external preformed rubber joint seals and extruded rolls of rubber with mastic adhesive on one side.

3 EXECUTION

3.1 INSTALLATION

3.1.1 Adjacent Facilities

3.1.1.1 Water Lines

Where the location of the sewer is not clearly defined by dimensions on the drawings, the sewer shall not be closer horizontally than 10 feet to a water-supply main or service line, except that where the bottom of the water pipe will be at least 12 inches above the top of the sewer pipe, the horizontal spacing may be a minimum of 6 feet. Where gravity-flow sewers cross above water lines, the sewer pipe for a distance of 10 feet on each

side of the crossing shall be fully encased in concrete or shall be acceptable pressure pipe with no joint closer horizontally than 3 feet to the crossing. The thickness of the concrete encasement including that at the pipe joints shall be not less than 4 inches.

3.1.1.2 Roads, Railroads, and Airfields

Water pipe shall be encased in a sleeve of rigid conduit for the lengths shown. Sleeves shall be in accordance with the criteria contained in [AREMA Manual](#), Part 5. Where sleeves are required, in all other cases, the pipe sleeve shall be as specified for storm drains in Section [02630 STORM-DRAINAGE SYSTEM](#). A minimum clearance of at least 2 inches between the inner wall of the sleeve and the maximum outside diameter of the sleeved pipe and joints shall be provided. Sand bedding shall be provided for the water pipe through the sleeve. Sleeves of ferrous material shall be provided with the corrosion protection as required for the conditions encountered at the site of installation.

3.1.1.3 Structural Foundations

Where sewer pipe is to be installed within 3 feet of an existing or proposed building or structural foundation such as a retaining wall, control tower footing, water tank footing, or any similar structure, the sewer pipe shall be sleeved as specified above. Contractor shall ensure there is no damage to these structures, and no settlement or movement of foundations or footing.

3.1.2 Pipe Laying

- a. Pipe shall be protected during handling against impact shocks and free fall; the pipe interior shall be free of extraneous material.
- b. Pipe laying shall proceed upgrade with the spigot ends of bell-and-spigot pipe and tongue ends of tongue-and-groove pipe pointing in the direction of the flow. Each pipe shall be laid accurately to the line and grade shown on the drawings. Pipe shall be laid and centered so that the sewer has a uniform invert. As the work progresses, the interior of the sewer shall be cleared of all superfluous materials.
- c. Before making pipe joints, all surfaces of the portions of the pipe to be joined shall be clean and dry. Lubricants, primers, and adhesives shall be used as recommended by the pipe manufacturer. The joints shall then be placed, fitted, joined, and adjusted to obtain the degree of water tightness required.
- d. ABS composite pipe ends with exposed truss and filler material shall be coated with solvent weld material before making the joint to prevent water or air passage at the joint between the inner and outer wall of the pipe.
- e. Installations of solvent weld joint pipe, using ABS or PVC pipe and fittings shall be in accordance with [ASTM F 402](#). The Contractor shall ensure adequate trench ventilation and protection for workers installing the pipe.

3.1.2.1 Caulked Joints

The packing material shall be well packed into the annular space to prevent the entrance of lead into the pipe. The remainder of the space shall be filled with molten lead that is hot enough to show a rapid change in color when stirred. Scum shall be removed before pouring. The lead shall be caulked to form a tight joint without overstraining the bell and shall have a minimum depth of 1 inch after caulking.

3.1.2.2 Trenches

Trenches shall be kept free of water and as dry as possible during bedding, laying, and jointing and for as long a period as required. When work is not in progress, open ends of pipe and fittings shall be satisfactorily closed so that no trench water or other material will enter the pipe or fittings.

3.1.2.3 Backfill

As soon as possible after the joint is made, sufficient backfill material shall be placed along the pipe to prevent pipe movement off line or grade. Plastic pipe shall be completely covered to prevent damage from ultraviolet light.

3.1.2.4 Width of Trench

If the maximum width of the trench at the top of the pipe, as specified in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS, is exceeded for any reason other than by direction, the Contractor shall install, at no additional cost to the Government, concrete cradling, pipe encasement, or other bedding required to support the added load of the backfill.

3.1.2.5 Jointing

Joints between different pipe materials shall be made as specified, using approved jointing materials.

3.1.2.6 Handling and Storage

Pipe, fittings and joint material shall be handled and stored in accordance with the manufacturer's recommendations. Storage facilities for plastic pipe, fittings, joint materials and solvents shall be classified and marked in accordance with NFPA 704, with classification as indicated in NFPA 49 and NFPA 325-1.

3.1.3 Leakage Tests

Lines shall be tested for leakage by low pressure air testing, infiltration tests or exfiltration tests, as appropriate. Low pressure air testing for vitrified clay pipes shall be as prescribed in ASTM C 828. Low pressure air testing for concrete pipes shall be as prescribed in ASTM C 828. Low pressure air testing for PVC pipe shall be as prescribed in UBPPA UNI-B-6. Low pressure air testing procedures for other pipe materials shall use the pressures and testing times prescribed in ASTM C 828 and ASTM C 924, after consultation with the pipe manufacturer. Prior to infiltration or exfiltration tests, the trench shall be backfilled up to at least the lower half of the pipe. If required, sufficient additional backfill shall be

placed to prevent pipe movement during testing, leaving the joints uncovered to permit inspection. Visible leaks encountered shall be corrected regardless of leakage test results. When the water table is 2 feet or more above the top of the pipe at the upper end of the pipeline section to be tested, infiltration shall be measured using a suitable weir or other device acceptable to the Contracting Officer. When the Contracting Officer determines that infiltration cannot be properly tested, an exfiltration test shall be made by filling the line to be tested with water so that a head of at least 2 feet is provided above both the water table and the top of the pipe at the upper end of the pipeline to be tested. The filled line shall be allowed to stand until the pipe has reached its maximum absorption, but not less than 4 hours. After absorption, the head shall be re-established. The amount of water required to maintain this water level during a 2-hour test period shall be measured. Leakage as measured by either the infiltration test or exfiltration test shall not exceed 25 gal per inch diameter per mile of pipeline per day. When leakage exceeds the maximum amount specified, satisfactory correction shall be made and retesting accomplished. Testing, correction, and retesting shall be made at no additional cost to the Government.

3.1.4 Test for Deflection

When flexible pipe is used, a deflection test shall be made on the entire length of the installed pipeline not less than 30 days after the completion of all work including the leakage test, backfill, and placement of any fill, grading, paving, concrete, or superimposed loads. Deflection shall be determined by use of a deflection device or by use of a spherical, spheroidal, or elliptical ball, a cylinder, or circular sections fused to a common shaft. The ball, cylinder, or circular sections shall have a diameter, or minor diameter as applicable, of 92.5 percent of the inside diameter of the pipe, but 95 percent for RPMP and RTRP. A tolerance of plus 0.5 percent will be permitted. The ball, cylinder, or circular sections shall be of a homogeneous material throughout, shall have a density greater than 1.0 as related to water at 39.2 degrees F, and shall have a surface brinell hardness of not less than 150. The device shall be center bored and through bolted with a 1/4 inch minimum diameter steel shaft having a yield strength of 70,000 psi or more, with eyes at each end for attaching pulling cables. The eye shall be suitably backed with flange or heavy washer; a pull exerted on the opposite end of the shaft shall produce compression throughout the remote end of the ball, cylinder or circular section. Circular sections shall be spaced so that the distance from the external faces of the front and back sections shall equal or exceed the diameter of the circular section. Failure of the ball, cylinder, or circular section to pass freely through a pipe run, either by being pulled through or by being flushed through with water, shall be cause for rejection of that run. When a deflection device is used for the test in lieu of the ball, cylinder, or circular sections described, such device shall be approved prior to use. The device shall be sensitive to 1.0 percent of the diameter of the pipe being measured and shall be accurate to 1.0 percent of the indicated dimension. Installed pipe showing deflections greater than 7.5 percent of the normal diameter of the pipe, or 5 percent for RTRP and RPMP, shall be retested by a run from the opposite direction. If the retest also fails, the suspect pipe shall be replaced at no cost to the Government.

3.2 CONCRETE CRADLE AND ENCASEMENT

The pipe shall be supported on a concrete cradle, or encased in concrete where indicated or directed.

3.3 INSTALLATION OF WYE BRANCHES

Wye branches shall be installed where sewer connections are indicated or where directed. Cutting into piping for connections shall not be done except in special approved cases. When the connecting pipe cannot be adequately supported on undisturbed earth or tamped backfill, the pipe shall be encased in concrete backfill or supported on a concrete cradle as directed. Concrete required because of conditions resulting from faulty construction methods or negligence by the Contractor shall be installed at no additional cost to the Government. The installation of wye branches in an existing sewer shall be made by a method which does not damage the integrity of the existing sewer. One acceptable method consists of removing one pipe section, breaking off the upper half of the bell of the next lower section and half of the running bell of wye section. After placing the new section, it shall be rotated so that the broken half of the bell will be at the bottom. The two joints shall then be made with joint packing and cement mortar.

3.4 MANHOLE DETAILS

3.4.1 General Requirements

Manholes shall be constructed of glass-fiber-reinforced polyester, prefabricated plastic, concrete, or precast concrete manhole sections. The invert channels shall be smooth and semicircular in shape conforming to the inside of the adjacent sewer section. Changes in direction of flow shall be made with a smooth curve of as large a radius as the size of the manhole will permit. Changes in size and grade of the channels shall be made gradually and evenly. The invert channels shall be formed directly in the concrete of the manhole base, or shall be built up with brick and mortar, or shall be half tile laid in concrete, or shall be constructed by laying full section sewer pipe through the manhole and breaking out the top half after the surrounding concrete has hardened. Pipe connections shall be made to manhole using water stops, standard O-ring joints, special manhole coupling, or shall be made in accordance with the manufacturer's recommendation. The Contractor's proposed method of connection, list of materials selected, and specials required, shall be approved prior to installation. The floor of the manhole outside the channels shall be smooth and shall slope toward the channels not less than 1 inch per foot nor more than 2 inches per foot. Free drop inside the manholes shall not exceed 18 inches, measured from the invert of the inlet pipe to the top of the floor of the manhole outside the channels; drop manholes shall be constructed whenever the free drop would otherwise be greater than 1 foot 6 inches.

3.4.2 Steel Ladder Anchorage

Ladder shall be adequately anchored to the wall by means of steel inserts spaced not more than 6 feet apart vertically, and shall be installed to provide at least 6 inches of space between the wall and the rungs. The wall along the line of the ladder shall be vertical for its entire length.

3.4.3 Jointing, Plastering and Sealing

Mortar joints shall be completely filled and shall be smooth and free from surplus mortar on the inside of the manhole. Mortar and mastic joints between precast rings shall be full-bedded in jointing compound and shall be smoothed to a uniform surface on both the interior and exterior of the manhole. Installation of rubber gasket joints between precast rings shall be in accordance with the recommendations of the manufacturer. Precast rings may also be sealed by the use of extruded rolls of rubber with mastic adhesive on one side.

3.4.4 Setting of Frames and Covers

Unless otherwise indicated, tops of frames and covers shall be set flush with finished grade in paved areas or 2 inches higher than finished grade in unpaved areas. Frame and cover assemblies shall be sealed to manhole sections using external preformed rubber joint seals that meet the requirements of [ASTM D 412](#) and [ASTM D 624](#), or other methods specified in paragraph Jointing, Plastering and Sealing, unless otherwise specified.

3.4.5 External Preformed Rubber Joint Seals

External preformed rubber joint seals and extruded rolls of rubber with mastic adhesive shall meet the requirements of [ASTM D 412](#) and [ASTM C 972](#) to ensure conformance with paragraph Leakage Tests. The seal shall be multi-section with neoprene rubber top section and all lower sections made of Ethylene Propylene Di Monomer (EPDM) rubber with a minimum thickness of 60 mils. Each unit shall consist of a top and a bottom section and shall have mastic on the bottom of the bottom section and mastic on the top and bottom of the top section. The mastic shall be non-hardening butyl rubber sealant and shall seal to the cone/top slab of the manhole/catch basin and over the lip of the casting. One unit shall seal a casting and up to six, 2 inch adjusting rings. The bottom section shall be 12 inches in height. A 6 inch high top section will cover up to two, 2 inch adjusting rings. A 12 inch high bottom section will cover up to six, 2 inch adjusting rings. Extension sections shall cover up to two more adjusting rings. Each extension shall overlap the bottom section by 2 inches and shall be overlapped by the top section by 2 inches.

3.5 CONNECTING TO EXISTING MANHOLES

Pipe connections to existing manholes shall be made so that finish work will conform as nearly as practicable to the applicable requirements specified for new manholes, including all necessary concrete work, cutting, and shaping. The connection shall be centered on the manhole. Holes for the new pipe shall be of sufficient diameter to allow packing cement mortar around the entire periphery of the pipe but no larger than 1.5 times the diameter of the pipe. Cutting the manhole shall be done in a manner that will cause the least damage to the walls.

3.6 BUILDING CONNECTIONS

Building connections shall include the lines to and connection with the building waste drainage piping at a point approximately 5 feet outside the building, unless otherwise indicated. Where building drain piping is not installed, the Contractor shall terminate the building connections

approximately 5 feet from the site of the building at a point and in a manner designated.

3.7 CLEANOUTS AND OTHER APPURTENANCES

Cleanouts and other appurtenances shall be installed where shown on the drawings or as directed by the Contracting Officer, and shall conform to the detail of the drawings.

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SECTION 02552A

PRE-ENGINEERED UNDERGROUND HEAT DISTRIBUTION SYSTEM

08/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic

designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 300 (2000) Inorganic Zinc Rich Primer

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36/A 36M (2000) Carbon Structural Steel
ASTM A 53/A 53M (1999b) Pipe, Steel, Black and Hot-Dipped,
Zinc-Coated, Welded and Seamless
ASTM A 106 (1999e1) Seamless Carbon Steel Pipe for
High-Temperature Service
ASTM A 134 (1996) Pipe, Steel, Electric-Fusion
(Arc)-Welded (Sizes NPS 16 and over)
ASTM A 135 (1997c) Electric-Resistance-Welded Steel
Pipe
ASTM A 139 (2000) Electric-Fusion (Arc)-Welded Steel
Pipe (NPS 4 and over)
ASTM A 167 (1999) Stainless and Heat-Resisting
Chromium-Nickel Steel Plate, Sheet, and
Strip
ASTM A 234/A 234M (2000) Piping Fittings of Wrought Carbon
Steel and Alloy Steel for Moderate and
High Temperature Service

ASME INTERNATIONAL (ASME)

ASME B16.9 (1993) Factory-Made Wrought Steel
Buttwelding Fittings
ASME B16.11 (1996) Forged Fittings, Socket-Welding and
Threaded
ASME B31.1 (1998) Power Piping
ASME B40.1 (1991) Gauges - Pressure Indicating
Dial Type - Elastic Element

1.2 DEFINITIONS

The following definitions shall apply to the work.

1.2.1 Pre-engineered System

A complete underground heat distribution system including all required components such as high temperature hot water supply pipe, high temperature hot water return pipe, fittings, anchors, pipe supports, insulation, and protective casing for the system supplied. The pre-engineered system does not include the majority of the piping within concrete trenches, valve manholes and the piping and equipment inside the valve manholes; Section 02570 VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES shall be used for pertinent requirements. The pre-engineered system shall include all piping and components to a point at least 6 inches inside the building and valve manhole walls or as otherwise indicated on the drawings (most pre-engineered system piping terminates outside the building mechanical rooms). The UHDS shall not use any part of the building or valve manhole structure as an anchor point.

1.2.2 Direct-Buried

A system which is buried, without the need for a field-fabricated protective enclosure such as a concrete trench or tunnel.

1.2.3 UHDS Types

1.2.3.1 Drainable-Dryable-Testable (DDT) Direct-Buried System

A factory-fabricated combination system including an air and water tight outer protective casing, interior insulation, interior protective conduit, air space and an insulated carrier pipe. Drains and vents are provided at the end plates of the system (in manholes or buildings). The drains are normally capped but the caps can be removed to drain water which may leak into the air space if there is a failure in the casing or the carrier pipe. The vents allow water vapor to escape and provide a tell-tale sign of leakage.

1.2.4 UHDS Manufacturer

The UHDS manufacturer is the company responsible for the design and manufacture of the pre-engineered system. The UHDS manufacturer directs the installation of the system and has a representative on the jobsite.

1.2.5 UHDS Manufacturer's Representative

The UHDS manufacturer's representative shall be a person who regularly performs the duties specified is certified in writing by the UHDS manufacturer to be technically qualified and experienced in the

installation of the system, and shall be authorized by the manufacturer to make and sign the daily reports specified. The UHDS manufacturer's representative shall be under the direct employ and supervision of the UHDS manufacturer.

1.3 WORK DESCRIPTION

1.3.1 Scope

The work shall include the design and fabrication; furnishing; installing, and testing of a direct buried underground insulated high temperature hot water supply pipe and insulated high temperature hot water return pipe consisting of piping as indicated, together with fittings and appurtenances necessary for a complete and operable system. Gland type end seals will not be permitted. DDT systems with fiberglass casings shall not be provided.

The direct-buried portion of the high temperature hot water distribution system shall be one of the following products or an approved equal:

Product	Manufacturer
Dual-Con	Insul-Tek Piping Systems, Inc.
Insul-800	Rovanco
Duo-Therm 505	Thermacor Process, Inc.

The insulation thickness, conduit sizes and casing sizes shall be as described below:

NOMINAL PIPE SIZE (Inch)	MINERAL WOOL THICKNESS (Inch)	CONDUIT O.D. (Inch)	POLYURETHANE FOAM THICKNESS (Inch)	HDPE JACKET O.D. (Inch)
¾	1	6.63	1	8.88
1	1	6.63	1	8.88
1.25	1	6.63	1	8.88
1.5	1	6.63	1	8.88
2	1.5	8.63	1	10.88
3	1.5	8.63	1	10.88
4	1.5	10.75	1	13.05
6	2	12.75	1	15.05
8	2	16	1	18.3
10	2	18	1	20.3
12	2.5	20	1	22.3

1.3.2 UHDS Design

The UHDS manufacturer shall be responsible for the complete design

of the UHDS, the product to be supplied, fabrication, witnessing installation and testing of the system within the design parameters established by the contract drawings and specifications, and in compliance with the detailed design. The complete design of the UHDS shall be sealed by a Professional Engineer in the employ of the UHDS manufacturer.

1.3.3 Contract drawings

The contract drawings accompanying this specification provide information on:

- a. The size of carrier pipes, approximate length, and site location of the system.
- b. The routing and elevation of the piping along the route.
- c. Location and design of manholes.
- d. The obstacles that must be avoided along the path.
- e. Location of piping anchors shall be no closer than 3 feet or further than 5 feet from entrance to manholes or buildings. The UHDS manufacturer shall incorporate anchors as needed for the system.
- f. Operating pressure and temperature of system.

1.4 SYSTEM REQUIREMENTS

1.4.1 Operating Characteristics

The high temperature hot water supply and return systems shall have an operating temperature of 380 degrees F and an operating pressure of 350 psig.

1.4.2 Rated Characteristics

Thermal expansion calculations shall be computed for the supply and return piping using the following design characteristics and installation temperature. The system design conditions for high temperature hot water supply and/or return shall be a temperature of 500 degrees F and a pressure of 665 psig. For calculation purposes, the installation temperature shall not be higher than the ambient

temperature at the site: 29 degrees F.

1.5 STANDARD PRODUCTS

The designed system and equipment provided for this project shall be of current production and shall essentially duplicate systems that have been in satisfactory use for at least 5 years prior to bid opening at 3 locations. The systems shall have been operated under pressure, temperature and site characteristics that are equal to or more severe than the operating conditions in this specification and shall have distributed the same medium. The system shall be supported by a service organization that can reach the site after a service call within 2 hours.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330
SUBMITTAL PROCEDURES:

Shop Drawings

Heat Distribution System.

A complete description of the design and assembly of the system, materials of construction and field installation instructions, not later than 21 days prior to the start of field measurements. Submittal shall also include sufficient system details to show that the specified minimum insulation thickness has been met. A detailed design layout of the system (plan and elevation views) showing size, type, elevations and location of each component to be used in the system, the design and location of anchors, pipe guides, pipe supports, expansion loops, Z-bends, L-bends, end seals, leak plates, joint locations, pipe and insulation thickness and sizes, types, and movements, connection to manhole and building wall penetrations, and including, if applicable, details of transition point to aboveground or other type systems. Also, if applicable, type and details of the cathodic protection system to be used. Detailed design layout drawings shall be stamped by a registered Professional Engineer.

Product Data

Pipe-Stress and System Expansion Calculations.

Pipe-stress and system-expansion calculations for each expansion compensation elbow using a finite element computer generated 3 dimensional analysis, not later than 28 days after notice to proceed. Calculations shall demonstrate that pipe stresses from temperature changes are within the allowable requirements in ASME B31.1 and that the anchors

and the guides will withstand the resultant forces. Detailed design layout drawings shall include all analysis node points. As a minimum, computer analysis results shall include node stresses, forces, moments and displacements. Calculations shall be stamped by a registered Professional Engineer in the employ of the UHDS manufacturer.

UHDS Manufacturer's Representative Reports.

A daily written report from the representative of the UHDS manufacturer, whenever the representative is required to be on the jobsite.

Welding.

Certification of Acceptability of all welds made in the field, upon completion of the project. This certification shall consist of a letter, signed by an official of the independent testing firm or firms examining welds, stating that all provisions of this specification have been complied with, and that all welds inspected radiographically have met the specified acceptability standards.

Operation and Maintenance Data

Heat Distribution System.

Operation and maintenance manual listing routine maintenance procedures, possible breakdowns and repairs, procedures for recording conduit temperatures biannually, and troubleshooting guides, before completion of work. Manual shall include as-built piping layout of the system including final elevations.

PART 2 PRODUCTS

2.1 FACTORY FABRICATED, DIRECT-BURIED DDT SYSTEMS

2.1.1 DDT High Temperature Hot Water Carrier Pipes

Requirements shall be in accordance with paragraph HEAT DISTRIBUTION PIPING.

2.1.2 DDT Carrier Pipe Insulation

Carrier pipe insulation shall be mineral wool in the thicknesses shown in paragraph 1.3.1.

2.1.3 Insulation Banding and Scrim

Stainless steel bands and clips, at least 1/2 inch wide, conforming to ASTM A 167 (304 stainless steel), at a maximum spacing of 18 inches shall be used over the scrim to secure the insulation onto the carrier

pipe; a minimum of 2 bands shall be used for each 4 foot section of insulation. Scrim shall be vinyl-coated fiberglass with 18 x 16 mesh (number of filaments per inch) and made of 0.013 inch diameter vinyl-coated fibrous glass yarn.

2.1.4 Conduit

Conduit shall be smooth-wall steel, electric resistance spiral welded, conforming to ASTM A 134, ASTM A 135, or ASTM A 139. Eccentric connectors shall be provided between conduit sections as needed to provide drainage of conduit section between manholes and between manholes and buildings. Conduit shall be a minimum of 12 gauge.

Conduit Diameter (in)	Minimum Thickness
6 - 26	10 ga.
28 - 36	6 ga.
38 - 42	4 ga.

2.1.5 Conduit End Plates, Vents, and Drains

End plates shall be made of ASTM A 36/A 36M steel, minimum thickness 1/2 inch for conduit pipe sizes above 12 inches and 0.375 inches for conduit pipe sizes 12 inches and less. A 1 inch ASTM A 53/A 53M, Sch 40, galvanized vent riser pipe shall be provided on end plate vent opening. Vent pipe shall extend to top of manhole and terminate 3 inches below grating or concrete trench cover as shown on drawings with a 180 degree bend. A 1 inch drain shall be provided at the bottom and vent at the top. Brass plugs and half coupling, constructed with welded steel and welded to the end plate, shall be furnished; drains shall be plugged; vents shall not be plugged.

2.1.6 Air Space

Continuous 1 inch nominal air space shall be provided between carrier pipe insulation and conduit.

2.1.7 Outer Casing

The outer casing shall be high density polythelene (HDPE) with a minimum thickness of 150 mils. The space between the casing and the inner conduit shall be filled with polyurethane or polyisocyanurate insulation with a nominal thickness of 1 inch.

HDPE jacket shall conform to ASTM D1248, Type III, Category 5, Class C, Grade P34. The jacket shall be a seamless, extruded product. Field joint closures for the HDPE casing shall be pressure testable. Polyurethane insulation shall have a minimum density of 2.2 to 3.0 pounds per cubic foot, shall be 90% to 95% closed cell content per ASTM D2856 and shall have a K factor of 0.14 per ASTM C518.

High-temperature polyisocyanurate shall have a minimum density of 2.0 pounds per cubic foot, closed cell content of 90% per ASTM D2856 and a K factor of 0.13 at 75 degrees F mean temperature.

2.1.1.8 Coating of End Plates and Conduit Extending into Manholes

End plates and conduit extending into manholes shall be coated with a zinc-rich coating conforming to AASHTO M 300 Type IA, except that volatile organic compounds shall not exceed 2.8 pounds/gallon. The zinc-rich coating shall be applied in accordance with the coating manufacturer's requirements including surface preparation. No additional top coat shall be applied.

2.1.1.9 Carrier Pipe Guides

Carrier pipe guides shall be spaced 10 feet on centers maximum, no more than 5 feet from pipe ends, with a minimum of 3 guides per elbow section. Guides shall be designed to allow thermal expansion without damage, to provide proper pipe guiding, and to allow horizontal movement in 2 directions as required at expansion loops and bends. Design of supports shall permit flow of water through the support. Pipe insulation shall extend through the pipe guides and be protected by steel sleeves. Design of guides shall negate metal-to-metal contact between the casing and the carrier pipe. Insulation or non-metallic material used to ensure no metal-to-metal contact shall not be compressed by the weight of the carrier pipe when full of water.

2.1.1.10 Anchor Plates

Anchor plate shall be ASTM A 36/A 36M steel, welded to carrier pipe and casing, 1/2 inch minimum thickness, with passages for air flow and water drainage thru the annular air space in the system. Exterior surface of the anchor plate shall be coated with the same coating material as the casing.

2.1.1.11 Field Connection of Conduit Sections

Field connection of conduit shall be made using a compatible steel section, welded to conduit sections.

2.1.1.12 Manufacturer's Identification

Embossed brass or stainless steel tag, hung by brass or stainless steel chain at each end of each conduit or insulated piping in the manholes and buildings, shall be provided. The tag shall identify UHDS manufacturer's name, date of installation, Government contract, and manufacturer's project number.

2.2 PIPE INSULATION TYPE AND MINIMUM THICKNESS

Materials containing asbestos will not be permitted. See table in paragraph 1.3.1.

Mineral wool insulation shall be manufactured to pass the 96-hour boiling water test.

2.3 HEAT DISTRIBUTION PIPING

2.3.1 High Temperature Hot Water Pipe

Steel pipe 2 inches in diameter and larger shall be seamless or electric-resistance welded conforming to ASTM A 53, Grade B, Type E or S; or to ASTM A106, Grade B. Steel pipe 1-1/2 inches in diameter and smaller shall be seamless conforming to ASTM A 106, Grade B. All pipe shall be standard weight. ASTM A 53/A 53M, Type F furnace butt welded pipe will not be allowed. Joints will not be allowed in the factory fabricated straight section of the carrier pipe. Factory fabricated piping sections, as part of an expansion loop or bend, shall have all welded joints 100% radiographically inspected in accordance with ASME B31.1. Radiographs shall be reviewed and interpreted by a Certified American Society for Nondestructive Testing (ASNT) Level III radiographer, employed by the testing firm, who shall sign the reading report.

2.3.1.1 Joints

Joints shall be butt-weld except socket-weld joints will be permitted for pipe sizes 2 inches and smaller. Location and elevation of all field joints shall be indicated on detailed design layout drawings. Split-ring welding rings may be used.

2.3.2 Fittings

Welds in factory fittings shall be radiographically inspected. Radiographs shall be reviewed and interpreted by a Certified ASNT Level III radiographer, employed by the testing firm, who shall sign the reading report. The Contracting Officer may review all inspection records, and if any welds inspected are found unacceptable in accordance with ASME B31.1, the fitting shall be removed, replaced, and radiographically reexamined at no cost to the Government.

2.3.2.1 Butt-Welded

Fittings shall be steel; ASTM A 234/A 234M, Grade B or ASME B16.9, same schedule as adjoining pipe. Elbows shall be long radius unless otherwise indicated. Tees shall be full size or reducing as required, having interior surfaces smoothly contoured. Split-ring welding rings may be used.

2.3.2.2 Socket-Welded

Fittings shall be forged steel ASME B16.11; 2000 pound class shall be used for pipe sizes 2 inch and below.

2.4 EXPANSION LOOPS AND BENDS

Stresses shall be less than the maximum allowable stress from the Power Piping Code (ASME B31.1). Detailed design layout drawings and stress and anchor force calculations shall be provided for all loops and bends. Locations of all anchors, guides and supports shall be shown. The calculations shall be based on design characteristics (pressures and temperatures) specified for both the supply and return lines.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

3.1.1 Job Conditions

Phasing of demolition and construction shall be as shown on contract drawings.

3.1.2 Interruption of Existing Service

The Contractor shall arrange, phase and perform work and provide temporary facilities, materials, equipment, and connections to utilities, to ensure adequate heat distribution service for existing installations at all times. Only necessary interruptions required for making connections will be permitted, and only at times when approval is obtained from the Contracting Officer. All interruptions shall be as approved by the Contracting Officer.

3.1.3 Grading

Unless otherwise shown on the contract drawings or the detailed design layout drawings, high temperature hot water supply/return lines shall be graded uniformly downward not less than 5.0 inches in 100 feet to the lower point of entry between manholes and/or building entries.

3.1.4 Connecting to Existing Work

New work shall be connected to existing work in a neat and workmanlike manner. Where an existing structure must be cut or existing utilities interfere, such obstructions shall be bypassed, removed, replaced or relocated, restored and repaired. Any changes required to the UHDS design as a result of interferences or conflicts shall be approved by the UHDS designer and the Contracting Officer. Work disturbed or damaged shall be replaced to its prior condition.

3.1.5 Coordination

The location of all items of equipment and work of all trades shall be coordinated. Operability and maintainability of the equipment and systems shall be maintained.

3.1.6 Variations

Any variations from the approved, detailed design layout drawings shall be submitted to the Contracting Officer for approval. Variations shall be signed and sealed by the UHDS manufacturers' professional engineer responsible for the complete design of the UHDS.

3.1.7 Storage and Handling During Installation

Equipment and material placed on the job shall remain in the custody of the Contractor until final acceptance whether or not the Contractor has been reimbursed for the equipment and material by the Government. The Contractor shall be solely responsible for the protection of the equipment and material against damage from any source while stored or during installation. Materials shall be protected against damage from UV light, and entry of water and mud, by installing watertight protection on open ends at all times. Sections of the casing or carrier piping found to have been subjected to full or partial submergence in water (which would allow the insulation to become wet) shall be immediately replaced. Materials awaiting installation shall be covered to protect from UV degradation.

3.2 DEMOLITION

3.2.1 Demolition Procedures

Work shall be performed in accordance with requirements for phasing. Pipe, valves, fittings, insulation, and hangers, including the connection to the structure and any fastenings, shall be removed. Openings in manhole or building walls shall be sealed after removal of piping. Material and equipment removed shall become the property of the Contractor and shall be removed from Government property within 1 week and shall not be stored in operating areas. Flame cutting shall be performed with adequate fire protection facilities available as required by safety codes and Contracting Officer.

3.2.2 Asbestos Removal

This project may involve the removal and handling of asbestos containing materials. The Contractor shall immediately notify the Contracting Officer if suspected asbestos containing materials are encountered. The actual handling of those materials shall be performed only by a licensed asbestos abatement contractor.

3.3 PIPE, PIPING JOINTS AND FITTINGS

3.3.1 Joint Preparation

Pipe and fittings shall be cleaned inside and outside before and after assembly. Dirt, scale, and other foreign matter shall be removed from inside the piping by use of a pipe swab or pipe pig before connecting pipe sections, valves, equipment or fittings. Eccentric connectors shall be used as needed between casing sections to provide drainage of casing section between manholes and between manholes and buildings.

3.3.2 Direction Changes

Changes in direction shall be made with factory-built reinforced fittings. Field-fabricated fittings and miters will not be permitted.

3.4 FIELD JOINTS AND CLOSURES

Field joints and closures shall be made using the manufacturer's published materials and methods.

a. After hydrostatic testing of carrier pipe, insulate carrier piping with same material and thickness as factory fabricated sections.

b. Close and pressure test conduit as specified.

c. After testing conduit is complete, install and test casing field joint cover as specified.

d. After completion of test, insulate joint using same material as factory sections.

3.5 WELDING

Provide all pipe welding and testing of welds as specified in Section 05093, WELDING PRESSURE PIPING.

3.6 HEAT DISTRIBUTION SYSTEM INSTALLATION

The UHDS manufacturer's representative shall oversee the delivery, storage, installation and testing of the system. Work shall be in accordance with the requirements specified and with the printed instructions of the manufacturer. These specifications shall take precedence over the printed instructions if conflicts arise. Printed instructions shall be submitted to the Contracting Officer prior to system installation.

3.6.1 Verification of Final Elevations

Prior to covering the top of the casing with backfill material, but after all temporary supports have been removed and initial backfilling of the conduit system has been accomplished, the Contractor shall measure and record the elevation of the top of the casing in the trench. Elevations shall be taken at every completed field joint, 1/3 points along each pipe section and top of elbows. These measurements shall be checked against the contract drawings and shall confirm that the conduit system has been installed to the elevations shown on the

contract drawings. Slope shall be uniform to within 0.1%. These measurements shall be recorded by the Contractor, included in the UHDS manufacturer's representative daily report, and given to the Contracting Officer prior to covering the casing with backfill material.

3.6.2 Excavation, Trenching, and Backfilling

Excavation, trenching, and backfilling shall be performed as required by the UHDS manufacturer's design and as specified in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.6.3 UHDS Manufacturer's Representative Responsibilities

The UHDS Manufacturer's representative shall be present at the job site and witness when the following types of work are being performed:

- a. Inspection and unloading.
- b. Inspection of trench prior to commencing installation of system.
- c. Inspection of concrete anchors and thrust blocks.
- d. Pneumatic and Hydrostatic testing.
- e. Field joint closure work.
- f. Air test of casing and conduit.
- g. Initial backfill up to 10 inches above the top of the casing.
- h. Verification of final elevations. Elevation readings shall be witnessed and recorded.
- i. Operational tests.

The UHDS manufacturer's representative shall notify the Contractor immediately of any problems. The UHDS manufacturer's representative shall notify the Contracting Officer of problems requiring immediate action; otherwise, the daily reports shall note any problems encountered and indicate the corrective actions taken.

3.6.4 UHDS Manufacturer's Representative Reports

The UHDS manufacturer's representative shall: prepare and sign a written daily report; present the original daily report to the Contracting Officer no later than one working day after it is prepared; and forward 1 copy to the manufacturer's main office. The report shall state whether or not the condition and quality of the materials used and the delivery, storage, installation and testing of the system are in accordance with the drawings, specifications, and manufacturer's printed instructions and are satisfactory in all respects. When any work connected with the installation is unsatisfactory, the report shall state what corrective action has

been taken or shall contain the UHDS manufacturer's recommendations for corrective action. The report shall identify any condition that could result in an unsatisfactory installation, including such items as open conduit ends left in the trench overnight and improper manhole entries. The daily reports shall be reviewed, signed and sealed, on a weekly basis, by the registered engineer responsible for the system design. Signed and sealed copies of the daily reports shall be submitted with the payment request. Requests for payment will be denied if the weekly review is not accomplished. Upon completion of the work and before final acceptance, a notarized Certificate of Compliance, signed by a principal officer of both the manufacturing and the contracting firms, stating that the installation is satisfactory and in accordance with drawings, specifications, and manufacturer's instructions shall be delivered to the Contracting Officer. The UHDS manufacturer shall retain a copy of all daily reports and the Certificate of Compliance for 5 years after final acceptance of the system by the Government.

3.6.5 Protection

Casing shall be protected from damage during unloading, storage, rigging and installation. Casing, conduit and carrier pipe ends shall be protected from water intrusion during unloading, storage, rigging and installation. Piping and accessories shall be protected from damage due to exposure to UV light.

3.6.6 Defective Material

The UHDS manufacturer's representative shall take prompt action to remove from the site all damaged or defective material, subject to rejection in accordance with the quality assurance provisions included in the manufacturer's submittals and printed instructions, and shall order prompt replacement of such material.

3.7 TESTS

Leak-tightness of all piping systems shall be demonstrated by performing pressure tests (hydrostatic, pneumatic). Heat distribution system shall be pressure tested in conformance with specified requirements and printed instructions for the system supplied; tests shall include carrier piping, conduit and casing. The carrier pipe shall be hydrostatically tested. Conduits and casing shall be pneumatically tested.

3.7.1 Pneumatic, Hydrostatic and Operational Tests

Before conducting heat distribution system tests, lines shall be flushed with high pressure water until discharge shows no foreign matter.

3.7.1.1 Pneumatic Test

The conduit of DDT systems shall be pneumatically tested after welding and before field coating using air as the test medium. The test pressure shall be 15 psig. Persons not working on the test operations shall be kept out of the testing area while testing is proceeding. The test shall be made on the system as a whole or on sections that can be isolated. Joints in sections shall be tested prior to backfilling when trenches must be backfilled before the completion of other pipeline sections. The test shall continue for 24 hours from the time of the initial readings to the final readings of pressure and temperature. The initial test readings of the instrument shall not be made for at least 1 hour after the casing has been subjected to the full test pressure, and neither the initial nor final readings shall be made at times of rapid changes in atmospheric conditions. There shall be no indication of reduction of pressure during the test after corrections have been made for changes in atmospheric conditions in conformity with the relationship $T(1)P(2) = T(2)P(1)$, in which T and P denote absolute temperature and pressure, respectively, and the numbers denote initial (1) and final (2) readings. Pressure shall be measured with a pressure gauge conforming to ASME B40.1. A throttling type needle valve or a pulsation dampener and shutoff valve may be included. The diameter of the face shall be at least 4.5 inches with a measurable range of 0 to 15 psig and graduations of at least 0.5 psig. During the test, the entire system shall be completely isolated from all compressors and other sources of air pressure. Each joint shall be tested while under test pressure by means of soap and water or an equivalent nonflammable solution prior to backfilling or concealing any work. All labor, materials and equipment for conducting the tests shall be furnished by the Contractor and shall be subject to inspection at all times during the tests. The Contractor shall maintain proper safety precautions for air pressure testing at all times during the tests.

Test each field closure joint of the outer casing to 5 psig for 5 minutes while soap testing all joints.

3.7.1.2 Hydrostatic Test

Carrier piping shall be tested hydrostatically before insulation is applied at field joints and shall be proved tight at a pressure 1.5 times the heat distribution supply pressure of 350 psig for 2 hours. There shall be no indication of reduction of pressure during the test. Pressure shall be measured with a device calibrated to be read in increments not greater than 1.0 psi.

3.7.2 Deficiencies

Deficiencies discovered shall be corrected at the Contractor's expense. Major deficiencies, or failure to correct deficiencies, may be considered cause for rejecting the entire installation.

3.8 VALVE MANHOLES

Valve manholes, piping, and equipment in valve manholes and concrete trenches shall be in accordance with the contract drawings and Section 02570 VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES.

3.9 BURIED UTILITY WARNING AND IDENTIFICATION

SECTION 02553

HEAT DISTRIBUTION SYSTEMS IN CONCRETE TRENCHES

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designations only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 47	(1990; R 1995) Ferritic Malleable Iron Castings
ASTM A 53	(1997) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 106	(1997a) Seamless Carbon Steel Pipe for High-Temperature Service
ASTM A 123/A 123M	(1997a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 234/A 234M	(1997) Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service
ASTM A 733	(1993) Welded and Seamless Carbon Steel and Austenitic Stainless Steel Pipe Nipples
ASTM B 209	(1996) Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B 209M	(1995) Aluminum and Aluminum-Alloy Sheet and Plate (Metric)
ASTM C 533	(1995) Calcium Silicate Block and Pipe Thermal Insulation
ASTM C 547	(1995) Mineral Fiber Pipe Insulation
ASTM C 552	(1991) Cellular Glass Thermal Insulation
ASTM C 920	(1995) Elastomeric Joint Sealants
ASTM D 1056	(1991) Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM F 1139	(1988; R 1993) Standard Specification for Steam Traps and Drains

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1	(1983; R 1992) Pipe Threads, General Purpose (Inch)
ASME B16.3	(1992) Malleable Iron Threaded Fittings
ASME B16.5	(1996) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B16.11	(1996) Forged Fittings, Socket-Welding and Threaded
ASME B16.34	(1996) Valves - Flanged, Threaded, and Welding End
ASME B16.39	(1986; R 1994) Malleable Iron Threaded Pipe Unions Classes 150, 250, and 300
ASME B31.1	(1995; B31.1a; B31.1b; B31.1c) Power Piping
ASME B40.1	(1991) Gauges - Pressure Indicating Dial Type - Elastic Element

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(1998) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-45	(1992) By-pass and Drain Connections
MSS SP-58	(1993) Pipe Hangers and Supports - Materials, Design and Manufacture
MSS SP-69	(1996) Pipe Hangers and Supports - Selection and Application
MSS SP-80	(1997) Bronze Gate, Globe, Angle and Check Valves

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(1996; Errata 96-4) National Electrical Code
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STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC SP 10/NACE 2	(1994) Near-White Blast Cleaning
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1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Drawings

Heat Distribution System.

Detail drawings for valves, sump pumps, pressure gauges, thermometers and insulation, including a complete list of equipment and material, including manufacturer's descriptive and technical literature, performance charts and curves, catalog cuts, and installation instructions. Drawings shall contain complete wiring and schematic diagrams, pipe stress calculations for any revised expansion loops, and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Drawings shall show proposed system layout, provisions for expansion, pipe anchors and guides, and layout and anchorage of equipment and appurtenances in valve manholes, and equipment relationship to other parts of the work including clearances for maintenance and operation.

Reports

Tests.

Performance test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall indicate the final position of controls and valves.

1.3 DELIVERY AND STORAGE

All materials and equipment delivered and placed in storage shall be stored with protection from the weather; excessive humidity and excessive temperature variation; and dirt, dust, or other contaminants.

1.4 FIELD MEASUREMENTS

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

1.5 OPERATION AND MAINTENANCE MANUALS

Six copies of operation and six copies of maintenance manuals for the equipment furnished shall be provided. One complete set prior to performance testing, and the remainder upon acceptance. Operation manuals shall detail the step-by-step procedures required for system startup, operation, and shutdown. Operation manuals shall include the manufacturer's name, model number, parts list, and brief description of all equipment and their basic operating features. Maintenance manuals shall list routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guides. Maintenance manuals shall include piping and equipment layout and simplified wiring and control diagrams indicating location of electrical components with terminals designated for wiring, as installed. Operation and maintenance manuals shall be approved prior to performance testing.

1.6 WELDING

Provide welding and testing of welds as specified in Section 05093 WELDING PRESSURE PIPING.

2 PRODUCTS

2.1 GENERAL MATERIALS AND EQUIPMENT REQUIREMENTS

2.1.1 Standard Products

Materials and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of such products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Equipment shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

2.1.2 Nameplates

Each major item of equipment such as sump pumps, motors, steam traps, and pressure reducing valves shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment.

2.1.3 Asbestos Prohibition

Asbestos and asbestos-containing products shall not be used.

2.1.4 Electrical Work

Motors, manual or automatic motor control equipment, and protective or signal devices required for the operation specified shall be provided under this section in accordance with [NFPA 70](#) and Section [16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND](#).

2.2 PIPING AND FITTINGS

Unless otherwise specified, all pipe, fittings, valves, and piping accessories shall conform to the requirements of [ASME B31.1](#), and shall be the proper type, class, and grade for pressure and temperature of the heating medium.

2.2.1 Steel Pipe

Steel pipe 2 inches in diameter and larger shall be seamless or electric-resistance welded conforming to [ASTM A 53](#), Grade B, Type E or S; or to [ASTM A 106](#), Grade B. Steel pipe 1-1/2 inches in diameter and smaller shall be seamless conforming to [ASTM A 106](#), Grade B. All other pipe shall be standard weight.

2.2.1.1 Nipples

Nipples shall conform to [ASTM A 733](#), standard weight or extra-heavy weight, as required to match adjacent piping.

2.2.1.2 Steel Flanges

Steel flanges shall conform to [ASME B16.5](#) Class 300 and shall match valves or flanged fittings on which used. Flanges shall have the manufacturer's trademark affixed in accordance with [MSS SP-25](#) so as to permanently identify the manufacturer.

2.2.1.3 Pipe Threads

Pipe threads shall conform to [ASME B1.20.1](#). Pipe threads may be used only on pipe 3/4 inch or smaller.

2.2.2 Fittings

Fittings shall have the manufacturer's trademark affixed in accordance with [MSS SP-25](#) so as to permanently identify the manufacturer.

2.2.2.1 Welded Fittings

Welded fittings shall conform to [ASTM A 234/A 234M](#), buttwelded or socket welded, standard weight or extra strong, as required to match connecting piping. Buttwelded fittings shall conform to [ASME B16.9](#), and socket welded fittings shall conform to [ASME B16.11](#).

2.2.2.2 Malleable Iron Fittings

Fittings shall conform to [ASME B16.3](#), [ASTM A 47](#), class as required to match connecting piping.

2.2.2.3 Unions

Unions shall conform to [ASME B16.39](#), standard weight or extra heavy, as required to match adjacent piping.

2.3 VALVES

Unless otherwise specified, valves shall comply with the material, fabrication, and operating requirements of [ASME B31.1](#). Valves shall be suitable for the temperature and pressure requirements of the system on which used (380 degrees F., 350 psig). Valves for hot water shall conform to [ASME B31.1](#) Class 300, as suitable for the application. Valves shall have the manufacturer's trademark.

2.3.1 Steel Valves

All valves in the high temperature hot water (HTHW) distribution system shall be butterfly and ball valves. All valves 3 inches and larger shall be butterfly valves.

2.3.1.1 Butterfly Valves

Butterfly valves shall be high performance butterfly valves with a double off-set (centerline of the shaft off-set from the centerline of the seat, and the centerline of the disc off-set from the centerline of the shaft) design. The valves shall be Series 830L "wafer-sphove" as manufactured by Jamesbury or approved equal. The valves shall be 300 pound class rated in accordance with [ANSI B16.34](#). Valves shall have a lug-type body and shall be suitable for end of line, or dead end, service from both directions. Valves shall provide bubble tight shutoff in both directions. Valve seats shall be replaceable in the field. Valves shall be designed, manufactured, and tested in accordance with [MSS SP-68](#).

Materials of construction shall be carbon steel body, stainless steel disc and stem with modified TFE seats. Valves shall be furnished with lever operators. Each valve supplied shall be provided with studs, nuts, and gaskets required for installation. Studs shall be alloy steel, [ASTM A 193](#), Grade B7, of sufficient length for use with [ANSI Class 300](#), weldneck raised face flanges, nuts, and gaskets described below. Nuts shall be semifinished heavy hex nuts, [ASTM A 194](#), Grade 2H. Gaskets shall be suitable for use with Class 300 flanges, "Flexitallic" Style CG, spiral wound, Type 304 stainless steel with flexite, super filler and carbon steel centering ring, or approved equal.

2.3.1.2 Ball Valves

All valves 2 inches and smaller shall be ball valves. Valves that are shown as 2-1/2 inches in the plans shall be 3 inch butterfly valves. Ball valves shall be standard port, one piece ball valves (full length). Valves shall have raised face flanges. Valves shall be Series 5000 and 7000 as manufactured by Jamesbury or approved equal. The valves shall be [ANSI Class 300](#). Valves shall be suitable for end of line, or dead end, service from both directions. Valves shall provide bubble tight shutoff in both directions. Valve seats shall be replaceable in the field. Valves shall be designed, manufactured, and tested in accordance with [MSS SP-61](#) and [MSS SP-72](#).

Materials of construction shall be the manufacturer's standard materials suitable for the intended service conditions. Valves shall be filled TFE soft seated. The seat material and construction shall be suitable for the pressure and temperature of the intended service. The seat shall be retained so that the requirements as stated above are met. Valve bodies shall be carbon steel, [ASTM A 216](#), Type WCB. Valve stem and ball shall be Type 316 stainless steel. Stem washer, stem nut, handle nut, handle, lock washer, compression ring, and stop screw spacer shall be stainless steel.

Each valve supplied shall be provided with studs, nuts, and gaskets required for installation. Studs shall be alloy steel, [ASTM A 193](#), Grade B7, of sufficient length for use with ANSI Class 300 weldneck raised face flanges, nuts, and gaskets described herein. Nuts shall be semifinished heavy hex nuts, [ASTM A 194](#), Grade 2H. Gaskets shall be suitable for use with Class 300 flanges. "Flexitallic" Style CG, spiral wound, Type 304 stainless steel with flexite, super filler and carbon steel centering ring.

2.4 INSULATION AND JACKETING

2.4.1 Insulation for Piping in Concrete Trenches and Valve Manholes

Insulation for all piping, fittings, and valves shall be molded mineral fiber insulation conforming to [ASTM C 547](#), Class 2, asbestos free, which has been approved by Federal Agency Committee on Underground Heat Distribution Systems as having passed the required boiling test. Insulation shall be factory or field applied. Laminated construction shall not be used. Insulation on piping in concrete trenches shall be covered with aluminum or nonmetallic jacket and insulation in valve manholes shall be covered with an aluminum jacket. Insulations passing the Federal Agency Committee's boiling test are as follows:

- a. Epitherm 1200, Eagle Picher.

- b. Paroc UHDS, Partek Insulations, Limited.
- c. Delta, Rock Wool Manufacturing Company.

2.4.2 Aluminum Jacket

Jacket shall be smooth sheet, 0.016 inch nominal thickness; [ASTM B 209](#), Type 3003, 3105, or 5005.

2.4.3 Bands

Bands for aluminum jacket shall be 3/8 inch wide and 32 gauge thickness made of aluminum or annealed stainless steel. Bands for insulation shall be 1/2 inch wide and 32 gauge thickness made of annealed stainless steel.

2.4.4 Insulation for Flanges, Unions, Valves, and Fittings

Flanges, unions, valves, and fittings shall be insulated with premolded prefabricated, or field fabricated segments of insulation. Insulation shall be removable and reusable and shall have essentially the same thermal characteristics and thickness as the adjoining piping.

2.5 SUMP PUMPS

See Section [02570](#), "VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES".

2.6 CONCRETE WORK

2.6.1 Concrete

Concrete shall be as specified in Section [03300](#) CAST-IN-PLACE STRUCTURAL CONCRETE.

2.6.2 Concrete Joint Sealants

Concrete joint sealants shall conform to [ASTM C 920](#), Type M (multicomponent), Class 25, grade NS (nonsag) for vertical surfaces or grade P (pourable selfleveling).

2.6.3 Gasket Material

Gasket material used between concrete trench covers and trench wall tops shall be 1/4 inch thick neoprene pad with a minimum width of 2 inches conforming to [ASTM D 1056](#).

2.6.4 Concrete Expansion Joints, Contraction Joints, and Waterstops

Concrete expansion joints, contraction joints, and waterstops shall be as specified in Section [03150](#) EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS.

2.7 BITUMINOUS PAVING

Bituminous course and tack coat used at street crossings shall be as specified in Section [02741](#) BITUMINOUS PAVING FOR ROADS, STREETS AND OPEN STORAGE AREAS, and Section [02748](#) BITUMINOUS TACK AND PRIME COATS.

2.8 MISCELLANEOUS METAL

Miscellaneous metal not otherwise specified shall conform to Section 05500 MISCELLANEOUS METAL. Miscellaneous metal bolted together, shop welded, or assembled in the field, and pipe supports including structural cross support members and anchors shall be hot-dip galvanized in accordance with Section 05500 MISCELLANEOUS METAL.

2.9 INSPECTION PORTS AND ACCESS COVERS

Inspection ports and access covers in concrete tops shall be standard cast iron frame and cover. Inspection ports shall be 12 inch nominal diameter and access covers shall be 24 inch nominal diameter unless otherwise indicated.

3 EXECUTION

3.1 SITEWORK

3.1.1 Excavation, Trenching, and Backfilling

Excavation, trenching, and backfilling of concrete trench systems, valve manholes, and relocation of interferences and modifications to existing facilities shall be as shown and in accordance with Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.1.2 Removal, Replacement, or Relocation of Interferences

Interferences indicated or found during construction shall be removed, replaced, or relocated. Removal, replacement, or relocation shall be as shown, or as approved by the Contracting Officer. Examples of interferences include:

- a. Storm and sanitary sewers and manholes.
- b. Water lines, gas lines, fire hydrants, and lawn sprinkler systems.
- c. Power and communication lines, conduits, poles, and guys.
- d. Fences, sidewalks, and signs.
- e. Grass, shrubs, trees, and rocks.

3.1.3 Modifications to Existing Facilities

Modifications to existing facilities shall be made as shown. Examples of modifications include:

- a. Removal and replacement of street or parking area pavements.
- b. Removal and replacement of curbs, gutters, and sidewalks.
- c. Reconstruction of existing valve manholes.
- d. New heat distribution piping entrances to buildings, valve manholes, or trenches.

3.1.4 Electric Work

Any wiring required for the operation of the equipment specified, but not shown on the electrical drawings, shall be provided under this section in accordance with Section 16370 ELECTRICAL DISTRIBUTION SYSTEM, AERIAL, and Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.

3.1.5 Painting

The heat affected zone of field welded galvanized surfaces and other galvanized surfaces damaged during installation shall be cleaned in compliance with SSPC SP 10/NACE 2 and painted in accordance with Section 09900 PAINTING, GENERAL.

3.2 PIPING

3.2.1 General Piping Requirements

Pipe shall be accurately cut to measurements established at the site and shall be worked into place without springing or forcing. Pipe shall clear all openings and equipment. Excessive cutting or other weakening of structural members to facilitate piping installation will not be permitted. Burrs shall be removed from ends of pipe by reaming. Installation shall permit free expansion and contraction without damage to joints or hangers. Piping shall be installed in accordance with ASME B31.1. Joints for piping in valve manholes and concrete trenches shall be welded, except joints at valves 3/4 inch and smaller in steam, condensate, and drip lines, which may use unions or may be threaded. Supports, anchors, or stays shall not be attached where either expansion or the weight of the pipe will cause damage to permanent construction. Noninsulated ferrous parts of the piping, piping support system, or equipment shall be hot-dip galvanized after fabrication in conformance with ASTM A 123/A 123M.

a. Expansion of piping shall be provided for by changes in the direction of the run of pipe or by expansion loops as shown.

b. Changes in direction may be made by bending the pipe, provided that a hydraulic pipe bender is used. Pipe to be bent shall be steel conforming to ASTM A 53 or ASTM A 106 type and grade for bending, and class required to match adjoining pipe. Bent pipe showing kinks, wrinkles, or malformations will not be acceptable.

c. All piping, unless otherwise indicated, shall be pitched with a grade of not less than 1 inch in 20 feet toward drain points. The slope shall be maintained throughout the system, including through each leg of each expansion loop.

d. Open ends of pipe lines and equipment shall be properly capped or plugged during installation to keep dirt and other foreign matter out of the system.

3.2.2 Welded Joints

Joints between sections of pipe and between pipe and fittings shall be welded. The welding shall conform to the requirements specified in

paragraph WELDING. Branch connections may be made with either welding tees or forged branch outlet fittings. Branch outlet fittings where used, shall be forged and shall be no larger than two nominal pipe sizes smaller than the main run. Branch outlet fittings shall be flared for improved flow where attached to the run, reinforced against external strains, and designed to withstand full pipe bursting strength.

3.2.3 Threaded Joints

3.2.3.1 Threaded Joints

Joints shall have graphite or inert filler and oil, graphite compound, or polytetrafluoroethylene tape applied to the male threads only. Dielectric unions shall be used at connections of dissimilar metals in 3/4 inch and smaller piping.

3.2.4 Reducing Fittings

Eccentric reducers in horizontal runs shall be installed with the straight side down. Changes in horizontal piping sizes shall be made through eccentric reducing fittings.

3.2.5 Branch Connections

Branches from mains shall branch off top of mains as indicated or as approved. Connections shall insure unrestricted circulation, elimination of air pockets, and shall permit the complete drainage of the system.

3.2.6 Pipe Supports Exposed in Concrete Trenches and Valve Manholes

Horizontal and vertical runs of pipe in concrete trenches and valve manholes shall be securely supported. Suspended pipe shall be held by adjustable pipe hangers having bolted hinged loops and turnbuckles or by other approved devices as shown on the drawings, and all conforming to MSS SP-58 and MSS SP-69. Chain or flat steel strap hangers or single point supports will not be acceptable. Spacing between pipe supports shall be as indicated. All pipe supports including the structural cross support member shall be hot-dip galvanized in accordance with Section 05500 MISCELLANEOUS METAL.

3.3 WELDING

Welding and radiographic examination of all steel carrier pipe welds shall be as specified in Section 05093 WELDING PRESSURE PIPING. Structural members shall be welded in accordance with Section 05090 WELDING, STRUCTURAL.

3.4 RADIOGRAPHIC TESTING

Provide all testing of welds as specified in Section 05093 WELDING PRESSURE PIPING.

3.5 INSULATION

The insulation shall be installed in such a manner that it will not be damaged by pipe expansion or contraction. Insulation installed over welds shall be grooved to assure a snug fit. Insulation shall be held in place with stainless steel straps. A minimum of 2 bands shall be installed on

each individual length of insulation and maximum spacing shall not exceed 18 inch centers.

3.5.1 Installation

Material shall be installed in accordance with published installation instructions of the manufacturer. Insulation materials shall not be applied until piping tests are completed.

3.5.1.1 Preparation

Prior to application, surfaces shall be thoroughly cleaned of moisture, grease, dirt, rust, and scale. Insulation manufacturer's published installation instructions shall be followed.

3.5.1.2 Thickness

The minimum thickness of insulation for the heat distribution system shall be in accordance with Tables 1 and 2.

TABLE 1
Minimum Pipe Insulation Thickness (In Inches)

Nominal Pipe Diameter (inches)	High Temperature Hot Water Supply and Return (250 degrees F to 450 degrees F)	
	Paroc	Epitherm Delta
1.0	2.0	2.5
1.5	2.0	2.5
2.0	2.5	3.5
2.5	2.5	3.5
3.0	3.0	4.0
4.0	3.0	4.0
5.0	3.0	4.0
6.0	3.5	4.5
8.0	3.5	4.5
10.0	4.0	5.0
12.0	4.0	5.0
14.0	4.0	5.0
16.0	4.0	5.0
18.0	4.0	5.0

NOTES: Delta mineral wool board insulation V-grooved by NOVA Group.

3.5.2 Insulation on Pipes Passing Through Sleeves

Insulation shall be continuous through sleeves as shown. Aluminum jackets shall be provided over the insulation. When penetrating building walls, aluminum jacket shall extend not less than 2 inches beyond the sleeve on each side of the wall and shall be secured with an aluminum band on each side of the wall. Where flashing is provided, the jacket shall be secured with one band not more than 1 inch from the end of the jacket. When

penetrating valve manhole tops, pipe shall be insulated as required for valve manhole service as indicated.

3.5.3 Covering of Insulation in Concrete Trenches and Valve Manholes

The insulation for pipe, flanges, valves, and fittings shall be covered with aluminum jackets.

3.6 VALVE MANHOLES AND ACCESSORIES

Valve manholes shall be field constructed, reinforced concrete type with removable covers.

3.6.1 Valve Manhole Construction and Accessories

Manhole dimensions shall be as indicated, and in all cases shall provide sufficient room for maintenance. Covers and accesses shall be as shown. The valve manholes shall be constructed of reinforced concrete conforming to Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. The top shall consist of an easily removable cover as detailed on the drawings.

3.6.1.1 Valve Manhole Ladders

Ladders in valve manholes shall have nonslip surfaces, and shall consist of uprights and steps or rungs. Uprights shall be firmly anchored to walls. All parts of steel or cast-iron ladders shall be hot-dip galvanized after fabrication in conformance with ASTM A 123/A 123M, and as detailed on the drawings.

3.6.1.2 Piping and Equipment in Valve Manholes

Piping and equipment in valve manholes shall be installed so as to provide easy access without stepping on piping or equipment, and to provide sufficient working room. Piping in valve manholes shall be installed and supported as shown on the drawings. All globe, angle and gate valves shall be installed with the stems horizontal or above.

3.6.1.3 Sump Pumps Installation

Sump pumps shall be installed as indicated. Pumps shall be connected to a dedicated electrical service. All electrical connections shall be hard wired.

3.6.1.4 Valve Manhole Covers

The valve manhole covers and gratings shall be as shown on the drawings. Covers, gratings, and support members shall be galvanized steel in accordance with Section 05500 MISCELLANEOUS METAL. Support members shall be hot-dip galvanized.

3.6.1.5 Waterproofing Valve Manholes

Waterproofing for concrete valve manholes shall consist of waterproofing membranes placed as indicated, in accordance with Section 07131 ELASTOMERIC MEMBRANE WATERPROOFING.

3.7 CONCRETE TRENCH SYSTEM

A concrete cast-in-place trench system shall be provided and installed with a removable top as shown on the drawings.

3.7.1 Concrete

Materials and methods for mixing and placing of concrete shall be as specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.

3.7.2 Joint Sealants

Concrete joints shall be sealed as indicated. Type II sealant (nonsagging) shall be used for vertical joints. Type I sealant shall be used for trench top butt joints. All other joints shall be sealed with Type I or Type II sealant. Sealant in trench bottom shall finish flush with floor.

3.7.3 Concrete Trench Tops

Concrete trench tops shall be precast or cast-in-place. Concrete shall be as specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. The tops shall be flat and true and shall lay flat at all locations where contact on trench wall is to be made. Tolerances shall be true planes within 1/8 inch in 8 feet as determined by 8 foot straight edge placed diagonally on top. Deviation from square or designated skew (difference in length of the two diagonal measurements) shall be 1/8 inch in 6 feet or 1/4 inch total, whichever is greater. Maximum permissible warpage of one corner out of the plane of the other three shall be 1/16 inch per foot distance from the nearest adjacent corner. Concrete trench tops with defects which affect the strength of the cover unit, or which are warped, honeycombed, contain visible air pockets, exposed aggregate, or other surface defects such as spalled, chipped, or broken edges, shall not be installed. Neoprene gasket material shall be placed on the top of concrete trench walls so as to provide a seal between the wall and the concrete trench covers. Surfaces of joints to be in contact with gasket material shall be dry and free of oil, grease, dirt, loose concrete particles, or other foreign substances. Gasket material shall be placed in a continuous length along the wall as much as practical. Gasket ends shall be butted tightly together at splices. Concrete trench tops shall be constructed in maximum lengths of 8 feet and minimum lengths of 4 feet and shall be a minimum of 4 inches thick, unless otherwise indicated. Each top section shall be provided with means to accept a lifting device for removal of slab, as indicated on the drawings.

3.7.4 Concrete Trench Construction

The concrete trench shall be of the sizes indicated on drawings. Inside edge and top of walls shall have smooth even surfaces to accommodate trench tops.

3.7.5 Final Elevations

The concrete trench floor shall slope continuously and drain toward valve manholes. The Contractor shall construct the concrete trench at the elevation shown on the drawings and shall grade the adjacent areas. Any cut or fill areas adjacent to the concrete trench shall be graded back to the existing grade at a 1 to 10 slope, or as indicated. Care shall be taken to avoid forming pockets adjacent to the concrete trench; thereby, preventing

surface drainage. The concrete trench floor and pipe shall be parallel and shall maintain constant slope toward the drain points indicated.

3.7.6 Coordination with Existing Utilities

Before beginning work in a given area, all utility information shall be field verified by surface markings made by the affected utility Owner's Representative. Contractor shall notify the Contracting Officer in advance, and receive prior approval before excavating in any areas. The actual concrete trench routing may be offset or changed if approved by the Contracting Officer in order to reduce conflicts, interruptions, expedite the work, or for any other reason to the mutual benefit of the Contractor and the Government. Utility conflicts may be cast into the floor of the trench providing they do not interfere with concrete trench drainage and are approved by the Contracting Officer. After the new heat distribution system is cut-in, the existing system can be abandoned in place if not in conflict with the new construction and not shown to be removed on the drawings.

3.7.7 Piping Support System

Piping shall have supports as indicated. No pipes, pipe supports, or other related items shall be permitted on the floor of the concrete trench system. Pipe support members spanning transversely across the tunnel shall allow a minimum of 4 inches clearance between structural member and concrete trench floor. Additional minimum clearances required from the pipe insulation surface shall be as follows: 8 inches to concrete trench floor, 6 inches to side walls, 6 inches to trench cover, and 6 inches between adjoining pipes.

3.7.8 Pipe Expansion

Expansion shall be accommodated by loops and bends as indicated on the drawings and specified. Pipe in the loops and bends shall accommodate expansion while maintaining required insulation clearance from floors, walls, tops, and other pipes to avoid crushing or breaking of insulation. Expansion loops may be designed around obstacles such as utility manholes, structures, or trees to avoid construction conflicts. Slopes of pipe and trench bottoms shall be maintained. Contractor shall have the option to adjust the loop dimensions around obstacles based on final field measurements, if approved by the Contracting Officer. Contractor shall submit pipe stress calculations for each revised expansion loop or bend based on the final actual measured lengths, or shall submit dimensions to the Contracting Officer for verification of loop and bend sizes before proceeding with that segment of work. Allowable pipe stresses shall be in accordance with ASME B31.1. Final expansion loop insulation method shall be submitted for approval to the Contracting Officer.

3.7.9 Pipe Anchors

Pipe anchors shall be as indicated on the drawings.

3.7.10 Concrete Trench Inspection Ports

Inspection ports shall be provided as indicated.

3.7.11 Road/Drive Crossings

Road/drive crossings shall be as indicated. Handicap ramp style curb cuts shall be installed at all street and drive crossings as indicated.

3.8 TESTS

Tests shall be conducted before, during, and after the installation of the system. All instruments, equipment, facilities, and labor required to properly conduct the tests shall be provided by the Contractor. Test pressure gauges for a specific test shall be approved by the Contracting Officer and shall have dials indicating not less than 1-1/2 times, nor more than 3 times the test pressure.

3.8.1 Cleaning of Piping

Prior to the hydrostatic and operating tests, the interior of the piping shall be flushed with clean water until the piping is free of all foreign materials. Flushing and cleaning out of system pipe, equipment, and components shall not be considered completed until witnessed and accepted by the Contracting Officer. After flushing the system is completed, the system shall be drained and filled with clean water. Temporary bypasses or temporary strainers shall be provided around equipment and control valves to prevent clogging.

3.8.2 Field Tests

The following field tests shall be conducted when applicable to the system involved. If any failures occur, the Contractor shall make such adjustments or replacements as the Contracting Officer may direct, and the tests shall be repeated until satisfactory tests are completed.

3.8.2.1 Hydrostatic Tests of Service Piping

Provide hydrostatic testing as specified in Section 02552A PRE-ENGINEERED UNDERGROUND HEAT DISTRIBUTION SYSTEM.

3.8.2.2 Equipment Tests

All pumps, valves, traps, alarms, controls, and any other operable item of equipment shall be operated to verify proper operation and compliance with the specifications. Pump voltage, current, and discharge readings shall be recorded and submitted for approval in accordance with [SD-09 Reports](#).

3.8.2.3 Insulating Flange Test

Insulating flanges shall be tested for electrical isolation in accordance with the insulating flange manufacturer's standard test. This test shall be witnessed and approved by the Contracting Officer.

3.8.2.4 Operational Tests

After installation of the concrete trench system, or testable portion thereof, operational tests shall be conducted. Trench covers shall not be placed prior to completion of operational tests. Operational tests shall consist of operating the system at the pressure and temperature expected for the system when in normal service, and shall demonstrate satisfactory

operating effectiveness. The test on each system, or portion thereof, shall last a minimum of 24 hours.

3.8.2.5 Trench Water Removal Tests

After the above tests are completed, and before concrete trench and valve manhole covers are placed, the concrete trenches, sumps, and valve manholes shall be cleaned of dirt and debris. Concrete trench system shall be tested to ensure gravity drainage of water is maintained in trench bottom from high points to drained low points. Contractor shall verify water does not pond between high and low points, and that drained low points are operational either by use of sump pumps or by gravity drainage to storm drains, as indicated. Test shall not be considered completed until witnessed and accepted by the Contracting Officer. Trench tops shall be placed and sealed immediately after approval by the Contracting Officer.

SECTION 02555

PREFABRICATED UNDERGROUND HEATING/COOLING DISTRIBUTION SYSTEM

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53	(1998) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated Welded and Seamless
ASTM A 105/A 105M	(1998) Carbon Steel Forgings for Piping Applications
ASTM A 106	(1997a) Seamless Carbon Steel Pipe for High-Temperature Service
ASTM A 234/A 234M	(1997) Piping Fittings of Wrought Carbon Steel for Moderate and High Temperature Service
ASTM B 88	(1996) Seamless Copper Water Tube
ASTM C 518	(1991) Steady-State Heat Flux Measurements and Thermal Transmission Properties by Means of the Heat Flow Meter Apparatus
ASTM C 591	(1994) Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation
ASTM D 1248	(1984; R 1989) Polyethylene Plastics Molding and Extrusion Materials
ASTM D 1384	(1997a) Corrosion Test for Engine Coolants in Glassware
ASTM D 1784	(1996) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
ASTM D 2241	(1996b) Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)
ASTM D 2564	(1996a) Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
ASTM D 3139	(1998) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals

ASTM D 5686 (1995) "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe and Pipe Fittings, Adhesive Bonded Joint Type Epoxy Resin, for Condensate Return Lines

ASTM F 477 (1996) Elastomeric Seals (Gaskets) for Joining Plastic Pipe

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1 (1983; R 1992) Pipe Threads, General Purpose (Inch)

ASME B16.9 (1993) Factory-Made Wrought Steel Butt Welding Fittings

ASME B16.11 (1996) Forged Fittings, Socket-Welding and Threaded

ASME B31.1 (1998) Power Piping

ASME BPV IX (1998) Boiler and Pressure Vessel Code; Section IX, Welding and Brazing Qualifications

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA Tube Handbook (1995) Copper Tube Handbook

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-73 (1991; R 1996) Brazing Joints for Copper and Copper Alloy Pressure Fittings

1.2 SYSTEM DESCRIPTION

The system consists of a buried prefabricated high temperature hot water distribution system including service connections to a point 9 inches inside of the building for below-grade entrances and 12 inches above grade for above-grade entrances. The contract drawings show the specific arrangement of piping, sizes and grades of pipe, and other details. The system is designed for an operating pressure of 200 psig and an operating temperature of 380 degrees F for hot water.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Distribution System.

Data composed of catalog cuts, brochures, circulars, specifications and product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Drawings

Distribution System.

Detail drawings consisting of fabrication and assembly drawings, for all parts of the work in sufficient detail to check conformity with the requirements of the contract documents, prior to installation. Detail drawings shall also contain complete piping, wiring and schematic diagrams and any other details to demonstrate that the system has been coordinated and will properly function as a unit. Drawings shall show proposed layout, method of compensation for pipe expansion and contraction, layout of expansion compensation bolsters, anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances required for maintenance and operation. The drawings shall clearly identify any proposed deviations from the requirements of the contract documents.

Pipe Stress and System Expansion Calculations

Pipe-stress and system-expansion calculations for each expansion compensation elbow using a finite element computer generated three-dimensional analysis, not later than 30 days after notice to proceed. Calculations shall demonstrate that pipe stresses from temperature changes are within the allowable requirements in ASME B31.1 and that the anchors and the guides will withstand the resultant forces. Detailed design layout drawings shall include all analysis node points. As a minimum, computer analysis results shall include node stresses, forces, moments, and displacements. Calculations shall be stamped by a registered Professional Engineer in the employ of the pre-fabricated piping system manufacturer.

Portions of the system that require computer analysis include:

- a. All road crossings (from concrete trench anchor to concrete trench anchor).
- b. All runs between breaks in the concrete trench (from trench anchor to trench anchor).
- c. All 45-degree elbows.
- d. Sufficient runs of other runs to verify expansion loop sizes shown on the design drawings.

Layout and thickness of expansion bolsters to be shown on drawings.

Certificates

Welding Procedures and Operators.

Prior to welding operations, a copy of qualified procedures and a list of names and identification symbols of qualified welders and welding operators.

Operation and Maintenance Manuals

Distribution System.

Six copies of operation and 6 copies of maintenance manuals for the equipment furnished, 1 complete set prior to performance testing and the

remainder upon acceptance. Operation manuals shall detail the step-by-step procedures required for equipment startup, operation, and shutdown. Operation manuals shall include the manufacturer's name, model number, parts list, and brief description of all equipment and their basic operating features. Maintenance manuals shall list routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guides. Maintenance manuals shall include piping and equipment layout and simplified wiring and control diagrams of the equipment system as installed. Manuals shall be approved prior to the field performance testing.

1.4 DELIVERY AND STORAGE

After delivery to the jobsite, all materials and equipment shall be protected from anything which could cause damage to the material or equipment. Pipe shall be sealed at each end to keep the interior clean and free of dirt and debris. Fittings shall be kept together and their interior surfaces shall remain clean. Insulation shall be kept dry and clean.

1.5 FIELD MEASUREMENTS

The Contractor shall become familiar with all details of the work, verify all dimensions in the field and shall advise the Contracting Officer of any discrepancy before performing the work.

1.6 WELDING

Piping shall be welded in accordance with qualified procedures using performance qualified welders and welding operators. Procedures and welders shall be qualified in accordance with ASME BPV IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.1. The Contracting Officer shall be notified 24 hours in advance of tests and the tests shall be performed at the work site if practicable. The welder or welding operator shall apply his assigned symbol near each weld he makes as a permanent record. Structural members shall be welded in accordance with Section 05055 WELDING, STRUCTURAL. Welding and nondestructive testing proceeding are specified in Section 05093, "WELDING PRESSURE PIPING."

2 PRODUCTS

2.1 STANDARD PRODUCTS

System components shall be standard products of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. The system shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

2.2 PIPING AND CASING MATERIALS

2.2.1 General

Metallic pressure pipe, fittings, and piping accessories shall conform to the requirements of ASME B31.1 and shall be types suitable for the temperature and pressure of the water.

2.2.2 Piping

2.2.2.1 Steel Pipe

Piping shall conform to [ASTM A 53](#), Grade B, standard weight, black or to [ASTM A 106](#), Grade B, standard weight.

2.2.3 Casings

2.2.3.1 High-Density Polyethylene (HDPE) Jacket

Jacket material shall be extruded, black, high-density polyethylene (HDPE) manufactured in accordance with ASTM D-1248 with a minimum wall thickness of 150 mils and meeting the following requirements:

- a. Specific Gravity (ASTM D792): 0.941 min.
- b. Impact Strength (ASTM D256): Ft. Lb./in. notch, 2.0 min.
- c. Tensile Strength (ASTM D638): 3100 psi min.
- d. Hardness, Shore-D (ASTM D785): 60 min.
- e. Elongation Ultimate (ASTM D638): 400% min.
- f. Compressive Strength (ASTM D695): 2700 psi min.
- g. Resistance to Heat, Continuous--Vicat Softening Temperature (ASTM D1525): 250 degrees F min.

2.3 PIPING CONNECTIONS

2.3.1 Steel Pipe

Steel pipe smaller than 3/4 inch may be threaded; otherwise, all steel pipe shall be welded. Steel welding fittings shall conform to the requirements of [ASTM A 105/A 105M](#) or [ASTM A 234/A 234M](#). Welding fittings shall also conform to [ASME B16.9](#) for butt-weld fittings and [ASME B16.11](#) for socket-weld fittings. Long radius butt-welding elbows conforming to [ASME B16.9](#) shall be used whenever space permits. Pipe Threads shall conform to [ASME B1.20.1](#). Pipe to be threaded shall be schedule 80.

2.4 END SEALS

2.4.1 General

Each preinsulated section of piping shall have a complete sealing of the insulation to provide a permanent water and vapor seal at each end of the preinsulated section of piping. Preinsulated sections of piping modified in the field shall be provided with an end seal which is equivalent to the end seals furnished with the preinsulated section of piping. End seals must be tested and certified in accordance with paragraph Casing and End Seal Testing and Certification.

2.4.2 Types

End seals provided shall be one of the following types:

- a. Carrying the outer casing over tapered pipe insulation ends and extending it to the carrier pipe. Sufficient surface bonding area shall be provided between the casing and the carrier pipe.
- b. Using specially designed molded caps made of polyethylene or rubber of standard manufactured thickness. A minimum 1-1/2 inch surface bonding area shall be provided between the cap and both the casing and carrier pipe.
- c. Using elastomer-ring end seals designed and dimensioned to fit in the annular space between the casing and the carrier pipe.
- d. Using a waterproof mastic seal vapor barrier over the exposed insulation ends.
- e. Shrink sleeves.

2.4.3 Casing and End Seal Testing and Certification

Testing and certification procedures by an independent testing laboratory shall demonstrate that casings and end seals are capable of resisting penetration of water into the casing and insulation. The test shall be performed on the type of prefabricated system to be furnished. If more than one type of prefabricated system is to be used, then the tests shall be performed on each type. The test shall consist of hot and cold cycle testing followed by immersion in a water filled chamber with a head pressure. The hot and cold cycle testing shall consist of 14 days of temperature cycling. A fluid with a temperature of 40 degrees F shall circulate through the carrier pipe alternating every 24-hours with a fluid with a temperature of 200 degrees F circulating through the carrier pipe for a low temperature hot water or dual temperature service or 75 degrees F for a chilled water service. While the hot and cold cycle test is being performed, the test sample is either buried or encased in dry bedding sand with a minimum of 12 inches of sand all around the test sample. The carrier pipe size of the test sample shall be 3 inches in diameter and shall be restrained during the test period. The insulation thickness shall not exceed the maximum thickness provided for the piping in the project. Transition time for temperature cycle testing shall not exceed 15 minutes in going from cold to hot and 30 minutes in going from hot to cold. The fluid in the carrier pipe may be water, oil or heat transfer fluid. Following the hot and cold cycling test, the test sample shall be immersed in a water filled chamber. The pressure on the highest point of the test sample shall not be less than 20 feet of water head pressure subjected over the entire length of the 8 foot test sample of prefabricated pipe. The water shall contain a dye penetrant, which will be used to check for end seal leakage. The pressure in the chamber must be held for not less than 48 hours. Upon completion of this pressure test, the test sample shall be cut open. With the use of a light that will readily show the presence of the dye that was in the water, the test sample shall be inspected. Evidence of the dye inside the test sample shall indicate that the end seal is not acceptable and cannot be certified.

2.5 INSULATION

2.5.1 Factory Applied Insulation

Prefabricated pipe and fittings shall be insulated in the factory. Polyisocyanurate foam insulation for prefabricated insulated pipe and fittings shall meet the requirements of [ASTM C 518](#) having a density not less than 2.4 pounds per cubic foot (pcf). The polyurethane foam shall completely fill the annular space between the carrier pipe and the casing. Insulation thickness shall be a minimum of 1.94 inches. The insulation K-factor shall not exceed the numerical value of 0.28 at 406 degrees F, when tested in accordance with [ASTM C 518](#). Manufacturer shall certify that the insulated pipe is free of insulation voids.

2.5.2 Field Applied Insulation

Field applied insulation for fittings, and field casing closures, if required, and other piping system accessories shall be polyisocyanurate matching the pipe insulation. Thickness shall match adjacent piping insulation thickness. Buried fittings and accessories shall have field applied polyisocyanurate insulation to match adjacent piping and shall be protected with a covering matching the pipe casing. Shrink sleeves with a minimum thickness of 50 mils shall be provided over casing connection joints.

2.6 CONCRETE VALVE MANHOLES

Concrete valve manholes shall be provided in accordance with Section [02570 VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES](#).

2.7 PIPING AND EQUIPMENT IN VALVE MANHOLES

Piping and equipment in valve manholes shall be provided in accordance with Section [02570 VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES](#).

3 EXECUTION

3.1 INSTALLATION

For all preinsulated, prefabricated systems, the Contractor shall obtain the services of a trained representative of the pipe system manufacturer to instruct the Contractor's work forces in the installation procedures to ensure that the system is installed in accordance with the manufacturer's published instructions and the plans and specifications. The manufacturer's representative shall be a person who regularly performs such duties for the manufacturer. The Contractor shall furnish the Contracting Officer a list of names of personnel trained and certified by the pipe system manufacturer in the installation of this system. Only personnel whose names appear on the list will be allowed to install the system. The list shall not be more than 1 year old.

Underground systems shall be buried in a trench not less than two feet deeper than the top of the pipe and not less than 18 inches wider than the combined O.D. of all piping systems. A minimum thickness of 24 inches of compacted backfill shall be placed over the top of the pipe. Trench bottom shall have a minimum of 6 inches of sand, pea gravel, or clean, select fill material as a cushion for the piping. All field cutting of the pipe shall be performed in accordance with the manufacturer's installation instructions.

3.2 PIPING SYSTEMS

3.2.1 Buried Insulated Systems

Buried insulated systems shall consist of carrier pipe, insulation, casing, end seals, fittings and accessories as specified. System shall be Thermacor "HT 406" or approved equal.

The piping system shall include exterior flexible elastomeric expansion pads on all changes of direction where the thermal expansion of the pipe exceeds 1/4 inch based on the operating temperature and 50 degrees F. installation temperature. The expansion pad shall be thick enough to accommodate the full theoretical calculated movement. Pads shall cover the top and both sides of each jacket. Padding only one or two sides of the jacket will not be permitted, nor will crushing of the internal insulation. The padding length shall extend from the elbows the total length as required to maintain pipe stresses within the acceptable limits of ANSI B31.1 Code for Pressure Piping. The manufacturer's drawing shall include the length, thickness and arrangement of the expansion pads. Expansion pads shall be made of a material that is suitable for the 380 degrees F. service temperature and shall have a compressive strength such that it will not deflect appreciable under the soil pressure.

3.3 VALVE MANHOLES AND PIPING EQUIPMENT IN VALVE MANHOLES

Valve manholes and piping and equipment in valve manholes shall be installed in accordance with Section 02570 VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES.

3.4 THRUST BLOCKS

Thrust blocks shall be installed at the locations shown or recommended by the pipe system manufacturer. Thrust blocks may not be required on all systems, and the need for thrust blocks shall be as recommended by the system manufacturer. Thrust blocks shall be concrete having a compressive strength of not less than 2000 psi after 28 days and shall be in accordance with Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Thrust blocks shall be placed between solid ground and the piping to be anchored. Unless otherwise indicated or directed, the base and the thrust bearing sides of the thrust blocks shall be poured directly against undisturbed earth. The sides of the thrust blocks not subject to thrust may be poured against forms. Thrust blocks shall be placed so that the joints for all fittings will be accessible for repair wherever possible. No pipe joint shall be embedded in concrete unless the assembly has previously been hydrostatically tested. The thrust blocks shall provide for transfer of thrusts and reactions without exceeding the allowable stress of the concrete and shall be installed in accordance with pipe manufacturer's instructions. In muck or peat, all thrusts shall be resisted by piles or tie rods to solid foundations or by removal of peat or muck which shall be replaced with ballast of sufficient stability to resist thrusts.

3.5 INSTALLATION OF PIPING SYSTEMS

The piping system furnished shall be installed in accordance with the piping system manufacturer's instructions. Piping shall be installed without springing or forcing other than what has been calculated for cold spring.

Pipe ends shall have burrs removed by reaming and shall be installed to permit free expansion and contraction without damage to joints or hangers.

3.5.1 Pitching of Horizontal Piping

Horizontal piping shall be pitched at a grade of not less than 1 inch in 20 feet toward the drain points unless otherwise indicated.

3.5.2 Open Ends

Open ends of pipelines and equipment shall be properly capped or plugged during installation to keep dirt and other foreign matter out of the system.

3.5.3 Cutting Prefabricated Piping Sections

Where prefabricated pipe sections are field cut, new end seals similar to the factory applied end seal shall be provided and installed in accordance with the manufacturer's instructions.

3.5.4 Joints

3.5.4.1 Welded Joints

Welded joints between sections of pipe and between pipe and fittings shall be provided where specified or indicated.

3.5.4.2 Threaded Joints

Threaded joints shall not be used belowground. Joints shall be made tight with polytetrafluoroethylene tape applied to the male threads only. Not more than 3 threads shall show after the joint is made up.

3.5.5 Expansion Loops

If expansion compensation is needed, expansion loops and expansion bends (Z- and L- type) shall be factory fabricated of casing, insulation, and carrier piping identical to that furnished for straight runs. Expansion loops and bends shall be properly designed in accordance with the allowable stress limits indicated in ASME B31.1 for the type of pipe used. Expansion loops and bends shall be shipped to the jobsite in the maximum size sections feasible to minimize the number of field joints. The expansion loops and bends casing and insulation where applicable, shall be suitably sized to accommodate pipe movement. Field joints shall be made in straight runs of the expansion loops and bends, and the number shall be kept to a minimum. For steel pipe, cold springing shall not be allowed when sizing the expansion loops and bends, but piping shall be cold sprung one-half the calculated maximum operational expansion during field assembly. Pipe stress in expansion loops and bends shall conform to the requirements for expansion loops specified in ASME B31.1.

3.5.6 Anchors

Anchor design shall be in accordance with the published data of the manufacturer and for prefabricated systems shall be factory fabricated by the prefabricated system manufacturer. In all cases, the design shall be such that water penetration, condensation, or vapor transmission will not wet the insulation.

3.5.7 Field Casing Closures

Field insulation and encasement of joints shall be accomplished after the visual and pressure tests specified are completed. Field insulation and encasement shall be in accordance with the manufacturer's written instructions. Thickness dimensions of the insulation and casing materials shall not be less than those of the adjoining prefabricated section. Insulating material shall be foamed in place polyurethane. Care should be taken to ensure that field closures are made under conditions of temperature and cleanliness required to produce a sound, continuous vapor barrier. A standard polyethylene heat shrink sleeve shall be installed over the casing and shall have a 6 inch minimum overlap at each end.

3.5.8 Underground Warning Tape

Underground warning tape shall be buried above the piping during the trench backfilling and shall be buried approximately 12 inches deep. Tape shall be 0.004 inch thick polyethylene tape. Tape shall be 6 inches wide and be printed with repetitive caution warnings along its length. Tapes shall be yellow in color with black letters. Tape color and lettering shall not be affected by moisture or other substances contained in the backfill material.

3.6 EARTHWORK

Earthwork shall be performed in accordance with Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.7 ELECTRICAL WORK

Electrical work shall be performed in accordance with either Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND or Section 16370 ELECTRICAL DISTRIBUTION SYSTEM, AERIAL.

3.8 TESTING

Tests shall be conducted before, during, and after installation of the system. All instruments, equipment, facilities, and labor required to properly conduct the tests shall be provided by the Contractor. Test pressure gauges for a specific test shall have dials indicating not less than 1-1/2 times nor more than 2 times the test pressure. It shall be the Contractor's responsibility to make the pipe system workable at his expense.

3.8.1 Metallic Pipe Welds

An approved independent testing firm or firms regularly engaged in radiographic testing shall perform a radiographic examination of the field welds. The radiographic testing shall be performed in accordance with ASME B31.1. All radiographs shall be reviewed and interpreted by a Certified Level III Radiographer employed by the testing firm. Any welds found to be unacceptable shall be removed, rewelded and radiographically reexamined in accordance with the above criteria. Such repair and reexamination shall be accomplished at no cost to the Government.

3.8.2 Carrier Pipe Cleaning and Testing

Distribution piping shall be tested as required before backfilling and with all joints exposed. The area between joints may be backfilled as necessary to prevent pipe movement.

3.8.2.1 Cleaning Carrier Pipe

Prior to testing, the interior of the carrier pipe shall be cleaned of foreign materials by thorough flushing with clean water. Water shall be circulated at a velocity between 2 and 3 m/s (7 and 10 feet per second) for a minimum of 4 hours. If required, temporary and/or supplementary pumps shall be provided to ensure that required velocity is achieved. System strainers shall be cleaned after the flushing operation is complete. Temporary strainers shall be installed as required. After flushing, the water shall remain in the piping system for testing of the system. All air shall be removed from the system prior to starting the tests.

3.8.2.2 Hydrostatic Pressure Cycling and Tests

Hydrostatic pressure cycling shall have 4 cycles. Each cycle shall consist of a 10 minute period at 150 psig followed by a 5 minute period at a pressure less than 50 psig. The next cycle shall begin immediately following the completion of the previous cycle. Pressure rise and drop shall not exceed 100 psi per minute. The pressure gauge shall be located and the pressure measured at the opposite end of the system from where the pressure is applied. After completion of the hydrostatic pressure cycling, the first hydrostatic pressure test shall be performed. During the first hydrostatic pressure test, the system shall be proven tight at a pressure of 1-1/2 times the working pressure up to 150 psig. This pressure shall be held for a minimum of 1 hour. The method of pressurizing the system shall be disconnected from the system before starting the 1 hour pressure holding period. If the pressure cannot be held for the specified length of time, the cause of pressure loss shall be determined, corrected and the hydrostatic pressure cycling and first hydrostatic pressure test shall be repeated until the system can hold the required pressure for at least 1 hour. After successful completion of the first hydrostatic pressure test, the water shall be drained out of the piping system and the piping system filled with treated water as defined in paragraph TREATED WATER for the remaining tests and for permanent operation of the system. The hydrostatic pressure cycling and tests shall be repeated after the system has been filled with treated water, using the same test conditions and criteria.

3.8.2.3 Operational Test

Operational test shall be performed on the complete system or testable portions thereof. The test shall be conducted with full design flows and operating temperatures in all runs of piping as if in service, to demonstrate satisfactory function and operating effectiveness. The operational test will have two cycles. Each cycle shall consist of a 6-hour period with treated water in the system at the maximum operating temperature of 380 degrees F and maximum flow rate, and a period of at least 6-hours with no flow. The Contractor shall supply temporary pumps, piping connections, boilers, chillers and the gauges required to circulate the water at the desired temperatures and flow rates. Water shall be circulated through supply lines and returned through the return piping to demonstrate that the pressure drop is compatible with the flow rate and size of pipe and

to show that obstructions do not exist in the piping system. Any unusual indicated pressure drop will be investigated and any obstructions removed. Any leaks found shall be repaired. After any obstructions have been removed and any leaks repaired, the operational test shall be repeated until successfully passed.

3.8.2.4 Final Hydrostatic Test

After successful completion of the operational test, the system shall be pressurized to 1-1/2 times the working pressure up to 150 psig. This pressure shall be held for a minimum of 4 hours. Means of pressurizing shall be disconnected prior to the start of the 4-hour pressure holding period. If the pressure cannot be held for the specified length of time, the cause of the pressure loss shall be determined, corrected, and all of the hydrostatic pressure cycling and tests repeated.

SECTION 02570

VALVE MANHOLES AND PIPING AND EQUIPMENT IN VALVE MANHOLES

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designations only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI H35.1 (1993) Alloy and Temper Designation Systems for Aluminum

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53 (1997) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated Welded and Seamless

ASTM A 106 (1997a) Seamless Carbon Steel Pipe for High-Temperature Service

ASTM A 123/A 123M (1997a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A 193/A 193M (1997a) Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service

ASTM A 194/A 194M (1997) Carbon and Alloy Steel Nuts for Bolts for High-Pressure and High-Temperature Service

ASTM A 234/A 234M (1997) Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service

ASTM A 733 (1993) Welded and Seamless Carbon Steel and Austenitic Stainless Steel Pipe Nipples

ASTM B 209 (1996) Aluminum and Aluminum-Alloy Sheet and Plate

ASTM B 209M (1996) Aluminum and Aluminum-Alloy Sheet and Plate (Metric)

ASTM C 449/C 449M (1995) Mineral Fiber Hydraulic-Setting Thermal Insulating and Finishing Cement

ASTM C 533 (1995) Calcium Silicate Block and Pipe Thermal Insulation

ASTM C 547 (1995) Mineral Fiber Pipe Insulation

ASTM C 552	(1991) Cellular Glass Thermal Insulation
ASTM C 647	(1995) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM D 2822	(1991; R 1997) Asphalt Roof Cement
ASTM D 3278	(1996) Test Methods for Flash Point of Liquids by Small Scale Closed-Cup Apparatus
ASTM E 84	(1996a) Surface Burning Characteristics of Building Materials
ASTM E 96	(1995) Water Vapor Transmission of Materials
ASTM F 1139	(1988; R 1993) Standard Specification for Steam Traps and Drains

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1	(1983; R 1992) Pipe Threads, General Purpose (Inch)
ASME B16.5	(1996) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B16.11	(1996) Forged Fittings, Socket-Welding and Threaded
ASME B16.21	(1992) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.34	(1996) Valves - Flanged, Threaded, and Welding End
ASME B31.1	(1995; B31.1a; B31.1b; B31.1c) Power Piping
ASME B40.1	(1991) Gauges - Pressure Indicating Dial Type - Elastic Element
ASME BPV IX	(1998) Boiler and Pressure Vessel Code; Section IX, Welding and Brazing Qualifications

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(1998) Standard Marking System for Valves, Fittings, Flanges, and Unions
MSS SP-45	(1992) Bypass and Drain Connections

- MSS SP-58 (1993) Pipe Hangers and Supports - Materials, Design and Manufacture
- MSS SP-69 (1996) Pipe Hangers and Supports - Selection and Application
- MSS SP-72 (1992) Ball Valves with Flanged or Butt-Welding Ends for General Service
- MSS SP-80 (1997) Bronze Gate, Globe, Angle and Check Valves
- MSS SP-83 (1995) Class 3000 Steel Pipe Unions Socket-Welding and Threaded
- MSS SP-110 (1996) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 70 (1996; Errata 96-4) National Electrical Code
- NFPA 90A (1996) Installation of Air Conditioning and Ventilating Systems

SSPC: THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

- SSPC Paint 16 (1991) Coal-Tar Epoxy-Polyamide Black (or Dark Red) Paint
- SSPC SP 10/NACE 2 (1994) Near-White Blast Cleaning

UNDERWRITERS LABORATORIES (UL)

- UL 723 (1996) Test for Surface Burning Characteristics of Building Materials

1.2 DESCRIPTION

This specification covers valve manholes and the valves and equipment shown in the manholes on the drawings.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Drawings

Valve Manholes, Pipe, and Equipment.

Detail drawings for valve manholes and the piping and equipment in the valve manholes, such as valves, sump pumps, and insulation, including a complete list of equipment and materials, including manufacturer's descriptive and technical literature, performance charts and curves, catalog cuts, and installation instructions. Drawings shall also contain complete wiring and schematic diagrams. Drawings shall show pipe anchors and guides, and layout and anchorage of equipment and appurtenances in valve manholes, and

equipment relationship to other parts of the work including clearances for maintenance and operation.

Operation and Maintenance Manuals

Valve Manholes, Pipe, and Equipment.

Six copies of operation and six copies of maintenance manuals shall be provided for the equipment furnished. Operation manuals shall detail the step-by-step procedures required for equipment startup, operation, and shutdown. Operation manuals shall include the manufacturer's name, model number, parts list, and brief description of all equipment and their basic operating features. Maintenance manuals shall list routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guides. Maintenance manuals shall include piping and equipment layout and simplified wiring and control diagrams indicating location of electrical components with terminals designated for wiring, as installed.

1.4 DELIVERY AND STORAGE

All materials and equipment delivered and placed in storage shall be stored with protection from the weather, excessive humidity and excessive temperature variation; and dirt, dust, or other contaminants.

1.5 FIELD MEASUREMENTS

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

2 PRODUCTS

2.1 STANDARD PRODUCTS

Materials and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of such products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Equipment shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

2.2 NAMEPLATES

Each major item of equipment such as sump pump, motor, steam trap, and pressure reducing valve shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment.

2.3 ASBESTOS PROHIBITION

Asbestos and asbestos-containing products shall not be used.

2.4 ELECTRICAL WORK

Motors, manual or automatic motor control equipment, and protective or signal devices required for the operation specified shall be provided under

this section in accordance with [NFPA 70](#) and Section [16375](#), ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.

2.5 PIPING AND FITTINGS

2.5.1 General Requirements

Piping, fittings and piping accessories inside the valve manholes shall conform to the requirements of [ASME B31.1](#) and shall be suitable for the working pressure and temperature requirements of the system. To the greatest extent possible, the piping and fittings inside the valve manholes shall match the piping and fittings located on the outside of the valve manhole. All piping in valve manholes shall be steel with joints welded except that joints 3/4 inch and smaller may be threaded. When threaded joints are used on High Temperature Water Systems, the interface area where the pipe threads meet the threaded fittings shall be seal welded (continuous fillet weld) to preclude any water leakage. No supports, anchors, or stays shall be attached to any piping system in places where either the installation of or the movement of the pipe and its contents will cause damage to the construction.

2.5.2 Steel Pipe

Pipe materials shall be as specified in Section 02553 HEAT DISTRIBUTION SYSTEMS IN CONCRETE TRENCHES, except piping 3/4" and smaller shall be schedule 80.

2.5.2.1 Nipples

Nipples shall conform to [ASTM A 733](#) as required to match adjacent piping.

2.5.2.2 Pipe Threads

Pipe threads shall conform to [ASME B1.20.1](#). Pipe threads may be used only on pipe 3/4 inch or smaller. All pipe which is to be threaded shall be schedule 80.

2.5.3 Fittings

All fittings, valves, flanges and unions shall have the manufacturer's trademark affixed in accordance with [MSS SP-25](#) so as to permanently identify the manufacturer.

2.5.3.1 Welded Fittings

Welded fittings shall conform to [ASTM A 234/A 234M](#), buttwelded or socket welded, as required to match connecting piping. Buttwelded fittings shall conform to [ASME B16.9](#), and socket welded fittings shall conform to [ASME B16.11](#).

2.5.3.2 Unions

Unions shall conform to [MSS SP-83](#) as required to match adjacent piping.

2.5.4 Insulating Flanges and Dielectric Unions

2.5.4.1 Insulating Flanges

For systems in which cathodic protection is provided, insulating flanges or flange gasket kits shall be installed in the valve manhole at the pipe connection to or from the heat distribution system and at dissimilar metals and when the carrier pipe and appurtenances are supported in such a way as to electrically ground or alter the cathodic protection system voltages or currents. The kit shall consist of flanges, a flange gasket, nuts and bolts, bolt sleeves, and one insulating washer and one steel washer for both ends of each bolt. The manufacturer shall certify that the gasket kits are capable of electrically isolating the pipe at the 150 psig pressure and 380 degrees F temperature of the heating medium at the point of application. Evidence of satisfactory installations operating not less than 2 years shall be submitted in accordance with paragraph SUBMITTALS before materials are delivered. The Contractor shall ensure that these kits are provided and properly installed according to manufacturer's published instructions. Bolts shall be torqued to the correct tightness and in the correct bolt pattern as recommended by the manufacturer's published instructions. Steel flanges shall conform to ASME B16.5 Class 300 and shall match valves or flanged fittings on which used. Steel flanges shall be flat faced. Gaskets shall be non-asbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self centering flat ring type. Bolts shall conform to the requirements of ASTM A 193/A 193M, Grade B7. The bolt head shall be marked to identify the manufacturer and the standard to which the bolt complies. Lengths of bolts shall be such that not less than two full threads extend beyond the nut with the bolt tightened to the required tension and the washer seated. Nuts shall conform to the requirements of ASTM A 194/A 194M, Grade 7.

2.5.4.2 Dielectric Unions

Dielectric unions, pressure rated to match the pressure on the system used, shall be used for joining dissimilar metals on 3/4 inch and smaller threaded pipe.

2.6 VALVES

See Section 02553, "HEAT DISTRIBUTION SYSTEMS IN CONCRETE TRENCHES".

2.7 INSULATION AND JACKETING

2.7.1 General

See Section 02553, HEAT DISTRIBUTION SYSTEMS IN CONCRETE TRENCHES, for insulation and jacket requirements.

2.8 SUMP PUMPS

Sump pumps with the capacities indicated shall be installed in the valve manholes as shown. The sump pumps furnished shall be a manufacturer's standard commercial product. Sump pumps shall be electrically driven and submersible, capable of operating while completely submerged. The pumps and motors shall be capable of continuously pumping liquids at a temperature of 200 degrees F. The pumps and motors shall be capable of running without damage when not submerged. Sump pumps shall have permanently lubricated bearings, monel shafts, bronze impellers, screened inlets and housings of bronze. Each sump pump shall be capable of passing a 3/8 inch sphere. The

motors shall have the horsepower indicated and shall have overload protection. The discharge piping shall be schedule 80 or shall be protected from corrosion. The pumps shall be automatically controlled by a submersible switch assembly with pump wiring and switch suitable for submersion in 200 degrees F liquids. Motors shall be 120 volts, 60 Hz, single phase. All controllers, water level switches, and electrical connections shall be suitable for service at 100 percent humidity, at 200 degrees F temperature, and occasional water submersion. The sump pumps automatic control switches shall have demonstrated 200,000 cycles at 200 degrees F and 100 percent relative humidity and shall withstand total submersion in water at 200 degrees F. Auxiliary contacts in a separate junction box shall be provided to permit connection to a future Energy Monitoring and Control System (EMCS) for monitoring the operation of each pump motor and the high water level alarm system.

2.9 CONCRETE VALVE MANHOLES AND ACCESSORIES

2.9.1 Valve Manhole Construction

Valve manhole dimensions shall be as indicated. The valve manholes shall be constructed of reinforced concrete as indicated and in accordance with Section 03300 CAST IN PLACE STRUCTURAL CONCRETE. Valve manholes shall be drained as shown. Concrete sections shall not be less than 6 inches thick. The top shall be open grate cover. When used for concrete shallow trenches, the top of the grate will be flush with the concrete trench top. Open grates will be constructed of galvanized steel. Valve manhole sides shall be constructed by one monolithic pour and shall extend not less than 6 inches above grade unless otherwise stated. Valve manholes shall be waterproofed in accordance with Section 07131 ELASTOMERIC MEMBRANE WATERPROOFING. All steel components shall be protected from corrosion.

2.9.2 Ladders

Valve manhole ladders shall be steel, shall have nonslip surfaces, and shall consist of uprights with steps or rungs. Ladders shall not be less than 16 inches in width, with 3/4 inch diameter rungs, spaced 12 inches apart. The two stringers shall be a minimum 3/8 inch thick and 2-1/2 inches wide. Ladders shall be adequately anchored to the wall by means of steel inserts spaced not more than 6 feet apart vertically, and installed to provide at least 6 inches of space between the wall and rungs. Ladders and inserts shall be galvanized after fabrication in conformance with ASTM A 123/A 123M.

2.9.3 Pipe Sleeves

Pipe sleeves of sufficient length to pass through valve manhole or building walls shall be provided. Pipe sleeves shall be zinc-coated steel pipe, conforming to the requirement of ASTM A 53, Schedule 40 or standard weight. The pipe sleeves shall be secured in the proper position and location during construction of the valve manhole or building wall. For manhole top penetrations, the diameter of the pipe sleeve will be large enough to allow at least 1/4 inch of clearance between the pipe insulation and the sleeve, and, the sleeve will be sized to accommodate the specific mechanical seal size used for the conduit penetration. The space between the sleeve and the pipe casing, and the caulking and sealing materials shall be selected so there shall be NO electrical continuity between the pipe sleeve and the pipe casing when finished.

2.9.3.1 Pipe Sleeves Through Valve Manhole Cover

Insulation shall be continuous through sleeves as shown on the drawings. Aluminum jacket shall be provided over the insulation. Aluminum jacket shall be smooth sheet 0.016 inch nominal thickness, [ASTM B 209](#). Where penetrating valve manhole top, pipe shall be insulated as required for valve manhole service up to a point flush with the top of the flashing and the end of the insulation shall be sealed with waterproof coating. Insulation exposed to the weather shall butt tightly against the flashing and valve manhole insulation, and the aluminum jacket required for piping exposed to the weather shall extend 2 inches beyond the insulation to form a counterflashing. The entire valve manhole top penetration shall be flashed and counterflashed as shown on the drawings. Waterproof coating shall conform to [ASTM D 2822](#), Type I.

2.9.3.2 Pipe Sleeves for Conduit Penetrations

A modular mechanical type sealing assembly will be used between the valve manhole pipe sleeve and the conduit casing. The mechanical seal shall consist of interlocking elastomeric links shaped to continuously fill the annular space between the casing and sleeve. The link material shall be a synthetic elastomeric capable of withstanding long term exposure at 400 degrees F without deterioration. The links shall be attached to each other with corrosion resistant steel bolts, nuts and pressure plates. The link, bolts, nuts and pressure plates shall be the product of single manufacturer and shall be furnished as the product of single manufacturer and shall be furnished as a package or kit. The links shall be loosely assembled with bolts to form a continuous rubber belt around the casing with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolt shall cause the rubber sealing elements to expand and provide a watertight seal between the casing and the sleeve. The pipe sleeve diameter shall be sized so that no more than one half of the seal assembly's expansion capability is used to achieve a water seal.

2.9.4 Pipe Supports

Pipe Supports shall be in accordance with [MSS SP-58](#) and [MSS SP-69](#), type as shown. All pipe supports, including structural cross support members, shall be galvanized in accordance with Section [05500 MISCELLANEOUS METAL](#). Chains, straps, or single point supports shall not be used.

2.10 MISCELLANEOUS METAL

Miscellaneous metal not otherwise specified, shall conform to Section [05500 MISCELLANEOUS METAL](#). Miscellaneous metal bolted together, shop welded, or assembled in the field, and pipe supports, including structural cross support members and anchors, shall be hot-dip galvanized in accordance with Section [05500 MISCELLANEOUS METAL](#).

3 EXECUTION

3.1 SITE WORK

3.1.1 Excavation, Trenching, and Backfilling

Excavation, trenching, and backfilling of the valve manholes shall be as shown and in accordance with Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.1.2 Electric Work

Any wiring required for the operation of the equipment specified, but not shown on the electrical drawings, shall be provided under this section in accordance with Section 16370 ELECTRICAL DISTRIBUTION SYSTEM, AERIAL, and Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.

3.1.3 Painting

The heat affected zone of field welded galvanized surfaces and other galvanized surfaces damaged during installation shall be cleaned in compliance with SSPC SP 10/NACE 2, and painted in accordance with Section 09900 PAINTING, GENERAL. Steel and iron appurtenances, piping, and supports shall be cleaned in compliance with SSPC SP 10/NACE 2, and painted in accordance with SSPC Paint 16, coal-tar epoxy-polyamide.

3.2 PIPING

3.2.1 General

All piping in valve manholes shall be steel and insulated. Insulation shall be protected with an aluminum jacket. Pipe shall be accurately cut to measurements established at the site and shall be worked into place without springing or forcing. Pipe and insulation shall clear all openings and equipment. Excessive cutting or other weakening of structural members to facilitate piping installation will not be permitted. Burrs shall be removed from ends of pipe by reaming. Installation shall permit free expansion and contraction without damage to joints or hangers. Piping shall be installed in accordance with ASME B31.1. Joints for piping in valve manholes shall be welded, except at valves and dielectric isolation which shall be flanged. Supports, anchors, or stays shall not be attached where either expansion or the weight of the pipe will cause damage to permanent construction. The method of attaching supports shall not interfere with the operation of the cathodic protection system.

3.2.2 Welded Joints

Joints between sections of pipe, between sections of pipe and between sections of pipe and fittings shall be welded. The welding shall conform to the requirements specified in paragraph WELDING.

3.2.3 Flanged and Threaded Joints

3.2.3.1 Flanged Joints

Flanged joints shall be faced true, provided with gaskets, and made perfectly square and tight. Flanged joints shall be used only for

electrical isolation and in other special cases where connected equipment is available with only flanged joints, or when specifically shown on the drawings. Electrically isolated flange joints shall be provided at all connections to or from the heat distribution system and between dissimilar metals.

3.2.3.2 Threaded Joints

Threaded joints shall have graphite or inert filler and oil, graphite compound, or polytetrafluoroethylene tape applied to the male threads only. Unions shall be provided at all screwed valves, strainers and connections to equipment 3/4 inch and smaller. Dielectric unions shall be used at connections of dissimilar metals in 3/4 inch and smaller piping. When used on High Temperature Water Systems, threaded joints shall be seal welded.

3.2.4 Reducing Fittings

Eccentric reducers in horizontal runs shall be installed with the straight side down. Changes in horizontal piping sizes shall be made through eccentric reducing fittings.

3.2.5 Branch Connections

Branches from mains shall branch off top of mains as indicated or as approved. Connections shall ensure unrestricted circulation, elimination of air pockets, and shall permit the complete drainage of the system. Branch connections may be made with either welding tees or forged branch outlet fittings. Branch outlet fittings where used shall be forged and shall be no larger than two nominal pipe sizes smaller than the main run. Branch outlet fittings shall be reinforced to withstand external strains and designed to withstand full pipe bursting strength.

3.2.6 Pipe Supports in Valve Manholes

Horizontal and vertical runs of pipe in valve manholes shall be securely supported.

3.3 WELDING

Provide all pipe welding and testing of welds as specified in Section 05093 WELDING PRESSURE PIPING.

3.4 VALVE MANHOLES AND ACCESSORIES

3.4.1 Piping and Equipment in Valve Manholes

Piping and equipment in valve manholes shall be installed to provide easy access without stepping on piping or equipment, and to provide sufficient working room. Piping and equipment in valve manholes shall be installed and supported as shown on the drawings. All globe, angle and gate valves shall be installed with the stems horizontal or above.

3.4.2 Sump Pumps Installation

Sump pumps shall be installed as indicated. Pumps shall be connected to a dedicated electrical service. All electrical connections shall be hard

wired. Monitoring of each pump motor and the high water alarm shall be connected to the Energy Monitoring and Control System (EMCS). Coordinate electrical requirements of EMCS with Section 16415 ELECTRICAL WORK, INTERIOR. Electrical circuits to the sump pumps shall be dedicated circuits. All circuit breakers and switches in the electrical power distribution to the sump pumps shall be capable of being locked in the "ON" position and will be signed as follows:

THIS CIRCUIT SUPPLIES POWER TO THE ELECTRIC SUMP PUMPS IN THE UNDERGROUND DISTRIBUTION SYSTEM. THIS CIRCUIT MUST BE "ON" AT ALL TIMES; OTHERWISE EXTENSIVE DAMAGE WILL OCCUR TO THE UNDERGROUND HEAT DISTRIBUTION SYSTEM AND PREMATURE FAIL WILL OCCUR.

The words on the sign shall be stamped on a corrosion resistant metal plate with letters 3/8 inch high, and the plate shall be affixed permanently near the switch or circuit breaker.

3.5 TESTS

Tests of piping in the valve manholes will be performed as part of the testing of the direct buried conduit system. These tests shall include the piping in the valve manhole and shall be in accordance with the system supplier's Approved Brochure or the contract specifications.

SECTION 02630

STORM-DRAINAGE SYSTEM

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 346/346R (1990) Standard Specification for Cast-in-Place Nonreinforced Concrete Pipe and Recommendations

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO HB-16 (1996) Standard Specifications for Highway Bridges

AASHTO M 167 (1994) Corrugated Steel Structural Plate, Zinc Coated, for Field Bolted Pipe

AASHTO M 190 (1988) Bituminous Coated Corrugated Metal Culvert Pipe and Pipe Arches

AASHTO M 198 (1994) Joints for Circular Concrete Sewer and Culvert Pipe Using Flexible Watertight Gaskets

AASHTO M 219 (1992) Aluminum Alloy Structural Plate for Field Bolted Conduits

AASHTO M 243 (1994) Field Applied Coating of Corrugated Metal Structural Plate for Pipe, Pipe-Arches, and Arches

AASHTO M 294 (1994) Corrugated Polyethylene Pipe, 305- to 915- mm (12-to 36 in.) Diameter

AASHTO MP6 (1995) Corrugated Polyethylene Pipe 1050 and 1200 mm Diameter

AMERICAN RAILWAY ENGINEERING ASSOCIATION (AREA)

AREA-01 (1997) 1997-1998 Manual for Railway Engineering 4 Vol., Volume 1

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 48 (1994a) Gray Iron Castings

ASTM A 123/A 123M	(1997a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 536	(1984; R 1993) Ductile Iron Castings
ASTM A 716	(1995) Ductile Iron Culvert Pipe
ASTM A 742/A 742M	(1995) Steel Sheet, Metallic Coated and Polymer Precoated for Corrugated Steel Pipe
ASTM A 760/A 760M	(1997) Corrugated Steel Pipe, Metallic-Coated for Sewers and Drains
ASTM A 762/A 762M	(1997) Corrugated Steel Pipe, Polymer Precoated for Sewers and Drains
ASTM A 798/A 798M	(1997) Installing Factory-Made Corrugated Steel Pipe for Sewers and Other Applications
ASTM A 807	(1996) Installing Corrugated Steel Structural Plate Pipe for Sewers and Other Applications
ASTM A 849	(1996) Post-Applied Coatings, Pavings, and Linings for Corrugated Steel Sewer and Drainage Pipe
ASTM A 929/A 929M	(1996) Steel Sheet, Metallic-Coated by the Hot-Dip Process for Corrugated Steel Pipe
ASTM B 26/B 26M	(1997) Aluminum-Alloy Sand Castings
ASTM B 745/B 745M	(1995) Corrugated Aluminum Pipe for Sewers and Drains
ASTM C 12	(1995) Installing Vitrified Clay Pipe Lines
ASTM C 14	(1995) Concrete Sewer, Storm Drain, and Culvert Pipe
ASTM C 14M	(1995) Concrete Sewer, Storm Drain, and Culvert Pipe (Metric)
ASTM C 32	(1993) Sewer and Manhole Brick (Made from Clay or Shale)
ASTM C 55	(1997) Concrete Building Brick
ASTM C 62	(1997) Building Brick (Solid Masonry Units Made from Clay or Shale)
ASTM C 76	(1997) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe
ASTM C 76M	(1997) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe (Metric)

ASTM C 139	(1997) Concrete Masonry Units for Construction of Catch Basins and Manholes
ASTM C 231	(1997) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 270	(1997a) Mortar for Unit Masonry
ASTM C 425	(1997) Compression Joints for Vitrified Clay Pipe and Fittings
ASTM C 443	(1994) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets
ASTM C 478	(1997) Precast Reinforced Concrete Manhole Sections
ASTM C 478M	(1997) Precast Reinforced Concrete Manhole Sections (Metric)
ASTM C 506	(1995a) Reinforced Concrete Arch Culvert, Storm Drain, and Sewer Pipe
ASTM C 506M	(1995a) Reinforced Concrete Arch Culvert, Storm Drain, and Sewer Pipe (Metric)
ASTM C 507	(1995a) Reinforced Concrete Elliptical Culvert, Storm Drain, and Sewer Pipe
ASTM C 507M	(1995a) Reinforced Concrete Elliptical Culvert, Storm Drain, and Sewer Pipe (Metric)
ASTM C 655	(1995a) Reinforced Concrete D-Load Culvert, Storm Drain, and Sewer Pipe
ASTM C 700	(1997) Vitrified Clay Pipe, Extra Strength, Standard Strength, and Perforated
ASTM C 789	(1995a) Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers
ASTM C 828	(1990; R 1996) Low-Pressure Air Test of Vitrified Clay Pipe Lines
ASTM C 850	(1995a) Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers with Less Than 2 ft of Cover Subjected to Highway Loadings
ASTM C 877	(1994) External Sealing Bands for Noncircular Concrete Sewer, Storm Drain, and Culvert Pipe
ASTM C 924	(1989; R 1997) Concrete Pipe Sewer Lines by Low-Pressure Air Test Method

- ASTM C 1103 (1994) Joint Acceptance Testing of Installed Precast Concrete Pipe Sewer Lines
- ASTM C 1103M (1994) Joint Acceptance Testing of Installed Precast Concrete Pipe Sewer Lines (Metric)
- ASTM D 1056 (1991) Flexible Cellular Materials - Sponge or Expanded Rubber
- ASTM D 1171 (1994) Rubber Deterioration - Surface Ozone Cracking Outdoors or Chamber (Triangular Specimens)
- ASTM D 1557 (1991) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu.m.))
- ASTM D 1751 (1983; R 1991) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
- ASTM D 1752 (1984; R 1996) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
- ASTM D 1784 (1997) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
- ASTM D 2167 (1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
- ASTM D 2321 (1989; R 1995) Underground Installation of Thermoplastic Pipe for Sewers and Other Gravity-Flow Applications
- ASTM D 2922 (1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
- ASTM D 3017 (1988; R 1993) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
- ASTM D 3034 (1994) Type PSM Poly(Vinyl Chloride) (PVC) Sewer Pipe and Fittings
- ASTM D 3212 (1996a) Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
- ASTM D 3350 (1996) Polyethylene Plastics Pipe and Fittings Materials
- ASTM F 477 (1995) Elastomeric Seals (Gaskets) for Joining Plastic Pipe

ASTM F 679	(1995) Poly(Vinyl Chloride) (PVC) Large-Diameter Plastic Gravity Sewer Pipe and Fittings
ASTM F 714	(1994) Polyethylene (PE) Plastic Pipe (SDR-PR) Based on Outside Diameter
ASTM F 794	(1995a) Poly(Vinyl Chloride) (PVC) Profile Gravity Sewer Pipe and Fittings Based on Controlled Inside Diameter
ASTM F 894	(1995) Polyethylene (PE) Large Diameter Profile Wall Sewer and Drain Pipe
ASTM F 949	(1994) Poly(Vinyl Chloride) (PVC) Corrugated Sewer Pipe with a Smooth Interior and Fittings
ASTM F 1417	(1992) Installation Acceptance of Plastic Gravity Sewer Lines Using Low-Pressure Air

1.2 DELIVERY, STORAGE, AND HANDLING

1.2.1 Delivery and Storage

Materials delivered to site shall be inspected for damage, unloaded, and stored with a minimum of handling. Materials shall not be stored directly on the ground. The inside of pipes and fittings shall be kept free of dirt and debris. Before, during, and after installation, plastic pipe and fittings shall be protected from any environment that would result in damage or deterioration to the material. The Contractor shall have a copy of the manufacturer's instructions available at the construction site at all times and shall follow these instructions unless directed otherwise by the Contracting Officer. Solvents, solvent compounds, lubricants, elastomeric gaskets, and any similar materials required to install plastic pipe shall be stored in accordance with the manufacturer's recommendations and shall be discarded if the storage period exceeds the recommended shelf life. Solvents in use shall be discarded when the recommended pot life is exceeded.

1.2.2 Handling

Materials shall be handled in a manner that ensures delivery to the trench in sound, undamaged condition. Pipe shall be carried to the trench, not dragged.

2 PRODUCTS

2.1 PIPE FOR CULVERTS AND STORM DRAINS

Pipe for culverts and storm drains shall be of the sizes indicated and shall conform to the requirements specified.

2.1.1 Concrete Pipe

ASTM C 76, Class III, or ASTM C 655, D-Load.

2.1.1.1 Reinforced Elliptical Culvert and Storm Drainpipe

ASTM C 507. Horizontal elliptical pipe shall be Class HE-III.

2.1.1.2 Cast-In-Place Nonreinforced Conduit

ACI 346/346R, except that testing shall be the responsibility of and at the expense of the Contractor. In the case of other conflicts between ACI 346/346R and project specifications, requirements of ACI 346/346R shall govern.

2.1.2 Ductile Iron Culvert Pipe

ASTM A 716.

2.1.3 PVC Pipe (8 inch and Smaller)

PVC pipe and fittings shall conform to dimensional requirements of ASTM D 1785, Schedule 80, with solvent weld joints. PVC material shall be in accordance with ASTM D 1784, minimum cell class 12454-B.

2.1.4 PE Pipe

The pipe manufacturer's resin certification indicating the cell classification of PE used to manufacture the pipe shall be submitted prior to installation of the pipe. The minimum cell classification for polyethylene plastic shall apply to each of the seven primary properties of the cell classification limits in accordance with ASTM D 3350.

2.1.4.1 Corrugated PE Pipe

AASHTO M 294, Type S, for pipes 12 to 36 inches and AASHTO MP6, Type S or D, for pipes 42 to 48 inches produced from PE certified by the resin producer as meeting the requirements of ASTM D 3350, minimum cell class 315412C or 324420C. Pipe walls shall have the following properties:

Nominal Size (in.)	Minimum Wall Area (square in/ft)	Minimum Moment of Inertia of Wall Section (in to the 4th/in)
12	1.50	0.024
15	1.91	0.053
18	2.34	0.062
24	3.14	0.116
30	3.92	0.163
36	4.50	0.222
42	4.69	0.543
48	5.15	0.543

2.2 DRAINAGE STRUCTURES

2.2.1 Flared End Sections

Sections shall be of a standard design fabricated from zinc coated steel sheets meeting requirements of ASTM A 929/A 929M.

2.2.2 Precast Reinforced Concrete Box

For highway loadings with 2 feet of cover or more or subjected to dead load only, [ASTM C 789](#); for less than 2 feet of cover subjected to highway loading, [ASTM C 850](#).

2.3 MISCELLANEOUS MATERIALS

2.3.1 Concrete

Unless otherwise specified, concrete and reinforced concrete shall conform to the requirements for 4,000 psi concrete under Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. The concrete mixture shall have air content by volume of concrete, based on measurements made immediately after discharge from the mixer, of 5 to 7 percent when maximum size of coarse aggregate exceeds 1-1/2 inches. Air content shall be determined in accordance with [ASTM C 231](#). The concrete covering over steel reinforcing shall not be less than 1 inch thick for covers and not less than 1-1/2 inches thick for walls and flooring. Concrete covering deposited directly against the ground shall have a thickness of at least 3 inches between steel and ground. Expansion-joint filler material shall conform to [ASTM D 1751](#), or [ASTM D 1752](#), or shall be resin-impregnated fiberboard conforming to the physical requirements of [ASTM D 1752](#).

2.3.2 Mortar

Mortar for pipe joints, connections to other drainage structures, and brick or block construction shall conform to [ASTM C 270](#), Type M, except that the maximum placement time shall be 1 hour. The quantity of water in the mixture shall be sufficient to produce a stiff workable mortar but in no case shall exceed 5 gallons of water per sack of cement. Water shall be clean and free of harmful acids, alkalies, and organic impurities. The mortar shall be used within 30 minutes after the ingredients are mixed with water. The inside of the joint shall be wiped clean and finished smooth. The mortar head on the outside shall be protected from air and sun with a proper covering until satisfactorily cured.

2.3.3 Precast Concrete Segmental Blocks

Precast concrete segmental block shall conform to [ASTM C 139](#), not more than 8 inches thick, not less than 8 inches long, and of such shape that joints can be sealed effectively and bonded with cement mortar.

2.3.4 Brick

Brick shall conform to [ASTM C 62](#), Grade SW; [ASTM C 55](#), Grade S-I or S-II; or [ASTM C 32](#), Grade MS. Mortar for jointing and plastering shall consist of one part portland cement and two parts fine sand. Lime may be added to the mortar in a quantity not more than 25 percent of the volume of cement. The joints shall be filled completely and shall be smooth and free from surplus mortar on the inside of the structure. Brick structures shall be plastered with 1/2 inch of mortar over the entire outside surface of the walls. For square or rectangular structures, brick shall be laid in stretcher courses with a header course every sixth course. For round structures, brick shall be laid radially with every sixth course a stretcher course.

2.3.5 Precast Reinforced Concrete Manholes

Precast reinforced concrete manholes shall conform to [ASTM C 478](#). Joints between precast concrete risers and tops shall be made with flexible watertight, rubber-type gaskets meeting the requirements of paragraph JOINTS.

2.3.6 Frame and Cover for Gratings

Frame and cover for gratings shall be cast gray iron, [ASTM A 48](#), Class 35B; cast ductile iron, [ASTM A 536](#), Grade 65-45-12; or cast aluminum, [ASTM B 26/B 26M](#), Alloy 356.OT6. Weight, shape, size, and waterway openings for grates and curb inlets shall be as indicated on the plans.

2.3.7 Joints

2.3.7.1 Flexible Watertight Joints

a. Materials: Flexible watertight joints shall be made with plastic or rubber-type gaskets for concrete pipe and with factory-fabricated resilient materials for clay pipe. The design of joints and the physical requirements for plastic gaskets shall conform to [AASHTO M 198](#), and rubber-type gaskets shall conform to [ASTM C 443](#). Factory-fabricated resilient joint materials shall conform to [ASTM C 425](#). Gaskets shall have not more than one factory-fabricated splice, except that two factory-fabricated splices of the rubber-type gasket are permitted if the nominal diameter of the pipe being gasketed exceeds 54 inches.

b. Test Requirements: Watertight joints shall be tested and shall meet test requirements of paragraph HYDROSTATIC TEST ON WATERTIGHT JOINTS. Rubber gaskets shall comply with the oil resistant gasket requirements of [ASTM C 443](#). Certified copies of test results shall be delivered to the Contracting Officer before gaskets or jointing materials are installed. Alternate types of watertight joint may be furnished, if specifically approved.

2.3.7.2 Flexible Watertight, Gasketed Joints

a. Gaskets: When infiltration or exfiltration is a concern for pipe lines, the couplings may be required to have gaskets. The closed-cell expanded rubber gaskets shall be a continuous band approximately 7 inches wide and approximately 3/8 inch thick, meeting the requirements of [ASTM D 1056](#), Type 2 B3, and shall have a quality retention rating of not less than 70 percent when tested for weather resistance by ozone chamber exposure, Method B of [ASTM D 1171](#). Rubber O-ring gaskets shall be 13/16 inch in diameter for pipe diameters of 36 inches or smaller and 7/8 inch in diameter for larger pipe having 1/2 inch deep end corrugation. Rubber O-ring gaskets shall be 1-3/8 inches in diameter for pipe having 1 inch deep end corrugations. O-rings shall meet the requirements of [AASHTO M 198](#) or [ASTM C 443](#). Flexible plastic gaskets shall conform to requirements of [AASHTO M 198](#), Type B.

b. Connecting Bands: Connecting bands shall be of the type, size and sheet thickness of band, and the size of angles, bolts, rods and lugs as indicated or where not indicated as specified in the

applicable standards or specifications for the pipe. Exterior rivet heads in the longitudinal seam under the connecting band shall be countersunk or the rivets shall be omitted and the seam welded. Watertight joints shall be tested and shall meet the test requirements of paragraph HYDROSTATIC TEST ON WATERTIGHT JOINTS.

2.3.7.3 Corrugated PE Plastic Pipe

Water tight joints shall be made using a PVC or PE coupling and rubber gaskets as recommended by the pipe manufacturer. Rubber gaskets shall conform to [ASTM F 477](#). Soil tight joints shall conform to the requirements in [AASHTO HB-16](#), Division II, Section 26.4.2.4. (e) for soil tightness and shall be as recommended by the pipe manufacturer.

2.3.7.4 Ductile Iron Pipe

Couplings and fittings shall be as recommended by the pipe manufacturer.

2.4 STEEL LADDER

Steel ladder shall be provided where the depth of the manhole exceeds 12 feet. These ladders shall be not less than 16 inches in width, with 3/4 inch diameter rungs spaced 12 inches apart. The two stringers shall be a minimum 3/8 inch thick and 2-1/2 inches wide. Ladders and inserts shall be galvanized after fabrication in conformance with [ASTM A 123/A 123M](#).

2.5 DOWNSPOUT BOOTS

Boots used to connect exterior downspouts to the storm-drainage system shall be of gray cast iron conforming to [ASTM A 48](#), Class 30B or 35B. Shape and size shall be as indicated.

2.6 HYDROSTATIC TEST ON WATERTIGHT JOINTS

2.6.1 Concrete, Clay, PVC and PE Pipe

A hydrostatic test shall be made on the watertight joint types as proposed. Only one sample joint of each type needs testing; however, if the sample joint fails because of faulty design or workmanship, an additional sample joint may be tested. During the test period, gaskets or other jointing material shall be protected from extreme temperatures which might adversely affect the performance of such materials. Performance requirements for joints in reinforced and nonreinforced concrete pipe shall conform to [AASHTO M 198](#) or [ASTM C 443](#). Test requirements for joints in PVC and PE plastic pipe shall conform to [ASTM D 3212](#).

2.6.2 Corrugated Steel and Aluminum Pipe

A hydrostatic test shall be made on the watertight joint system or coupling band type proposed. The moment strength required of the joint is expressed as 15 percent of the calculated moment capacity of the pipe on a transverse section remote from the joint by the [AASHTO HB-16](#) (Division II, Section 26). The pipe shall be supported for the hydrostatic test with the joint located at the point which develops 15 percent of the moment capacity of the pipe based on the allowable span in feet for the pipe flowing full or 40,000 foot-pounds, whichever is less. Performance requirements shall be met at an internal hydrostatic pressure of 10 psi for a 10 minute period for both

annular corrugated metal pipe and helical corrugated metal pipe with factory reformed ends.

3 EXECUTION

3.1 EXCAVATION FOR PIPE CULVERTS, STORM DRAINS, AND DRAINAGE STRUCTURES

Excavation of trenches, and for appurtenances and backfilling for culverts and storm drains, shall be in accordance with the applicable portions of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS and the requirements specified below.

3.1.1 Trenching

The width of trenches at any point below the top of the pipe shall be not greater than the outside diameter of the pipe plus 24 inches to permit satisfactory jointing and thorough tamping of the bedding material under and around the pipe. Sheet piling and bracing, where required, shall be placed within the trench width as specified. Contractor shall not overexcavate. Where trench widths are exceeded, redesign with a resultant increase in cost of stronger pipe or special installation procedures will be necessary. Cost of this redesign and increased cost of pipe or installation shall be borne by the Contractor without additional cost to the Government.

3.1.2 Removal of Rock

Rock in either ledge or boulder formation shall be replaced with suitable materials to provide a compacted earth cushion having a thickness between unremoved rock and the pipe of at least 8 inches or 1/2 inch for each foot of fill over the top of the pipe, whichever is greater, but not more than three-fourths the nominal diameter of the pipe. Where bell-and-spigot pipe is used, the cushion shall be maintained under the bell as well as under the straight portion of the pipe. Rock excavation shall be as specified and defined in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.1.3 Removal of Unstable Material

Where wet or otherwise unstable soil incapable of properly supporting the pipe, as determined by the Contracting Officer, is unexpectedly encountered in the bottom of a trench, such material shall be removed to the depth required and replaced to the proper grade with select granular material, compacted as provided in paragraph BACKFILLING. When removal of unstable material is due to the fault or neglect of the Contractor in his performance of shoring and sheet piling, water removal, or other specified requirements, such removal and replacement shall be performed at no additional cost to the government.

3.2 BEDDING

The bedding surface for the pipe shall provide a firm foundation of uniform density throughout the entire length of the pipe.

3.2.1 Concrete Pipe Requirements

When no bedding class is specified or detailed on the drawings, concrete pipe shall be bedded in a soil foundation accurately shaped and rounded to

conform to the lowest one-fourth of the outside portion of circular pipe or to the lower curved portion of pipe arch for the entire length of the pipe or pipe arch. When necessary, the bedding shall be tamped. Bell holes and depressions for joints shall be not more than the length, depth, and width required for properly making the particular type of joint.

3.2.2 Corrugated Metal Pipe

Bedding for corrugated metal pipe and pipe arch shall be in accordance with [ASTM A 798/A 798M](#). It is not required to shape the bedding to the pipe geometry. However, for pipe arches, the Contractor shall either shape the bedding to the relatively flat bottom arc or fine grade the foundation to a shallow v-shape. Bedding for corrugated structural plate pipe shall meet requirements of [ASTM A 807](#).

3.2.3 Ductile Iron Pipe

Bedding for ductile iron pipe shall be as shown on the drawings.

3.2.4 Plastic Pipe

Bedding for PVC and PE pipe shall meet the requirements of [ASTM D 2321](#). Bedding, haunching, and initial backfill shall be either Class IB or II material.

3.3 PLACING PIPE

Each pipe shall be thoroughly examined before being laid; defective or damaged pipe shall not be used. Plastic pipe shall be protected from exposure to direct sunlight prior to laying, if necessary to maintain adequate pipe stiffness and meet installation deflection requirements. Pipelines shall be laid to the grades and alignment indicated. Proper facilities shall be provided for lowering sections of pipe into trenches. Lifting lugs in vertically elongated metal pipe shall be placed in the same vertical plane as the major axis of the pipe. Pipe shall not be laid in water, and pipe shall not be laid when trench conditions or weather are unsuitable for such work. Diversion of drainage or dewatering of trenches during construction shall be provided as necessary. Deflection of installed flexible pipe shall not exceed the following limits:

TYPE OF PIPE	MAXIMUM ALLOWABLE DEFLECTION (%)
Corrugated Steel and Aluminum Alloy	5
Concrete-Lined Corrugated Steel	3
Ductile Iron Culvert	3
Plastic	7.5

Not less than 30 days after the completion of backfilling, the Government may perform a deflection test on the entire length of installed flexible pipe using a mandrel or other suitable device. Installed flexible pipe showing deflections greater than those indicated above shall be retested by

a run from the opposite direction. If the retest also fails, the suspect pipe shall be replaced at no cost to the Government.

3.3.1 Concrete, Clay, PVC, Ribbed PVC and Ductile Iron Pipe

Laying shall proceed upgrade with spigot ends of bell-and-spigot pipe and tongue ends of tongue-and-groove pipe pointing in the direction of the flow.

3.3.2 Elliptical and Elliptical Reinforced Concrete Pipe

The manufacturer's reference lines, designating the top of the pipe, shall be within 5 degrees of a vertical plane through the longitudinal axis of the pipe, during placement. Damage to or misalignment of the pipe shall be prevented in all backfilling operations.

3.3.3 Corrugated PE Pipe

Laying shall be with the separate sections joined firmly on a bed shaped to line and grade and shall follow manufacturer's recommendations.

3.3.4 Corrugated Metal Pipe and Pipe Arch

Laying shall be with the separate sections joined firmly together, with the outside laps of circumferential joints pointing upstream, and with longitudinal laps on the sides. Part paved pipe shall be installed so that the centerline of bituminous pavement in the pipe, indicated by suitable markings on the top at each end of the pipe sections, coincides with the specified alignment of pipe. Fully paved steel pipe or pipe arch shall have a painted or otherwise applied label inside the pipe or pipe arch indicating sheet thickness of pipe or pipe arch. Any unprotected metal in the joints shall be coated with bituminous material as specified in [AASHTO M 190](#) or [AASHTO M 243](#). Interior coating shall be protected against damage from insertion or removal of struts or tie wires. Lifting lugs shall be used to facilitate moving pipe without damage to exterior or interior coatings. During installation, pipe or pipe arch shall be handled with care to preclude damage to the bituminous coating or paving. Prior to placing backfill, damaged areas of coupling bands and pipe shall be given a coating of bituminous material, as specified in [AASHTO M 190](#) or [AASHTO M 243](#). Pipe on which bituminous coating has been damaged to such an extent that satisfactory field repairs cannot be made shall be removed and replaced. Vertical elongation, where indicated, shall be accomplished by factory elongation. Suitable markings or properly placed lifting lugs shall be provided to ensure placement of factory elongated pipe in a vertical plane.

3.3.5 Multiple Culverts

Where multiple lines of pipe are installed, adjacent sides of pipe shall be at least half the nominal pipe diameter or 3 feet apart, whichever is less.

3.3.6 Jacking Pipe Through Fills

Methods of operation and installation for jacking pipe through fills shall conform to requirements specified in Volume 1, Chapter 1, Part 4 of [AREA-01](#).

3.4 JOINTING

3.4.1 Concrete Pipe

3.4.1.1 Flexible Watertight Joints

Gaskets and jointing materials shall be as recommended by the particular manufacturer in regard to use of lubricants, cements, adhesives, and other special installation requirements. Surfaces to receive lubricants, cements, or adhesives shall be clean and dry. Gaskets and jointing materials shall be affixed to the pipe not more than 24 hours prior to the installation of the pipe, and shall be protected from the sun, blowing dust, and other deleterious agents at all times. Gaskets and jointing materials shall be inspected before installing the pipe; any loose or improperly affixed gaskets and jointing materials shall be removed and replaced. The pipe shall be aligned with the previously installed pipe, and the joint pushed home. If, while the joint is being made the gasket becomes visibly dislocated the pipe shall be removed and the joint remade.

3.4.2 Corrugated Metal Pipe

3.4.2.1 Flexible Watertight, Gasketed Joints

Installation shall be as recommended by the gasket manufacturer for use of lubricants and cements and other special installation requirements. The gasket shall be placed over one end of a section of pipe for half the width of the gasket. The other half shall be doubled over the end of the same pipe. When the adjoining section of pipe is in place, the doubled-over half of the gasket shall then be rolled over the adjoining section. Any unevenness in overlap shall be corrected so that the gasket covers the end of pipe sections equally. Connecting bands shall be centered over adjoining sections of pipe, and rods or bolts placed in position and nuts tightened. Band Tightening: The band shall be tightened evenly, even tension being kept on the rods or bolts, and the gasket; the gasket shall seat properly in the corrugations. Watertight joints shall remain uncovered for a period of time designated, and before being covered, tightness of the nuts shall be measured with a torque wrench. If the nut has tended to loosen its grip on the bolts or rods, the nut shall be retightened with a torque wrench and remain uncovered until a tight, permanent joint is assured.

3.5 DRAINAGE STRUCTURES

3.5.1 Manholes and Inlets

Construction shall be of reinforced concrete, plain concrete, brick, precast reinforced concrete, precast concrete segmental blocks, prefabricated corrugated metal, or bituminous coated corrugated metal; complete with frames and covers or gratings; and with fixed galvanized steel ladders where indicated. Pipe studs and junction chambers of prefabricated corrugated metal manholes shall be fully bituminous-coated and paved when the connecting branch lines are so treated.

3.5.2 Walls and Headwalls

Construction shall be as indicated.

3.6 STEEL LADDER INSTALLATION

Ladder shall be adequately anchored to the wall by means of steel inserts spaced not more than 6 feet vertically, and shall be installed to provide at least 6 inches of space between the wall and the rungs. The wall along the line of the ladder shall be vertical for its entire length.

3.7 BACKFILLING

3.7.1 Backfilling Pipe in Trenches

After the pipe has been properly bedded, selected material from excavation or borrow, at a moisture content that will facilitate compaction, shall be placed along both sides of pipe in layers not exceeding 6 inches in compacted depth. The backfill shall be brought up evenly on both sides of pipe for the full length of pipe. The fill shall be thoroughly compacted under the haunches of the pipe. Each layer shall be thoroughly compacted with mechanical tampers or rammers. This method of filling and compacting shall continue until the fill has reached an elevation of at least 12 inches above the top of the pipe. The remainder of the trench shall be backfilled and compacted by spreading and rolling or compacted by mechanical rammers or tampers in layers not exceeding 12 inches. Tests for density shall be made as necessary to ensure conformance to the compaction requirements specified below. Where it is necessary, in the opinion of the Contracting Officer, that sheeting or portions of bracing used be left in place, the contract will be adjusted accordingly. Untreated sheeting shall not be left in place beneath structures or pavements.

3.7.2 Backfilling Pipe in Fill Sections

For pipe placed in fill sections, backfill material and the placement and compaction procedures shall be as specified below. The fill material shall be uniformly spread in layers longitudinally on both sides of the pipe, not exceeding 6 inches in compacted depth, and shall be compacted by rolling parallel with pipe or by mechanical tamping or ramming. Prior to commencing normal filling operations, the crown width of the fill at a height of 12 inches above the top of the pipe shall extend a distance of not less than twice the outside pipe diameter on each side of the pipe or 12 feet, whichever is less. After the backfill has reached at least 12 inches above the top of the pipe, the remainder of the fill shall be placed and thoroughly compacted in layers not exceeding 12 inches.

3.7.3 Movement of Construction Machinery

When compacting by rolling or operating heavy equipment parallel with the pipe, displacement of or injury to the pipe shall be avoided. Movement of construction machinery over a culvert or storm drain at any stage of construction shall be at the Contractor's risk. Any damaged pipe shall be repaired or replaced.

3.7.4 Compaction

3.7.4.1 General Requirements

Cohesionless materials include gravels, gravel-sand mixtures, sands, and gravelly sands. Cohesive materials include clayey and silty gravels, gravel-silt mixtures, clayey and silty sands, sand-clay mixtures, clays,

silts, and very fine sands. When results of compaction tests for moisture-density relations are recorded on graphs, cohesionless soils will show straight lines or reverse-shaped moisture-density curves, and cohesive soils will show normal moisture-density curves.

3.7.4.2 Minimum Density

Backfill over and around the pipe and backfill around and adjacent to drainage structures shall be compacted at the approved moisture content to the following applicable minimum density, which will be determined as specified below.

- a. Under airfield and heliport pavements, paved roads, streets, parking areas, and similar-use pavements including adjacent shoulder areas, the density shall be not less than 90 percent of maximum density for cohesive material and 95 percent of maximum density for cohesionless material, up to the elevation where requirements for pavement subgrade materials and compaction shall control.
- b. Under unpaved or turfed traffic areas, density shall not be less than 90 percent of maximum density for cohesive material and 95 percent of maximum density for cohesionless material.
- c. Under nontraffic areas, density shall be not less than that of the surrounding material.

3.7.5 Determination of Density

Testing shall be the responsibility of the Contractor and performed at no additional cost to the Government. Testing shall be performed by an approved commercial testing laboratory or by the Contractor subject to approval. Tests shall be performed in sufficient number to ensure that specified density is being obtained. Laboratory tests for moisture-density relations shall be made in accordance with [ASTM D 1557](#) except that mechanical tampers may be used provided the results are correlated with those obtained with the specified hand tamper. Field density tests shall be determined in accordance with [ASTM D 2167](#) or [ASTM D 2922](#). When [ASTM D 2922](#) is used, the calibration curves shall be checked and adjusted, if necessary, using the sand cone method as described in paragraph Calibration of the referenced publications. [ASTM D 2922](#) results in a wet unit weight of soil and when using this method [ASTM D 3017](#) shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall be checked along with density calibration checks as described in [ASTM D 3017](#) or [ASTM D 2922](#). Test results shall be furnished the Contracting Officer. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed.

3.8 PIPELINE TESTING

Lines shall be tested for leakage by low pressure air or water testing or exfiltration tests, as appropriate. Low pressure air testing for vitrified clay pipes shall conform to [ASTM C 828](#). Low pressure air testing for concrete pipes shall conform to [ASTM C 924](#). Low pressure air testing for plastic pipe shall conform to [ASTM F 1417](#). Low pressure air testing procedures for other pipe materials shall use the pressures and testing

times prescribed in ASTM C 828 or ASTM C 924, after consultation with the pipe manufacturer. Testing of individual joints for leakage by low pressure air or water shall conform to ASTM C 1103. Prior to exfiltration tests, the trench shall be backfilled up to at least the lower half of the pipe. If required, sufficient additional backfill shall be placed to prevent pipe movement during testing, leaving the joints uncovered to permit inspection. Visible leaks encountered shall be corrected regardless of leakage test results. When the water table is 2 feet or more above the top of the pipe at the upper end of the pipeline section to be tested, infiltration shall be measured using a suitable weir or other device acceptable to the Contracting Officer. An exfiltration test shall be made by filling the line to be tested with water so that a head of at least 2 feet is provided above both the water table and the top of the pipe at the upper end of the pipeline to be tested. The filled line shall be allowed to stand until the pipe has reached its maximum absorption, but not less than 4 hours. After absorption, the head shall be reestablished. The amount of water required to maintain this water level during a 2-hour test period shall be measured. Leakage as measured by the exfiltration test shall not exceed 0.2 gallons per inch in diameter per 100 feet of pipeline per hour. When leakage exceeds the maximum amount specified, satisfactory correction shall be made and retesting accomplished. Testing, correcting, and retesting shall be made at no additional cost to the Government.

SECTION 02722

AGGREGATE AND/OR GRADED-CRUSHED AGGREGATE BASE COURSE

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO T 180 (1993) Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and an 457 mm (18-in) Drop

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 29/C 29M (1997) Bulk Density ("Unit Weight") and Voids in Aggregate

ASTM C 88 (1990) Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate

ASTM C 117 (1995) Materials Finer Than 75 micrometer (No. 200) Sieve in Mineral Aggregates by Washing

ASTM C 127 (1988; R 1993) Specific Gravity and Absorption of Course Aggregate

ASTM C 128 (1993) Specific Gravity and Absorption of Fine Aggregate

ASTM C 131 (1996) Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine

ASTM C 136 (1996a) Sieve Analysis of Fine and Coarse Aggregates

ASTM D 75 (1987; R 1992) Sampling Aggregates

ASTM D 422 (1963; R 1990) Particle-Size Analysis of Soils

ASTM D 1556 (1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method

ASTM D 1557 (1991) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))

ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2487	(1993) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 3017	(1996) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
ASTM D 4318	(1995a) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM E 11	(1995) Wire Cloth Sieves for Testing Purposes

1.2 DEFINITIONS

For the purposes of this specification, the following definitions apply.

1.2.1 Aggregate Base Course

Aggregate base course (ABC) is well graded, durable aggregate uniformly moistened and mechanically stabilized by compaction.

1.2.2 Graded-crushed Aggregate Base Course

Graded-crushed aggregate (GCA) base course is well graded, crushed, durable aggregate uniformly moistened and mechanically stabilized by compaction. GCA is similar to ABC, but it has more stringent requirements and it produces a base course with higher strength and stability.

1.2.3 Degree of Compaction

Degree of compaction shall be expressed as a percentage of the maximum density obtained by the test procedure presented in [AASHTO T 180](#), Method D.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Reports

Field Density Tests.

Calibration curves and related test results prior to using the device or equipment being calibrated. Copies of field test results within 24 hours after the tests are performed. Certified copies of test results for approval not less than 30 days before material is required for the work.

1.4 SAMPLING AND TESTING

Sampling and testing shall be the responsibility of the Contractor. Sampling and testing shall be performed by a testing laboratory approved in accordance with Section [01451](#) CONTRACTOR QUALITY CONTROL. Work requiring

testing will not be permitted until the testing laboratory has been inspected and approved. The materials shall be tested to establish compliance with the specified requirements; testing shall be performed at the specified frequency. The Contracting Officer may specify the time and location of the tests. Copies of test results shall be furnished to the Contracting Officer within 24 hours of completion of the tests.

1.4.1 Sampling

Samples for laboratory testing shall be taken in conformance with [ASTM D 75](#). When deemed necessary, the sampling will be observed by the Contracting Officer.

1.4.2 Tests

The following tests shall be performed in conformance with the applicable standards listed.

1.4.2.1 Sieve Analysis

Sieve analysis shall be made in conformance with [ASTM C 117](#) and [ASTM C 136](#). Sieves shall conform to [ASTM E 11](#).

1.4.2.2 Liquid Limit and Plasticity Index

Liquid limit and plasticity index shall be determined in accordance with [ASTM D 4318](#).

1.4.2.3 Moisture-Density Determinations

The maximum density and optimum moisture content shall be determined in accordance with [AASHTO T 180](#), Method D.

1.4.2.4 Field Density Tests

Density shall be field measured in accordance with [ASTM D 2922](#). For the method presented in [ASTM D 2922](#) the calibration curves shall be checked and adjusted if necessary using only the sand cone method as described in paragraph Calibration, of the ASTM publication. Tests performed in accordance with [ASTM D 2922](#) result in a wet unit weight of soil and when using this method, [ASTM D 3017](#) shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in [ASTM D 3017](#). The calibration checks of both the density and moisture gauges shall be made by the prepared containers of material method, as described in paragraph Calibration of [ASTM D 2922](#), on each different type of material being tested at the beginning of a job and at intervals as directed.

1.4.3 Testing Frequency

1.4.3.1 Initial Tests

One of each of the following tests shall be performed on the proposed material prior to commencing construction to demonstrate that the proposed material meets all specified requirements when furnished. If materials from

more than one source are going to be utilized, this testing shall be completed for each source.

- a. Liquid limit and plasticity index moisture-density relationship.
- b. Moisture-density relationship.

1.4.3.2 In Place Tests

One of each of the following tests shall be performed on samples taken from the placed and compacted ABC and GCA. Samples shall be taken and tested at the rates indicated.

a. Density tests shall be performed on every lift of material placed and at a frequency of one set of tests for every 250 square yards, or portion thereof, of completed area.

b. Liquid limit and plasticity index tests shall be performed at the same frequency as the sieve analysis.

1.4.4 Approval of Material

The source of the material shall be selected 30 days prior to the time the material will be required in the work. Tentative approval of material will be based on initial test results. Final approval of the materials will be based on sieve analysis, liquid limit, and plasticity index tests performed on samples taken from the completed and fully compacted ABC and GCA.

1.5 WEATHER LIMITATIONS

Construction shall be done when the atmospheric temperature is above 35 degrees F. When the temperature falls below 35 degrees F, the Contractor shall protect all completed areas by approved methods against detrimental effects of freezing. Completed areas damaged by freezing, rainfall, or other weather conditions shall be corrected to meet specified requirements.

1.6 PLANT, EQUIPMENT, AND TOOLS

All plant, equipment, and tools used in the performance of the work will be subject to approval before the work is started and shall be maintained in satisfactory working condition at all times. The equipment shall be adequate and shall have the capability of producing the required compaction, meeting grade controls, thickness control, and smoothness requirements as set forth herein.

2 PRODUCTS

2.1 GRADED AGGREGATES

The GCA shall consist of clean, sound, durable particles of crushed stone, crushed gravel, angular sand, or other approved material. GCA shall be free of silt and clay as defined by [ASTM D 2487](#), organic matter, and other objectionable materials or coatings. The portion retained on the No. 4 sieve shall be known as coarse aggregate; that portion passing the No. 4 sieve shall be known as fine aggregate.

2.1.1 Coarse Aggregate

Coarse aggregates shall be angular particles of uniform density. When the coarse aggregate is supplied from more than one source, aggregate from each source shall meet the specified requirements and shall be stockpiled separately.

a. Crushed Gravel: Crushed gravel shall be manufactured by crushing gravels, and shall meet all the requirements specified below.

b. Crushed Stone: Crushed stone shall consist of freshly mined quarry rock, and shall meet all the requirements specified below.

c. Limerock: Limerock shall be obtained from a State of Georgia, Department of Transportation (GDOT) approved quarry site and in accordance with Section 815.02, "Unconsolidated Limerock Base".

2.1.1.1 Graded-Crushed Aggregate Base Course

GCA shall be in accordance with the State of Georgia, Standard Specifications, Construction of Road and Bridges, Section 815 - Graded Aggregate.

2.1.2 Liquid Limit and Plasticity Index

Liquid limit and plasticity index requirements shall apply to the completed course and shall also apply to any component that is blended to meet the required gradation. The portion of any component or of the completed course passing the No. 40 sieve shall be either nonplastic or have a liquid limit not greater than 25 and a plasticity index not greater than 5.

3 EXECUTION

3.1 GENERAL REQUIREMENTS

When the GCA is constructed in more than one layer, the previously constructed layer shall be cleaned of loose and foreign matter by sweeping with power sweepers or power brooms, except that hand brooms may be used in areas where power cleaning is not practicable. Adequate drainage shall be provided during the entire period of construction to prevent water from collecting or standing on the working area. Line and grade stakes shall be provided as necessary for control. Grade stakes shall be in lines parallel to the centerline of the area under construction and suitably spaced for string lining.

3.2 OPERATION OF AGGREGATE SOURCES

Aggregate sources shall be from a State of Georgia, Department of Transportation Quarry site.

3.3 PREPARATION OF UNDERLYING COURSE

Prior to constructing the GCA, the underlying course or subgrade shall be cleaned of all foreign substances. At the time of construction of the GCA, the underlying course shall contain no frozen material. The surface of the underlying course or subgrade shall meet specified compaction and surface

tolerances. The underlying course shall conform to Section 02721 SUBBASE COURSES. Ruts or soft yielding spots in the underlying courses, areas having inadequate compaction, and deviations of the surface from the requirements set forth herein shall be corrected by loosening and removing soft or unsatisfactory material and by adding approved material, reshaping to line and grade, and recompacting to specified density requirements. For cohesionless underlying courses containing sands or gravels, as defined in ASTM D 2487, the surface shall be stabilized prior to placement of the GCA. Stabilization shall be accomplished by mixing GCA into the underlying course and compacting by approved methods. The stabilized material shall be considered as part of the underlying course and shall meet all requirements of the underlying course. The finished underlying course shall not be disturbed by traffic or other operations and shall be maintained by the Contractor in a satisfactory condition until the GCA is placed.

3.4 INSTALLATION

3.4.1 Mixing the Materials

The coarse and fine aggregates shall be mixed in a stationary plant, or in a traveling plant or bucket loader on an approved paved working area. The Contractor shall make adjustments in mixing procedures or in equipment as directed to obtain true grades, to minimize segregation or degradation, to obtain the required water content, and to insure a satisfactory GCA meeting all requirements of this specification.

3.4.2 Placing

The mixed material shall be placed on the prepared subgrade or subbase in layers of uniform thickness with an approved spreader. When a compacted layer 6 inches or less in thickness is required, the material shall be placed in a single layer. When a compacted layer in excess of 6 inches is required, the material shall be placed in layers of equal thickness. No layer shall exceed 6 inches or less than 3 inches when compacted. The layers shall be so placed that when compacted they will be true to the grades or levels required with the least possible surface disturbance. Where the GCA is placed in more than one layer, the previously constructed layers shall be cleaned of loose and foreign matter by sweeping with power sweepers, power brooms, or hand brooms, as directed. Such adjustments in placing procedures or equipment shall be made as may be directed to obtain true grades, to minimize segregation and degradation, to adjust the water content, and to insure an acceptable GCA.

3.4.3 Grade Control

The finished and completed GCA shall conform to the lines, grades, and cross sections shown. Underlying material(s) shall be excavated and prepared at sufficient depth for the required GCA thickness so that the finished GCA with the subsequent surface course will meet the designated grades.

3.4.4 Edges of Base Course

The GCA shall be placed so that the completed section will be a minimum of 0.5 feet wider, on all sides, than the next layer that will be placed above it. Additionally, approved fill material shall be placed along the outer edges of GCA in sufficient quantities to compact to the thickness of the course being constructed, or to the thickness of each layer in a multiple

layer course, allowing in each operation at least a 2 foot width of this material to be rolled and compacted simultaneously with rolling and compacting of each layer of GCA. If this base course material is to be placed adjacent to another pavement section, then the layers for both of these sections shall be placed and compacted along this edge at the same time.

3.4.5 Compaction

Each layer of the GCA shall be compacted as specified with approved compaction equipment. Water content shall be maintained during the compaction procedure to within plus or minus 5 percent of the optimum water content determined from laboratory tests as specified in paragraph SAMPLING AND TESTING. Rolling shall begin at the outside edge of the surface and proceed to the center, overlapping on successive trips at least one-half the width of the roller. Alternate trips of the roller shall be slightly different lengths. Speed of the roller shall be such that displacement of the aggregate does not occur. In all places not accessible to the rollers, the mixture shall be compacted with hand-operated power tampers. Compaction shall continue until each layer has a degree of compaction that is at least 98 percent of laboratory maximum density through the full depth of the layer. The Contractor shall make such adjustments in compacting or finishing procedures as may be directed to obtain true grades, to minimize segregation and degradation, to reduce or increase water content, and to ensure a satisfactory GCA. Any materials that are found to be unsatisfactory shall be removed and replaced with satisfactory material or reworked, as directed, to meet the requirements of this specification.

3.4.6 Thickness

Compacted thickness of the aggregate course shall be as indicated. No individual layer shall exceed 8 inches nor be less than 3 inches in compacted thickness. The total compacted thickness of the GCA course shall be within 1/2 inch of the thickness indicated. Where the measured thickness is more than 1/2 inch deficient, such areas shall be corrected by scarifying, adding new material of proper gradation, reblading, and recompacting as directed. Where the measured thickness is more than 1/2 inch thicker than indicated, the course shall be considered as conforming to the specified thickness requirements. Average job thickness shall be the average of all thickness measurements taken for the job, but shall be within 1/4 inch of the thickness indicated. The total thickness of the GCA course shall be measured at intervals in such a manner as to ensure one measurement for each 500 square yards of base course. Measurements shall be made in 3 inch diameter test holes penetrating the base course.

3.4.7 Proof Rolling

Proof rolling of the areas indicated shall be in addition to the compaction specified and shall consist of the application of 30 coverages with a heavy pneumatic-tired roller having four or more tires, each loaded to a minimum of 30,000 pounds and inflated to a minimum of 150 psi. In areas designated, proof rolling shall be applied to the top of the underlying material on which GCA is laid and to each layer of GCA. Water content of the underlying material shall be maintained at optimum or at the percentage directed from start of compaction to completion of proof rolling of that layer. Water content of each layer of the GCA shall be maintained at the optimum percentage directed from start of compaction to completion of proof rolling.

Any GCA materials or any underlying materials that produce unsatisfactory results by proof rolling shall be removed and replaced with satisfactory materials, recompacted and proof rolled to meet these specifications.

3.4.8 Finishing

The surface of the top layer of GCA shall be finished after final compaction by cutting any overbuild to grade and rolling with a steel-wheeled roller. Thin layers of material shall not be added to the top layer of base course to meet grade. If the elevation of the top layer of GCA is 1/2 inch or more below grade, then the top layer should be scarified to a depth of at least 3 inches and new material shall be blended in and compacted to bring to grade. Adjustments to rolling and finishing procedures shall be made as directed to minimize segregation and degradation, obtain grades, maintain moisture content, and insure an acceptable base course. Should the surface become rough, corrugated, uneven in texture, or traffic marked prior to completion, the unsatisfactory portion shall be scarified, reworked and recompacted or it shall be replaced as directed.

3.4.9 Smoothness

The surface of the top layer shall show no deviations in excess of 3/8 inch when tested with a 10 foot straightedge. Measurements shall be taken in successive positions parallel to the centerline of the area to be paved. Measurements shall also be taken perpendicular to the centerline at 50 foot intervals. Deviations exceeding this amount shall be corrected by removing material and replacing with new material, or by reworking existing material and compacting it to meet these specifications.

3.5 TRAFFIC

Traffic shall not be allowed on the completed GCA course.

3.6 MAINTENANCE

The GCA shall be maintained in a satisfactory condition until the full pavement section is completed and accepted. Maintenance shall include immediate repairs to any defects and shall be repeated as often as necessary to keep the area intact. Any GCA that is not paved over prior to the onset of winter, shall be retested to verify that it still complies with the requirements of this specification. Any area of GCA that is damaged shall be reworked or replaced as necessary to comply with this specification.

3.7 DISPOSAL OF UNSATISFACTORY MATERIALS

Any unsuitable materials that must be removed shall be disposed of in waste disposal areas indicated. No additional payments will be made for materials that must be replaced.

SECTION 02741

HOT-MIX ASPHALT (HMA) FOR ROADS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO MP1	(1997) Performance Graded Asphalt Binder
AASHTO MP2	(1997) Superpave Volumetric Mix Design
AASHTO TP53	(1995) Determining Asphalt Content of Hot Mix Asphalt by the Ignition Method

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 29/C 29M	(1997) Bulk Density (Unit Weight) and Voids in Aggregates
ASTM C 88	(1998) Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM C 117	(1995) Materials Finer than 75 micrometer (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C 131	(1996) Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM C 566	(1997) Total Evaporable Moisture Content of Aggregate by Drying
ASTM C 1252	(1993) Uncompacted Void Content of Fine Aggregate (as Influenced by Particle Shape, Surface Texture, and Grading)
ASTM D 140	(1998) Sampling Bituminous Materials
ASTM D 242	(1995) Mineral Filler for Bituminous Paving Mixtures
ASTM D 946	(1982; R 1993) Penetration-Graded Asphalt Cement for Use in Pavement Construction

- ASTM D 995 (1995b) Mixing Plants for Hot-Mixed, Hot-Laid Bituminous Paving Mixtures
- ASTM D 1461 (1985; R 1994) Moisture or Volatile Distillates in Bituminous Paving Mixtures
- ASTM D 1559 (1989) Resistance to Plastic Flow of Bituminous Mixtures Using Marshall Apparatus
- ASTM D 2041 (1995) Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
- ASTM D 2172 (1995) Quantitative Extraction of Bitumen from Bituminous Paving Mixtures
- ASTM D 2419 (1995) Sand Equivalent Value of Soils and Fine Aggregate
- ASTM D 2489 (1984; R 1994) Degree of Particle Coating of Bituminous-Aggregate Mixtures
- ASTM D 2726 (1996a) Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous Mixture
- ASTM D 2950 (1997) Density of Bituminous Concrete in Place by Nuclear Method
- ASTM D 3381 (1992) Viscosity-Graded Asphalt Cement for Use in Pavement Construction
- ASTM D 3665 (1994) Random Sampling of Construction Materials
- ASTM D 3666 (1996a) Minimum Requirements for Agencies Testing and Inspecting Bituminous Paving Materials
- ASTM D 4125 (1994) Asphalt Content of Bituminous Mixtures by the Nuclear Method
- ASTM D 4791 (1995) Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate
- ASTM D 4867/D 4867M (1996) Effect of Moisture on Asphalt Concrete Paving Mixtures
- ASTM D 5444 (1994) Mechanical Size Analysis of Extracted Aggregate
- ASTM D 6307 (1998) Asphalt Content of Hot Mix Asphalt by Ignition Method

ASPHALT INSTITUTE (AI)

- AI MS-2 (1994) Mix Design Methods for Asphalt Concrete and Other Hot-Mix Types

AI MS-20 (1991) Asphalt Hot-Mix Recycling

CALIFORNIA DEPARTMENT OF TRANSPORTATION (CDT)

CDT Test 526 (1978) Operation of California Profilograph
and Evaluation of Profiles

CORPS OF ENGINEERS (COE)

COE CRD-C 171 (1995) Test Method for Determining Percentage
of Crushed Particles in Aggregate

1.2 DESCRIPTION OF WORK

The work shall consist of pavement courses composed of mineral aggregate and asphalt material heated and mixed in a central mixing plant and placed on a prepared course. HMA designed and constructed in accordance with this section shall conform to the lines, grades, thicknesses, and typical cross sections shown on the drawings. Each course shall be constructed to the depth, section, or elevation required by the drawings and shall be rolled, finished, and approved before the placement of the next course.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Records

Mix Design.

Quality control plan.

1.4 ASPHALT MIXING PLANT

Plants used for the preparation of hot-mix asphalt shall conform to the requirements of ASTM D 995 with the following changes:

a. Truck Scales. The asphalt mixture shall be weighed on approved certified scales at the Contractor's expense. Scales shall be inspected and sealed at least annually by an approved calibration laboratory.

b. Testing Facilities. The Contractor shall provide laboratory facilities at the plant for the use of the Government's acceptance testing and the Contractor's quality control testing.

c. Inspection of Plant. The Contracting Officer shall have access at all times, to all areas of the plant for checking adequacy of equipment; inspecting operation of the plant; verifying weights, proportions, and material properties; checking the temperatures maintained in the preparation of the mixtures and for taking samples. The Contractor shall provide assistance as requested, for the Government to procure any desired samples.

d. Storage Bins. Use of storage bins for temporary storage of hot-mix asphalt will be permitted as follows:

(1) The asphalt mixture may be stored in non-insulated storage bins for a period of time not exceeding 3 hours.

(2) The asphalt mixture may be stored in insulated storage bins for a period of time not exceeding 8 hours. The mix drawn from bins shall meet the same requirements as mix loaded directly into trucks.

1.5 HAULING EQUIPMENT

Trucks used for hauling hot-mix asphalt shall have tight, clean, and smooth metal beds. To prevent the mixture from adhering to them, the truck beds shall be lightly coated with a minimum amount of paraffin oil, lime solution, or other approved material. Petroleum based products shall not be used as a release agent. Each truck shall have a suitable cover to protect the mixture from adverse weather. When necessary to ensure that the mixture will be delivered to the site at the specified temperature, truck beds shall be insulated or heated and covers (tarps) shall be securely fastened.

1.6 ASPHALT PAVERS

Asphalt pavers shall be self-propelled, with an activated screed, heated as necessary, and shall be capable of spreading and finishing courses of hot-mix asphalt which will meet the specified thickness, smoothness, and grade. The paver shall have sufficient power to propel itself and the hauling equipment without adversely affecting the finished surface.

1.6.1 Receiving Hopper

The paver shall have a receiving hopper of sufficient capacity to permit a uniform spreading operation. The hopper shall be equipped with a distribution system to place the mixture uniformly in front of the screed without segregation. The screed shall effectively produce a finished surface of the required evenness and texture without tearing, shoving, or gouging the mixture.

1.7 ROLLERS

Rollers shall be in good condition and shall be operated at slow speeds to avoid displacement of the asphalt mixture. The number, type, and weight of rollers shall be sufficient to compact the mixture to the required density while it is still in a workable condition. Equipment which causes excessive crushing of the aggregate shall not be used.

1.8 WEATHER LIMITATIONS

The hot-mix asphalt shall not be placed upon a wet surface or when the surface temperature of the underlying course is less than specified in Table 1. The temperature requirements may be waived by the Contracting Officer, if requested; however, all other requirements, including compaction, shall be met.

Table 1. Surface Temperature Limitations of Underlying Course

<u>Mat Thickness, inches</u>	<u>Degrees F</u>
3 or greater	40

Less than 3

45

2 PRODUCTS

2.1 AGGREGATES

Aggregates shall consist of crushed stone, crushed gravel, crushed slag, screenings, natural sand and mineral filler, as required. The portion of material retained on the No. 4 sieve is coarse aggregate. The portion of material passing the No. 4 sieve and retained on the No. 200 sieve is fine aggregate. The portion passing the No. 200 sieve is defined as mineral filler. All aggregate test results and samples shall be submitted to the Contracting Officer at least 14 days prior to start of construction.

2.1.1 Coarse Aggregate

Coarse aggregate shall consist of sound, tough, durable particles, free from films of material that would prevent thorough coating and bonding with the asphalt material and free from organic matter and other deleterious substances. All individual coarse aggregate sources shall meet the following requirements:

a. The percentage of loss shall not be greater than 40 percent after 500 revolutions when tested in accordance with [ASTM C 131](#).

b. At least 75 percent by weight of coarse aggregate shall have at least two or more fractured faces when tested in accordance with [COE CRD-C 171](#). Fractured faces shall be produced by crushing.

c. The particle shape shall be essentially cubical and the aggregate shall not contain more than 20% percent, by weight, of flat and elongated particles (3:1 ratio of maximum to minimum) when tested in accordance with [ASTM D 4791](#).

2.1.2 General Quality Control Requirements

The Contractor shall develop an approved Quality Control Plan. Hot-mix asphalt for payment shall not be produced until the quality control plan has been approved. The plan shall address all elements which affect the quality of the pavement including, but not limited to:

- a. Mix Design
- b. Aggregate Grading
- c. Quality of Materials
- d. Stockpile Management
- e. Proportioning
- f. Mixing and Transportation
- g. Mixture Volumetrics
- h. Moisture Content of Mixtures

- i. Placing and Finishing
- j. Joints
- k. Compaction
- l. Surface Smoothness

2.1.3 Quality Control Testing

The Contractor shall perform all quality control tests applicable to these specifications and as set forth in the Quality Control Program. The testing program shall include, but shall not be limited to temperatures, in-place density. A Quality Control Testing Plan shall be developed as part of the Quality Control Program.

2.1.3.1 Temperatures

Temperatures shall be checked at least four times per lot, at necessary locations, to determine the temperature of the asphalt mixture at the job site.

2.1.3.2 In-Place Density

The Contractor shall conduct any necessary testing to ensure the specified density is achieved. A nuclear gauge may be used to monitor pavement density in accordance with [ASTM D 2950](#).

2.1.3.3 Additional Testing

Any additional testing, which the Contractor deems necessary to control the process, may be performed at the Contractor's option.

2.1.3.4 QC Monitoring

The Contractor shall submit all QC test results to the Contracting Officer on a daily basis as the tests are performed. The Contracting Officer reserves the right to monitor any of the Contractor's quality control testing and to perform duplicate testing as a check to the Contractor's quality control testing.

2.1.4 Sampling

When directed by the Contracting Officer, the Contractor shall sample and test any material which appears inconsistent with similar material being produced, unless such material is voluntarily removed and replaced or deficiencies corrected by the Contractor. All sampling shall be in accordance with standard procedures specified.

SECTION 02748

BITUMINOUS TACK AND PRIME COATS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 20	(1970) Penetration Graded Asphalt Cement
AASHTO M 81	(1992) Cut-Back Asphalt (Rapid-Curing Type)
AASHTO M 82	(1975) Cut-Back Asphalt (Medium-Curing Type)
AASHTO M 226	(1980) Viscosity Graded Asphalt Cement
AASHTO T 40	(1978; R 1983) Sampling Bituminous Materials

AMERICAN SOCIETY OF TESTING AND MATERIALS (ASTM)

ASTM D 140	(1993) Sampling Bituminous Materials
ASTM D 946	(1982; R 1993) Penetration-Graded Asphalt Cement for Use in Pavement Construction
ASTM D 977	(1991) Emulsified Asphalt
ASTM D 1250	(1980; R 1990) Petroleum Measurement Tables
ASTM D 2026	(1972; R 1993) Cutback Asphalt (Slow-Curing Type)
ASTM D 2027	(1976; R 1992) Cutback Asphalt (Medium-Curing Type)
ASTM D 2028	(1976; R 1992) Cutback Asphalt (Rapid-Curing Type)
ASTM D 2397	(1994) Cationic Emulsified Asphalt
ASTM D 2995	(1993) Determining Application Rate of Bituminous Distributors
ASTM D 3381	(1992) Viscosity-Graded Asphalt Cement for Use in Pavement Construction

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Reports

Tests.

Copies of all test results for bituminous materials, within 24 hours of completion of tests. Certified copies of the manufacturer's test reports indicating compliance with applicable specified requirements, not less than 30 days before the material is required in the work.

1.3 PLANT, EQUIPMENT, MACHINES AND TOOLS

1.3.1 General Requirements

Plant, equipment, machines and tools used in the work shall be subject to approval and shall be maintained in a satisfactory working condition at all times.

1.3.2 Bituminous Distributor

The distributor shall have pneumatic tires of such size and number to prevent rutting, shoving or otherwise damaging the base surface or other layers in the pavement structure. The distributor shall be designed and equipped to spray the bituminous material in a uniform coverage at the specified temperature, at readily determined and controlled rates with an allowable variation from the specified rate of not more than plus or minus 5 percent, and at variable widths. Distributor equipment shall include a separate power unit for the bitumen pump, full-circulation spray bars, tachometer, pressure gauges, volume-measuring devices, adequate heaters for heating of materials to the proper application temperature, a thermometer for reading the temperature of tank contents, and a hand hose attachment suitable for applying bituminous material manually to areas inaccessible to the distributor. The distributor shall be equipped to circulate and agitate the bituminous material during the heating process.

1.3.3 Power Brooms and Power Blowers

Power brooms and power blowers shall be suitable for cleaning the surfaces to which the bituminous coat is to be applied.

1.4 WEATHER LIMITATIONS

Bituminous coat shall be applied only when the surface to receive the bituminous coat is dry. Bituminous coat shall be applied only when the atmospheric temperature in the shade is 50 degrees Fahrenheit or above and when the temperature has not been below 35 degrees Fahrenheit for the 12 hours prior to application.

2 PRODUCTS

2.1 TACK COAT

Tack Coat material shall be in accordance with Georgia DOT, Section 413.

2.2 PRIME COAT

Prime Coat material shall be in accordance with Georgia DOT, Section 412.

3 EXECUTION

3.1 PREPARATION OF SURFACE

Immediately before applying the bituminous coat, all loose material, dirt, clay, or other objectionable material shall be removed from the surface to be treated. The surface shall be dry and clean at the time of treatment.

3.2 APPLICATION

Provide Tack and Prime Coat in accordance with Georgia DOT Sections 413 and 412, respectively.

3.3 CURING PERIOD

Following application of the bituminous material and prior to application of the succeeding layer of pavement, the bituminous coat shall be allowed to cure and to obtain evaporation of any volatiles or moisture. Prime coat shall be allowed to cure without being disturbed for a period of at least 48 hours or longer, as may be necessary to attain penetration into the treated course.

SECTION 02754

CONCRETE PAVEMENTS FOR SMALL PROJECTS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

- ACI 211.1 (1991) Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete
- ACI 301 (1996) Standard Specification for Structural Concrete
- ACI 305R (1991) Hot Weather Concreting

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM A 184/A 184M (1996) Fabricated Deformed Steel Bar Mats for Concrete Reinforcement
- ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement
- ASTM C 31/C 31M (1996) Making and Curing Concrete Test Specimens in the Field
- ASTM C 33 (1997) Concrete Aggregates
- ASTM C 39 (1996) Compressive Strength of Cylindrical Concrete Specimens
- ASTM C 94 (1997) Ready-Mixed Concrete
- ASTM C 123 (1996) Lightweight Pieces in Aggregate
- ASTM C 143 (1990a) Slump of Hydraulic Cement Concrete
- ASTM C 150 (1997) Portland Cement
- ASTM C 192/C 192M (1995) Making and Curing Concrete Test Specimens in the Laboratory
- ASTM C 231 (1997) Air Content of Freshly Mixed Concrete by the Pressure Method
- ASTM C 260 (1995) Air-Entraining Admixtures for Concrete
- ASTM C 494 (1992) Chemical Admixtures for Concrete

ASTM C 595	(1998) Blended Hydraulic Cements
ASTM C 618	(1997) Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
ASTM C 666	(1992) Resistance of Concrete to Rapid Freezing and Thawing
ASTM C 881	(1990) Epoxy-Resin-Base Bonding Systems for Concrete
ASTM C 989	(1997) Ground Granulated Blast-Furnace Slag for Use in Concrete and Mortars
ASTM C 1077	(1997) Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation
ASTM D 1751	(1983; R 1991) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 1752	(1984; R 1996) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction

ARMY CORPS OF ENGINEERS (COE)

COE CRD-C 130	(1989) Scratch Hardness of Coarse Aggregate Particles
COE CRD-C 300	(1990) Specifications for Membrane-Forming Compounds for Curing Concrete
COE CRD-C 540	(1971; R 1981) Standard Specification for Nonbituminous Inserts for Contraction Joints in Portland Cement Concrete Airfield Pavements, Sawable Type
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstop

NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)

NRMCA CPMB 100	(1996) Concrete Plant Standards
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1.2 SYSTEM DESCRIPTION

This section is intended to stand alone for construction of concrete (rigid) pavement. However, where the construction covered herein interfaces with other sections, the construction at each interface shall conform to the requirements of both this section and the other section, including tolerances for both.

1.3 ACCEPTABILITY OF WORK

The pavement will be accepted on the basis of tests made by the Government and by the Contractor or its suppliers, as specified herein. The Government may, at its discretion, make check tests to validate the results of the Contractor's testing. Concrete samples shall be taken by the Contractor at the placement to determine the slump, air content, and strength of the concrete. Test cylinders shall be made for determining conformance with the strength requirements of these specifications and, when required, for determining the time at which pavements may be placed into service. All air content measurements shall be determined in accordance with ASTM C 231. All slump tests shall be made in accordance with ASTM C 143. All test cylinders shall be 6 by 12 inch cylinders and shall be fabricated in accordance with ASTM C 192/C 192M, using only steel molds, cured in accordance with ASTM C 31/C 31M, and tested in accordance with ASTM C 39. A strength test shall be the average of the strengths of two cylinders made from the same sample of concrete and tested at 28 days. The Contractor shall furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory.

1.3.1 Evaluation Sampling

Sampling, testing, and mixture proportioning shall be performed by a commercial Testing Laboratory, conforming with ASTM C 1077. The individuals who sample and test concrete and concrete constituents shall be certified as American Concrete Institute (ACI) Concrete Field Testing Technicians, Grade I. The individuals who perform the inspection of concrete shall be certified as ACI Concrete Construction Inspector, Level II. All mix design, weekly quality control reports, smoothness reports, and project certification reports shall be signed by a Registered Engineer.

1.3.2 Surface Testing

Surface testing for surface smoothness and plan grade shall be performed as indicated below by the Testing Laboratory. The measurements shall be properly referenced in accordance with paving lane identification and stationing, and a report given to the Government within 24 hours after measurement is made. A final report of surface testing, signed by a Registered Engineer, containing all surface measurements and a description of all actions taken to correct deficiencies, shall be provided to the Government upon conclusion of surface testing.

1.3.2.1 Surface Smoothness Requirements

The finished surfaces of the pavements shall have no abrupt change of 1/8 inch or more, and all pavements shall be within the tolerances specified in Table 1 when checked with the straightedge.

TABLE 1
STRAIGHTEDGE SURFACE SMOOTHNESS--PAVEMENTS

Pavement Category -----	Direction of Testing -----	Tolerances inches -----
Roads and Streets	Longitudinal	3/16
	Transverse	1/4

Tank Hardstands, Parking	Longitudinal	1/4
Areas, Open Storage Areas	Transverse	1/4

1.3.2.2 Surface Smoothness Testing Method

The surface of the pavement shall be tested with the straightedge to identify all surface irregularities exceeding the tolerances specified above. The entire area of the pavement shall be tested in both a longitudinal and a transverse direction on parallel lines approximately 15 feet apart. The straightedge shall be held in contact with the surface and moved ahead one-half the length of the straightedge for each successive measurement. The amount of surface irregularity shall be determined by placing the straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length and measuring the maximum gap between the straightedge and the pavement surface, in the area between these two high points.

1.3.3 Plan Grade Testing and Conformance

The finished surface of the pavements shall conform, within the tolerances shown in Table 1, to the lines, grades, and cross sections shown. The finished surface of new abutting pavements shall coincide at their juncture. The finished surface of airfield runway, taxiway, and apron pavements shall vary not more than 0.04 foot above or below the plan grade line or elevation indicated. The surfaces of other pavements shall vary not more than 0.06 foot above or below the plan grade line or elevation indicated. Each pavement category shall be checked by the Contractor for conformance with plan grade requirements by running lines of levels at intervals to determine the elevation at each joint intersection.

1.4 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Statements

Mixture Proportions.

The report of the Contractor's mixture proportioning studies showing the proportions of all ingredients and supporting information on aggregate and other materials that will be used in the manufacture of concrete, at least 14 days prior to commencing concrete placing operations.

1.5 EQUIPMENT

1.5.1 Batching and Mixing

The batching plant shall conform to **NRMCA CPMB 100**, the equipment requirements in **ASTM C 94**, and as specified. Water shall not be weighed or measured cumulatively with another ingredient. All concrete materials batching shall meet **ASTM C 94** requirements. Mixers shall be truck mixers. Batching, mixers, mixing time, permitted reduction of mixing time, and concrete uniformity shall meet the requirements of **ASTM C 94**, and shall be documented in the initial weekly QC Report.

1.5.2 Transporting Equipment

Transporting equipment shall be in conformance with ASTM C 94 and as specified herein. Concrete shall be transported to the paving site in rear-dump trucks, in truck mixers designed with extra large blading and rear opening specifically for low slump concrete, or in agitators. Bottom-dump trucks shall not be used for delivery of concrete.

1.5.3 Delivery Equipment

When concrete transport equipment cannot operate on the paving lane, side-delivery transport equipment consisting of self-propelled moving conveyors shall be used to deliver concrete from the transport equipment and discharge it in front of the paver. Front-end loaders, dozers, or similar equipment shall not be used to distribute the concrete.

1.5.4 Curing Equipment

Equipment for curing is specified in paragraph CURING.

1.5.5 Texturing Equipment

Texturing equipment shall be as specified below.

1.5.5.1 Fabric Drag

A fabric drag shall consist of a piece of fabric material as wide as the lane width securely attached to a separate wheel mounted frame spanning the paving lane or to one of the other similar pieces of equipment. The material shall be wide enough to provide 12 to 18 inches dragging flat on the pavement surface. The fabric material shall be clean, reasonably new burlap, kept clean and saturated during use.

1.5.5.2 Deep Texturing Equipment

Texturing equipment shall consist of a stiff bristled broom forming a drag at least 4 feet long. This drag shall be mounted in a wheeled frame spanning the paving lane and constructed to mechanically pull the drag in a straight line across the paving lane perpendicular to the centerline.

1.5.6 Sawing Equipment

Equipment for sawing joints and for other similar sawing of concrete shall be standard diamond-tip-bladed concrete saws mounted on a wheeled chassis.

1.5.7 Straightedge

The Contractor shall furnish and maintain at the job site one 12 foot straightedge for testing concrete surface smoothness. The straightedge shall be constructed of aluminum or magnesium alloy and shall have blades of box or box-girder cross section with flat bottom, adequately reinforced to insure rigidity and accuracy. Straightedges shall have handles for operation on the pavement.

2 PRODUCTS

2.1 CEMENTITIOUS MATERIALS

Cementitious materials shall be portland cement and pozzolan and shall conform to appropriate specifications listed below.

2.1.1 Portland Cement

Portland cement shall conform to [ASTM C 150](#) Type II, low-alkali.

2.1.2 High-Early-Strength Portland Cement

High-early-strength cement shall conform to [ASTM C 150](#) Type III, with C3A limited to 5 percent.

2.1.3 Blended Cements

Blended cement shall conform to [ASTM C 595](#) Type IP.

2.1.4 Pozzolan (Fly Ash)

Fly ash shall conform to [ASTM C 618](#) Class F, including all the supplementary optional physical requirements.

2.1.5 Ground Granulated Blast-Furnace Slag (GGBF Slag)

Ground granulated blast-furnace slag shall conform to [ASTM C 989](#) Grade 120.

2.2 AGGREGATES

Aggregates shall consist of clean, hard, uncoated particles meeting the requirements of [ASTM C 33](#), including deleterious materials, abrasion loss and soundness requirements of [ASTM C 33](#), and other requirements specified herein. Aggregate not having a satisfactory demonstrable service record shall have a durability factor of 50 or more when subjected to freezing and thawing in concrete in accordance with [ASTM C 666](#).

2.2.1 Coarse Aggregate

Coarse aggregate shall consist of crushed gravel, crushed stone, or a combination thereof. Aggregate used for paving compass calibration hardstands shall be free of materials having magnetic properties. The nominal maximum size of the coarse aggregate shall be 3/4 inches. When the nominal maximum size is greater than 1 inch, the aggregates shall be furnished in two [ASTM C 33](#) size groups, No. 67 and No. 4. The amount of deleterious material in each size of coarse aggregate shall not exceed the limits shown in [ASTM C 33](#) Class 1N, 4M or 4S, depending on the weathering region, and the following limits:

- a. Lightweight particles 1.0 max. percent by mass ([ASTM C 123](#)).
- b. Other soft particles 2.0 max. percent by mass ([COE CRD-C 130](#)).
- c. Total of all deleterious 5.0 max. percent by mass (substances listed in [ASTM C 33](#) and above, exclusive of material finer than No. 200 sieve).

- d. The separation medium for lightweight particles shall have a density of Sp. Gr. of 2.0.

2.2.2 Fine Aggregate

Fine aggregate shall consist of natural sand, manufactured sand, or a combination of the two, and shall be composed of clean, hard, durable particles. Aggregate used for paving compass calibration hardstands shall be free of materials having magnetic properties. All fine aggregate shall be composed of clean, hard, durable particles meeting the requirements of [ASTM C 33](#) and the requirements herein. The amount of deleterious material in the fine aggregate shall not exceed the limits in [ASTM C 33](#) and shall not exceed the following limits:

- a. Lightweight particles ([ASTM C 123](#)) 1.0 percent max. by mass using a medium with a density of Sp. Gr. of 2.0.
- b. The total of all deleterious material types, listed in [ASTM C 33](#) and above, shall not exceed 3.0 percent of the mass of the fine aggregate.

2.3 CHEMICAL ADMIXTURES

Air-entraining admixture shall conform to [ASTM C 260](#). An accelerator shall be used only when specified in paragraph SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES and shall not be used to reduce the amount of cementitious material used. Accelerator shall conform to [ASTM C 494](#) Type C. Calcium chloride and admixtures containing calcium chloride shall not be used. A water-reducing or retarding admixture shall meet the requirements of [ASTM C 494](#). Type G or H admixtures are not allowed.

2.4 CURING MATERIALS

Membrane forming curing compound shall be a white pigmented compound conforming to [COE CRD-C 300](#). Burlap shall be new or shall be clean material never used for anything other than curing concrete.

2.5 WATER

Water for mixing and curing shall be clean, potable, and free of injurious amounts of oil, acid, salt, or alkali.

2.6 JOINT MATERIALS

2.6.1 Expansion Joint Material

Expansion joint filler shall be a preformed material conforming to [ASTM D 1751](#). Expansion joint filler shall be 3/4 inch thick.

2.6.2 Slip Joint Material

Slip joint material shall be 1/4 inch thick expansion joint filler conforming to [ASTM D 1751](#) or [ASTM D 1752](#).

2.6.3 Contraction Joint Inserts

Sawable contraction joint inserts shall conform to COE CRD-C 540. No metal inserts of any kind shall be used.

2.7 REINFORCING

2.7.1 General

Reinforcing bars shall conform to ASTM A 615/A 615M Grade 60. Bar mats shall conform to ASTM A 184/A 184M. Reinforcement shall be free from loose, flaky rust, loose scale, oil, grease, mud, or other coatings that might reduce the bond with concrete.

2.7.2 Steel Fiber Reinforcing

Minimum ultimate tensile strength of the fibers shall be 50000 psi. The maximum aspect ratio (length divided by diameter) shall not exceed 100. Fibers longer than 2-1/2 inches shall not be used. The fibers shall be deformed and shall be furnished in small bundles adhered with water soluble glue.

2.8 DOWELS AND TIE BARS

2.8.1 Dowels

Dowels shall be single piece, plain (non-deformed) steel bars conforming to ASTM A 615/A 615M Grade 60 or higher. Dowels shall be free of loose, flaky rust and loose scale and shall be clean and straight.

2.8.2 Tie Bars

Tie bars shall be deformed steel bars conforming to ASTM A 615/A 615M Grade 60. Grade 60 or higher shall not be used for bars that are bent and straightened during construction.

2.9 EPOXY RESIN

All epoxy-resin materials shall be two-component materials conforming to ASTM C 881, Class as appropriate for each application temperature to be encountered; except, that in addition, the materials shall meet the following requirements:

- a. Material for use for embedding dowels and anchor bolts shall be Type IV, Grade 3.
- b. Material for use as patching for complete filling of spalls, wide cracks, and other voids and for use in preparing epoxy resin mortar shall be Type III, Grade as approved.
- c. Material for injecting cracks shall be Type IV, Grade 1.
- d. Material for bonding freshly mixed portland cement concrete, mortar, or freshly mixed epoxy resin concrete to hardened concrete shall be Type V, Grade as approved.

2.10 SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES

Specified compressive strength, $f'c$, for concrete is 4,500 psi at 28 days. Maximum allowable water-cementitious material ratio is 0.45. The water-cementitious material ratio is based on absolute volume equivalency, where the ratio is determined using the weight of cement for a cement only mix, or using the total volume of cement plus pozzolan converted to an equivalent weight of cement by the absolute volume equivalency method described in [ACI 211.1](#). The concrete shall be air-entrained with a total air content of 4 plus or minus 1 percent. The maximum allowable slump of the concrete shall be 3 inches for pavement constructed with fixed forms. The strength of the concrete will be considered satisfactory so long as the average of all sets of three consecutive test results equals or exceeds the specified compressive strength $f'c$ and no individual test result falls below the specified strength $f'c$ by more than 500 psi. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the structure is considered potentially deficient.

2.11 MIXTURE PROPORTIONS

2.11.1 Composition Concrete

Composition concrete shall be composed of cementitious material, water, fine and coarse aggregates, and admixtures. Fly ash, if used, shall be used only at a rate between 15 and 35 percent by mass of the total cementitious material. Admixtures shall consist of air entraining admixture and may also include accelerator water-reducing admixture. High range water-reducing admixtures and admixtures to produce flowable concrete shall not be used. No substitutions shall be made in the materials used in the mixture proportions without additional tests to show that the quality of the concrete is satisfactory.

2.11.2 Concrete Mixture Proportioning Studies

Trial design batches, mixture proportioning studies, and testing shall be the responsibility of the Contractor, and shall be performed by the Test Laboratory and signed by a Registered Engineer. No concrete pavement shall be placed until the Contracting Officer has approved the Contractor's mixture proportions. All materials used in mixture proportioning studies shall be representative of those proposed for use on the project. If there is a change in materials, additional mixture design studies shall be made using the new materials. Trial mixtures having proportions, slumps, and air content suitable for the work shall be based on methodology described in [ACI 211.1](#). At least three different water-cementitious ratios, which will produce a range of strength encompassing that required on the project, shall be used. Laboratory trial mixtures shall be proportioned for maximum permitted slump and air content. Maximum sand content shall be 40 percent of the total aggregate SSD weight. Aggregate quantities shall be based on the mass in a saturated surface dry condition.

2.11.3 Mixture Proportioning Procedure

The Contractor shall perform the following:

- a. Fabricate, cure and test 6 test cylinders per age for each mixture at 7 and 28 days.

- b. Using the average strength for each $w/(c+p)$, plot the results from each of the three mixtures on separate graphs for $w/(c+p)$ versus 28-day strength.
- c. From the graphs select a $w/(c+p)$ which will produce a mixture giving a 28-day strength equal to the required strength determined in accordance with the following paragraph.

2.11.4 Average Strength Required for Mixtures

In order to ensure meeting, during production, the strength requirements specified, the mixture proportions selected shall produce a required average strength, f'_{cr} , exceeding the specified strength, f'_c , in accordance with procedures in Chapter 3 of ACI 301, "Proportioning."

3 EXECUTION

3.1 CONDITIONING OF UNDERLYING MATERIAL

Underlying material, base course, upon which concrete is to be placed shall be clean, damp, and free from debris, waste concrete or cement, frost, ice, and standing or running water. After the underlying material has been prepared for concrete placement, no equipment shall be permitted thereon.

3.2 WEATHER LIMITATIONS

3.2.1 Hot Weather Paving

The temperature of concrete shall not exceed 90 degrees F. Steel forms, dowels and reinforcing shall be cooled prior to concrete placement when steel temperatures are greater than 120 degrees F.

3.2.2 Cold Weather Paving

The ambient temperature of the air at the placing site and the temperature of surfaces to receive concrete shall be not less 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Calcium chloride shall not be used at any time. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period. Pavement damaged by freezing shall be completely removed and replaced at the Contractor's expense as specified in paragraph, REPAIR, REMOVAL, AND REPLACEMENT OF SLABS.

3.3 CONCRETE PRODUCTION

3.3.1 General Requirements

Concrete shall be deposited in front of the paver within 45 minutes from the time cement has been charged into the mixing drum, except that if the ambient temperature is above 90 degrees F, the time shall be reduced to 30 minutes. Every load of concrete delivered to the paving site shall be accompanied by a batch ticket from the operator of the batching plant. Tickets shall show at least the mass, or volume, of all ingredients in each

batch delivered, and the time of day. Tickets shall be delivered to the placing foreman who shall keep them on file and deliver them to the Government daily.

3.3.2 Transporting and Transfer-Spreading Operations

Non-agitating equipment shall be used only on smooth roads and for haul time less than 15 minutes. No equipment shall be allowed to operate on the prepared and compacted underlying material in front of the paver-finisher. Any disturbance to the underlying material that does occur shall be corrected before the paver-finisher reaches the location of the disturbance and the equipment shall be replaced or procedures changed to prevent any future damage. Super plasticizer may be added to truck mixers to bring the slump within the specified range. No additional water may be added to the mix in the field.

3.4 PAVING

Pavement shall be constructed with paving and finishing equipment utilizing fixed forms.

3.4.1 Consolidation

The paver vibrators shall be inserted into the concrete not closer to the underlying material than 2 inches. The vibrators or any tamping units in front of the paver shall be automatically controlled so that they shall be stopped immediately as forward motion ceases. Excessive vibration shall not be permitted. Concrete in small, odd-shaped slabs or in locations inaccessible to the paver mounted vibration equipment shall be vibrated with a hand-operated immersion vibrator. Vibrators shall not be used to transport or spread the concrete.

3.4.2 Operation

When the paver is operated between or adjacent to previously constructed pavement (fill-in lanes), provisions shall be made to prevent damage to the previously constructed pavement, including keeping the existing pavement surface free of any debris, and placing rubber mats beneath the paver tracks. Transversely oscillating screeds and extrusion plates shall overlap the existing pavement the minimum possible, but in no case more than 8 inches.

3.4.3 Required Results

The paver-finisher shall be operated to produce a thoroughly consolidated slab throughout, true to line and grade within specified tolerances. The paver-finishing operation shall produce a surface finish free of irregularities, tears, voids of any kind, and any other discontinuities. It shall produce only a very minimum of paste at the surface. Multiple passes of the paver-finisher shall not be permitted. The equipment and its operation shall produce a finished surface requiring no hand finishing, other than the use of cutting straightedges, except in very infrequent instances. No water, other than true fog sprays (mist), shall be applied to the concrete surface during paving and finishing.

3.4.4 Fixed Form Paving

Forms shall be steel, except that wood forms may be used for curves having a radius of 150 feet or less, and for fillets. Forms may be built up with metal or wood, added only to the base, to provide an increase in depth of not more than 25 percent. The base width of the form shall be not less than eight-tenths of the vertical height of the form, except that forms 8 inches or less in vertical height shall have a base width not less than the vertical height of the form. Wood forms for curves and fillets shall be adequate in strength and rigidly braced. Forms shall be set on firm material cut true to grade so that each form section when placed will be firmly in contact with the underlying layer for its entire base. Forms shall not be set on blocks or on built-up spots of underlying material. Forms shall remain in place at least 12 hours after the concrete has been placed. Forms shall be removed without injuring the concrete.

3.4.5 Placing Reinforcing Steel

Reinforcement shall be positioned on suitable chairs securely fastened to the subgrade prior to concrete placement, or may be placed on an initial layer of consolidated concrete, with the subsequent layer placed within 30 minutes of the first layer placement.

3.4.6 Placing Dowels and Tie Bars

Dowels shall be installed with alignment not greater than 1/8 inch per ft. Except as otherwise specified below, location of dowels shall be within a horizontal tolerance of plus or minus 5/8 inch and a vertical tolerance of plus or minus 3/16 inch. The portion of each dowel intended to move within the concrete or expansion cap shall be painted with one coat of rust inhibiting primer paint, and then oiled just prior to placement.

3.4.6.1 Contraction Joints

Dowels in longitudinal and transverse contraction joints within the paving lane shall be held securely in place by means of rigid metal basket assemblies. The dowels shall be welded to the assembly or held firmly by mechanical locking arrangements that will prevent them from becoming distorted during paving operations. The basket assemblies shall be held securely in the proper location by means of suitable anchors.

3.4.6.2 Construction Joints-Fixed Form Paving

Installation of dowels shall be by the bonded-in-place method, supported by means of devices fastened to the forms. Installation by removing and replacing in preformed holes will not be permitted.

3.4.6.3 Dowels Installed in Hardened Concrete

Installation shall be by bonding the dowels into holes drilled into the hardened concrete. Holes approximately 1/8 inch greater in diameter than the dowels shall be drilled into the hardened concrete. Dowels shall be bonded in the drilled holes using epoxy resin injected at the back of the hole before installing the dowel and extruded to the collar during insertion of the dowel so as to completely fill the void around the dowel. Application by buttering the dowel shall not be permitted. The dowels shall be held in alignment at the collar of the hole, after insertion and before the grout hardens, by means of a suitable metal or plastic collar fitted around the dowel. The vertical alignment of the dowels shall be checked by

placing the straightedge on the surface of the pavement over the top of the dowel and measuring the vertical distance between the straightedge and the beginning and ending point of the exposed part of the dowel.

3.4.6.4 Expansion Joints

Dowels in expansion joints shall be installed by the bonded-in-place method or by bonding into holes drilled in hardened concrete, using procedures specified above.

3.5 FINISHING

3.5.1 Hand Finishing

Hand finishing operations shall be used only for those unusual slabs as specified previously. Grate tampers (jitterbugs) shall not be used. As soon as placed and vibrated, the concrete shall be struck off and screeded. The surface shall be tamped with a strike-off and tamping screed, or vibratory screed. Immediately following the final tamping of the surface, the pavement shall be floated longitudinally. Long-handled, flat bull floats shall be used sparingly and only as necessary to correct surface defects. Finishing with hand floats and trowels shall be held to the absolute minimum necessary. Joints and edges shall not be overfinished. No water shall be added to the pavement during finishing operations.

3.5.2 Texturing

Before the surface sheen has disappeared and before the concrete hardens, the surface of the pavement shall be given a texture as described herein. Following initial texturing on the first day of placement, the Placing Foreman, Contracting Officer representative, and a representative of the Using Agency shall inspect the texturing for compliance with design requirements. After curing is complete, all textured surfaces shall be thoroughly power broomed to remove all debris. The concrete in areas of recesses for tie-down anchors, lighting fixtures, and other outlets in the pavement shall be finished to provide a surface of the same texture as the surrounding area.

3.5.2.1 Broom Texturing

Surface texture shall be applied using a mechanical stiff bristle broom drag of a type that will uniformly score the surface transverse to the pavement center line. The broom shall be capable of traversing the full width of the pavement in a single pass at a uniform speed and with a uniform pressure. Successive passes of the broom shall be overlapped the minimum necessary to obtain a uniformly textured surface. The scores should be uniform in appearance and approximately 1/16 inch in depth but not more than 1/8 inch in depth. Hand brooming will be permitted only on isolated odd shaped slabs or slabs where hand finishing is permitted.

3.5.3 Edging

After texturing has been completed, the edge of the slabs along the forms shall be carefully finished with an edging tool to form a smooth rounded surface of 1/8 inch radius. No water shall be added to the surface during edging.

3.6 CURING

Concrete shall be continuously protected against loss of moisture and rapid temperature changes for at least 7 days from the completion of finishing operations. Unhardened concrete shall be protected from rain and flowing water. During hot weather with low humidity and/or wind, the Contractor shall institute measures to prevent plastic shrinkage cracks from developing. ACI 305R contains means of predicting plastic shrinkage cracking and preventative measures. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry. Curing shall be accomplished by one of the following methods.

3.6.1 Membrane Curing

A uniform coating of white-pigmented membrane-forming curing compound shall be applied to the entire exposed surface of the concrete including pavement edges as soon as the free water has disappeared from the surface after finishing. If evaporation is high and no moisture is present on the surface even though bleeding has not stopped, fog sprays shall be used to keep the surface moist until setting of the cement occurs. Curing compound shall then be immediately applied. Curing compound shall be applied to the finished surfaces by means of a self-propelled automatic spraying machine, equipped with multiple spraying nozzles with wind shields, spanning the newly paved lane. The curing compound shall be applied at a maximum application rate of 200 square feet per gallon. The application of curing compound by hand-operated, mechanical powered pressure sprayers will be permitted only on odd widths or shapes of slabs where indicated and on concrete surfaces exposed by the removal of forms. The compound shall form a uniform, continuous, cohesive film that will not check, crack, or peel and that will be free from pinholes and other discontinuities. Areas where the curing compound develops the above defects or is damaged by heavy rainfall, sawing or other construction operations within the curing period, shall be immediately resprayed.

3.6.2 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, commencing immediately after finishing. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Impervious sheet curing shall not be used.

3.7 JOINTS

No deviation from the jointing pattern shown on the drawings shall be made without written approval of the Design District Pavement or Geotechnical Engineer. All joints shall be straight, perpendicular to the finished grade of the pavement, and continuous from edge to edge or end to end of the pavement with no abrupt offset and no gradual deviation greater than 1/2 inch.

3.7.1 Longitudinal Construction Joints

Dowels Keys shall be installed in the longitudinal construction joints, or the edges shall be thickened as indicated. The dimensions of the keyway shall not vary more than plus or minus 1/8 inch from the dimensions

indicated and shall not deviate more than plus or minus 1/4 inch from the mid-depth of the pavement. If any length of completed keyway of 5 feet or more fails to meet the above tolerances, dowels shall be installed.

3.7.2 Transverse Construction Joints

Transverse construction joints shall be installed at a planned transverse joint, at the end of each day's placing operations and when concrete placement is interrupted. Transverse construction joints shall be constructed either by utilizing headers and hand placement and finishing techniques, or by placing concrete beyond the transverse construction joint location and then saw cutting full depth and removing concrete back to the transverse construction joint location. For the latter case, dowels shall be installed using methods for dowels installed in hardened concrete described above. All transverse construction joints shall be dowelled.

3.7.3 Expansion Joints

Expansion joints shall be formed where indicated, and about any structures and features that project through or into the pavement, using preformed joint filler of the type, thickness, and width indicated, and shall extend the full slab depth. Edges of the concrete at the joint face shall be edged. The joint filler strips shall be installed to form a recess at the pavement surface to be filled with joint sealant. Expansion joints shall be constructed with thickened edges for load transfer as indicated.

3.7.4 Contraction Joints

Transverse and longitudinal contraction joints shall be of the weakened-plane or dummy type. Longitudinal contraction joints shall be constructed by sawing a groove in the hardened concrete with a power-driven saw. Transverse contraction joints shall be constructed in conformance with requirements for sawed joints.

3.7.4.1 Sawed Joints

Sawed contraction joints shall be constructed by sawing a groove in the concrete with a 1/8 inch blade to the indicated depth within 24 hours of concrete placement. The time of initial sawing shall vary depending on existing and anticipated weather conditions and shall be such as to prevent uncontrolled cracking of the pavement. Sawing of the joints shall commence as soon as the concrete has hardened sufficiently to permit cutting the concrete without chipping, spalling, or tearing. The joints shall be sawed at the required spacing consecutively in the sequence of the concrete placement. Sawing at a given joint location shall be discontinued when a crack develops ahead of the saw cut. Immediately after the joint is sawed, the saw cut and adjacent concrete surface shall be thoroughly flushed with water until all waste from sawing is removed from the joint. The surface shall be resprayed with curing compound as soon as free water disappears. The top of the joint opening and the joint groove at exposed edges shall be tightly sealed with cord or backer rod before the concrete in the region of the joint is resprayed with curing compound.

3.7.5 Thickened Edge Joints

Underlying material in the transition area shall meet the requirements for smoothness and compaction specified for all other areas of the underlying material.

3.7.6 Special Joints

Special joints (undercut joints) shall be constructed adjacent to existing pavement as indicated. The concrete shall be worked under the edge of the existing pavement to completely fill the void and shall be thoroughly consolidated by the use of hand-held vibrators.

3.8 REPAIR, REMOVAL, AND REPLACEMENT OF SLABS

New pavement slabs that contain full-depth cracks shall be removed and replaced, as specified herein at no cost to the Government. Removal and replacement shall be full depth, shall be full width of the paving lane, and the limit of removal shall be from each original transverse joint. The Contracting Officer will determine whether cracks extend full depth of the pavement and may require minimum 6 inch diameter cores to be drilled on the crack to determine depth of cracking. Cores shall be drilled and the hole later filled by the Contractor with a well consolidated concrete mixture bonded to the walls of the hole with epoxy resin. Drilling of cores and refilling holes shall be at no expense to the Government. Cracks that do not extend full depth of slab shall be cleaned and then pressure injected with epoxy resin, Type IV, Grade 1. The Contractor shall ensure that the crack is not widened during epoxy resin injection. Where a full depth crack intersects the original transverse joint, the slab(s) containing the crack shall be removed and replaced, with dowels installed, as required below. Spalls along joints shall be repaired as specified.

3.8.1 Removal and Replacement of Full Slabs

Unless there are keys or dowels present, all edges of the slab shall be sawcut full depth. If keys, dowels, or tie bars are present along any edges, these edges shall be sawed full depth 6 inches from the edge if only keys are present, or just beyond the end of dowels or tie bars if they are present. These joints shall then be carefully sawed on the joint line to within 1 inch of the depth of the dowel or key. The main slab shall be further divided by sawing full depth, at appropriate locations, and each piece lifted out and removed. The narrow strips along keyed or doweled edges shall be carefully broken up and removed. Care shall be taken to prevent damage to the dowels, tie bars, or keys or to concrete to remain in place. Protruding portions of dowels shall be painted and lightly oiled. The joint face below keys or dowels shall be suitably trimmed so that there is no abrupt offset. If underbreak occurs at any point along any edge, the area shall be hand-filled with concrete, producing an even joint face from top to bottom, before replacing the removed slab. If underbreak over 4 inches deep occurs, the entire slab containing the underbreak shall be removed and replaced. Where there are no dowels, tie bars, or keys on an edge, or where they have been damaged, dowels of the size and spacing as specified for other joints in similar pavement shall be installed by epoxy grouting them into holes drilled into the existing concrete. Original damaged dowels or tie bars shall be cut off flush with the joint face. All four edges of the new slab shall thus contain dowels or original keys or original tie bars. Prior to placement of new concrete, the underlying

material shall be graded and recompact, and the surfaces of all four joint faces shall be cleaned of all loose material and contaminants, and coated with a double application of membrane forming curing compound as bond breaker. Placement of concrete shall be as specified for original construction. The resulting joints around the new slab shall be prepared and sealed as specified.

3.8.2 Repairing Spalls Along Joints

Spalls along joints and cracks shall be repaired by first making a vertical saw cut at least 1 inch outside the spalled area and to a depth of at least 2 inches. Saw cuts shall be straight lines forming rectangular areas. The concrete between the saw cut and the joint, or crack, shall be chipped out to remove all unsound concrete. The cavity shall be thoroughly cleaned with high pressure water jets supplemented with compressed air to remove all loose material. Immediately before filling the cavity, a prime coat shall be applied to the dry cleaned surface of all sides and bottom of the cavity, except any joint face. The prime coat shall be applied in a thin coating and scrubbed into the surface with a stiff-bristle brush. Prime coat for portland cement repairs shall be a neat cement grout and for epoxy resin repairs shall be epoxy resin, Type III, Grade 1. The cavity shall be filled with low slump portland cement concrete or mortar, or with epoxy resin concrete or mortar. Portland cement concrete shall be used for larger spalls, those more than 1/3 cu. ft. in size after removal operations; portland cement mortar shall be used for spalls between 0.03 and 1/3 cu. ft.; and epoxy resin mortar or Type III, Grade 3 epoxy resin for those spalls less than 0.03 cu. ft. in size after removal operations. Portland cement concretes and mortars shall be very low slump mixtures, proportioned, mixed, placed, tamped, and cured. If the materials and procedures are approved in writing, latex modified concrete mixtures may be used for repairing spalls less than 1/3 cu.ft. in size. Epoxy resin mortars shall be made with Type III, Grade 1, epoxy resin, using proportions, mixing, placing, tamping and curing procedures as recommended by the manufacturer. Any repair material on the surrounding surfaces of the existing concrete shall be removed before it hardens. Where the spalled area abuts a joint, an insert or other bond-breaking medium shall be used to prevent bond at the joint face. A reservoir for the joint sealant shall be sawed to the dimensions required for other joints. In lieu of sawing, spalls not adjacent to joints, and popouts, both less than 6 inches in maximum dimension, may be prepared by drilling a core 2 inches in diameter greater than the size of the defect, centered over the defect, and 2 inches deep or 1/2 inch into sound concrete, whichever is greater. The core hole shall be repaired as specified above for other spalls.

3.9 EXISTING CONCRETE PAVEMENT REMOVAL AND REPAIR

Existing concrete pavement shall be removed as indicated and as specified in Section 02220 DEMOLITION modified, and expanded as specified herein. Removal, repair and replacement shall be made as indicated and as specified in paragraph REPAIR, REMOVAL, AND REPLACEMENT OR SLABS.

3.10 PAVEMENT PROTECTION

The Contractor shall protect the pavement against all damage prior to final acceptance of the work. Traffic shall be excluded from the new pavement. As a construction expedient in paving intermediate lanes between newly paved pilot lanes, operation of the hauling equipment will be permitted on the new

pavement after the pavement has been cured for 7 days and the joints have been sealed or otherwise protected. All new and existing pavement carrying construction traffic or equipment shall be continuously kept completely clean. Special cleaning and care shall be used where Contractor's traffic uses or crosses active airfield pavement.

3.11 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL (CQC)

Paragraph ACCEPTABILITY OF WORK contains additional CQC requirements. The Contractor shall perform the inspection and tests described below and, based upon the results of these inspections and tests, shall take the action required and submit reports as specified. When, in the opinion of the Contracting Officer, the paving operation is out of control, concrete placement shall cease.

3.11.1 Batch Plant Control

A daily report shall be prepared indicating checks made for scale accuracy with test weights, checks of batching accuracy, and corrective action taken prior to and during placement for weighing or batching, type and source of cement used, type and source of pozzolan or slag used, amount and source of admixtures used, aggregate source, the required aggregate and water masses per cubic yd, amount of water as free moisture in each size of aggregate, and the batch aggregate and water masses per cubic yd. for each class of concrete batched during each day's plant operation.

3.11.2 Concrete Mixture

- a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two other tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of paving. Whenever air content reaches specified limits, an immediate confirmatory test shall be made. If the second test also shows air content at or exceeding specified limits, an adjustment shall immediately be made in the amount of air-entraining admixture batched to bring air content within specified limits. If the next adjusted batch of concrete is not within specified limits, concrete placement shall be halted until concrete air content is within specified limits.
- b. Slump Testing. Slump tests shall be made when test specimens are fabricated. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Whenever slump approaches the maximum limit, an adjustment shall immediately be made in the batch masses of water and fine aggregate, without exceeding the maximum $w/(c+p)$. When a slump result exceeds the specification limit, no further concrete shall be delivered to the paving site until adjustments have been made and slump is again within the limit.
- c. Temperature. The temperature of the concrete shall be measured when strength specimens are fabricated.
- d. Concrete Strength Testing. Four (4) cylinders from the same batch shall be fabricated, cured and tested for compressive strength, testing two cylinders at 7-day and two cylinders at 28-day age. A

minimum of one set of four (4) cylinders shall be fabricated, cured and tested for each shift of concrete placement. Control charts for strength, showing the 7-day and 28-day CQC compressive strengths, and the 28-day required compressive strength, shall be maintained and submitted with weekly CQC Reports.

3.11.3 Inspection Before Placing

Underlying materials, joint locations and types, construction joint faces, forms, reinforcing, dowels, and embedded items shall be inspected by a Registered Engineer in sufficient time prior to each paving operation in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing, and the certification signed by the Registered Engineer, prior to each days' paving.

3.11.4 Paving Operations

The placing foreman shall supervise all placing and paving operations, shall determine that the correct quality of concrete is placed in each location as shown, shall insure that the concrete is consolidated full depth and that finishing is performed as specified. The placing foreman shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of placement, volume of concrete placed, and method of paving and any problems encountered.

3.11.5 Curing Inspection

- a. Moist Curing Inspections. Each day on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded. When any inspection finds an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for the area shall be extended by 1 day.
- b. Membrane Curing Inspection. At the end of each day's placement, the CQC Representative shall determine the quantity of compound used by measurement of the container; shall determine the area of concrete surface covered; shall then compute the rate of coverage in square feet per gallon and shall also note whether or not coverage is uniform. When the coverage rate of the curing compound is less than that specified or when the coverage is not uniform, the entire surface shall be sprayed again.

3.11.6 Cold-Weather Protection

At least once per day, an inspection shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

3.11.7 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report, signed by a registered engineer, shall be prepared for the updating of control charts and test data, and all CQC inspections and actions covering the entire

period from the start of the construction through the current week. Reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all CQC records. A copy of weekly reports shall be faxed to the Design District Pavement or Geotechnical Engineer. At the completion of concrete placement, a certification report shall be prepared containing mix designs, all updated control charts and concrete test data, quality control reports, smoothness reports, and other pertinent data on the concrete, with a certification by a registered engineer that the concrete placed meets all specification requirements. A copy of the certification report shall be mailed to the Design District pavement or Geotechnical Engineer.

SECTION 02763

PAVEMENT MARKINGS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 247 (1981) Glass Beads Used in Traffic Paint

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 792 (1991) Density and Specific Gravity (Relative Density) of Plastics by Displacement

ASTM D 4280 (1996) Extended Life Type, Nonplowable, Prismatic, Raised, Retroreflective Pavement Markers

ASTM D 4505 (1996) Preformed Plastic Pavement Marking Tape for Extended Service Life

ASTM E 28 (1997) Softening Point of Resins by Ring and Ball Apparatus

FEDERAL SPECIFICATIONS (FS)

FS TT-B-1325 (Rev C; Notice 1) Beads (Glass Spheres) Retro-Reflective (Metric)

FS TT-P-1952 (Rev D) Paint, Traffic and Airfield Marking, Waterborne (Metric)

1.2 DELIVERY AND STORAGE

All materials shall be delivered and stored in sealed containers that plainly show the designated name, formula or specification number, batch number, color, date of manufacture, manufacturer's name, and directions, all of which shall be plainly legible at time of use.

1.3 EQUIPMENT

1.3.1 Traffic Controls

Suitable warning signs shall be placed near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Small markers shall be placed along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers. Painting

equipment shall be marked with large warning signs indicating slow-moving painting equipment in operation.

1.4 HAND-OPERATED, PUSH-TYPE MACHINES

All machines, tools, and equipment used in performance of the work shall be approved and maintained in satisfactory operating condition. Hand-operated push-type machines of a type commonly used for application of paint to pavement surfaces will be acceptable for marking small streets and parking areas. Applicator machine shall be equipped with the necessary paint tanks and spraying nozzles, and shall be capable of applying paint uniformly at coverage specified. Sandblasting equipment shall be provided as required for cleaning surfaces to be painted. Hand-operated spray guns shall be provided for use in areas where push-type machines cannot be used.

1.5 MAINTENANCE OF TRAFFIC

1.5.1 Roads, Streets, and Parking Areas

When traffic must be rerouted or controlled to accomplish the work, the necessary warning signs, flagpersons, and related equipment for the safe passage of vehicles shall be provided.

1.6 WEATHER LIMITATIONS FOR REMOVAL

Pavement surface shall be free of snow, ice, or slush. Surface temperature shall be at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting. Operation shall cease during thunderstorms. Operation shall cease during rainfall, except for waterblasting and removal of previously applied chemicals. Waterblasting shall cease where surface water accumulation alters the effectiveness of material removal.

2 PRODUCTS

2.1 PAINT

The paint shall be homogeneous, easily stirred to smooth consistency, and shall show no hard settlement or other objectionable characteristics during a storage period of 6 months. Paints for airfields, roads, and streets shall conform to FS TT-P-1952, color as [indicated] [selected]. Pavement marking paints shall comply with applicable state and local laws enacted to ensure compliance with Federal Clean Air Standards. Paint materials shall conform to the restrictions of the local Air Pollution Control District.

3 EXECUTION

3.1 SURFACE PREPARATION

Surfaces to be marked shall be thoroughly cleaned before application of the pavement marking material. Dust, dirt, and other granular surface deposits shall be removed by sweeping, blowing with compressed air, rinsing with water or a combination of these methods as required. Rubber deposits, surface laitance, existing paint markings, and other coatings adhering to the pavement shall be completely removed with scrapers, wire brushes, sandblasting, approved chemicals, or mechanical abrasion as directed. Areas of old pavement affected with oil or grease shall be scrubbed with several

applications of trisodium phosphate solution or other approved detergent or degreaser, and rinsed thoroughly after each application. After cleaning, oil-soaked areas shall be sealed with cut shellac to prevent bleeding through the new paint. Pavement surfaces shall be allowed to dry, when water is used for cleaning, prior to striping or marking. Surfaces shall be recleaned, when work has been stopped due to rain.

3.1.1 Pretreatment for Early Painting

Where early painting is required on rigid pavements, a pretreatment with an aqueous solution containing 3 percent phosphoric acid and 2 percent zinc chloride shall be applied to prepared pavement areas prior to painting.

3.1.2 Cleaning Existing Pavement Markings

In general, markings shall not be placed over existing pavement marking patterns. Existing pavement markings, which are in good condition but interfere or conflict with the newly applied marking patterns, shall be removed. Deteriorated or obscured markings that are not misleading or confusing or interfere with the adhesion of the new marking material do not require removal. New preformed and thermoplastic pavement markings shall not be applied over existing preformed or thermoplastic markings. Whenever grinding, scraping, sandblasting or other operations are performed the work must be conducted in such a manner that the finished pavement surface is not damaged or left in a pattern that is misleading or confusing. When these operations are completed the pavement surface shall be blown off with compressed air to remove residue and debris resulting from the cleaning work.

3.1.3 Cleaning Concrete Curing Compounds

On new Portland cement concrete pavements, cleaning operations shall not begin until a minimum of 30 days after the placement of concrete. All new concrete pavements shall be cleaned by either sandblasting or water blasting. When water blasting is performed, thermoplastic and preformed markings shall be applied no sooner than 24 hours after the blasting has been completed. The extent of the blasting work shall be to clean and prepare the concrete surface as follows:

- a. There is no visible evidence of curing compound on the peaks of the textured concrete surface.
- b. There are no heavy puddled deposits of curing compound in the valleys of the textured concrete surface.
- c. All remaining curing compound is intact; all loose and flaking material is removed.
- d. The peaks of the textured pavement surface are rounded in profile and free of sharp edges and irregularities.
- e. The surface to be marked is dry.

3.2 APPLICATION

All pavement markings and patterns shall be placed as shown on the plans.

3.2.1 Paint

Paint shall be applied to clean, dry surfaces, and only when air and pavement temperatures are above 40 degrees F and less than 95 degrees F. Paint temperature shall be maintained within these same limits. New asphalt pavement surfaces and new Portland concrete cement shall be allowed to cure for a period of not less than 30 days before applications of paint. Paint shall be applied pneumatically with approved equipment at rate of coverage specified. The Contractor shall provide guide lines and templates as necessary to control paint application. Special precautions shall be taken in marking numbers, letters, and symbols. Edges of markings shall be sharply outlined.

3.2.1.1 Rate of Application

a. Reflective Markings: Pigmented binder shall be applied evenly to the pavement area to be coated at a rate of 105 plus or minus 5 square feet per gallon. Glass spheres shall be applied uniformly to the wet paint on road and street pavement at a rate of 6 plus or minus 0.5 pounds of glass spheres per gallon of paint.

b. Nonreflective Markings: Paint shall be applied evenly to the pavement surface to be coated at a rate of 105 plus or minus 5 square feet per gallon.

3.2.1.2 Drying

The maximum drying time requirements of the paint specifications will be strictly enforced to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. If there is a delay in drying of the markings, painting operations shall be discontinued until cause of the slow drying is determined and corrected.

3.3 MARKING REMOVAL

Pavement marking, <TAI OPT=PREFORMED TAPE>including plastic tape,</TAI> shall be removed in the areas shown on the drawings. Removal of marking shall be as complete as possible without damage to the surface. Aggregate shall not be exposed by the removal process. After the markings are removed, the cleaned pavement surfaces shall exhibit adequate texture for remarking as specified in paragraph SURFACE PREPARATION. Contractor shall demonstrate removal of pavement marking in an area designated by the Contracting Officer. The demonstration area will become the standard for the remainder of the work.

3.3.1 Equipment Operation

Equipment shall be controlled and operated to remove markings from the pavement surface, prevent dilution or removal of binder from underlying pavement, and prevent emission of blue smoke from asphalt or tar surfaces.

3.3.2 Cleanup and Waste Disposal

The worksite shall be kept clean of debris and waste from the removal operations. Cleanup shall immediately follow removal operations in areas subject to air traffic. Debris shall be disposed of at approved sites.

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SECTION 02770

CONCRETE SIDEWALKS AND CURBS AND GUTTERS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 182 (1991) Burlap Cloth Made from Jute or Kenaf

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 185 (1997) Steel Welded Wire Fabric, Plain, for Concrete Reinforcement

ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement

ASTM A 616/A 616M (1996a) Rail-Steel Deformed and Plain Bars for Concrete Reinforcement

ASTM A 617/A 617M (1996a) Axle-Steel Deformed and Plain Bars for Concrete Reinforcement

ASTM C 31/C 31M (1996) Making and Curing Concrete Test Specimens in the Field

ASTM C 143 (1990a) Slump of Hydraulic Cement Concrete

ASTM C 171 (1997) Sheet Materials for Curing Concrete

ASTM C 172 (1997) Sampling Freshly Mixed Concrete

ASTM C 173 (1996) Air Content of Freshly Mixed Concrete by the Volumetric Method

ASTM C 231 (1997) Air Content of Freshly Mixed Concrete by the Pressure Method

ASTM C 309 (1997) Liquid Membrane-Forming Compounds for Curing Concrete

ASTM C 920 (1995) Elastomeric Joint Sealants

ASTM D 1751 (1983; R 1991) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)

ASTM D 1752 (1984; R 1996) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction

ASTM D 3405 (1996) Joint Sealants, Hot-Applied, for Concrete and Asphalt Pavements

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Reports

Field Quality Control.

Copies of all test reports within 24 hours of completion of the test.

1.3 WEATHER LIMITATIONS

1.3.1 Placing During Cold Weather

Concrete placement shall not take place when the air temperature reaches 40 degrees F and is falling, or is already below that point. Placement may begin when the air temperature reaches 35 degrees F and is rising, or is already above 40 degrees F. Provisions shall be made to protect the concrete from freezing during the specified curing period. If necessary to place concrete when the temperature of the air, aggregates, or water is below 35 degrees F, placement and protection shall be approved in writing. Approval will be contingent upon full conformance with the following provisions. The underlying material shall be prepared and protected so that it is entirely free of frost when the concrete is deposited. Mixing water or aggregates shall be heated as necessary to result in the temperature of the in-place concrete being between 50 and 85 degrees F. Methods and equipment for heating shall be approved. The aggregates shall be free of ice, snow, and frozen lumps before entering the mixer. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period.

1.3.2 Placing During Warm Weather

The temperature of the concrete as placed shall not exceed 85 degrees F except where an approved retarder is used. The mixing water and/or aggregates shall be cooled, if necessary, to maintain a satisfactory placing temperature. The placing temperature shall not exceed 95 degrees F at any time.

1.4 PLANT, EQUIPMENT, MACHINES, AND TOOLS

1.4.1 General Requirements

Plant, equipment, machines, and tools used in the work shall be subject to approval and shall be maintained in a satisfactory working condition at all times. The equipment shall have the capability of producing the required product, meeting grade controls, thickness control and smoothness requirements as specified. Use of the equipment shall be discontinued if it produces unsatisfactory results. The Contracting Officer shall have access

at all times to the plant and equipment to ensure proper operation and compliance with specifications.

1.4.2 <TAI OPT=CURBS AND GUTTERS>1.6.2 Slip Form Equipment

Slip form paver or curb forming machine, will be approved based on trial use on the job and shall be self-propelled, automatically controlled, crawler mounted, and capable of spreading, consolidating, and shaping the plastic concrete to the desired cross section in 1 pass.

</TAI>PART 2 PRODUCTS

2 CONCRETE

Concrete shall conform to the applicable requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE except as otherwise specified. Concrete shall have a minimum compressive strength of 3500 psi at 28 days. Maximum size of aggregate shall be 1-1/2 inches.

2.1.1 Air Content

Mixtures shall have air content by volume of concrete of 5 to 7 percent, based on measurements made immediately after discharge from the mixer.

2.1.2 Slump

The concrete slump shall be 2 inches plus or minus 1 inch where determined in accordance with ASTM C 143.

2.1.3 Reinforcement Steel

Reinforcement bars shall conform to ASTM A 615/A 615M, ASTM A 616/A 616M, or ASTM A 617/A 617M. Wire mesh reinforcement shall conform to ASTM A 185.

2.2 CONCRETE CURING MATERIALS

2.2.1 Impervious Sheet Materials

Impervious sheet materials shall conform to ASTM C 171, type optional, except that polyethylene film, if used, shall be white opaque.

2.2.2 Burlap

Burlap shall conform to AASHTO M 182.

2.2.3 White Pigmented Membrane-Forming Curing Compound

White pigmented membrane-forming curing compound shall conform to ASTM C 309, Type 2.

2.3 CONCRETE PROTECTION MATERIALS

Concrete protection materials shall be a linseed oil mixture of equal parts, by volume, of linseed oil and either mineral spirits, naphtha, or turpentine. At the option of the contractor, commercially prepared linseed oil mixtures, formulated specifically for application to concrete to provide

protection against the action of deicing chemicals may be used, except that emulsified mixtures are not acceptable.

2.4 JOINT FILLER STRIPS

2.4.1 <TAI OPT=CURBS AND GUTTERS>2.4.1 Contraction Joint Filler for Curb and Gutter

Contraction joint filler for curb and gutter shall consist of hard-pressed fiberboard.

2.4.2 </TAI>2.4.2 Expansion Joint Filler, Premolded

Expansion joint filler, premolded, shall conform to ASTM D 1751 or ASTM D 1752, 3/8 inch thick, unless otherwise indicated.

2.5 JOINT SEALANTS

2.5.1 Joint Sealant, Cold-Applied

Joint sealant, cold-applied shall conform to ASTM C 920.

2.5.2 Joint Sealant, Hot-Poured

Joint sealant, hot-poured shall conform to ASTM D 3405.

2.6 FORM WORK

Form work shall be designed and constructed to ensure that the finished concrete will conform accurately to the indicated dimensions, lines, and elevations, and within the tolerances specified. Forms shall be of wood or steel, straight, of sufficient strength to resist springing during depositing and consolidating concrete. Wood forms shall be surfaced plank, 2 inches nominal thickness, straight and free from warp, twist, loose knots, splits or other defects. Wood forms shall have a nominal length of 10 feet. Radius bends may be formed with 3/4 inch boards, laminated to the required thickness. Steel forms shall be channel-formed sections with a flat top surface and with welded braces at each end and at not less than two intermediate points. Ends of steel forms shall be interlocking and self-aligning. Steel forms shall include flexible forms for radius forming, corner forms, form spreaders, and fillers. Steel forms shall have a nominal length of 10 feet with a minimum of 3 welded stake pockets per form. Stake pins shall be solid steel rods with chamfered heads and pointed tips designed for use with steel forms.

2.6.1 <TAI OPT=SIDEWALKS>2.6.1 Sidewalk Forms

Sidewalk forms shall be of a height equal to the full depth of the finished sidewalk.

2.6.2 </TAI><TAI OPT=CURBS AND GUTTERS>2.6.2 Curb and Gutter Forms

Curb and gutter outside forms shall have a height equal to the full depth of the curb or gutter. The inside form of curb shall have batter as indicated and shall be securely fastened to and supported by the outside form. Rigid forms shall be provided for curb returns, except that benders or thin plank forms may be used for curb or curb returns with a radius of 10 feet or more,

where grade changes occur in the return, or where the central angle is such that a rigid form with a central angle of 90 degrees cannot be used. Back forms for curb returns may be made of 1-1/2 inch benders, for the full height of the curb, cleated together. In lieu of inside forms for curbs, a curb "mule" may be used for forming and finishing this surface, provided the results are approved.

</TAI>PART 3 EXECUTION

2.7 SUBGRADE PREPARATION

The subgrade shall be constructed to the specified grade and cross section prior to concrete placement. Subgrade shall be placed and compacted in conformance with Section 02722.

2.7.1 <TAI OPT=SIDEWALKS>3.1.1 Sidewalk Subgrade

The subgrade shall be tested for grade and cross section with a template extending the full width of the sidewalk and supported between side forms.

2.7.2 </TAI><TAI OPT=CURBS AND GUTTERS>3.1.2 Curb and Gutter Subgrade

The subgrade shall be tested for grade and cross section by means of a template extending the full width of the curb and gutter. The subgrade shall be of materials equal in bearing quality to the subgrade under the adjacent pavement.

2.7.3 </TAI>3.1.3 Maintenance of Subgrade

The subgrade shall be maintained in a smooth, compacted condition in conformity with the required section and established grade until the concrete is placed. The subgrade shall be in a moist condition when concrete is placed. The subgrade shall be prepared and protected to produce a subgrade free from frost when the concrete is deposited.

2.8 FORM SETTING

Forms shall be set to the indicated alignment, grade and dimensions. Forms shall be held rigidly in place by a minimum of 3 stakes per form placed at intervals not to exceed 4 feet. Corners, deep sections, and radius bends shall have additional stakes and braces, as required. Clamps, spreaders, and braces shall be used where required to ensure rigidity in the forms. Forms shall be removed without injuring the concrete. Bars or heavy tools shall not be used against the concrete in removing the forms. Any concrete found defective after form removal shall be promptly and satisfactorily repaired. Forms shall be cleaned and coated with form oil each time before concrete is placed. Wood forms may, instead, be thoroughly wetted with water before concrete is placed, except that with probable freezing temperatures, oiling is mandatory.

2.8.1 <TAI OPT=SIDEWALKS>3.2.1 Sidewalks

Forms for sidewalks shall be set with the upper edge true to line and grade with an allowable tolerance of 1/8 inch in any 10 foot long section. After forms are set, grade and alignment shall be checked with a 10 foot straightedge. Forms shall have a transverse slope as indicated with the low

side adjacent to the roadway. Side forms shall not be removed for 12 hours after finishing has been completed.

2.8.2 </TAI><TAI OPT=CURBS AND GUTTERS>3.2.2 Curbs and Gutters

The forms of the front of the curb shall be removed not less than 2 hours nor more than 6 hours after the concrete has been placed. Forms back of curb shall remain in place until the face and top of the curb have been finished, as specified for concrete finishing. Gutter forms shall not be removed while the concrete is sufficiently plastic to slump in any direction.

2.9 </TAI><TAI OPT=SIDEWALKS>3.3 SIDEWALK CONCRETE PLACEMENT AND FINISHING

2.9.1 Formed Sidewalks

Concrete shall be placed in the forms in one layer. When consolidated and finished, the sidewalks shall be of the thickness indicated. After concrete has been placed in the forms, a strike-off guided by side forms shall be used to bring the surface to proper section to be compacted. The concrete shall be consolidated with an approved vibrator, and the surface shall be finished to grade with a strike off.

2.9.2 Concrete Finishing

After straightedging, when most of the water sheen has disappeared, and just before the concrete hardens, the surface shall be finished with a wood float or darby to a smooth and uniformly fine granular or sandy texture free of waves, irregularities, or tool marks. A scored surface shall be produced by brooming with a fiber-bristle brush in a direction transverse to that of the traffic, followed by edging.

2.9.3 Edge and Joint Finishing

All slab edges, including those at formed joints, shall be finished with an edger having a radius of 1/8 inch. Transverse joint shall be edged before brooming, and the brooming shall eliminate the flat surface left by the surface face of the edger. Corners and edges which have crumbled and areas which lack sufficient mortar for proper finishing shall be cleaned and filled solidly with a properly proportioned mortar mixture and then finished.

2.9.4 Surface and Thickness Tolerances

Finished surfaces shall not vary more than 5/16 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

2.10 </TAI><TAI OPT=CURBS AND GUTTERS>3.4 CURB AND GUTTER CONCRETE PLACEMENT AND FINISHING

2.10.1 Formed Curb and Gutter

Concrete shall be placed to the section required in a single lift. Consolidation shall be achieved by using approved mechanical vibrators. Curve shaped gutters shall be finished with a standard curb "mule".

2.10.2 Curb and Gutter Finishing

Approved slipformed curb and gutter machines may be used in lieu of hand placement.

2.10.3 Concrete Finishing

Exposed surfaces shall be floated and finished with a smooth wood float until true to grade and section and uniform in texture. Floated surfaces shall then be brushed with a fine-hair brush with longitudinal strokes. The edges of the gutter and top of the curb shall be rounded with an edging tool to a radius of 1/2 inch. Immediately after removing the front curb form, the face of the curb shall be rubbed with a wood or concrete rubbing block and water until blemishes, form marks, and tool marks have been removed. The front curb surface, while still wet, shall be brushed in the same manner as the gutter and curb top. The top surface of gutter and entrance shall be finished to grade with a wood float.

2.10.4 Joint Finishing

Curb edges at formed joints shall be finished as indicated.

2.10.5 Surface and Thickness Tolerances

Finished surfaces shall not vary more than 1/4 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

2.11 </TAI><TAI OPT=SIDEWALKS>3.5 SIDEWALK JOINTS

Sidewalk joints shall be constructed to divide the surface into rectangular areas. Transverse contraction joints shall be spaced at a distance equal to the sidewalk width or 5 feet on centers, whichever is less, and shall be continuous across the slab. Longitudinal contraction joints shall be constructed along the centerline of all sidewalks 10 feet or more in width. Transverse expansion joints shall be installed at sidewalk returns and opposite expansion joints in adjoining curbs. Where the sidewalk is not in contact with the curb, transverse expansion joints shall be installed as indicated. Expansion joints shall be formed about structures and features which project through or into the sidewalk pavement, using joint filler of the type, thickness, and width indicated.

2.11.1 Sidewalk Contraction Joints

The contraction joints shall be formed in the fresh concrete by cutting a groove in the top portion of the slab to a depth of at least one-fourth of the sidewalk slab thickness, using a jointer to cut the groove, or by sawing a groove in the hardened concrete with a power-driven saw, unless otherwise approved. Sawed joints shall be constructed by sawing a groove in the concrete with a 1/8 inch blade to the depth indicated. An ample supply of saw blades shall be available on the job before concrete placement is started, and at least one standby sawing unit in good working order shall be available at the jobsite at all times during the sawing operations.

2.11.2 Sidewalk Expansion Joints

Expansion joints shall be formed with 1/2 inch joint filler strips. Joint filler shall be placed with top edge 1/4 inch below the surface and shall be held in place with steel pins or other devices to prevent warping of the filler during floating and finishing. Immediately after finishing operations are completed, joint edges shall be rounded with an edging tool having a radius of 1/8 inch, and concrete over the joint filler shall be removed. At the end of the curing period, expansion joints shall be cleaned and filled with joint sealant.

2.11.3 Reinforcement Steel Placement

Reinforcement steel shall be accurately and securely fastened in place with suitable supports and ties before the concrete is placed.

2.12 </TAI><TAI OPT=CURBS AND GUTTERS>3.6 CURB AND GUTTER JOINTS

Curb and gutter joints shall be constructed at right angles to the line of curb and gutter.

2.12.1 Contraction Joints

Contraction joints shall be constructed directly opposite contraction joints in abutting portland cement concrete pavements and spaced so that monolithic sections between curb returns will not be less than 5 feet nor greater than 15 feet in length. Contraction joints shall be constructed by means of 1/8 inch thick separators and of a section conforming to the cross section of the curb and gutter. Separators shall be removed as soon as practicable after concrete has set sufficiently to preserve the width and shape of the joint and prior to finishing.

2.12.2 Expansion Joints

Expansion joints shall be formed by means of preformed expansion joint filler material cut and shaped to the cross section of curb and gutter. Expansion joints shall be provided in curb and gutter directly opposite expansion joints of abutting portland cement concrete pavement, and shall be of the same type and thickness as joints in the pavement. Where curb and gutter do not abut portland cement concrete pavement, expansion joints at least 1/2 inch in width shall be provided at intervals not exceeding 50 feet. Expansion joints shall be provided in nonreinforced concrete gutter at locations indicated. Expansion joints shall be sealed immediately following curing of the concrete or as soon thereafter as weather conditions permit.

2.13 </TAI>3.7 CURING AND PROTECTION

2.13.1 General Requirements

Concrete shall be protected against loss of moisture and rapid temperature changes for at least 7 days from the beginning of the curing operation. Unhardened concrete shall be protected from rain and flowing water. All equipment needed for adequate curing and protection of the concrete shall be on hand and ready for use before actual concrete placement begins. Protection shall be provided as necessary to prevent cracking of the pavement due to temperature changes during the curing period.

2.13.1.1 Mat Method

The entire exposed surface shall be covered with 2 or more layers of burlap. Mats shall overlap each other at least 6 inches. The mat shall be thoroughly wetted with water prior to placing on concrete surface and shall be kept continuously in a saturated condition and in intimate contact with concrete for not less than 7 days.

2.13.1.2 Impervious Sheeting Method

The entire exposed surface shall be wetted with a fine spray of water and then covered with impervious sheeting material. Sheets shall be laid directly on the concrete surface with the light-colored side up and overlapped 12 inches when a continuous sheet is not used. The curing medium shall not be less than 18-inches wider than the concrete surface to be cured, and shall be securely weighted down by heavy wood planks, or a bank of moist earth placed along edges and laps in the sheets. Sheets shall be satisfactorily repaired or replaced if torn or otherwise damaged during curing. The curing medium shall remain on the concrete surface to be cured for not less than 7 days.

2.13.1.3 Membrane Curing Method

A uniform coating of white-pigmented membrane-curing compound shall be applied to the entire exposed surface of the concrete as soon after finishing as the free water has disappeared from the finished surface. Formed surfaces shall be coated immediately after the forms are removed and in no case longer than 1 hour after the removal of forms. Concrete shall not be allowed to dry before the application of the membrane. If any drying has occurred, the surface of the concrete shall be moistened with a fine spray of water and the curing compound applied as soon as the free water disappears. Curing compound shall be applied in two coats by hand-operated pressure sprayers at a coverage of approximately 200 square feet per gallon for the total of both coats. The second coat shall be applied in a direction approximately at right angles to the direction of application of the first coat. The compound shall form a uniform, continuous, coherent film that will not check, crack, or peel and shall be free from pinholes or other imperfections. If pinholes, abrasion, or other discontinuities exist, an additional coat shall be applied to the affected areas within 30 minutes. Concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied shall be resprayed by the method and at the coverage specified above. Areas where the curing compound is damaged by subsequent construction operations within the curing period shall be resprayed. Necessary precautions shall be taken to insure that the concrete is properly cured at sawed joints, and that no curing compound enters the joints. The top of the joint opening and the joint groove at exposed edges shall be tightly sealed before the concrete in the region of the joint is resprayed with curing compound. The method used for sealing the joint groove shall prevent loss of moisture from the joint during the entire specified curing period. Approved standby facilities for curing concrete pavement shall be provided at a location accessible to the jobsite for use in the event of mechanical failure of the spraying equipment or other conditions that might prevent correct application of the membrane-curing compound at the proper time. Concrete surfaces to which membrane-curing compounds have been applied shall be adequately protected during the entire curing period from pedestrian and vehicular traffic, except as required for

joint-sawing operations and surface tests, and from any other possible damage to the continuity of the membrane.

2.13.2 Backfilling

After curing, debris shall be removed and the area adjoining the concrete shall be backfilled, graded, and compacted to conform to the surrounding area in accordance with lines and grades indicated.

2.13.3 Protection

Completed concrete shall be protected from damage until accepted. The Contractor shall repair damaged concrete and clean concrete discolored during construction. Concrete that is damaged shall be removed and reconstructed for the entire length between regularly scheduled joints. Refinishing the damaged portion will not be acceptable. Removed damaged portions shall be disposed of as directed.

2.14 FIELD QUALITY CONTROL

2.14.1 General Requirements

The Contractor shall perform the inspection and tests described and meet the specified requirements for inspection details and frequency of testing. Based upon the results of these inspections and tests, the Contractor shall take the action and submit reports as required below, and any additional tests to insure that the requirements of these specifications are met.

2.14.2 Concrete Testing

2.14.2.1 Strength Testing

The Contractor shall provide molded concrete specimens for strength tests. Samples of concrete placed each day shall be taken not less than once a day nor less than once for every 250 cubic yards of concrete. The samples for strength tests shall be taken in accordance with [ASTM C 172](#). Cylinders for acceptance shall be molded in conformance with [ASTM C 31/C 31M](#) by an approved testing laboratory. Each strength test result shall be the average of 2 test cylinders from the same concrete sample tested at 28 days, unless otherwise specified or approved. Concrete specified on the basis of compressive strength will be considered satisfactory if the averages of all sets of three consecutive strength test results equal or exceed the specified strength, and no individual strength test result falls below the specified strength by more than 500 psi.

2.14.2.2 Air Content

Air content shall be determined in accordance with [ASTM C 173](#) or [ASTM C 231](#). [ASTM C 231](#) shall be used with concretes and mortars made with relatively dense natural aggregates. Two tests for air content shall be made on randomly selected batches of each class of concrete placed during each shift. Additional tests shall be made when excessive variation in concrete workability is reported by the placing foreman or the Government inspector. If results are out of tolerance, the placing foreman shall be notified and he shall take appropriate action to have the air content corrected at the plant. Additional tests for air content will be performed on each truckload

of material until such time as the air content is within the tolerance specified.

2.14.2.3 Slump Test

Two slump tests shall be made on randomly selected batches of each class of concrete for every 250 cubic yards, or fraction thereof, of concrete placed during each shift. Additional tests shall be performed when excessive variation in the workability of the concrete is noted or when excessive crumbling or slumping is noted along the edges of slip-formed concrete.

2.14.3 Thickness Evaluation

The anticipated thickness of the concrete shall be determined prior to placement by passing a template through the formed section or by measuring the depth of opening of the extrusion template of the curb forming machine. If a slip form paver is used for sidewalk placement, the subgrade shall be true to grade prior to concrete placement and the thickness will be determined by measuring each edge of the completed slab.

2.14.4 Surface Evaluation

The finished surface of each category of the completed work shall be uniform in color and free of blemishes and form or tool marks.

2.15 SURFACE DEFICIENCIES AND CORRECTIONS

2.15.1 Thickness Deficiency

When measurements indicate that the completed concrete section is deficient in thickness by more than 1/4 inch the deficient section will be removed, between regularly scheduled joints, and replaced.

2.15.2 High Areas

In areas not meeting surface smoothness and plan grade requirements, high areas shall be reduced either by rubbing the freshly finished concrete with carborundum brick and water when the concrete is less than 36 hours old or by grinding the hardened concrete with an approved surface grinding machine after the concrete is 36 hours old or more. The area corrected by grinding the surface of the hardened concrete shall not exceed 5 percent of the area of any integral slab, and the depth of grinding shall not exceed 1/4 inch. Pavement areas requiring grade or surface smoothness corrections in excess of the limits specified above shall be removed and replaced.

2.15.3 Appearance

Exposed surfaces of the finished work will be inspected by the Government and any deficiencies in appearance will be identified. Areas which exhibit excessive cracking, discoloration, form marks, or tool marks or which are otherwise inconsistent with the overall appearances of the work shall be removed and replaced.

SECTION 02821

FENCING

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 116	(1995) Zinc-Coated (Galvanized) Steel Woven Wire Fence Fabric
ASTM A 121	(1992a) Zinc-Coated (Galvanized) Steel Barbed Wire
ASTM A 153/A 153M	(1998) Zinc-Coated (Hot Dip) on Iron and Steel Hardware
ASTM A 176	(1997) Stainless and Heat-Resisting Chromium Steel Plate, Sheet, and Strip
ASTM A 392	(1996) Zinc-Coated Steel Chain-Link Fence Fabric
ASTM A 478	(1997) Chromium-Nickel Stainless and Heat-Resisting Steel Weaving and Knitting Wire
ASTM A 491	(1996) Aluminum-Coated Steel Chain-Link Fence Fabric
ASTM A 585	(1997) Aluminum-Coated Steel Barbed Wire
ASTM A 666	(1996b) Annealed or Cold-Worked Austenitic Stainless Steel Sheet, Strip, Paste, and Flat Bar
ASTM A 702	(1989; R 1994) Steel Fence Posts and Assemblies, Hot Wrought
ASTM A 780	(1993a) Repair of Damaged and Uncoated Areas of Hot-Dipped Galvanized Coatings
ASTM A 824	(1995) Metallic-Coated Steel Marcellled Tension Wire for Use With Chain Link Fence
ASTM C 94	(1998) Ready-Mixed Concrete
ASTM D 4541	(1995) Pull-Off Strength of Coatings Using Portable Adhesion Testers
ASTM F 626	(1996) Fence Fittings

ASTM F 668	(1996) Poly(Vinyl Chloride) (PVC)-Coated Steel Chain-Link Fence Fabric
ASTM F 883	(1997) Padlocks
ASTM F 900	(1994) Industrial and Commercial Swing Gates
ASTM F 1043	(1998a) Strength and Protective Coatings on Metal Industrial Chain-Link Fence Framework
ASTM F 1083	(1997) Specification for Pipe, Steel, Hot-Dipped Zinc-Coated (Galvanized) Welded, for Fence Structures
ASTM F 1184	(1994) Industrial and Commercial Horizontal Slide Gates
ASTM G 23	(1996) Operating Light-Exposure Apparatus (Carbon-Arc Type) With and Without Water for Exposure of Nonmetallic Materials
ASTM G 26	(1996) Operating Light-Exposure Apparatus (Xenon-Arc Type) With and Without Water for Exposure of Nonmetallic Materials
ASTM G 53	(1996) Operating Light- and Water-Exposure Apparatus (Fluorescent UV-Condensation Type) for Exposure of Nonmetallic Materials

AMERICAN WOOD PRESERVERS ASSOCIATION (AWPA)

AWPA C1	(1997) All Timber products - Preservative Treatment by Pressure Processes
AWPA C4	(1995) Poles - Preservative Treatment by Pressure Processes

2 PRODUCTS

2.1 FENCE FABRIC

Fence fabric shall conform to the following:

2.1.1 <TAI OPT=CHAIN LINK FENCE>2.1.1 Chain Link Fence Fabric

ASTM A 392, Class 1, zinc-coated steel wire with minimum coating weight of 1.2 ounces of zinc per square foot of coated surface, or ASTM A 491, Type I, aluminum-coated steel wire. Fabric shall be fabricated of 9 gauge wire woven in 2 inch mesh. Fabric height shall be as shown. Fabric shall be twisted and barbed on the top selvage and knuckled on the bottom selvage.

2.2 </TAI>2.2 GATES

ASTM F 900 and/or ASTM F 1184. Gate shall be the type and swing shown. Gate frames shall conform to strength and coating requirements of ASTM F 1083 for Group IA, steel pipe, with external coating Type A, nominal pipe

size (NPS) 1-1/2. Gate frames shall conform to strength and coating requirements of [ASTM F 1043](#), for Group IC, steel pipe with external coating Type A or Type B, nominal pipe size (NPS) 1-1/2. Gate fabric shall be as specified for chain link fabric. Gate leaves more than 8 feet wide shall have either intermediate members and diagonal truss rods or shall have tubular members as necessary to provide rigid construction, free from sag or twist. Gate leaves less than 8 feet wide shall have truss rods or intermediate braces. Intermediate braces shall be provided on all gate frames with an electro-mechanical lock. Gate fabric shall be attached to the gate frame by method standard with the manufacturer except that welding will not be permitted. Latches, hinges, stops, keepers, rollers, and other hardware items shall be furnished as required for the operation of the gate. Latches shall be arranged for padlocking so that the padlock will be accessible from both sides of the gate. Stops shall be provided for holding the gates in the open position. For high security applications, each end member of gate frames shall be extended sufficiently above the top member to carry three strands of barbed wire in horizontal alignment with barbed wire strands on the fence.

2.3 POSTS

2.4 BRACES AND RAILS

[ASTM F 1083](#), zinc-coated, Group IA, steel pipe, size NPS 1-1/4. Group IC steel pipe, zinc-coated, shall meet the strength and coating requirements of [ASTM F 1043](#). Group II, formed steel sections, size 1-21/32 inch, conforming to [ASTM F 1043](#), may be used as braces and rails if Group II line posts are furnished.

2.5 WIRE

2.5.1 <TAI OPT=CHAIN LINK FENCE>2.5.1 Tension Wire

Tension wire shall be Type I or Type II, Class 2 coating, in accordance with [ASTM A 824](#).

2.6 </TAI><TAI OPT=HIGH SECURITY FENCE><TAI OPT=CHAIN LINK FENCE>2.6 ACCESSORIES</TAI>

<TAI OPT=CHAIN LINK FENCE>[ASTM F 626](#). Ferrous accessories shall be zinc or aluminum coated. Truss rods shall be furnished for each terminal post. Truss rods shall be provided with turnbuckles or other equivalent provisions for adjustment. Barbed wire shall be 2 strand, 12-1/2 gauge wire, zinc-coated, Class 3 in accordance with [ASTM A 121](#) or aluminum coated Type I in accordance with [ASTM A 585](#). Tie wire for attaching fabric to rails, braces, and posts shall be 9 gauge steel wire and match the coating of the fence fabric. Miscellaneous hardware coatings shall conform to [ASTM A 153/A 153M](#) unless modified.</TAI>

2.7 </TAI>2.8 CONCRETE

[ASTM C 94](#), using 3/4 inch maximum size aggregate, and having minimum compressive strength of 3000 psi at 28 days. Grout shall consist of one part portland cement to three parts clean, well-graded sand and the minimum amount of water to produce a workable mix.

3 EXECUTION

3.1 INSTALLATION

<TAI OPT=FARM STYLE FENCE></TAI>

Fence shall be installed to the lines and grades indicated. The area on either side of the fence line shall be cleared to the extent indicated. Line posts shall be spaced equidistant at intervals not exceeding 10 feet. Terminal (corner, gate, and pull) posts shall be set at abrupt changes in vertical and horizontal alignment. Fabric shall be continuous between terminal posts; however, runs between terminal posts shall not exceed 500 feet. Any damage to galvanized surfaces, including welding, shall be repaired with paint containing zinc dust in accordance with ASTM A 780.

3.2 EXCAVATION

Post holes shall be cleared of loose material. Waste material shall be spread where directed. The ground surface irregularities along the fence line shall be eliminated to the extent necessary to maintain a 2 inch clearance between the bottom of the fabric and finish grade.

3.3 POST INSTALLATION

3.3.1 <TAI OPT=HIGH SECURITY FENCE><TAI OPT=CHAIN LINK FENCE>3.3.1 Posts for Chain Link Fence</TAI>

<TAI OPT=CHAIN LINK FENCE></TAI>

<TAI OPT=CHAIN LINK FENCE>Posts shall be set plumb and in alignment. Except where solid rock is encountered, posts shall be set in concrete to the depth indicated on the drawings. Where solid rock is encountered with no overburden, posts shall be set to a minimum depth of 18 inches in rock. Where solid rock is covered with an overburden of soil or loose rock, posts shall be set to the minimum depth indicated on the drawing unless a penetration of 18 inches in solid rock is achieved before reaching the indicated depth, in which case depth of penetration shall terminate. All portions of posts set in rock shall be grouted. Portions of posts not set in rock shall be set in concrete from the rock to ground level. Posts set in concrete shall be set in holes not less than the diameter shown on the drawings. Diameters of holes in solid rock shall be at least 1 inch greater than the largest cross section of the post. Concrete and grout shall be thoroughly consolidated around each post, shall be free of voids and finished to form a dome. Concrete and grout shall be allowed to cure for 72 hours prior to attachment of any item to the posts. Group II line posts may be mechanically driven, for temporary fence construction only, if rock is not encountered. Driven posts shall be set to a minimum depth of 3 feet and shall be protected with drive caps when being set.</TAI> For high security fences, fence post rigidity shall be tested by applying a 50 pound force on the post, perpendicular to the fabric, at 5 feet above ground; post movement measured at the point where the force is applied shall be less than or equal to 3/4 inch from the relaxed position; every tenth post shall be tested for rigidity; when a post fails this test, further tests on the next four posts on either side of the failed post shall be made; all failed posts shall be removed, replaced, and retested at the Contractor's expense.

3.4 </TAI><TAI OPT=HIGH SECURITY FENCE><TAI OPT=CHAIN LINK FENCE>3.4 RAILS</TAI>

3.4.1 <TAI OPT=CHAIN LINK FENCE>3.4.1 Top Rail</TAI>

<TAI OPT=CHAIN LINK FENCE>Top rail shall be supported at each post to form a continuous brace between terminal posts. Where required, sections of top rail shall be joined using sleeves or couplings that will allow expansion or contraction of the rail.</TAI> Top rail, if required for high security fence, shall be installed as indicated on the drawings.

3.4.2 Bottom Rail

The bottom rail shall be bolted to double rail ends and double rail ends shall be securely fastened to the posts. Bolts shall be peened to prevent easy removal. Bottom rail shall be installed before chain link fabric.

3.5 <TAI OPT=CHAIN LINK FENCE>3.5 BRACES AND TRUSS RODS

Braces and truss rods shall be installed as indicated and in conformance with the standard practice for the fence furnished. Horizontal (compression) braces and diagonal truss (tension) rods shall be installed on fences over 6 feet in height. A center brace or 2 diagonal truss rods shall be installed on 12 foot fences. Braces and truss rods shall extend from terminal posts to line posts. Diagonal braces shall form an angle of approximately 40 to 50 degrees with the horizontal. No bracing is required on fences 6 feet high or less if a top rail is installed.

3.6 </TAI><TAI OPT=CHAIN LINK FENCE>3.6 TENSION WIRES</TAI>

<TAI OPT=CHAIN LINK FENCE>Tension wires shall be installed along the top and bottom of the fence line and attached to the terminal posts of each stretch of the fence. Top tension wires shall be installed within the top 1 foot of the installed fabric. Bottom tension wire shall be installed within the bottom 6 inches of the installed fabric. Tension wire shall be pulled taut and shall be free of sag.</TAI>

3.7 <TAI OPT=CHAIN LINK FENCE>3.7 CHAIN LINK FABRIC</TAI>

<TAI OPT=CHAIN LINK FENCE>Chain link fabric shall be installed on the side of the post indicated. Fabric shall be attached to terminal posts with stretcher bars and tension bands. Bands shall be spaced at approximately 15 inch intervals. The fabric shall be installed and pulled taut to provide a smooth and uniform appearance free from sag, without permanently distorting the fabric diamond or reducing the fabric height. Fabric shall be fastened to line posts at approximately 15 inch intervals and fastened to all rails and tension wires at approximately 24 inch intervals. Fabric shall be cut by untwisting and removing pickets. Splicing shall be accomplished by weaving a single picket into the ends of the rolls to be joined. The bottom of the installed fabric shall be 2 plus or minus 1/2 inch above the ground.</TAI> For high security fence, after the fabric installation is complete, the fabric shall be exercised by applying a 50 pound push-pull force at the center of the fabric between posts; the use of a 30 pound pull at the center of the panel shall cause fabric deflection of not more than 2-1/2 inches when pulling fabric from the post side of the fence; every second fence panel shall meet this requirement; all failed panels shall be resecured and retested at the Contractor's expense.

3.8 </TAI><TAI OPT=HIGH SECURITY FENCE><TAI OPT=CHAIN LINK FENCE>3.9 GATE
INSTALLATION</TAI></TAI>

<TAI OPT=HIGH SECURITY FENCE><TAI OPT=CHAIN LINK FENCE>Gates shall be installed at the locations shown. Hinged gates shall be mounted to swing as indicated. Latches, stops, and keepers shall be installed as required. Slide gates shall be installed as recommended by the manufacturer. Padlocks shall be attached to gates or gate posts with chains. Hinge pins, and hardware shall be welded or otherwise secured to prevent removal.</TAI></TAI> <TAI OPT=FARM STYLE FENCE>For farm style fencing, standard metal gate assemblies with frame and fittings necessary for complete installation or wood gates shall be furnished as shown.</TAI>

3.9 GROUNDING

Fences crossed by overhead powerlines in excess of 600 volts shall be grounded as indicated. Fences shall be grounded on each side of all gates, at each corner, at the closest approach to each building located within 50 feet of the fence, and where the fence alignment changes more than 15 degrees. Grounding locations shall not exceed 650 feet. Each gate panel shall be bonded with a flexible bond strap to its gate post. Fences crossed by powerlines of 600 volts or more shall be grounded at or near the point of crossing and at distances not exceeding 150 feet on each side of crossing. Ground conductor shall consist of No. 8 AWG solid copper wire. Grounding electrodes shall be 3/4 inch by 10 foot long copper-clad steel rod. Electrodes shall be driven into the earth so that the top of the electrode is at least 6 inches below the grade. Where driving is impracticable, electrodes shall be buried a minimum of 12 inches deep and radially from the fence. The top of the electrode shall be not less than 2 feet or more than 8 feet from the fence. Ground conductor shall be clamped to the fence and electrodes with bronze grounding clamps to create electrical continuity between fence posts, fence fabric, and ground rods. After installation the total resistance of fence to ground shall not be greater than 25 ohms.

SECTION 02921

SEEDING

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AGRICULTURAL MARKETING SERVICE (AMS)

AMS-01 (Aug 95) Federal Seed Act Regulations Part 201

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 602 (1995a) Agricultural Liming Materials

ASTM D 977 (1991) Emulsified Asphalt

ASTM D 2028 (1976; R 1992) Cutback Asphalt (Rapid-Curing Type)

ASTM D 4972 (1995a) pH of Soils

ASTM D 5268 (1992; R 1996) Topsoil Used for Landscaping Purposes

ASTM D 5883 (1996) Standard Guide for Use of Rotary Kiln Produced Expanded Shale, Clay or Slate (ESCS) as a Mineral Amendment in Topsoil Used for Landscaping and Related Purposes

1.2 DELIVERY, INSPECTION, STORAGE, AND HANDLING

1.2.1 Delivery

A delivery schedule shall be provided at least 10 calendar days prior to the first day of delivery.

1.2.2 Inspection

Seed shall be inspected upon arrival at the job site for conformity to species and quality. Seed that is wet, moldy, or bears a test date five months or older, shall be rejected. Other materials shall be inspected for compliance with specified requirements. The following shall be rejected: open soil amendment containers or wet soil amendments; topsoil that contains slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter; and topsoil that contains viable plants and plant parts. Unacceptable materials shall be removed from the job site.

1.2.3 Storage

Materials shall be stored in designated areas. Seed, lime, and fertilizer shall be stored in cool, dry locations away from contaminants. Chemical treatment material shall be stored according to manufacturer's instructions and not with seeding operation materials.

1.2.4 Handling

Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

1.2.5 Time Limitation

Hydroseeding time limitation for holding seed in the slurry shall be a maximum 24 hours.

2 PRODUCTS

2.1 SEED

2.1.1 Seed Classification

State-approved seed of the latest season's crop shall be provided in original sealed packages bearing the producer's guaranteed analysis for percentages of mixture, purity, germination, hard seed, weed seed content, and inert material. Labels shall be in conformance with AMS-01 and applicable state seed laws.

2.1.2 Permanent Seed Species and Mixtures

Permanent seed species and mixtures shall be proportioned by weight as follows:

Botanical Name	Common Name	Mixture Percent by Weight	Percent Pure Live Seed
LAWN SEED			
Cynodon dactylon	Annual Ryegrass	98	

2.1.3 Temporary Seed Species

Temporary seed species for surface erosion control or overseeding shall be as follows:

Botanical Name	Common Name	Percent Pure Live Seed
Coliom multiflorum	Annual Ryegrass	98

2.1.4 Quality

Weed seed shall be a maximum 1 percent by weight of the total mixture.

2.1.5 Seed Mixing

The mixing of seed may be done by the seed supplier prior to delivery, or on site as directed.

2.1.6 Substitutions

Substitutions will not be allowed without written request and approval from the Contracting Officer.

2.2 SOIL AMENDMENTS

2.2.1 Fertilizer

The nutrients ratio shall be at a minimum 9 percent nitrogen, 12 percent phosphorus, and 3 percent potassium. Fertilizer shall be controlled release commercial grade, free flowing, uniform in composition, and consist of a nitrogen-phosphorus-potassium ratio. The fertilizer shall be derived from sulphur coated urea, urea formaldehyde, plastic or polymer coated pills, or isobutylenediurea (IBDU). Fertilizer shall be balanced with the inclusion of trace minerals and micro-nutrients.

2.3 MULCH

Mulch shall be free from weeds, mold, and other deleterious materials. Mulch materials shall be native to the region.

2.3.1 Straw

Straw shall be stalks from oats, wheat, rye, barley, or rice, furnished in air-dry condition and with a consistency for placing with commercial mulch-blowing equipment.

2.3.2 Hay

Hay shall be native hay, sudan-grass hay, broomsedge hay, or other herbaceous mowings, furnished in an air-dry condition suitable for placing with commercial mulch-blowing equipment.

2.3.3 Wood Cellulose Fiber

Wood cellulose fiber shall not contain any growth or germination-inhibiting factors and shall be dyed an appropriate color to facilitate placement during application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 4.5 to 6.0.

2.3.4 Paper Fiber

Paper fiber mulch shall be recycled news print that is shredded for the purpose of mulching seed.

2.4 ASPHALT ADHESIVE

Asphalt adhesive shall conform to the following: Emulsified asphalt, conforming to ASTM D 977, Grade SS-1; and cutback asphalt, conforming to ASTM D 2028, Designation RC-70.

2.5 WATER

Water shall be the responsibility of the Contractor, unless otherwise noted. Water shall not contain elements toxic to plant life. Water may be obtained from a base fire hydrant using a water meter and double-check backflow preventer assembly to convey the water through.

3 EXECUTION

3.1 INSTALLING SEED TIME AND CONDITIONS

3.1.1 Seeding Time

Seed shall be installed from February 15 to August 15 for permanent establishment; from August 15 to February 15 for temporary establishment.

3.1.2 Seeding Conditions

Seeding operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture, or other unsatisfactory conditions prevail, the work shall be stopped when directed. When special conditions warrant a variance to the seeding operations, proposed alternate times shall be submitted for approval.

3.1.3 Equipment Calibration

Immediately prior to the commencement of seeding operations, calibration tests shall be conducted on the equipment to be used. These tests shall confirm that the equipment is operating within the manufacturer's specifications and will meet the specified criteria. The equipment shall be calibrated a minimum of once every day during the operation. The calibration test results shall be provided within 1 week of testing.

3.1.4 Soil Test

Delivered topsoil, existing soil in smooth graded areas, and stockpiled topsoil shall be tested in accordance with ASTM D 5268 and ASTM D 4972 for determining the particle size, pH, organic matter content, textural class, chemical analysis, soluble salts analysis, and mechanical analysis. Sample collection on site shall be random over the entire site. Sample collection for stockpiled topsoil shall be at different levels in the stockpile. The soil shall be free from debris, noxious weeds, toxic substances, or other materials harmful to plant growth. The test shall determine the quantities and type of soil amendments required to meet local growing conditions for the seed species specified.

3.2 SITE PREPARATION

3.2.1 Finished Grade and Topsoil

The Contractor shall verify that finished grades are as indicated on drawings, and the placing of topsoil, smooth grading, and compaction requirements have been completed in accordance with Section 02300 EARTHWORK, prior to the commencement of the seeding operation.

3.2.2 Application of Soil Amendments

3.2.2.1 Applying Fertilizer

The application rate shall be 200 pounds per 1000 square yards. Fertilizer shall be incorporated into the soil to a maximum 4 inch depth or may be incorporated as part of the tillage or hydroseeding operation.

3.2.3 Tillage

Soil on slopes up to a maximum 3-horizontal-to-1-vertical shall be tilled to a minimum 4 inch depth. On slopes between 3-horizontal-to-1-vertical and 1-horizontal-to-1 vertical, the soil shall be tilled to a minimum 2 inch depth by scarifying with heavy rakes, or other method. Rototillers shall be used where soil conditions and length of slope permit. On slopes 1-horizontal-to-1 vertical and steeper, no tillage is required. Drainage patterns shall be maintained as indicated on drawings. Areas compacted by construction operations shall be completely pulverized by tillage. Soil used for repair of surface erosion or grade deficiencies shall conform to topsoil requirements. The pH adjuster, fertilizer, and soil conditioner may be applied during this procedure.

3.2.4 Prepared Surface

3.2.4.1 Preparation

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be completed with a light raking to remove debris.

3.2.4.2 Lawn Area Debris

Debris and stones over a minimum 5/8 inch in any dimension shall be removed from the surface.

3.2.4.3 Field Area Debris

Debris and stones over a minimum 3 inch in any dimension shall be removed from the surface.

3.2.4.4 Protection

Areas with the prepared surface shall be protected from compaction or damage by vehicular or pedestrian traffic and surface erosion.

3.3 INSTALLATION

Prior to installing seed, any previously prepared surface compacted or damaged shall be reworked to meet the requirements of paragraph SITE PREPARATION. Seeding operations shall not take place when the wind velocity will prevent uniform seed distribution.

3.3.1 Installing Seed

Seeding method shall be Broadcast Seeding. Seeding procedure shall ensure even coverage. Gravity feed applicators, which drop seed directly from a hopper onto the prepared soil, shall not be used because of the difficulty in achieving even coverage, unless otherwise approved. Absorbent polymer powder shall be mixed with the dry seed at the rate recommended by the manufacturer.

3.3.1.1 Broadcast Seeding

Seed shall be uniformly broadcast at the rate of 7 pounds per 1000 square feet using broadcast seeders. Half the total rate of seed application shall be broadcast in 1 direction, with the remainder of the seed rate broadcast at 90 degrees from the first direction. Seed shall be covered a maximum 1/4 inch depth by disk harrow, steel mat drag, cultipacker, or other approved device.

3.3.1.2 Rolling

The entire area shall be firmed with a roller not exceeding 90 pounds per foot roller width. Slopes over a maximum 3-horizontal-to-1 vertical shall not be rolled. Areas seeded with seed drills equipped with rollers shall not be rolled.

3.3.2 Mulching

3.3.2.1 Hay or Straw Mulch

Hay or straw mulch shall be spread uniformly at the rate of 2 tons per acre. Mulch shall be spread by hand, blower-type mulch spreader, or other approved method. Mulching shall be started on the windward side of relatively flat areas or on the upper part of steep slopes, and continued uniformly until the area is covered. The mulch shall not be bunched or clumped. Sunlight shall not be completely excluded from penetrating to the ground surface. All areas installed with seed shall be mulched on the same day as the seeding. Mulch shall be anchored immediately following spreading.

3.3.2.2 Mechanical Anchor

Mechanical anchor shall be a V-type-wheel land packer; a scalloped-disk land packer designed to force mulch into the soil surface; or other suitable equipment.

3.3.2.3 Asphalt Adhesive Tackifier

Asphalt adhesive tackifier shall be sprayed at a rate between 10 to 13 gallons per 1000 square feet. Sunlight shall not be completely excluded from penetrating to the ground surface.

3.3.2.4 Non-Asphaltic Tackifier

Hydrophilic colloid shall be applied at the rate recommended by the manufacturer, using hydraulic equipment suitable for thoroughly mixing with water. A uniform mixture shall be applied over the area.

3.3.2.5 Asphalt Adhesive Coated Mulch

Hay or straw mulch may be spread simultaneously with asphalt adhesive applied at a rate between 10 to 13 gallons per 1000 square feet, using power mulch equipment which shall be equipped with suitable asphalt pump and nozzle. The adhesive-coated mulch shall be applied evenly over the surface. Sunlight shall not be completely excluded from penetrating to the ground surface.

3.3.2.6 Wood Cellulose Fiber, Paper Fiber, and Recycled Paper

Wood cellulose fiber, paper fiber, or recycled paper shall be applied as part of the hydroseeding operation. The mulch shall be mixed and applied in accordance with the manufacturer's recommendations.

3.3.3 Watering Seed

Watering shall be started immediately after completing the seeding of an area. Water shall be applied to supplement rainfall at a rate sufficient to ensure moist soil conditions to a minimum 1 inch depth every other day for an 8-continuous-day period. Run-off and puddling shall be prevented. Watering trucks shall not be driven over turf areas, unless otherwise directed. Watering of other adjacent areas or plant material shall be prevented.

3.4 SURFACE EROSION CONTROL

3.4.1 Surface Erosion Control Material

Where indicated or as directed, surface erosion control material shall be installed in accordance with manufacturer's instructions. Placement of the material shall be accomplished without damage to installed material or without deviation to finished grade.

3.4.2 Temporary Seeding

The application rate shall be 7 pounds per 1000 square yards. When directed during contract delays affecting the seeding operation or when a quick cover is required to prevent surface erosion, the areas designated shall be seeded in accordance with temporary seed species listed under Paragraph SEED.

3.4.2.1 Soil Amendments

When soil amendments have not been applied to the area, the quantity of 1/2 of the required soil amendments shall be applied and the area tilled in accordance with paragraph SITE PREPARATION. The area shall be watered in accordance with paragraph Watering Seed.

3.4.2.2 Remaining Soil Amendments

The remaining soil amendments shall be applied in accordance with the paragraph Tillage when the surface is prepared for installing seed.

3.5 QUANTITY CHECK

For materials provided in bags, the empty bags shall be retained for recording the amount used. For materials provided in bulk, the weight

certificates shall be retained as a record of the amount used. The amount of material used shall be compared with the total area covered to determine the rate of application used. Differences between the quantity applied and the quantity specified shall be adjusted as directed.

3.6 RESTORATION AND CLEAN UP

3.6.1 Restoration

Existing turf areas, pavements, and facilities that have been damaged from the seeding operation shall be restored to original condition at Contractor's expense.

3.6.2 Clean Up

Excess and waste material shall be removed from the seeded areas and shall be disposed offsite. Adjacent paved areas shall be cleaned.

3.7 PROTECTION OF INSTALLED AREAS

Immediately upon completion of the seeding operation in an area, the area shall be protected against traffic or other use by erecting barricades and providing signage as required, or as directed.

3.8 SEED ESTABLISHMENT PERIOD

3.8.1 Commencement

The seed establishment period to obtain a healthy stand of grass plants shall begin on the first day of work under this contract and shall end 3 months after the last day of the seeding operation. Written calendar time period shall be furnished for the seed establishment period. When there is more than 1 seed establishment period, the boundaries of the seeded area covered for each period shall be described. The seed establishment period shall be coordinated with Sections 02922 SODDING and 02923 SPRIGGING. The seed establishment period shall be modified for inclement weather, shut down periods, or for separate completion dates of areas.

3.8.2 Satisfactory Stand of Grass Plants

Grass plants shall be evaluated for species and health when the grass plants are a minimum 1 inch high.

3.8.2.1 Lawn Area

A satisfactory stand of grass plants from the seeding operation for a lawn area shall be a minimum 20 grass plants per square foot. Bare spots shall be a maximum 9 inches square. The total bare spots shall be a maximum 2 percent of the total seeded area.

3.8.2.2 Field Area

A satisfactory stand of grass plants from the seeding operation for a field area shall be a minimum 10 grass plants per square foot. The total bare spots shall not exceed 2 percent of the total seeded area.

3.8.3 Maintenance During Establishment Period

Maintenance of the seeded areas shall include eradicating weeds, insects and diseases; protecting embankments and ditches from surface erosion; maintaining erosion control materials and mulch; protecting installed areas from traffic; mowing; watering; and post-fertilization.

3.8.3.1 Mowing

- a. Lawn Areas: Lawn areas shall be mowed to a minimum 3 inch height when the turf is a maximum 4 inches high. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.
- b. Field Areas: Field areas shall be mowed once during the season to a minimum 3 inch height. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.

3.8.3.2 Repair or Reinstall

Unsatisfactory stand of grass plants and mulch shall be repaired or reinstalled, and eroded areas shall be repaired in accordance with paragraph SITE PREPARATION.

3.8.3.3 Maintenance Record

A record of each site visit shall be furnished, describing the maintenance work performed; areas repaired or reinstalled; and diagnosis for unsatisfactory stand of grass plants.

SECTION 02922

SODDING

1 GENERAL

1.1 REFERENCES

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 602	(1995a) Agricultural Liming Materials
ASTM D 4972	(1995a) pH of Soils
ASTM D 5268	(1992; R 1996) Topsoil Used for Landscaping Purposes
ASTM D 5883	(1996) Standard Guide for Use of Rotary Kiln Produced Expanded Shale, Clay or Slate (ESCS) as a Mineral Amendment in Topsoil Used for Landscaping and Related Purposes

1.2 DELIVERY, INSPECTION, STORAGE, AND HANDLING

1.2.1 Delivery

A delivery schedule shall be provided at least 10 calendar days prior to the first day of delivery.

1.2.1.1 Sod

Sod shall be protected during delivery to prevent desiccation, internal heat buildup, or contamination.

1.2.2 Inspection

Sod shall be inspected upon arrival at the job site for conformity to species. Sod shall be checked for visible broadleaf weeds, and a visible consistency with no obvious patches of foreign grasses that exceed 2 percent of the canopy. Sod that is heating up, dry, moldy, yellow, irregularly shaped, torn, or of uneven thickness shall be rejected. Other materials shall be inspected for compliance with specified requirements. Open soil amendment containers or wet soil amendments; topsoil that contains slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter; and topsoil that contains viable plants and plant parts, shall be rejected. Unacceptable materials shall be removed from the job site.

1.2.3 Storage

1.2.3.1 Sod

Sod shall be stored in designated areas and kept in a moist condition by watering with a fine mist, and covered with moist burlap, straw, or other covering. Covering shall allow air to circulate, preventing internal heat

from building up. Sod shall be protected from exposure to wind and direct sunlight until installed.

1.2.3.2 Other Material Storage

Materials shall be stored in designated areas. Lime and fertilizer shall be stored in cool, dry locations, away from contaminants. Chemical treatment material shall be stored according to manufacturer's instructions and not with sod operation materials.

1.2.4 Handling

Sod shall not be damaged during handling. Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

1.2.5 Time Limitation

Time limitation between harvesting and installing sod shall be a maximum 36 hours.

2 PRODUCTS

2.1 SOD

2.1.1 Sod Classification

State-approved sod shall be provided as classified by applicable state laws. Sod section shall be sized to permit rolling and lifting without breaking.

2.1.2 Grass Species

Grass species shall be proportioned as follows:

Botanical Name	Common Name	Mixture Percent
Cynodon dactylon	Bermuda Grass	100

2.1.3 Quality

Sod shall be relatively free of thatch, diseases, nematodes, soil-borne insects, weeds or undesirable plants, stones larger than 1 inch in diameter, woody plant roots, and other materials detrimental to a healthy stand of grass plants. Broadleaf weeds and patches of foreign grasses shall be a maximum 2 percent of the sod section.

2.1.4 Thickness

Sod shall be machine cut to a minimum 1-3/8 inch thickness. Measurement for thickness shall exclude top growth and thatch.

2.1.5 Anchors

Sod anchors shall be as recommended by the sod supplier.

2.1.6 Substitutions

Substitutions will not be allowed without written request and approval from the Contracting Officer.

3 EXECUTION

3.1 INSTALLING SOD TIME AND CONDITIONS

3.1.1 Sodding Time

Sod shall be installed year round.

3.1.2 Sodding Conditions

Sodding operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture or other unsatisfactory conditions prevail, the work shall be stopped when directed. When special conditions warrant a variance to the sodding operations, proposed alternate times shall be submitted for approval.

3.1.3 Equipment Calibration

Immediately prior to the commencement of sodding operations, calibration tests shall be conducted on the equipment to be used. These tests shall confirm that the equipment is operating within the manufacturer's specifications and will meet the specified criteria. The equipment shall be calibrated a minimum of once every day during the operation. Provide calibration test results within one week of testing.

3.1.4 Soil Test

Delivered topsoil, existing soil in smooth graded areas, and stockpiled topsoil shall be tested in accordance with ASTM D 5268 and ASTM D 4972 for determining the particle size, pH, organic matter content, textural class, chemical analysis, soluble salts analysis, and mechanical analysis. Sample collection on site shall be random over the entire site. Sample collection for stockpiled topsoil shall be at different levels in the stockpile. The soil shall be free from debris, noxious weeds, toxic substances, or other materials harmful to plant growth. The test shall determine the quantities and type of soil amendments required to meet local growing conditions for the sod species specified.

3.2 SITE PREPARATION

3.2.1 Finished Grade and Topsoil

Prior to the commencement of the sodding operation, the Contractor shall verify that finished grades are as indicated on drawings; the placing of topsoil, smooth grading, and compaction requirements have been completed in accordance with Section 02300 EARTHWORK.

3.2.2 Tillage

Soil on slopes up to a maximum 3-horizontal-to-1-vertical shall be tilled to a minimum 4 inches deep. On slopes between 3-horizontal-to-1-vertical and 1-horizontal-to-1 vertical, the soil shall be tilled to a minimum 2 inches

deep by scarifying with heavy rakes, or other method. Rototillers shall be used where soil conditions and length of slope permit. On slopes 1-horizontal-to-1 vertical and steeper, no tillage is required. Drainage patterns shall be maintained as indicated on drawings. Areas compacted by construction operations shall be completely pulverized by tillage. Soil used for repair of surface erosion or grade deficiencies shall conform to topsoil requirements. The pH adjuster, fertilizer, and soil conditioner may be applied during this procedure.

3.2.3 Prepared Surface

3.2.3.1 Preparation

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be rolled and completed with a light raking to remove from the surface debris and stones over a minimum 5/8 inch in any dimension.

3.2.3.2 Protection

Areas within the prepared surface shall be protected from compaction or damage by vehicular or pedestrian traffic and surface erosion.

3.3 INSTALLATION

Prior to installing sod, any previously prepared surface compacted or damaged shall be reworked to meet the requirements of paragraph SITE PREPARATION. Areas shall be sodded as indicated. Adequate soil moisture shall be ensured prior to sodding by spraying water on the area to be sodded and wetting the soil to a maximum 1 inch depth.

3.3.1 Installing Sod

Rows of sod sections shall be placed parallel to and tightly against each other. Joints shall be staggered laterally. The sod sections shall not be stretched or overlapped. All joints shall be butted tight. Voids and air drying of roots shall be prevented. Sod sections shall be laid across the slope on long slopes. Sod sections shall be laid at right angles to the flow of water in ditches. Sod sections shall be anchored on slopes steeper than 3-horizontal-to-1-vertical. Anchoring may be required when surface weight or pressure upon placed sod sections is anticipated to cause lateral movement. Sod anchors shall be placed a minimum 2 feet on center with a minimum 2 anchors per sod section.

3.3.2 Finishing

Displacement of the sod shall be prevented by tamping or rolling the sod in place and knitting the sod to the soil. Air pockets shall be eliminated and a true and even surface shall be provided. Frayed edges shall be trimmed, and holes or missing corners shall be patched with sod.

3.3.3 Rolling

The entire area shall be firmed with a roller not exceeding 90 pounds per foot roller width. Slopes over a maximum 3-horizontal-to-1 vertical shall not be rolled.

3.3.4 Watering Sod

Watering shall be started immediately after completing each day of installing sod. Water shall be applied at least every other day for a two-week period to supplement rainfall, at a rate sufficient to ensure moist soil conditions to a minimum depth of 1 inch. Run-off, puddling, and wilting shall be prevented. Unless otherwise directed, watering trucks shall not be driven over turf areas. Watering of other adjacent areas or plant material shall be prevented.

3.4 TEMPORARY SEEDING

The application rate shall be 7 pounds per 1000 square yards. When directed during contract delays affecting the sodding operation or when a quick cover is required to prevent surface erosion, the areas designated shall be seeded with annual seed in accordance with Section 02921 SEEDING. When there is no Section 02921 SEEDING provided in the project, an annual seed species and application rate shall be submitted for approval.

3.5 QUANTITY CHECK

For materials provided in bags, the empty bags shall be retained for recording the amount used. For materials provided in bulk, the weight certificates shall be retained as a record of the amount used. The amount of the material used shall be compared with the total area covered to determine the rate of application. The quantity of sod used shall be compared against the total area established with sod. Differences between the quantity applied and the quantity specified shall be adjusted as directed.

3.6 RESTORATION AND CLEAN UP

3.6.1 Restoration

Existing turf areas, pavements, and facilities that have been damaged from the sodding operation shall be restored to original condition at Contractor's expense.

3.6.2 Clean Up

Excess and waste material shall be removed from the sodded areas and shall be disposed offsite. Adjacent paved areas shall be cleaned.

3.7 PROTECTION OF INSTALLED AREAS

Immediately upon completion of the sodding operation in an area, the area shall be protected against traffic or other use by erecting barricades and providing signage as required, or as directed.

3.8 SOD ESTABLISHMENT PERIOD

3.8.1 Commencement

The sod establishment period to obtain a healthy stand of grass plants shall begin on the first day of work under this contract and shall end 3 months after the last day of sodding operation. Written calendar time period shall be furnished for the sod establishment period. When there is more than 1

sod establishment period, the boundaries of the sodded area covered for each period shall be described. The sod establishment period should be coordinated with Sections 02921 SEEDING and 02923 SPRIGGING. The sod establishment period shall be modified for inclement weather, shut down periods, or for separate completion dates of areas.

3.8.2 Satisfactory Stand of Grass Plants

Grass plants shall be evaluated for species and health. A satisfactory stand of grass plants from the sodding operation shall be living sod uniform in color and leaf texture. Bare spots shall be a maximum 2 inch square. Joints between sod pieces shall be tight and free from weeds and other undesirable growth.

3.8.3 Maintenance During Establishment Period

Maintenance of the sodded areas shall include eradicating weeds, insects and diseases; protecting embankments and ditches from surface erosion; maintaining erosion control materials and mulch; protecting installed areas from traffic; mowing; watering; and post-fertilization.

3.8.3.1 Mowing

Sodded areas shall be mowed to a minimum 3 inch height when the turf is a maximum 4 inch height. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.

3.8.3.2 Repair

Unsatisfactory stand of grass plants shall be repaired or reinstalled, and eroded areas shall be repaired in accordance with paragraph SITE PREPARATION.

3.8.3.3 Maintenance Record

A record of each site visit shall be furnished which describes the maintenance work performed; areas repaired or reinstalled; and diagnosis for unsatisfactory stand of grass plants.

SECTION 02923

SPRIGGING

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AGRICULTURAL MARKETING SERVICE (AMS)

AMS-01 (Aug 95) Federal Seed Act Regulations Part 201

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 602 (1995a) Agricultural Liming Materials

ASTM D 4972 (1995a) pH of Soils

ASTM D 5268 (1992; R 1996) Topsoil Used for Landscaping Purposes

ASTM D 5883 (1996) Standard Guide for Use of Rotary Kiln Produced Expanded Shale, Clay or Slate (ESCS) as a Mineral Amendment in Topsoil Used for Landscaping and Related Purposes

1.2 DELIVERY, INSPECTION, STORAGE, AND HANDLING

1.2.1 Delivery

A delivery schedule shall be provided at least 10 calendar days prior to the first day of delivery.

1.2.1.1 Sprigs

Sprigs shall be protected during delivery to prevent desiccation, internal heat buildup, or contamination.

1.2.1.2 Soil Amendments

Soil amendments shall be delivered to the site in the original, unopened containers bearing the manufacturer's chemical analysis. In lieu of containers, soil amendments may be furnished in bulk. A chemical analysis shall be provided for bulk deliveries.

1.2.2 Inspection

Sprigs shall be inspected upon arrival at the jobsite for conformity to cultivar and genetic purity. Sprigs shall have attached roots with 2 to 3 nodes and shall be 4 to 6 inches in length, with no adhering soil, weed stems, or roots. Sprigs that have been exposed to heat or excessive drying

shall be rejected. Seed shall be inspected upon arrival at the job site for conformity to cultivar and quality. Seed that is wet, moldy, or bears a test date 5 months or older, shall be rejected. Other materials shall be inspected for compliance. The following shall be rejected: open soil amendment containers or wet soil amendments; topsoil that contains slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter; and topsoil that contains viable plants and plant parts. Unacceptable materials shall be removed from the job site.

1.2.3 Storage

1.4.3.1 Sprigs

Sprigs shall be stored in designated areas and covered with moist burlap, straw, or other covering. Covering shall allow air to circulate preventing internal heat from building up. Sprigs shall be protected from exposure to wind, and direct sunlight until installed.

1.4.3.2 Other Material Storage

Materials shall be stored in designated areas. Seed, lime, and fertilizer shall be stored in cool, dry locations away from contaminants. Chemical treatment material shall be stored according to manufacturer's instructions and not with plant material or other materials.

1.2.4 Handling

Sprigs shall not be damaged during handling. Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

1.2.5 Time Limitation

Time limitation between harvesting and installing sprigs shall be a maximum 24 hours. Hydroseeding time limitation for holding seed in the slurry shall be a maximum 24 hours.

2 PRODUCTS

2.1 SPRIGS

2.1.1 Sprig Cultivar

The cultivar of Bermuda grass shall be healthy living stems, stolons, or rhizomes. They shall have attached roots from 4 to 6 inches long that include 2 to 3 nodes.

2.1.2 Quality

Sprigs shall be grown under climatic conditions similar to those in the locality of the project. Sprigs shall have no adhering soil, weed stems, or roots. Sprigs shall be obtained from heavy and dense sod, and shall be free from material detrimental to a healthy stand of grass plants. Sprigs that have been exposed to heat or excessive drying shall be rejected.

2.2 SEED

2.2.1 Seed Classification

State-approved seed of the latest season's crop shall be provided in original sealed packages bearing the producer's guaranteed analysis for percentages of mixture, purity, germination, hard seed, weed seed content, and inert material. Labels shall be in conformance with AMS-01 and applicable state seed laws.

2.2.2 Temporary Seed Species

Botanical Name	Common Name	Percent Pure Live Seed
Cyondon dactylon	Bermuda Grass	100

Weed seed shall be a maximum 1 percent by weight of the total mixture.

2.3 SUBSTITUTIONS

Substitutions will not be allowed without written request and approval from the Contracting Officer.

2.4 SOIL AMENDMENTS

Soil amendments shall consist of pH adjuster, fertilizer, organic material, and soil conditioners meeting the following requirements. Vermiculite shall not be used.

2.5 MULCH

Mulch shall be free from weeds, mold, and other deleterious materials. Mulch materials shall be native to the region.

2.5.1 Straw

Straw shall be stalks from oats, wheat, rye, barley, or rice furnished in air-dry condition, and with a consistency for placing with commercial mulch-blowing equipment.

2.5.2 Hay

Hay shall be native hay, sudan-grass hay, broomsedge hay, or other herbaceous mowings furnished in an air-dry condition, suitable for placing with commercial mulch-blowing equipment.

2.5.3 Wood Cellulose Fiber

Wood cellulose fiber shall not contain any growth or germination-inhibiting factors, and shall be dyed an appropriate color to facilitate placement during application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 4.5 to 6.0.

2.5.4 Paper Fiber

Paper fiber mulch shall be recycled news print that is shredded for the purpose of mulching seed.

2.6 WATER

Unless otherwise noted, water shall be the responsibility of the Contractor. Water shall not contain elements toxic to plant life.

3 EXECUTION

3.1 INSTALLING SPRIGS TIME AND CONDITIONS

3.1.1 Sprigging Time

Sprigs shall be installed year .

3.1.2 Sprigging Conditions

Sprigging operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture, or other unsatisfactory conditions prevail, the work shall be stopped when directed. When special conditions warrant a variance to the sprigging operations, proposed alternate times shall be submitted for approval.

3.1.3 Equipment Calibration

Immediately prior to the commencement of sprigging operations, calibration tests shall be conducted on the equipment to be used. These tests shall confirm that the equipment is operating within the manufacturer's specifications and will meet the specified criteria. The equipment shall be calibrated a minimum of once every day during the operation. Provide the calibration test results within 1 week of testing.

3.2 SITE PREPARATION

3.2.1 Finished Grade and Topsoil

Prior to the commencement of sprigging operation, the Contractor shall verify that finished grades are as indicated on drawings, and the placing of topsoil, smooth grading, and compaction requirements have been completed in accordance with Section 02300 EARTHWORK.

3.2.2 Prepared Surface

3.2.2.1 Preparation

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be rolled and completed with a light raking to remove debris.

3.2.2.2 Lawn Area Debris

Debris and stones over a minimum 5/8 inch in any dimension shall be removed from the surface.

3.2.2.3 Field Area Debris

Debris and stones over a minimum 3 inches in any dimension shall be removed from the surface.

3.2.2.4 Protection

Areas with the prepared surface shall be protected from compaction and damage by vehicular or pedestrian traffic and surface erosion.

3.3 INSTALLATION

Prior to installing sprigs, any previously prepared surface compacted or damaged shall be reworked to meet the requirements of paragraph SITE PREPARATION. Areas shall be sprigged as indicated.

3.3.1 Installing Sprigs

The sprigging method shall be Row Sprigging applying seed-over-sprigs. Sprigging procedure shall ensure even coverage.

3.3.1.1 Row Sprigging

Sprigs shall be planted in rows spaced a maximum of 12 inches apart and to a minimum 1 inch depth, with mechanical sprig planter or other methods. Sprigs shall be placed in the rows a maximum 6 inch distance apart.

3.3.2 Mulching

3.3.2.1 Hay or Straw Mulch

Hay or straw mulch shall be spread uniformly at the rate of 2 tons per acre. Mulch shall be spread by hand, blower-type mulch spreader, or other approved method. Mulching shall be started on the windward side of relatively flat areas or on the upper part of steep slopes, and continued uniformly until the area is covered. The mulch shall not be bunched or clumped. Sunlight shall not be completely excluded from penetrating to the ground surface. All areas installed with seed shall be mulched on the same day as the seeding. Mulch shall be anchored immediately following spreading.

3.3.2.2 Mechanical Anchor

Mechanical anchor shall be a V-type-wheel land packer; a scalloped-disk land packer designed to force mulch into the soil surface; or other suitable equipment.

3.3.2.3 Wood Cellulose Fiber, Paper Fiber and Recycled Paper

Wood cellulose fiber, paper fiber, or recycled paper shall be applied as part of the hydroseeding operation. The mulch shall be mixed and applied in accordance with the manufacturer's recommendations.

3.3.3 Applying Seed Over Sprigs

Seed shall be applied using either broadcast equipment and methods. Seeding procedure shall ensure even coverage. Gravity feed applicators, which drop seed directly from a hopper onto the prepared soil, shall not be used.

3.3.3.1 Broadcast Seeding

Seed shall be uniformly broadcast at the rate of 7 pounds per 1000 square feet using broadcast seeders. Half the total rate of seed application shall be broadcast in 1 direction, with the remainder of the seed rate broadcast at 90 degrees from the first direction. Seed shall be covered to a minimum 1/4 inch depth by disk harrow, steel mat drag, cultipacker, or other approved device. Seed shall be broadcast and covered prior to sprigging operation.

3.3.4 Rolling

The entire area shall be firmed with a roller not exceeding 90 pounds per foot roller width. Slopes over a maximum 3-horizontal-to-1 vertical shall not be rolled.

3.3.5 Finishing

A minimum 25 percent of the installed sprigs shall extend above the ground surface upon completion of the sprigging operation.

3.3.6 Watering Sprigs

Watering shall be started immediately after completing each day of sprigging and continue every other day for a 2-week period. Water shall be applied at a rate sufficient to ensure moist soil conditions to a minimum 1 inch depth. Run-off, puddling, and wilting shall be prevented. Unless otherwise directed, watering trucks shall not be driven over turf areas. Watering of other adjacent areas or plant material shall be prevented.

3.4 TEMPORARY SEEDING

The application rate shall be 7 pounds per 1000 square yards. When directed during contract delays affecting the sprigging operation or when a quick cover is required to prevent surface erosion, the areas designated shall be seeded in accordance with temporary seed species listed under paragraph SEED.

3.4.1 Soil Amendments

When no soil amendments have been applied to the area, the quantity of 1/2 of the required soil amendments shall be applied and the area tilled in accordance with paragraph SITE PREPARATION. The area shall be watered in accordance with paragraph Watering Sprigs as required.

3.4.2 Remaining Soil Amendments

The remaining soil amendments shall be applied in accordance with the paragraph Tillage when the surface is prepared for installing sprigs.

3.5 QUANTITY CHECK

For materials provided in bags, the empty bags shall be retained for recording the amount used. For materials provided in bulk, the weight certificates shall be retained as a record of the amount used. The amount of the material used shall be compared with the total area covered to determine the rate of application used. The quantity of sprigs used shall

be compared against the total area established with sprigs. Differences between the quantity applied and the quantity specified shall be adjusted as directed.

3.6 RESTORATION AND CLEAN UP

3.6.1 Restoration

Existing turf areas, pavements, and facilities that have been damaged from the sprigging operation shall be restored to original condition at Contractor's expense.

3.6.2 Clean Up

Excess and waste material shall be removed from the sprigged areas and shall be disposed offsite. Adjacent paved areas shall be cleaned.

3.7 PROTECTION OF INSTALLED AREAS

Immediately upon completion of the sprigging operation in an area, the area shall be protected against traffic or other use by erecting barricades and providing signage as required, or as directed. Signage shall be in accordance with Section 10430 EXTERIOR SIGNAGE.

3.8 SPRIG ESTABLISHMENT PERIOD

3.8.1 Commencement

The sprig establishment period to obtain a healthy stand of grass plants shall begin on the first day of work under this contract and shall end 3 months after the last day of sprigging operations. Written calendar time period shall be furnished for the sprig establishment period. When there is more than 1 sprig establishment period, the boundaries of the sprigged area covered for each period shall be described. The sprig establishment period shall be coordinated with Sections 02921 SEEDING and 02922 SODDING. The sprig establishment period shall be modified for inclement weather, shut down periods, or for separate completion dates of areas.

3.8.2 Satisfactory Stand of Grass Plants

Grass plants shall be evaluated for cultivar and health when grass plants are a minimum 1 inch high. A satisfactory stand of grass plants from the sprigging operation shall be a minimum 6 grass plants per square foot. When annual seed is applied over the sprigs, the annual grass plants shall not be counted. Bare spots shall be a maximum 9 inch square. The total bare spots shall be a maximum 2 percent of the total sprigged area.

3.8.3 Maintenance During Establishment Period

Maintenance of the sprigged areas shall include eradicating weeds, insects, and diseases; protecting embankments and ditches from surface erosion; maintaining erosion control materials and mulch; protecting installed areas from traffic; mowing; watering; and post-fertilization.

3.8.3.1 Mowing

a. Lawn Areas: Lawn areas shall be mowed to a minimum 3 inch height when the sprigs are a maximum 4 inches high. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.

b. Field Areas: Field areas shall be mowed once during the season to a minimum 3 inch height. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.

3.8.3.2 Repair

Unsatisfactory stand of grass plants shall be repaired or reinstalled, and eroded areas shall be repaired in accordance with paragraph SITE PREPARATION.

3.8.3.3 Maintenance Record

A record of each site visit shall be furnished describing the maintenance work performed; areas repaired or reinstalled; and diagnosis for unsatisfactory stand of grass plants.

SECTION 03100

STRUCTURAL CONCRETE FORMWORK

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 347R (1994) Guide to Formwork for Concrete

AMERICAN HARDBOARD ASSOCIATION (AHA)

AHA ANSI/AHA A135.4 (1995) Basic Hardboard

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 578 (1995) Rigid, Cellular Polystyrene Thermal Insulation

DEPARTMENT OF COMMERCE (DOC)

DOC PS 1 (1996) Voluntary Product Standard - Construction and Industrial Plywood

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Shop Drawings

Formwork.

Drawings showing details of formwork, including dimensions of fiber voids, joints, supports, studding and shoring, and sequence of form and dshoring removal.

Product Data

Design.

Design analysis and calculations for form design and methodology used in the design.

Form Materials

Manufacturer's data including literature describing form materials, accessories and form releasing agents.

Form Releasing Agents

Manufacturer's recommendation on method and rate of application for form releasing agents.

1.3 DESIGN

Formwork shall be designed in accordance with methodology of ACI 347R for anticipated loads, lateral pressures, and stresses. Forms shall be capable of producing a surface which meets the requirements of the class of finish specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Forms shall be capable of withstanding the pressures resulting from placement and vibration of concrete.

2 PRODUCTS

2.1 FORM MATERIALS

2.1.1 Forms For Class C Finish

Forms for Class C finished surfaces shall be shiplap lumber; plywood conforming to DOC PS 1, Grade B-B concrete form panels, Class I or II; tempered concrete form hardboard conforming to AHA ANSI/AHA A135.4; other approved concrete form material; or steel, except that steel lining on wood sheathing shall not be used. Forms for round columns may have one vertical seam.

2.1.2 Forms For Class D Finish

Forms for Class D finished surfaces, except where concrete is placed against earth, shall be wood or steel or other approved concrete form material.

2.1.3 Form Ties

Form ties shall be factory-fabricated metal ties, shall be of the removable or internal disconnecting or snap-off type, and shall be of a design that will not permit form deflection and will not spall concrete upon removal. Solid backing shall be provided for each tie. Except where removable tie rods are used, ties shall not leave holes in the concrete surface less than 1/4 inch nor more than 1 inch deep and not more than 1 inch in diameter. Removable tie rods shall be not more than 1-1/2 inches in diameter.

2.1.4 Form Releasing Agents

Form releasing agents shall be commercial formulations that will not bond with, stain or adversely affect concrete surfaces. Agents shall not impair subsequent treatment of concrete surfaces depending upon bond or adhesion nor impede the wetting of surfaces to be cured with water or curing compounds.

3 EXECUTION

3.1 INSTALLATION

3.1.1 Formwork

Forms shall be mortar tight, properly aligned and adequately supported to produce concrete surfaces meeting the surface requirements specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE and conforming to construction tolerance given in TABLE 1. Where forms for continuous surfaces are placed in successive units, the forms shall fit over the completed surface to obtain accurate alignment of the surface and to prevent leakage of mortar. Forms shall not be reused if there is any evidence of surface wear and tear or defects which would impair the quality of the surface. Surfaces of forms to be reused shall be cleaned of mortar from previous concreting and of all other foreign material before reuse. Form ties that are to be completely withdrawn shall be coated with a nonstaining bond breaker.

3.2 CHAMFERING

Except as otherwise shown, external corners that will be exposed shall be chamfered, beveled, or rounded by moldings placed in the forms.

3.3 COATING

Forms for Class C and D finished surfaces may be wet with water in lieu of coating immediately before placing concrete, except that in cold weather with probable freezing temperatures, coating shall be mandatory. Surplus coating on form surfaces and coating on reinforcing steel and construction joints shall be removed before placing concrete.

3.4 REMOVAL OF FORMS

Forms shall be removed preventing injury to the concrete and ensuring the complete safety of the structure. Formwork for columns, walls, side of beams and other parts not supporting the weight of concrete may be removed when the concrete has attained sufficient strength to resist damage from the removal operation but not before at least 24 hours has elapsed since concrete placement. Supporting forms and shores shall not be removed from beams, floors and walls until the structural units are strong enough to carry their own weight and any other construction or natural loads. Supporting forms or shores shall not be removed before the concrete strength has reached 70 percent of design strength, as determined by field cured cylinders or other approved methods. This strength shall be demonstrated by job-cured test specimens, and by a structural analysis considering the proposed loads in relation to these test strengths and the strength of forming and shoring system. The job-cured test specimens for form removal purposes shall be provided in numbers as directed and shall be in addition to those required for concrete quality control. The specimens shall be removed from molds at the age of 24 hours and shall receive, insofar as possible, the same curing and protection as the structures they represent.

TABLE 1

TOLERANCES FOR FORMED SURFACES

- | | | |
|----|--|---|
| 1. | Variations from the plumb: | In any 10 feet of length ----- 1/4 inch |
| a. | In the lines and surfaces of columns, piers, walls and in arises | Maximum for entire length ----- 1 inch |
| b. | For exposed corner columns, control-joint grooves, and other conspicuous lines | In any 20 feet of length ----- 1/4 inch
Maximum for entire length----- 1/2 inch |
| 2. | Variation from the level or from the grades indicated on the drawings: | In any 10 feet of length -----1/4 inch
In any bay or in any 20 feet of length----- 3/8 inch |
| a. | In slab soffits, ceilings, beam soffits, and in arises, measured before removal of supporting shores | Maximum for entire length ----- 3/4 inch |
| b. | In exposed lintels, sills, parapets, horizontal grooves, and other conspicuous lines | In any bay or in any 20 feet of length ----- 1/4 inch
Maximum for entire length----- 1/2 inch |
| 3. | Variation of the linear building lines from established position in plan | In any 20 feet ----- 1/2 inch
Maximum -----1 inch |
| 4. | Variation of distance between walls, columns, partitions | 1/4 inch per 10 feet of distance, but not more than 1/2 inch in any one bay, and not more than 1 inch total variation |
| 5. | Variation in the sizes and locations of sleeves, floor openings, and wall opening | Minus ----- 1/4 inch
Plus ----- 1/2 inch |
| 6. | Variation in cross-sectional dimensions of columns and beams and in the thickness of slabs and walls | Minus ----- 1/4 inch
Plus ----- 1/2 inch |
| 7. | Footings: | |
| a. | Variation of dimensions in plan | Minus ----- 1/2 inch
Plus ----- 2 inches
when formed or plus 3 inches |

	when placed against unformed excavation
b. Misplacement of eccentricity	2 percent of the footing width in the direction of misplacement but not more than 2 inches
c. Reduction in thickness of specified thickness	Minus ----- 5 percent
8. Variation in steps:	Riser ----- 1/8 inch
a. In a flight of stairs	Tread ----- 1/4 inch
b. In consecutive steps	Riser ----- 1/16 inch Tread ----- 1/8 inch

SECTION 03150

EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO T 111 (1983) Inorganic Matter or Ash in Bituminous
Materials

AMERICAN HARDBOARD ASSOCIATION (AHA)

AHA ANSI/AHA A135.4 (1995) Basic Hardboard

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 109 (1993) Steel, Strip, Carbon, Cold-Rolled

ASTM A 109M (1991) Steel, Strip, Carbon, Cold-Rolled
(Metric)

ASTM A 167 (1996) Stainless and Heat-Resisting Chromium-
Nickel Steel Plate, Sheet, and Strip

ASTM A 480/A 480M (1996a) General Requirements for Flat-Rolled
Stainless and Heat-Resisting Steel Plate,
Sheet, and Strip

ASTM A 570/A 570M (1996) Steel, Sheet and Strip, Carbon, Hot-
Rolled, Structural Quality

ASTM B 152 (1994) Copper Sheet, Strip, Plate, and Rolled
Bar

ASTM B 152M (1995) Copper Sheet, Strip, Plate, and Rolled
Bar (Metric)

ASTM B 370 (1992) Copper Sheet and Strip for Building
Construction

ASTM C 919 (1984; R 1992) Use of Sealants in Acoustical
Applications

ASTM C 920 (1995) Elastomeric Joint Sealants

ASTM D 4 (1986; R 1993) Bitumen Content

ASTM D 6	(1995) Loss on Heating of Oil and Asphaltic Compounds
ASTM D 412	(1997) Vulcanized Rubber and Thermoplastic Rubbers and Thermoplastic Elastomers - Tension
ASTM D 471	(1996) Rubber Property - Effect of Liquids
ASTM D 1190	(1996) Concrete Joint Sealer, Hot-Applied Elastic Type
ASTM D 1191	(1984; R 1994) Test Methods for Concrete Joint Sealers
ASTM D 1751	(1983; R 1991) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 1752	(1984; R 1996) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D 1854	(1996) Specification for Jet-Fuel-Resistant Concrete Joint Sealer, Hot-Poured Elastic Type
ASTM D 1855	(1989) Test Method for Jet-Fuel Resistant Concrete Joint Sealer, Hot-Applied Elastic Type
ASTM D 2628	(1991) Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements
ASTM D 2835	(1989; R 1993) Lubricant for Installation of Preformed Compression Seals in Concrete Pavements
ASTM D 5249	(1995) Backer Material for Use With Cold and Hot-Applied Joint Sealants in Portland-Cement Concrete and Asphalt Joints

CORPS OF ENGINEERS (COE)

COE CRD-C 513	(1974) Corps of Engineers Specifications for Rubber Waterstops
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstop

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Shop Drawings

Waterstops.

Shop drawings and fabrication drawings provided by the manufacturer or prepared by the Contractor.

Product Data

Preformed Expansion Joint Filler. Sealant. Waterstops.

Manufacturer's literature, including safety data sheets, for pre-formed fillers and the lubricants used in their installation; field-molded sealants and primers (when ready by sealant manufacturer); preformed compression seals; and waterstops.

1.3 DELIVERY AND STORAGE

Material delivered and placed in storage shall be stored off the ground and protected from moisture, dirt, and other contaminants. Sealants shall be delivered in the manufacturer's original unopened containers. Sealants whose shelf life has expired shall be removed from the site.

2 PRODUCTS

2.1 CONTRACTION JOINT STRIPS

Contraction joint strips shall be 1/8 inch thick tempered hardboard conforming to [AHA ANSI/AHA A135.4](#), Class 1. In lieu of hardboard strips, rigid polyvinylchloride (PVC) or high impact polystyrene (HIPS) insert strips specifically designed to induce controlled cracking in slabs on grade may be used. Such insert strips shall have removable top section.

2.2 PREFORMED EXPANSION JOINT FILLER

Expansion joint filler shall be preformed material conforming to [ASTM D 1751](#) or [ASTM D 1752](#). Unless otherwise indicated, filler material shall be 3/8 inch thick and of a width applicable for the joint formed. Backer material, when required, shall conform to [ASTM D 5249](#).

2.3 SEALANT

Joint sealant shall conform to the following:

2.3.1 Preformed Polychloroprene Elastomeric Type

[ASTM D 2628](#).

2.3.2 Lubricant for Preformed Compression Seals

[ASTM D 2835](#).

2.3.3 Hot-Poured Type

[ASTM D 1190](#) tested in accordance with [ASTM D 1191](#).

2.3.4 Field Molded Type

ASTM C 920, Type M for horizontal joints or Type NS for vertical joints, Class 25, and Use NT. Bond breaker material shall be polyethylene tape, coated paper, metal foil or similar type materials. The back-up material shall be compressible, non-shrink, nonreactive with sealant, and non-absorptive material type such as extruded butyl or polychloroprene rubber.

2.3.5 Hot-Applied Jet-Fuel Resistant Type

ASTM D 1854 tested in accordance with ASTM D 1855.

2.4 WATERSTOPS

Intersection and change of direction waterstops shall be shop fabricated.

2.4.1 Flexible Metal

Copper waterstops shall conform to ASTM B 152 and ASTM B 370, O60 soft anneal temper and 20 oz mass per sq ft sheet thickness. Stainless steel waterstops shall conform to ASTM A 167 and ASTM A 480/A 480M, UNS S30453 (Type 304L), and 20 gauge thick strip.

2.4.2 Rigid Metal

Flat steel waterstops shall conform to ASTM A 109, No. 2 (half hard) temper, No. 2 edge, No. 1 (matte or dull) finish or ASTM A 570/A 570M, Grade 40.

2.4.3 Non-Metallic Materials`

Non-metallic waterstops shall be manufactured from a prime virgin resin; reclaimed material is not acceptable. The compound shall contain plasticizers, stabilizers, and other additives to meet specified requirements. Rubber waterstops shall conform to COE CRD-C 513. Polyvinylchloride waterstops shall conform to COE CRD-C 572. Thermoplastic elastomeric rubber waterstops shall conform to ASTM D 471.

2.4.4 Non-Metallic Hydrophilic

Swellable strip type compound of polymer modified chloroprene rubber that swells upon contact with water shall conform to ASTM D 412 as follows: Tensile strength 420 psi minimum; ultimate elongation 600 percent minimum. Hardness shall be 50 minimum on the type A durometer and the volumetric expansion ratio in distilled water at 70 degrees F shall be 3 to 1 minimum.

2.4.5 Preformed Elastic Adhesive

Preformed plastic adhesive waterstops shall be produced from blends of refined hydrocarbon resins and plasticizing compounds reinforced with inert mineral filler, and shall contain no solvents, asbestos, irritating fumes or obnoxious odors. The compound shall not depend on oxidizing, evaporating, or chemical action for its adhesive or cohesive strength.

2.4.5.1 Chemical Composition

The chemical composition of the sealing compound shall meet the requirements shown below:

PERCENT BY WEIGHT

COMPONENT	MIN.	MAX.	TEST
Bitumen (Hydrocarbon plastic)	50	70	ASTM D 4
Inert Mineral Filler	30	50	AASHTO T 111
Volatile Matter		2	ASTM D 6

2.4.5.2 Adhesion Under Hydrostatic Pressure

The sealing compound shall not leak at the joints for a period of 24 hours under a vertical 6 foot head pressure. In a separate test, the sealing compound shall not leak under a horizontal pressure of 10 psi which is reached by slowly applying increments of 2 psi every minute.

2.4.5.3 Sag of Flow Resistance

Sagging shall not be detected when tested as follows: Fill a wooden form 1 inch wide and 6 inches long flush with sealing compound and place in an oven at 135 degrees F in a vertical position for 5 days.

2.4.5.4 Chemical Resistance

The sealing compound when immersed separately in a 5% solution of caustic potash, a 5% solution of hydrochloric acid, 5% solution of sulfuric acid and a saturated hydrogen sulfide solution for 30 days at ambient room temperature shall show no visible deterioration.

3 EXECUTION

3.1 JOINTS

Joints shall be installed at locations indicated and as authorized.

3.1.1 Contraction Joints

Contraction joints may be constructed by inserting tempered hardboard strips or rigid PVC or HIPS insert strips into the plastic concrete using a steel parting bar, when necessary, or by cutting the concrete with a saw after concrete has set. Joints shall be approximately 1/8 inch wide and shall extend into the slab one-fourth the slab thickness, minimum, but not less than 1 inch.

3.1.1.1 Joint Strips

Strips shall be of the required dimensions and as long as practicable. After the first floating, the concrete shall be grooved with a tool at the joint locations. The strips shall be inserted in the groove and depressed until the top edge of the vertical surface is flush with the surface of the slab. The slab shall be floated and finished as specified. Working of the concrete adjacent to the joint shall be the minimum necessary to fill voids and consolidate the concrete. Where indicated, the top portion of the strip shall be sawed out after the curing period to form a recess for sealer. The removable section of PVC or HIPS strips shall be discarded and the insert left in place. True alignment of the strips shall be maintained during insertion.

3.1.1.2 Sawed Joints

Joint sawing shall be early enough to prevent uncontrolled cracking in the slab, but late enough that this can be accomplished without appreciable spalling. Concrete sawing machines shall be adequate in number and power, and with sufficient replacement blades to complete the sawing at the required rate. Joints shall be cut to true alignment and shall be cut in sequence of concrete placement. Sludge and cutting debris shall be removed.

3.1.2 Expansion Joints

Preformed expansion joint filler shall be used in expansion and isolation joints in slabs around columns and between slabs on grade and vertical surfaces where indicated. The filler shall extend the full slab depth, unless otherwise indicated. The edges of the joint shall be neatly finished with an edging tool of 1/8 inch radius, except where a resilient floor surface will be applied. Where the joint is to receive a sealant, the filler strips shall be installed at the proper level below the finished floor with a slightly tapered, dressed and oiled wood strip temporarily secured to the top to form a recess to the size shown on the drawings. The wood strip shall be removed after the concrete has set. Contractor may opt to use a removable expansion filler cap designed and fabricated for this purpose in lieu of the wood strip. The groove shall be thoroughly cleaned of laitance, curing compound, foreign materials, protrusions of hardened concrete, and any dust which shall be blown out of the groove with oil-free compressed air.

3.1.3 Joint Sealant

Sawed contraction joints and expansion joints in slabs shall be filled with joint sealant, unless otherwise shown. Joint surfaces shall be clean, dry, and free of oil or other foreign material which would adversely affect the bond between sealant and concrete. Joint sealant shall be applied as recommended by the manufacturer of the sealant.

3.1.3.1 Joints With Preformed Compression Seals

Compression seals shall be installed with equipment capable of installing joint seals to the prescribed depth without cutting, nicking, twisting, or otherwise distorting or damaging the seal or concrete and with no more than 5 percent stretching of the seal. The sides of the joint and, if necessary, the sides of the compression seal shall be covered with a coating of lubricant. Butt joints shall be coated with liberal applications of lubricant.

3.1.3.2 Joints With Field-Molded Sealant

Joints shall not be sealed when the sealant material, ambient air, or concrete temperature is less than 40 degrees F. When the sealants are meant to reduce the sound transmission characteristics of interior walls, ceilings, and floors the guidance provided in [ASTM C 919](#) shall be followed. Joints requiring a bond breaker shall be coated with curing compound or with bituminous paint. Bond breaker and back-up material shall be installed where required. Joints shall be primed and filled flush with joint sealant in accordance with the manufacturer's recommendations.

3.2 WATERSTOPS, INSTALLATION AND SPLICES

Waterstops shall be installed at the locations shown to form a continuous water-tight diaphragm. Adequate provision shall be made to support and completely protect the waterstops during the progress of the work. Any waterstop punctured or damaged shall be repaired or replaced. Exposed waterstops shall be protected during application of form release agents to avoid being coated. Suitable guards shall be provided to protect exposed projecting edges and ends of partially embedded waterstops from damage when concrete placement has been discontinued. Splices shall be made by certified trained personnel using approved equipment and procedures.

3.2.1 Copper And Stainless Steel

Splices in copper waterstops shall be lap joints made by brazing. Splices in stainless steel waterstops shall be welded using a TIG or MIG process utilizing a weld rod to match the stainless. All welds shall not be annealed to maintain physical properties. Carbon flame shall not be used in the annealing process. Damaged waterstops shall be repaired by removing damaged portions and patching. Patches shall overlap a minimum of 1 inch onto undamaged portion of the waterstop.

3.2.2 Flat Steel

Splices in flat steel waterstops shall be properly aligned, butt welded, and cleaned of excessive material.

3.2.3 Non-Metallic

Fittings shall be shop made using a machine specifically designed to mechanically weld the waterstop. A miter guide, proper fixturing (profile dependant), and portable power saw shall be used to miter cut the ends to be joined to ensure good alignment and contact between joined surfaces. The splicing of straight lengths shall be done by squaring the ends to be joined. Continuity of the characteristic features of the cross section of the waterstop (ribs, tabular center axis, protrusions, etc.) shall be maintained across the splice.

3.2.3.1 Rubber Waterstop

Splices shall be vulcanized or shall be made using cold bond adhesive as recommended by the manufacturer. Splices for TPE-R shall be as specified for PVC.

3.2.3.2 Polyvinyl Chloride Waterstop

Splices shall be made by heat sealing the adjacent waterstop edges together using a thermoplastic splicing iron utilizing a non-stick surface specifically designed for waterstop welding. The correct temperature shall be used to sufficiently melt without charring the plastic. The spliced area, when cooled, shall show no signs of separation, holes, or other imperfections when bent by hand in as sharp an angle as possible.

3.2.3.3 Quality Assurance

Edge welding will not be permitted. Centerbulbs shall be compressed or closed when welding to non-centerbulb type. Waterstop splicing defects

which are unacceptable include, but are not limited to the following: 1) Tensile strength less than 80 percent of parent section. 2) Free lap joints. 3) Misalignment of centerbulb, ribs, and end bulbs greater than 1/16 inch. 4) Misalignment which reduces waterstop cross section more than 15 percent. 5) Bond failure at joint deeper than 1/16 inch or 15 percent of material thickness. 6) Misalignment of waterstop splice resulting in misalignment of waterstop in excess of 1/2 inch in 10 feet. 7) Visible porosity in the weld area, including pin holes. 8) Charred or burnt material. 9) Bubbles or inadequate bonding. 10) Visible signs of splice separation when cooled splice is bent by hand at a sharp angle.

3.2.4 Non-Metallic Hydrophilic Waterstop Installation

Ends to be joined shall be miter cut with sharp knife or shears. The ends shall be adhered with cyanacrylate (super glue) adhesive. When joining hydrophilic type waterstop to PVC waterstop, the hydrophilic waterstop shall be positioned as shown on the drawings. A liberal amount of a single component hydrophilic sealant shall be applied to the junction to complete the transition.

3.2.5 Preformed Plastic Adhesive Installation

The installation of preformed plastic adhesive waterstops shall be a prime, peel, place and pour procedure. Joint surfaces shall be clean and dry before priming and just prior to placing the sealing strips. The end of each strip shall be spliced to the next strip with a 1 inch overlap; the overlap shall be pressed firmly to release trapped air. During damp or cold conditions the joint surface shall be flashed with a safe, direct flame to warm and dry the surface adequately; the sealing strips shall be dipped in warm water to soften the material to achieve maximum bond to the concrete surface.

3.3 CONSTRUCTION JOINTS

Construction joints are specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE except that construction joints coinciding with expansion and contraction joints shall be treated as expansion or contraction joints as applicable.

SECTION 03200

CONCRETE REINFORCEMENT

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

- ACI 318/318R (1995) Building Code Requirements for Structural Concrete and Commentary
- ACI 318M (1995) Building Code Requirements for Structural Concrete and Commentary (Metric)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM A 53 (1999) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
- ASTM A 82 (1997a) Steel Wire, Plain, for Concrete Reinforcement
- ASTM A 184/A 184M (1996) Fabricated Deformed Steel Bar Mats for Concrete Reinforcement
- ASTM A 185 (1997) Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
- ASTM A 496 (1997) Steel Wire, Deformed, for Concrete Reinforcement
- ASTM A 497 (1997) Steel Welded Wire Fabric, Deformed, for Concrete Reinforcement
- ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement
- ASTM A 675/A 675M (1990a; R 1995e1) Steel Bars, Carbon, Hot-Wrought, Special Quality, Mechanical Properties
- ASTM A 706/A 706M (1998) Low-Alloy Steel Deformed and Plain Bars for Concrete Reinforcement
- ASTM A 767/A 767M (1997) Zinc-Coated (Galvanized) Steel Bars in Concrete Reinforcement
- ASTM A 775/A 775M (1997e1) Epoxy-Coated Reinforcement Steel Bars

ASTM A 884/A 884M (1996ae1) Epoxy-Coated Steel Wire and Welded Wire Fabric for Reinforcement

ASTM C 1116 (1995) Fiber-Reinforced Concrete and Shotcrete

AMERICAN WELDING SOCIETY (AWS)

AWS D1.4 (1998) Structural Welding Code - Reinforcing Steel

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI MSP-1 (1996) Manual of Standard Practice

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Shop Drawings

Reinforcement.

Detail drawings showing reinforcing steel placement, schedules, sizes, grades, and splicing and bending details. Drawings shall show support details including types, sizes and spacing.

1.3 DELIVERY AND STORAGE

Reinforcement and accessories shall be stored off the ground on platforms, skids, or other supports.

2 PRODUCTS

2.1 DOWELS

Dowels shall conform to ASTM A 675/A 675M, Grade 80. Steel pipe conforming to ASTM A 53, Schedule 80, may be used as dowels provided the ends are closed with metal or plastic inserts or with mortar.

2.2 FABRICATED BAR MATS

Fabricated bar mats shall conform to ASTM A 184/A 184M.

2.3 REINFORCING STEEL

Reinforcing steel shall be deformed bars conforming to ASTM A 615/A 615M or ASTM A 706/A 706M, grades and sizes as indicated. Cold drawn wire used for spiral reinforcement shall conform to ASTM A 82. In highly corrosive environments or when directed by the Contracting Officer, reinforcing steel shall conform to ASTM A 767/A 767M or ASTM A 775/A 775M as appropriate.

2.4 WELDED WIRE FABRIC

Welded wire fabric shall conform to ASTM A 185. When directed by the Contracting Officer for special applications, welded wire fabric shall conform to ASTM A 884/A 884M.

2.5 WIRE TIES

Wire ties shall be 16 gauge or heavier black annealed steel wire.

2.6 SUPPORTS

Bar supports for formed surfaces shall be designed and fabricated in accordance with [CRSI MSP-1](#) and shall be steel or precast concrete blocks. Precast concrete blocks shall have wire ties and shall be not less than 4 inches square when supporting reinforcement on ground. Precast concrete block shall have compressive strength equal to that of the surrounding concrete. Where concrete formed surfaces will be exposed to weather or where surfaces are to be painted, steel supports within 1/2 inch of concrete surface shall be galvanized, plastic protected or of stainless steel. Concrete supports used in concrete exposed to view shall have the same color and texture as the finish surface. For slabs on grade, supports shall be precast concrete blocks, plastic coated steel fabricated with bearing plates, or specifically designed wire-fabric supports fabricated of plastic.

3 EXECUTION

3.1 REINFORCEMENT

Reinforcement shall be fabricated to shapes and dimensions shown and shall conform to the requirements of [ACI 318/318R](#). Reinforcement shall be cold bent unless otherwise authorized. Bending may be accomplished in the field or at the mill. Bars shall not be bent after embedment in concrete. Safety caps shall be placed on all exposed ends of vertical concrete reinforcement bars that pose a danger to life safety. Wire tie ends shall face away from the forms.

3.1.1 Placement

Reinforcement shall be free from loose rust and scale, dirt, oil, or other deleterious coating that could reduce bond with the concrete. Reinforcement shall be placed in accordance with [ACI 318/318R](#) at locations shown plus or minus one bar diameter. Reinforcement shall not be continuous through expansion joints and shall be as indicated through construction or contraction joints. Concrete coverage shall be as indicated or as required by [ACI 318/318R](#). If bars are moved more than one bar diameter to avoid interference with other reinforcement, conduits or embedded items, the resulting arrangement of bars, including additional bars required to meet structural requirements, shall be approved before concrete is placed.

3.1.2 Splicing

Splices of reinforcement shall conform to [ACI 318/318R](#) and shall be made only as required or indicated. Splicing shall be by lapping or by mechanical connection; except that lap splices shall not be used for bars larger than No. 11 unless otherwise indicated. Lapped bars shall be placed in contact and securely tied or spaced transversely apart to permit the embedment of the entire surface of each bar in concrete. Lapped bars shall not be spaced farther apart than one-fifth the required length of lap or 6 inches. Mechanical butt splices shall be in accordance with the recommendation of the manufacturer of the mechanical splicing device.

3.2 WELDED-WIRE FABRIC PLACEMENT

Welded-wire fabric shall be placed in slabs as indicated. Fabric placed in slabs on grade shall be continuous between expansion, construction, and contraction joints. Fabric placement at joints shall be as indicated. Lap splices shall be made in such a way that the overlapped area equals the distance between the outermost crosswires plus 2 inches. Laps shall be staggered to avoid continuous laps in either direction. Fabric shall be wired or clipped together at laps at intervals not to exceed 4 feet. Fabric shall be positioned by the use of supports.

3.3 DOWEL INSTALLATION

Dowels shall be installed in slabs on grade at locations indicated and at right angles to joint being doweled. Dowels shall be accurately positioned and aligned parallel to the finished concrete surface before concrete placement. Dowels shall be rigidly supported during concrete placement. One end of dowels shall be greased and capped.

SECTION 03300

CAST-IN-PLACE STRUCTURAL CONCRETE

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

- ACI 117/117R (1990; Errata) Standard Tolerances for Concrete Construction and Materials
- ACI 211.1 (1991) Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete
- ACI 211.2 (1998) Standard Practice for Selecting Proportions for Structural Lightweight Concrete
- ACI 213R (1987) Guide for Structural Lightweight Aggregate Concrete
- ACI 214.3R (1988) Simplified Version of the Recommended Practice for Evaluation of Strength Test Results of Concrete
- ACI 301 (1996) Standard Specifications for Structural Concrete
- ACI 303R (1991) Guide to Cast-In-Place Architectural Concrete Practice
- ACI 305R (1991) Hot Weather Concreting
- ACI 318/318R (1995) Building Code Requirements for Reinforced Concrete and Commentary

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

- AASHTO M 182 (1991) Burlap Cloth Made From Jute or Kenaf

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM C 31/C 31M (1996) Making and Curing Concrete Test Specimens in the Field
- ASTM C 33 (1997) Concrete Aggregates

ASTM C 39	(1996) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 42	(1994) Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C 78	(1994) Flexural Strength of Concrete (Using Simple Beam With Third-Point Loading)
ASTM C 94	(1997) Ready-Mixed Concrete
ASTM C 131	(1996) Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM C 143	(1990a) Slump of Hydraulic Cement Concrete
ASTM C 150	(1997) Portland Cement
ASTM C 171	(1997) Sheet Materials for Curing Concrete
ASTM C 172	(1997) Sampling Freshly Mixed Concrete
ASTM C 173	(1996) Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C 192/C 192M	(1995) Making and Curing Concrete Test Specimens in the Laboratory
ASTM C 231	(1997) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(1995) Air-Entraining Admixtures for Concrete
ASTM C 309	(1997) Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C 330	(1989) Lightweight Aggregates for Structural Concrete
ASTM C 494	(1992) Chemical Admixtures for Concrete
ASTM C 496	(1996) Splitting Tensile Strength of Cylindrical Concrete Specimens
ASTM C 552	(1991) Cellular Glass Thermal Insulation
ASTM C 567	(1991) Unit Weight of Structural Lightweight Concrete
ASTM C 578	(1995) Rigid, Cellular Polystyrene Thermal Insulation

- ASTM C 591 (1994) Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation
- ASTM C 595 (1995a) Blended Hydraulic Cements
- ASTM C 618 (1997) Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
- ASTM C 685 (1995a) Concrete Made by Volumetric Batching and Continuous Mixing
- ASTM C 881 (1990) Epoxy-Resin-Base Bonding Systems for Concrete
- ASTM C 937 (1980; R 1991) Grout Fluidifier for Preplaced-Aggregate Concrete
- ASTM C 940 (1989) Expansion and Bleeding of Freshly Mixed Grouts for Preplaced-Aggregate Concrete in the Laboratory
- ASTM C 989 (1997) Ground Granulated Blast-Furnace Slag for Use in Concrete and Mortars
- ASTM C 1017 (1992) Chemical Admixtures for Use in Producing Flowing Concrete
- ASTM C 1059 (1991) Latex Agents for Bonding Fresh to Hardened Concrete
- ASTM C 1064 (1986; R 1993) Temperature of Freshly Mixed Portland Cement Concrete
- ASTM C 1077 (1997) Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation
- ASTM C 1107 (1997) Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
- ASTM C 1116 (1995) Fiber-Reinforced Concrete and Shotcrete
- ASTM C 1240 (1997) Silica Fume for Use as a Mineral Admixture in Hydraulic-Cement Concrete, Mortar and Grout
- ASTM D 75 (1987; R 1992) Sampling Aggregates
- ASTM D 1751 (1983; R 1991) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)

ASTM D 1752 (1984; R 1992) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction

ASTM E 96 (1995) Water Vapor Transmission of Materials

ASTM E 1155 (1996) Determining Floor Flatness and Levelness Using the F-Number System

CORPS OF ENGINEERS (COE)

COE CRD-C 94 (1995) Surface Retarders

COE CRD-C 104 (1980) Method of Calculation of the Fineness Modulus of Aggregate

COE CRD-C 400 (1963) Requirements for Water for Use in Mixing or Curing Concrete

COE CRD-C 521 (1981) Standard Test Method for Frequency and Amplitude of Vibrators for Concrete

COE CRD-C 540 (1971; R 1981) Standard Specification for Nonbituminous Inserts for Contraction Joints in Portland Cement Concrete Airfield Pavements, Sawable Type

COE CRD-C 572 (1974) Corps of Engineers Specifications for Polyvinylchloride Waterstop

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST HB 44 (1997) NIST Handbook 44: Specifications, Tolerances, and Other Technical Requirements for Weighing and Measuring Devices

NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)

NRMCA CPMB 100 (1990) Concrete Plant Standards

NRMCA TMMB 100 (1994) Truck Mixer Agitator and Front Discharge Concrete Carrier Standards

NRMCA QC 3 (1984) Quality Control Manual: Section 3, Plant Certifications Checklist: Certification of Ready Mixed Concrete Production Facilities

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Product Data

Mixture Proportions.

The results of trial mixture design studies along with a statement giving the maximum nominal coarse aggregate size and the proportions of ingredients

that will be used in the manufacture of each strength or class of concrete, at least 14 days prior to commencing concrete placing operations. Aggregate weights shall be based on the saturated surface dry condition. The statement shall be accompanied by test results from an approved independent commercial testing laboratory, showing that mixture design studies have been made with materials proposed for the project and that the proportions selected will produce concrete of the qualities indicated. No substitutions shall be made in the materials used in the mixture design studies without additional tests to show that the quality of the concrete is satisfactory.

Test Reports

Testing and Inspection for Contractor Quality Control.

Certified copies of laboratory test reports, including mill tests and all other test data, for portland cement, blended cement, pozzolan, ground granulated blast furnace slag, silica fume, aggregate, admixtures, and curing compound proposed for use on this project.

1.3 QUALIFICATIONS

Contractor Quality Control personnel assigned to concrete construction shall be American Concrete Institute (ACI) Certified Workmen in one of the following grades or shall have written evidence of having completed similar qualification programs:

Concrete Field Testing Technician, Grade I
Concrete Laboratory Testing Technician, Grade I or II
Concrete Construction Inspector, Level II

Concrete Transportation Construction Inspector or
Reinforced Concrete Special Inspector, Jointly certified by American Concrete Institute (ACI), Building Official and Code Administrators International (BOCA), International Conference of Building Officials (ICBO), and Southern Building Code Congress International (SBCCI).

The foreman or lead journeyman of the flatwork finishing crew shall have similar qualification for ACI Concrete Flatwork Technician/Finisher or equal, with written documentation.

1.4 GENERAL REQUIREMENTS

1.4.1 Tolerances

Except as otherwise specified herein, tolerances for concrete batching, mixture properties, and construction as well as definition of terms and application practices shall be in accordance with ACI 117/117R. Level and grade tolerance measurements of slabs shall be made as soon as possible after finishing; when forms or shoring are used, the measurements shall be made prior to removal.

1.4.2 Strength Requirements and w/c Ratio

1.4.2.1 Strength Requirements

Specified compressive strength (f'c) shall be as follows:

COMPRESSIVE STRENGTH	STRUCTURE OR PORTION OF STRUCTURE
6000 psi at 28 days	Trench lids
4000 psi at 28 days	Trench walls and floors, valve pit walls and floors
3000 psi at 28 days	Sidewalks, Miscellaneous

Concrete made with high-early strength cement shall have a 7-day strength equal to the specified 28-day strength for concrete made with Type I or II portland cement. Compressive strength shall be determined in accordance with [ASTM C 39](#). Flexural strength shall be determined in accordance with [ASTM C 78](#).

- a. Evaluation of Concrete Compressive Strength. Compressive strength specimens (6 by 12 inch cylinders) shall be fabricated by the Contractor and laboratory cured in accordance with [ASTM C 31/C 31M](#) and tested in accordance with [ASTM C 39](#). The strength of the concrete will be considered satisfactory so long as the average of all sets of three consecutive test results equals or exceeds the specified compressive strength f'c and no individual test result falls below the specified strength f'c by more than 500 psi. A "test" is defined as the average of two companion cylinders, or if only one cylinder is tested, the results of the single cylinder test. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the structure is considered potentially deficient.
- b. Investigation of Low-Strength Compressive Test Results. When any strength test of standard-cured test cylinders falls below the specified strength requirement by more than 500 psi or if tests of field-cured cylinders indicate deficiencies in protection and curing, steps shall be taken to assure that the load-carrying capacity of the structure is not jeopardized. When the strength of concrete in place is considered potentially deficient, cores shall be obtained and tested in accordance with [ASTM C 42](#). At least three representative cores shall be taken from each member or area of concrete in place that is considered potentially deficient. The location of cores will be determined by the Contracting Officer to least impair the strength of the structure. Concrete in the area represented by the core testing will be considered adequate if the average strength of the cores is equal to at least 85 percent of the specified strength requirement and if no single core is less than 75 percent of the specified strength requirement. Non-destructive tests (tests other than test cylinders or cores) shall not be used as a basis for acceptance or rejection. The Contractor shall perform the coring and repair the holes. Cores will be tested by the Government.
- c. Load Tests. If the core tests are inconclusive or impractical to obtain or if structural analysis does not confirm the safety of the structure, load tests may be directed by the Contracting Officer in accordance with the requirements of [ACI 318/318R](#). Concrete work evaluated by structural analysis or by results of a load test as being understrength shall be corrected in a manner satisfactory to

the Contracting Officer. All investigations, testing, load tests, and correction of deficiencies shall be performed by and at the expense of the Contractor and must be approved by the Contracting Officer, except that if all concrete is found to be in compliance with the drawings and specifications, the cost of investigations, testing, and load tests will be at the expense of the Government.

1.4.2.2 Water-Cement Ratio

Maximum water-cement ratio (w/c) for normal weight concrete shall be as follows:

WATER-CEMENT RATIO, BY WEIGHT	STRUCTURE OR PORTION OF STRUCTURE
0.45	Trench and valve pits
0.55	Sidewalks, Miscellaneous

These w/c's may cause higher strengths than that required above for compressive or flexural strength. The maximum w/c required will be the equivalent w/c as determined by conversion from the weight ratio of water to cement plus pozzolan, silica fume, and ground granulated blast furnace slag (GGBF slag) by the weight equivalency method as described in [ACI 211.1](#). In the case where silica fume or GGBF slag is used, the weight of the silica fume and GGBF slag shall be included in the equations of [ACI 211.1](#) for the term P which is used to denote the weight of pozzolan.

1.4.3 Air Entrainment

Except as otherwise specified for lightweight concrete, all normal weight concrete shall be air entrained to contain between 4 and 7 percent total air, except that when the nominal maximum size coarse aggregate is 3/4 inch or smaller it shall be between 4.5 and 7.5 percent. Concrete with specified strength over 5000 psi may have 1.0 percent less air than specified above. Specified air content shall be attained at point of placement into the forms. Air content for normal weight concrete shall be determined in accordance with [ASTM C 231](#).

1.4.4 Slump

Slump of the concrete, as delivered to the point of placement into the forms, shall be within the following limits. Slump shall be determined in accordance with [ASTM C 143](#).

Structural Element	Slump	
_____	Minimum	Maximum
_____	_____	_____
Walls, columns and beams	2 in.	4 in.
Foundation walls, substructure walls, footings, slabs	1 in.	3 in.
Any structural concrete approved for placement by pumping:		
At pump	2 in.	6 in.

At discharge of line 1 in. 4 in.

<TAI OPT=CHEMICAL ADMIXTURES>When use of a plasticizing admixture conforming to **ASTM C 1017** or when a Type F or G high range water reducing admixture conforming to **ASTM C 494** is permitted to increase the slump of concrete, concrete shall have a slump of 2 to 4 inches before the admixture is added and a maximum slump of 8 inches at the point of delivery after the admixture is added.</TAI>

1.4.5 Concrete Temperature

The temperature of the concrete as delivered shall not exceed 90 degrees F. When the ambient temperature during placing is 40 degrees F or less, or is expected to be at any time within 6 hours after placing, the temperature of the concrete as delivered shall be between 55 and 75 degrees F.

1.4.6 Size of Coarse Aggregate

The largest feasible nominal maximum size aggregate (NMSA) specified in paragraph AGGREGATES shall be used in each placement. However, nominal maximum size of aggregate shall not exceed any of the following: three-fourths of the minimum cover for reinforcing bars, three-fourths of the minimum clear spacing between reinforcing bars, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.

1.5 <TAI OPT=MIX DESIGN BY CONTRACTOR>1.9 MIXTURE PROPORTIONS

Concrete shall be composed of portland cement, other cementitious and pozzolanic materials as specified, aggregates, water and admixtures as specified.

1.5.1 Proportioning Studies for Normal Weight Concrete

Trial design batches, mixture proportioning studies, and testing requirements for various classes and types of concrete specified shall be the responsibility of the Contractor. Except as specified for flexural strength concrete, mixture proportions shall be based on compressive strength as determined by test specimens fabricated in accordance with **ASTM C 192/C 192M** and tested in accordance with **ASTM C 39**. Samples of all materials used in mixture proportioning studies shall be representative of those proposed for use in the project and shall be accompanied by the manufacturer's or producer's test reports indicating compliance with these specifications. Trial mixtures having proportions, consistencies, and air content suitable for the work shall be made based on methodology described in **ACI 211.1**, using at least three different water-cement ratios for each type of mixture, which will produce a range of strength encompassing those required for each class and type of concrete required on the project. The maximum water-cement ratios required in the paragraph Maximum Allowable w/c Ratio will be the equivalent water-cement ratio as determined by conversion from the weight ratio of water to cement plus pozzolan, silica fume, and ground granulated blast furnace slag (GGBF slag) by the weight equivalency method as described in **ACI 211.1**. In the case where silica fume or GGBF slag is used, the weight of the silica fume and GGBF slag shall be included in the equations in **ACI 211.1** for the term P, which is used to denote the weight of pozzolan. If pozzolan is used in the concrete mixture, the minimum pozzolan content shall be 15 percent by weight of the total

cementitious material, and the maximum shall be 35 percent. Laboratory trial mixtures shall be designed for maximum permitted slump and air content. Separate sets of trial mixture studies shall be made for each combination of cementitious materials and each combination of admixtures proposed for use. No combination of either shall be used until proven by such studies, except that, if approved in writing and otherwise permitted by these specifications, an accelerator or a retarder may be used without separate trial mixture study. Separate trial mixture studies shall also be made for concrete for any conveying or placing method proposed which requires special properties and for concrete to be placed in unusually difficult placing locations. The temperature of concrete in each trial batch shall be reported. For each water-cement ratio, at least three test cylinders for each test age shall be made and cured in accordance with [ASTM C 192/C 192M](#). They shall be tested at 7 and 28 days in accordance with [ASTM C 39](#). From these test results, a curve shall be plotted showing the relationship between water-cement ratio and strength for each set of trial mix studies. In addition, a curve shall be plotted showing the relationship between 7 day and 28 day strengths. Each mixture shall be designed to promote easy and suitable concrete placement, consolidation and finishing, and to prevent segregation and excessive bleeding.

1.5.2 Average Compressive Strength Required for Mixtures

The mixture proportions selected during mixture design studies shall produce a required average compressive strength (f'_{cr}) exceeding the specified compressive strength (f'_c) by the amount indicated below. This required average compressive strength, f'_{cr} , will not be a required acceptance criteria during concrete production. However, whenever the daily average compressive strength at 28 days drops below f'_{cr} during concrete production, or daily average 7-day strength drops below a strength correlated with the 28-day f'_{cr} , the mixture shall be adjusted, as approved, to bring the daily average back up to f'_{cr} . During production, the required f'_{cr} shall be adjusted, as appropriate, based on the standard deviation being attained on the job.

1.5.2.1 Computations from Test Records

Where a concrete production facility has test records, a standard deviation shall be established in accordance with the applicable provisions of [ACI 214.3R](#). Test records from which a standard deviation is calculated shall represent materials, quality control procedures, and conditions similar to those expected; shall represent concrete produced to meet a specified strength or strengths (f'_c) within 1,000 psi of that specified for proposed work; and shall consist of at least 30 consecutive tests. A strength test shall be the average of the strengths of two cylinders made from the same sample of concrete and tested at 28 days. Required average compressive strength f'_{cr} used as the basis for selection of concrete proportions shall be the larger of the equations that follow using the standard deviation as determined above:

$$f'_{cr} = f'_c + 1.34S \text{ where units are in psi}$$

$$f'_{cr} = f'_c + 2.33S - 500 \text{ where units are in psi}$$

Where S = standard deviation

Where a concrete production facility does not have test records meeting the requirements above but does have a record based on 15 to 29 consecutive tests, a standard deviation shall be established as the product of the calculated standard deviation and a modification factor from the following table:

NUMBER OF TESTS	MODIFICATION FACTOR FOR STANDARD DEVIATION
15	1.16
20	1.08
25	1.03
30 or more	1.00

1.5.2.2 Computations without Previous Test Records

When a concrete production facility does not have sufficient field strength test records for calculation of the standard deviation, the required average strength f'_{cr} shall be determined as follows:

- a. If the specified compressive strength f'_c is less than 3,000 psi,
 $f'_{cr} = f'_c + 1000$ psi
- b. If the specified compressive strength f'_c is 3,000 to 5,000 psi,
 $f'_{cr} = f'_c + 1,200$ psi
- c. If the specified compressive strength f'_c is over 5,000 psi,
 $f'_{cr} = f'_c + 1,400$ psi

1.6 </TAI>1.10 STORAGE OF MATERIALS

Cement and other cementitious materials shall be stored in weathertight buildings, bins, or silos which will exclude moisture and contaminants and keep each material completely separated. Aggregate stockpiles shall be arranged and used in a manner to avoid excessive segregation and to prevent contamination with other materials or with other sizes of aggregates. Aggregate shall not be stored directly on ground unless a sacrificial layer is left undisturbed. Reinforcing bars and accessories shall be stored above the ground on platforms, skids or other supports. Other materials shall be stored in such a manner as to avoid contamination and deterioration. Admixtures which have been in storage at the project site for longer than 6 months or which have been subjected to freezing shall not be used unless retested and proven to meet the specified requirements. Materials shall be capable of being accurately identified after bundles or containers are opened.

1.7 GOVERNMENT ASSURANCE INSPECTION AND TESTING

Day-to day inspection and testing shall be the responsibility of the Contractor Quality Control (CQC) staff. However, representatives of the Contracting Officer can and will inspect construction as considered appropriate and will monitor operations of the Contractor's CQC staff. Government inspection or testing will not relieve the Contractor of any of his CQC responsibilities.

1.7.1 Materials

The Government will sample and test aggregates, cementitious materials, other materials, and concrete to determine compliance with the specifications as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with **ASTM D 75**. Other materials will be sampled from storage at the jobsite or from other locations as considered appropriate. Samples may be placed in storage for later testing when appropriate.

1.7.2 Fresh Concrete

Fresh concrete will be sampled as delivered in accordance with **ASTM C 172** and tested in accordance with these specifications, as considered necessary.

1.7.3 Hardened Concrete

Tests on hardened concrete will be performed by the Government when such tests are considered necessary.

1.7.4 Inspection

Concrete operations may be tested and inspected by the Government as the project progresses. Failure to detect defective work or material will not prevent rejection later when a defect is discovered nor will it obligate the Government for final acceptance.

1.8 SELF LEVELING FLOWABLE FILL MATERIAL

1.8.1 General

Flowable fill is a controlled low-strength material which can be placed in self-leveling consistency or in a less flowable state to reduce the fluid pressures exerted by the material. The ultimate unconfined compressive strengths should be less than 200 psi to maintain the ability to re-excavate, all voids will be filled with no honeycombs, and the hardened fill will not shrink.

Flowable fill is suitable for all routine backfilling and is especially beneficial as a structural backfill beneath foundations and as a backfill for abandoned pipelines, culverts, tanks and other below-grade structures, utility trenches, catch basins and drop inlets, vertical taps, bridge abutments, etc.

Flowable fill shall be placed from a ready-mix truck in a full depth layer without compaction of thin layers. Hardened flowable fill can serve as a temporary riding surface until a matching roadway can be placed on it.

2 PRODUCTS

2.1 CEMENTITIOUS MATERIALS

Cementitious Materials shall be portland cement and shall conform to appropriate specifications listed below. Use of cementitious materials in concrete which will have surfaces exposed in the completed structure shall

be restricted so there is no change in color, source, or type of cementitious material.

2.1.1 Portland Cement

ASTM C 150, Type I with a maximum 15 percent amount of tricalcium aluminate, or Type II.

2.1.2 Pozzolan (Fly Ash)

ASTM C 618, Class C or F with the optional requirements for multiple factor, drying shrinkage, and uniformity from Table 2A of ASTM C 618. If pozzolan is used, it shall never be less than 15 percent nor more than 35 percent by weight of the total cementitious material.

2.2 AGGREGATES

Aggregates shall conform to the following.

2.2.1 Fine Aggregate

Fine aggregate shall conform to the quality and gradation requirements of ASTM C 33.

2.2.2 Coarse Aggregate

Coarse aggregate shall conform to ASTM C 33, Class designation 4M or better.

2.2.3 Materials for Bonded Topping for Heavy Duty Floors

Coarse Aggregate

Sieve Size	Cumulative Percent By Weight Passing
3/4 in.	100
1/2 in.	50-100
3/8 in.	25-50
No. 4	0-15
No. 8	0-8

Fine Aggregate

Sieve Size	Cumulative Percent By Weight Passing
3/8 in.	100
No. 4	95-100
No. 8	65-80
No. 16	45-65
No. 30	25-45
No. 50	5-15
No. 100	0-5

2.3 <TAI OPT=CHEMICAL ADMIXTURES>2.3 CHEMICAL ADMIXTURES

Chemical admixtures, when required or permitted, shall conform to the appropriate specification listed. Admixtures shall be furnished in liquid form and of suitable concentration for easy, accurate control of dispensing.

2.3.1 Air-Entraining Admixture

ASTM C 260 and shall consistently entrain the air content in the specified ranges under field conditions.

2.3.2 Accelerating Admixture

ASTM C 494, Type C or E, except that calcium chloride or admixtures containing calcium chloride shall not be used.

2.3.3 Water-Reducing or Retarding Admixture

ASTM C 494, Type A, B, or D, except that the 6-month and 1-year compressive and flexural strength tests are waived.

2.3.4 High-Range Water Reducer

ASTM C 494, Type F or G, except that the 6-month and 1-year strength requirements are waived. The admixture shall be used only when approved in writing, such approval being contingent upon particular mixture control as described in the Contractor's Quality Control Plan and upon performance of separate mixture design studies.

2.3.5 Surface Retarder

COE CRD-C 94.

2.3.6 Other Chemical Admixtures

Chemical admixtures for use in producing flowing concrete shall comply with ASTM C 1017, Type I or II. These admixtures shall be used only when approved in writing, such approval being contingent upon particular mixture control as described in the Contractor's Quality Control Plan and upon performance of separate mixture design studies.

2.4 </TAI>2.4 CURING MATERIALS

2.4.1 Impervious-Sheet

Impervious-sheet materials shall conform to ASTM C 171, type optional, except, that polyethylene film, if used, shall be white opaque.

2.4.2 Membrane-Forming Compound

Membrane-Forming curing compound shall conform to ASTM C 309, Type 1-D or 2, except that only a styrene acrylate or chlorinated rubber compound meeting Class B requirements shall be used for surfaces that are to be painted or are to receive bituminous roofing, or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing, or flooring specified. Nonpigmented compound shall contain a

fugitive dye, and shall have the reflective requirements in [ASTM C 309](#) waived.

2.4.3 Burlap and Cotton Mat

Burlap and cotton mat used for curing shall conform to [AASHTO M 182](#).

2.5 WATER

Water for mixing and curing shall be fresh, clean, potable, and free of injurious amounts of oil, acid, salt, or alkali, except that non-potable water may be used if it meets the requirements of [COE CRD-C 400](#).

2.6 NONSHRINK GROUT

Nonshrink grout shall conform to [ASTM C 1107](#) and shall be a commercial formulation suitable for the proposed application.

2.7 LATEX BONDING AGENT

Latex agents for bonding fresh to hardened concrete shall conform to [ASTM C 1059](#).

2.8 EPOXY RESIN

Epoxy resins for use in repairs shall conform to [ASTM C 881](#), Type V, Grade 2. Class as appropriate to the existing ambient and surface temperatures.

2.9 EMBEDDED ITEMS

Embedded items shall be of the size and type indicated or as needed for the application. Inserts for shelf angles and bolt hangers shall be of malleable iron or cast or wrought steel.

2.10 VAPOR BARRIER

Vapor barrier shall be polyethylene sheeting with a minimum thickness of 6 mils or other equivalent material having a vapor permeance rating not exceeding 0.5 perms as determined in accordance with [ASTM E 96](#).

2.11 JOINT MATERIALS

2.11.1 Joint Fillers, Sealers, and Waterstops

Expansion joint fillers shall be preformed materials conforming to [ASTM D 1751](#). Materials for waterstops shall be in accordance with Section 03150 EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS. Materials for and sealing of joints shall conform to the requirements of Section 07900 JOINT SEALING.

2.11.2 Contraction Joints in Slabs

Sawable type contraction joint inserts shall conform to [COE CRD-C 540](#). Nonsawable joint inserts shall have sufficient stiffness to permit placement in plastic concrete without undue deviation from a straight line and shall conform to the physical requirements of [COE CRD-C 540](#), with the exception of

Section 3.4 "Resistance to Sawing". Plastic inserts shall be polyvinyl chloride conforming to the materials requirements of COE CRD-C 572.

2.12 SELF LEVELING FLOWABLE FILL MATERIAL

2.12.1 Mix Design

The mixes shall be designated in two categories: very flowable and less flowable, which is controlled by the amount of water that is needed. Contractor shall submit for approval the exact mix design, method of construction and equipment to be used.

Mix 1
Less Flowable

Item	Weights	Volume
Cement	50 lbs.	.25 CF
Fly Ash	600 lbs.	4.24 CF
Sand	2,500 lbs.	15.17 CF
Water (65 Gal.)	458 lbs.	<u>7.34</u> CF
		27.00 CF

Mix 2
Very Flowable

Item	Weights	Volume
Cement	50 lbs.	.25 CF
Fly Ash	600 lbs.	4.24 CF
Sand	2,500 lbs.	15.17 CF
Water (65 Gal.)	541 lbs.	<u>8.68</u> CF
		28.34 CF

The above values are based on the following specific gravity:

Cement: 3.15
Fly Ash: 2.27
Sand: 2.64
Water: 1.00

Gradation of Sand

Size	Percent by Weight
Passing No. 4 Sieve	100
Passing No. 16 Sieve	25 - 75

Passing No. 100 Sieve 0 - 25

Unconfined compressive strength is approximately 80 psi at 28 days and 150 psi at 56 days.

2.12.2 Less Flowable Mix

Less flowable mix can be used when it is desirable to put traffic back on a roadway quickly, approximately 10 hours or when being used to backfill pipes which could float out of position due to the buoyant effect of the very flowable fill mix. The mix shall have the workability necessary to self consolidate around pipes without any honeycombing.

2.12.3 Very Flowable Mix

The very flowable fill mix is self leveling and should require minimal effort to place but requires a longer time to displace the extra water and develop load bearing capacity, approximately 20 hours.

2.12.4 Adding Water

Adding water to mix to obtain the desired plastic characteristics is allowed but only with the approval of the COR. If greater flowability is needed, the mix design may be modified by adding in increments of 50 lbs. of fly ash while decreasing in increments of 58 lbs. of sand after approval by the COR.

2.12.5 Increase Strength

To increase unconfined strength, add in increments of 10 lbs. of cement while decreasing in increments of 8 lbs. of sand after approval or COR. This will decrease the ability of re-excavating the site in the future.

3 EXECUTION

3.1 PREPARATION FOR PLACING

Before commencing concrete placement, the following shall be performed. Surfaces to receive concrete shall be clean and free from frost, ice, mud, and water. Forms shall be in place, cleaned, coated, and adequately supported, in accordance with Section 03100 STRUCTURAL CONCRETE FORMWORK. Reinforcing steel shall be in place, cleaned, tied, and adequately supported, in accordance with Section 03200 CONCRETE REINFORCEMENT. Transporting and conveying equipment shall be in-place, ready for use, clean, and free of hardened concrete and foreign material. Equipment for consolidating concrete shall be at the placing site and in proper working order. Equipment and material for curing and for protecting concrete from weather or mechanical damage shall be at the placing site, in proper working condition and in sufficient amount for the entire placement. When hot, windy conditions during concreting appear probable, equipment and material shall be at the placing site to provide windbreaks, shading, fogging, or other action to prevent plastic shrinkage cracking or other damaging drying of the concrete.

3.1.1 Foundations

3.1.1.1 Concrete on Earth Foundations

Earth (subgrade, base, or subbase courses) surfaces upon which concrete is to be placed shall be clean, damp, and free from debris, frost, ice, and standing or running water. Prior to placement of concrete, the foundation shall be well drained and shall be satisfactorily graded and uniformly compacted.

3.1.1.2 Preparation of Rock

Rock surfaces upon which concrete is to be placed shall be free from oil, standing or running water, ice, mud, drummy rock, coating, debris, and loose, semidetached or unsound fragments. Joints in rock shall be cleaned to a satisfactory depth, as determined by the Contracting Officer, and to firm rock on the sides. Immediately before the concrete is placed, rock surfaces shall be cleaned thoroughly by the use of air-water jets or sandblasting as specified below for Previously Placed Concrete. Rock surfaces shall be kept continuously moist for at least 24 hours immediately prior to placing concrete thereon. All horizontal and approximately horizontal surfaces shall be covered, immediately before the concrete is placed, with a layer of mortar proportioned similar to that in the concrete mixture. Concrete shall be placed before the mortar stiffens.

3.1.2 Previously Placed Concrete

Concrete surfaces to which additional concrete is to be bonded shall be prepared for receiving the next horizontal lift by cleaning the construction joint surface with either air-water cutting, sandblasting, high-pressure water jet, or other approved method. Concrete at the side of vertical construction joints shall be prepared as approved by the Contracting Officer. Air-water cutting shall not be used on formed surfaces or surfaces congested with reinforcing steel. Regardless of the method used, the resulting surfaces shall be free from all laitance and inferior concrete so that clean surfaces of well bonded coarse aggregate are exposed and make up at least 10-percent of the surface area, distributed uniformly throughout the surface. The edges of the coarse aggregate shall not be undercut. The surface of horizontal construction joints shall be kept continuously wet for the first 12 hours during the 24-hour period prior to placing fresh concrete. The surface shall be washed completely clean as the last operation prior to placing the next lift.

3.1.2.1 Air-Water Cutting

Air-water cutting of a fresh concrete surface shall be performed at the proper time and only on horizontal construction joints. The air pressure used in the jet shall be 100 psi plus or minus, 10 psi, and the water pressure shall be just sufficient to bring the water into effective influence of the air pressure. When approved by the Contracting Officer, a surface retarder complying with the requirements of COE CRD-C 94 may be applied to the surface of the lift in order to prolong the period of time during which air-water cutting is effective. After cutting, the surface shall be washed and rinsed as long as there is any trace of cloudiness of the wash water. Where necessary to remove accumulated laitance, coatings, stains, debris, and other foreign material, high-pressure waterjet or

sandblasting shall be used as the last operation before placing the next lift.

3.1.2.2 High-Pressure Water Jet

A stream of water under a pressure of not less than 3,000 psi shall be used for cutting and cleaning. Its use shall be delayed until the concrete is sufficiently hard so that only the surface skin or mortar is removed and there is no undercutting of coarse-aggregate particles. If the waterjet is incapable of a satisfactory cleaning, the surface shall be cleaned by sandblasting.

3.1.2.3 Wet Sandblasting

Wet sandblasting shall be used after the concrete has reached sufficient strength to prevent undercutting of the coarse aggregate particles. After wet sandblasting, the surface of the concrete shall then be washed thoroughly to remove all loose materials.

3.1.2.4 Waste Disposal

The method used in disposing of waste water employed in cutting, washing, and rinsing of concrete surfaces shall be such that the waste water does not stain, discolor, or affect exposed surfaces of the structures, or damage the environment of the project area. The method of disposal shall be subject to approval.

3.1.2.5 Preparation of Previously Placed Concrete

Concrete surfaces to which other concrete is to be bonded shall be abraded in an approved manner that will expose sound aggregate uniformly without damaging the concrete. Laitance and loose particles shall be removed. Surfaces shall be thoroughly washed and shall be moist but without free water when concrete is placed.

3.1.3 Vapor Barrier

Vapor barrier shall be provided beneath the interior on-grade concrete floor slabs. The greatest widths and lengths practicable shall be used to eliminate joints wherever possible. Joints shall be lapped a minimum of 12 inches. Torn, punctured, or damaged vapor barrier material shall be removed and new vapor barrier shall be provided prior to placing concrete. For minor repairs, patches may be made using laps of at least 12 inches. Lapped joints shall be sealed and edges patched with pressure-sensitive adhesive or tape not less than 2 inches wide and compatible with the membrane. Vapor barrier shall be placed directly on underlying subgrade, base course, or capillary water barrier, unless it consists of crushed material or large granular material which could puncture the vapor barrier. In this case, the surface shall be choked with a light layer of sand, as approved, before placing the vapor barrier. A 2 inch layer of compacted, clean concrete sand (fine aggregate) shall be placed on top of the vapor barrier before placing concrete. Concrete placement shall be controlled so as to prevent damage to the vapor barrier, or any covering sand.

3.1.4 Embedded Items

Before placement of concrete, care shall be taken to determine that all embedded items are firmly and securely fastened in place as indicated on the drawings, or required. Conduit and other embedded items shall be clean and free of oil and other foreign matter such as loose coatings or rust, paint, and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. Voids in sleeves, inserts, and anchor slots shall be filled temporarily with readily removable materials to prevent the entry of concrete into voids. Welding shall not be performed on embedded metals within 1 foot of the surface of the concrete. Tack welding shall not be performed on or to embedded items.

3.2 CONCRETE PRODUCTION

3.2.1 Batching, Mixing, and Transporting Concrete

Concrete shall either be batched and mixed onsite or shall be furnished from a ready-mixed concrete plant. Ready-mixed concrete shall be batched, mixed, and transported in accordance with [ASTM C 94](#), except as otherwise specified. Truck mixers, agitators, and nonagitating transporting units shall comply with [NRMCA TMMB 100](#). Ready-mix plant equipment and facilities shall be certified in accordance with [NRMCA QC 3](#). Approved batch tickets shall be furnished for each load of ready-mixed concrete. Site-mixed concrete shall conform to the following subparagraphs.

3.2.1.1 General

The batching plant shall be located off site close to the project. The batching plant shall conform to the requirements of [NRMCA CPMB 100](#) and as specified; however, rating plates attached to batch plant equipment are not required.

3.2.1.2 Batching Equipment

The batching controls shall be semiautomatic or automatic, as defined in [NRMCA CPMB 100](#). A semiautomatic batching system shall be provided with interlocks such that the discharge device cannot be actuated until the indicated material is within the applicable tolerance. The batching system shall be equipped with accurate recorder or recorders that meet the requirements of [NRMCA CPMB 100](#). The weight of water and admixtures shall be recorded if batched by weight. Separate bins or compartments shall be provided for each size group of aggregate and type of cementitious material, to prevent intermingling at any time. Aggregates shall be weighed either in separate weigh batchers with individual scales or, provided the smallest size is batched first, cumulatively in one weigh batcher on one scale. Aggregate shall not be weighed in the same batcher with cementitious material. If both portland cement and other cementitious material are used, they may be batched cumulatively, provided that the portland cement is batched first, . Water may be measured by weight or volume. Water shall not be weighed or measured cumulatively with another ingredient. Filling and discharging valves for the water metering or batching system shall be so interlocked that the discharge valve cannot be opened before the filling valve is fully closed. Piping for water <TAI OPT=CHEMICAL ADMIXTURES>and for admixtures</TAI> shall be free from leaks and shall be properly valved to prevent backflow or siphoning. <TAI OPT=CHEMICAL ADMIXTURES>Admixtures shall be furnished as a liquid of suitable concentration for easy control of

dispensing. An adjustable, accurate, mechanical device for measuring and dispensing each admixture shall be provided. Each admixture dispenser shall be interlocked with the batching and discharging operation of the water so that each admixture is separately batched and individually discharged automatically in a manner to obtain uniform distribution throughout the water as it is added to the batch in the specified mixing period. When use of truck mixers makes this requirement impractical, the admixture dispensers shall be interlocked with the sand batchers. Different admixtures shall not be combined prior to introduction in water and shall not be allowed to intermingle until in contact with the cement. Admixture dispensers shall have suitable devices to detect and indicate flow during dispensing or have a means for visual observation. The plant shall be arranged so as to facilitate the inspection of all operations at all times. Suitable facilities shall be provided for obtaining representative samples of aggregates from each bin or compartment, and for sampling and calibrating the dispensing of cementitious material, water, <TAI OPT=CHEMICAL ADMIXTURES>and admixtures</TAI>. Filling ports for cementitious materials bins or silos shall be clearly marked with a permanent sign stating the contents.

3.2.1.3 Scales

The weighing equipment shall conform to the applicable requirements of CPMB Concrete Plant Standard, and of NIST HB 44, except that the accuracy shall be plus or minus 0.2 percent of scale capacity. The Contractor shall provide standard test weights and any other auxiliary equipment required for checking the operating performance of each scale or other measuring devices. The tests shall be made at the specified frequency in the presence of a Government inspector. The weighing equipment shall be arranged so that the plant operator can conveniently observe all dials or indicators.

3.2.1.4 Batching Tolerances

(A) Tolerances with Weighing Equipment

MATERIAL	PERCENT OF REQUIRED WEIGHT	
Cementitious materials	0 to plus 2	
Aggregate	plus or minus 2	
Water	plus or minus 1	
<TAI OPT=CHEMICAL ADMIXTURES> plus 6</TAI>	Chemical admixture	0 to

(B) Tolerances with Volumetric Equipment

For volumetric batching equipment used for water <TAI OPT=CHEMICAL ADMIXTURES>and admixtures,</TAI> the following tolerances shall apply to the required volume of material being batched:

MATERIAL	PERCENT OF REQUIRED MATERIAL
Water:	plus or minus 1 percent

<TAI OPT=CHEMICAL ADMIXTURES> Chemical admixtures: 0 to plus 6
percent</TAI>

3.2.1.5 Moisture Control

The plant shall be capable of ready adjustment to compensate for the varying moisture content of the aggregates and to change the weights of the materials being batched.

3.2.1.6 Concrete Mixers

Mixers shall be stationary mixers or truck mixers. Mixers shall be capable of combining the materials into a uniform mixture and of discharging this mixture without segregation. The mixers shall not be charged in excess of the capacity recommended by the manufacturer. The mixers shall be operated at the drum or mixing blade speed designated by the manufacturer. The mixers shall be maintained in satisfactory operating condition, and the mixer drums shall be kept free of hardened concrete. Should any mixer at any time produce unsatisfactory results, its use shall be promptly discontinued until it is repaired.

3.2.1.7 Stationary Mixers

Concrete plant mixers shall be drum-type mixers of tilting, nontilting, horizontal-shaft, or vertical-shaft type, or shall be pug mill type and shall be provided with an acceptable device to lock the discharge mechanism until the required mixing time has elapsed. The mixing time and uniformity shall conform to all the requirements in [ASTM C 94](#) applicable to central-mixed concrete.

3.2.1.8 Truck Mixers

Truck mixers, the mixing of concrete therein, and concrete uniformity shall conform to the requirements of [ASTM C 94](#). A truck mixer may be used either for complete mixing or to finish the partial mixing done in a stationary mixer. Each truck shall be equipped with two counters from which it is possible to determine the number of revolutions at mixing speed and the number of revolutions at agitating speed. Water shall not be added at the placing site unless specifically approved; and in no case shall it exceed the specified w/c. Any such water shall be injected at the base of the mixer, not at the discharge end.

3.3 TRANSPORTING CONCRETE TO PROJECT SITE

Concrete shall be transported to the placing site in truck mixers, or by approved pumping equipment.

3.4 CONVEYING CONCRETE ON SITE

Concrete shall be conveyed from mixer or transporting unit to forms as rapidly as possible and within the time interval specified by methods which will prevent segregation or loss of ingredients using following equipment. Conveying equipment shall be cleaned before each placement.

3.4.1 Buckets

The interior hopper slope shall be not less than 58 degrees from the horizontal, the minimum dimension of the clear gate opening shall be at least 5 times the nominal maximum-size aggregate, and the area of the gate opening shall not be less than 2 square feet. The maximum dimension of the gate opening shall not be greater than twice the minimum dimension. The bucket gates shall be essentially grout tight when closed and may be manually, pneumatically, or hydraulically operated except that buckets larger than 2 cubic yards shall not be manually operated. The design of the bucket shall provide means for positive regulation of the amount and rate of deposit of concrete in each dumping position.

3.4.2 Transfer Hoppers

Concrete may be charged into nonagitating hoppers for transfer to other conveying devices. Transfer hoppers shall be capable of receiving concrete directly from delivery vehicles and shall have conical-shaped discharge features. The transfer hopper shall be equipped with a hydraulically operated gate and with a means of external vibration to effect complete discharge. Concrete shall not be held in nonagitating transfer hoppers more than 30 minutes.

3.4.3 Trucks

Truck mixers operating at agitating speed or truck agitators used for transporting plant-mixed concrete shall conform to the requirements of [ASTM C 94](#). Nonagitating equipment shall be used only for transporting plant-mixed concrete over a smooth road and when the hauling time is less than 15 minutes. Bodies of nonagitating equipment shall be smooth, watertight, metal containers specifically designed to transport concrete, shaped with rounded corners to minimize segregation, and equipped with gates that will permit positive control of the discharge of the concrete.

3.4.4 Chutes

When concrete can be placed directly from a truck mixer, agitator, or nonagitating equipment, the chutes normally attached to this equipment by the manufacturer may be used. A discharge deflector shall be used when required by the Contracting Officer. Separate chutes and other similar equipment will not be permitted for conveying concrete.

3.4.5 Belt Conveyors

Belt conveyors shall be designed and operated to assure a uniform flow of concrete from mixer to final place of deposit without segregation of ingredients or loss of mortar and shall be provided with positive means, such as discharge baffle or hopper, for preventing segregation of the concrete at the transfer points and the point of placing. Belt conveyors shall be constructed such that the idler spacing shall not exceed 36 inches. The belt speed shall be a minimum of 300 feet per minute and a maximum of 750 feet per minute. If concrete is to be placed through installed horizontal or sloping reinforcing bars, the conveyor shall discharge concrete into a pipe or elephant truck that is long enough to extend through the reinforcing bars.

3.4.6 Concrete Pumps

Concrete may be conveyed by positive displacement pump when approved. The pumping equipment shall be piston or squeeze pressure type; pneumatic placing equipment shall not be used. The pipeline shall be rigid steel pipe or heavy-duty flexible hose. The inside diameter of the pipe shall be at least 3 times the nominal maximum-size coarse aggregate in the concrete mixture to be pumped but not less than 4 inches. Aluminum pipe shall not be used.

3.5 PLACING CONCRETE

Mixed concrete shall be discharged within 1-1/2 hours or before the mixer drum has revolved 300 revolutions, whichever comes first after the introduction of the mixing water to the cement and aggregates. When the concrete temperature exceeds 85 degrees F, the time shall be reduced to 45 minutes. Concrete shall be placed within 15 minutes after it has been discharged from the transporting unit. Concrete shall be handled from mixer or transporting unit to forms in a continuous manner until the approved unit of operation is completed. Adequate scaffolding, ramps and walkways shall be provided so that personnel and equipment are not supported by in-place reinforcement. Placing will not be permitted when the sun, heat, wind, or limitations of facilities furnished by the Contractor prevent proper consolidation, finishing and curing. Sufficient placing capacity shall be provided so that concrete can be kept free of cold joints.

3.5.1 Depositing Concrete

Concrete shall be deposited as close as possible to its final position in the forms, and there shall be no vertical drop greater than 5 feet except where suitable equipment is provided to prevent segregation and where specifically authorized. Depositing of the concrete shall be so regulated that it will be effectively consolidated in horizontal layers not more than 12 inches thick, except that all slabs shall be placed in a single layer. Concrete to receive other construction shall be screeded to the proper level. Concrete shall be deposited continuously in one layer or in layers so that fresh concrete is deposited on in-place concrete that is still plastic. Fresh concrete shall not be deposited on concrete that has hardened sufficiently to cause formation of seams or planes of weakness within the section. Concrete that has surface dried, partially hardened, or contains foreign material shall not be used. When temporary spreaders are used in the forms, the spreaders shall be removed as their service becomes unnecessary. Concrete shall not be placed in slabs over columns and walls until concrete in columns and walls has been in-place at least two hours or until the concrete begins to lose its plasticity. Concrete for beams, girders, brackets, column capitals, haunches, and drop panels shall be placed at the same time as concrete for adjoining slabs.

3.5.2 Consolidation

Immediately after placing, each layer of concrete shall be consolidated by internal vibrators, except for slabs 4 inches thick or less. The vibrators shall at all times be adequate in effectiveness and number to properly consolidate the concrete; a spare vibrator shall be kept at the jobsite during all concrete placing operations. The vibrators shall have a frequency of not less than 10,000 vibrations per minute, an amplitude of at least 0.025 inch, and the head diameter shall be appropriate for the

structural member and the concrete mixture being placed. Vibrators shall be inserted vertically at uniform spacing over the area of placement. The distance between insertions shall be approximately 1-1/2 times the radius of action of the vibrator so that the area being vibrated will overlap the adjacent just-vibrated area by a reasonable amount. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the preceding layer if there is such. Vibrator shall be held stationary until the concrete is consolidated and then vertically withdrawn slowly while operating. Form vibrators shall not be used unless specifically approved and unless forms are constructed to withstand their use. Vibrators shall not be used to move concrete within the forms. Slabs 4 inches and less in thickness shall be consolidated by properly designed vibrating screeds or other approved technique. Frequency and amplitude of vibrators shall be determined in accordance with **COE CRD-C 521**. Grate tampers ("jitterbugs") shall not be used.

3.5.3 Cold Weather Requirements

Special protection measures, approved by the Contracting Officer, shall be used if freezing temperatures are anticipated before the expiration of the specified curing period. The ambient temperature of the air where concrete is to be placed and the temperature of surfaces to receive concrete shall be not less than 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F nor more than 75 degrees F. Heating of the mixing water or aggregates will be required to regulate the concrete placing temperature. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. <TAI OPT=CHEMICAL ADMIXTURES>Upon written approval, an accelerating admixture conforming to **ASTM C 494**, Type C or E may be used, provided it contains no calcium chloride.</TAI> Calcium chloride shall not be used.

3.5.4 Hot Weather Requirements

When the ambient temperature during concrete placing is expected to exceed 85 degrees F, the concrete shall be placed and finished with procedures previously submitted and as specified herein. The concrete temperature at time of delivery to the forms shall not exceed the temperature shown in the table below when measured in accordance with **ASTM C 1064**. Cooling of the mixing water or aggregates or placing concrete in the cooler part of the day may be required to obtain an adequate placing temperature. A retarder may be used, as approved, to facilitate placing and finishing. Steel forms and reinforcements shall be cooled as approved prior to concrete placement when steel temperatures are greater than 120 degrees F. Conveying and placing equipment shall be cooled if necessary to maintain proper concrete-placing temperature.

Maximum Allowable Concrete Placing Temperature

Relative Humidity, Percent, During Time of Concrete Placement	Maximum Allowable Concrete Temperature Degrees
Greater than 60	90 F
40-60	85 F

Less than 40

80 F

3.5.5 Prevention of Plastic Shrinkage Cracking

During hot weather with low humidity, and particularly with appreciable wind, as well as interior placements when space heaters produce low humidity, the Contractor shall be alert to the tendency for plastic shrinkage cracks to develop and shall institute measures to prevent this. Particular care shall be taken if plastic shrinkage cracking is potentially imminent and especially if it has developed during a previous placement. Periods of high potential for plastic shrinkage cracking can be anticipated by use of Fig. 2.1.5 of [ACI 305R](#). In addition the concrete placement shall be further protected by erecting shades and windbreaks and by applying fog sprays of water, sprinkling, ponding or wet covering. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin as directed, after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry.

3.5.6 Placing Concrete in Congested Areas

Special care shall be used to ensure complete filling of the forms, elimination of all voids, and complete consolidation of the concrete when placing concrete in areas congested with reinforcing bars, embedded items, waterstops and other tight spacing. An appropriate concrete mixture shall be used, and the nominal maximum size of aggregate (NMSA) shall meet the specified criteria when evaluated for the congested area. Vibrators with heads of a size appropriate for the clearances available shall be used, and the consolidation operation shall be closely supervised to ensure complete and thorough consolidation at all points. Where necessary, splices of reinforcing bars shall be alternated to reduce congestion. Where two mats of closely spaced reinforcing are required, the bars in each mat shall be placed in matching alignment to reduce congestion. Reinforcing bars may be temporarily crowded to one side during concrete placement provided they are returned to exact required location before concrete placement and consolidation are completed.

3.5.7 <TAI OPT=CHEMICAL ADMIXTURES>3.8.8 Placing Flowable Concrete

If a plasticizing admixture conforming to [ASTM C 1017](#) is used or if a Type F or G high range water reducing admixture is permitted to increase the slump, the concrete shall meet all requirements of paragraph GENERAL REQUIREMENTS in PART 1. Extreme care shall be used in conveying and placing the concrete to avoid segregation. Consolidation and finishing shall meet all requirements of paragraphs Placing Concrete, Finishing Formed Surfaces, and Finishing Unformed Surfaces. No relaxation of requirements to accommodate flowable concrete will be permitted.

3.6 </TAI>3.9 JOINTS

Joints shall be located and constructed as indicated or approved. Joints not indicated on the drawings shall be located and constructed to minimize the impact on the strength of the structure. In general, such joints shall be located near the middle of the spans of supported slabs, beams, and girders unless a beam intersects a girder at this point, in which case the joint in the girder shall be offset a distance equal to twice the width of the beam. Joints in walls and columns shall be at the underside of floors, slabs, beams, or girders and at the tops of footings or floor slabs, unless

otherwise approved. Joints shall be perpendicular to the main reinforcement. All reinforcement shall be continued across joints; except that reinforcement or other fixed metal items shall not be continuous through expansion joints, or through construction or contraction joints in slabs on grade. Reinforcement shall be 2 inches clear from each joint. Except where otherwise indicated, construction joints between interior slabs on grade and vertical surfaces shall consist of 30 pound asphalt-saturated felt, extending for the full depth of the slab. The perimeters of the slabs shall be free of fins, rough edges, spalling, or other unsightly appearance. Reservoir for sealant for construction and contraction joints in slabs shall be formed to the dimensions shown on the drawings by removing snap-out joint-forming inserts, by sawing sawable inserts, or by sawing to widen the top portion of sawed joints. Joints to be sealed shall be cleaned and sealed as indicated and in accordance with Section 07900 JOINT SEALING.

3.6.1 Construction Joints

For concrete other than slabs on grade, construction joints shall be located so that the unit of operation does not exceed 30 feet. Concrete shall be placed continuously so that each unit is monolithic in construction. Fresh concrete shall not be placed against adjacent hardened concrete until it is at least 24 hours old. Construction joints shall be located as indicated or approved. Where concrete work is interrupted by weather, end of work shift or other similar type of delay, location and type of construction joint shall be subject to approval of the Contracting Officer. Unless otherwise indicated and except for slabs on grade, reinforcing steel shall extend through construction joints. Construction joints in slabs on grade shall be keyed or doweled as shown. Concrete columns, walls, or piers shall be in place at least 2 hours, or until the concrete begins to lose its plasticity, before placing concrete for beams, girders, or slabs thereon. In walls having door or window openings, lifts shall terminate at the top and bottom of the opening. Other lifts shall terminate at such levels as to conform to structural requirements or architectural details. Where horizontal construction joints in walls or columns are required, a strip of 1 inch-square-edge lumber, bevelled and oiled to facilitate removal, shall be tacked to the inside of the forms at the construction joint. Concrete shall be placed to a point 1 inch above the underside of the strip. The strip shall be removed 1 hour after the concrete has been placed, and any irregularities in the joint line shall be leveled off with a wood float, and all laitance shall be removed. Prior to placing additional concrete, horizontal construction joints shall be prepared as specified in paragraph Previously Placed Concrete.

3.6.2 Contraction Joints in Slabs on Grade

Contraction joints shall be located and detailed as shown on the drawings. Contraction Joints shall be produced by forming a weakened plane in the concrete slab by use of rigid inserts impressed in the concrete during placing operations use of snap-out plastic joint forming inserts or sawing a continuous slot with a concrete saw. Regardless of method used to produce the weakened plane, it shall be 1/4 the depth of the slab thickness and between 1/8 and 3/16 inch wide. For saw-cut joints, cutting shall be timed properly with the set of the concrete. Cutting shall be started as soon as the concrete has hardened sufficiently to prevent ravelling of the edges of the saw cut. Cutting shall be completed before shrinkage stresses become sufficient to produce cracking. Reservoir for joint sealant shall be formed as previously specified.

3.6.3 Expansion Joints

Installation of expansion joints and sealing of these joints shall conform to the requirements of Section 03150 EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS and Section 07900 JOINT SEALING.

3.6.4 Waterstops

Waterstops shall be installed in conformance with the locations and details shown on the drawings using materials and procedures specified in Section 03150 EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS.

3.6.5 Dowels and Tie Bars

Dowels and tie bars shall be installed at the locations shown on the drawings and to the details shown, using materials and procedures specified in Section 03200 CONCRETE REINFORCEMENT and herein. Conventional smooth "paving" dowels shall be installed in slabs using approved methods to hold the dowel in place during concreting within a maximum alignment tolerance of 1/8 inch in 12 inches. "Structural" type deformed bar dowels, or tie bars, shall be installed to meet the specified tolerances. Care shall be taken during placing adjacent to and around dowels and tie bars to ensure there is no displacement of the dowel or tie bar and that the concrete completely embeds the dowel or tie bar and is thoroughly consolidated.

3.7 <TAI OPT=FINISHING FORMED SURFACES>3.10 FINISHING FORMED SURFACES

Forms, form materials, and form construction are specified in Section 03100 STRUCTURAL CONCRETE FORMWORK. Finishing of formed surfaces shall be as specified herein. Unless another type of architectural or special finish is specified, surfaces shall be left with the texture imparted by the forms except that defective surfaces shall be repaired. Unless painting of surfaces is required, uniform color of the concrete shall be maintained by use of only one mixture without changes in materials or proportions for any structure or portion of structure that requires a Class A or B finish. Except for major defects, as defined hereinafter, surface defects shall be repaired as specified herein within 24 hours after forms are removed. Repairs of the so-called "plaster-type" will not be permitted in any location. Tolerances of formed surfaces shall conform to the requirements of ACI 117/117R. These tolerances apply to the finished concrete surface, not to the forms themselves; forms shall be set true to line and grade. Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter shall be repaired as specified in paragraph Damp-Pack Mortar Repair. Defects whose surface diameter is greater than their depth shall be repaired as specified in paragraph Repair of Major Defects. Repairs shall be finished flush with adjacent surfaces and with the same surface texture. The cement used for all repairs shall be a blend of job cement with white cement proportioned so that the final color after curing and aging will be the same as the adjacent concrete. Concrete with excessive honeycomb, or other defects which affect the strength of the member, will be rejected.

3.7.1 Class C and Class D Finish

Class C finish is required where indicated on the drawings. Class D finish is required where indicated on the drawings. Fins, ravelings, and loose

material shall be removed, and, except as otherwise indicated or as specified in Section 03100 STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Honeycomb and other defects more than 1/2 inch deep or more than 2 inches in diameter shall be repaired. Defects more than 2 inches in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep.

3.8 </TAI>3.11 REPAIRS

3.8.1 Damp-Pack Mortar Repair

Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter but not over 4 inches shall be repaired by the damp-pack mortar method. Form tie holes shall be reamed and other similar defects shall be cut out to sound concrete. The void shall then be thoroughly cleaned, thoroughly wetted, brush-coated with a thin coat of neat cement grout and filled with mortar. Mortar shall be a stiff mix of 1 part portland cement to 2 parts fine aggregate passing the No. 16 mesh sieve, and minimum amount of water. Only sufficient water shall be used to produce a mortar which, when used, will stick together on being molded into a ball by a slight pressure of the hands and will not exude water but will leave the hands damp. Mortar shall be mixed and allowed to stand for 30 to 45 minutes before use with remixing performed immediately prior to use. Mortar shall be thoroughly tamped in place in thin layers using a hammer and hardwood block. Holes passing entirely through walls shall be completely filled from the inside face by forcing mortar through to the outside face. All holes shall be packed full. Damp-pack repairs shall be moist cured for at least 48 hours.

3.8.2 Repair of Major Defects

Major defects will be considered to be those more than 2 inches in diameter. Also included are any defects of any kind whose depth is over 4 inches or whose surface diameter is greater than their depth. Major defects shall be repaired as specified below.

3.8.2.1 Surface Application of Mortar Repair

Defective concrete shall be removed, and removal shall extend into completely sound concrete. Approved equipment and procedures which will not cause cracking or microcracking of the sound concrete shall be used. If reinforcement is encountered, concrete shall be removed so as to expose the reinforcement for at least 2 inches on all sides. All such defective areas greater than 12 square inches shall be outlined by saw cuts at least 1 inch deep. Defective areas less than 12 square inches shall be outlined by a 1 inch deep cut with a core drill in lieu of sawing. All saw cuts shall be straight lines in a rectangular pattern in line with the formwork panels. After concrete removal, the surface shall be thoroughly cleaned by high pressure washing to remove all loose material. Surfaces shall be kept continually saturated for the first 12 of the 24 hours immediately before placing mortar and shall be damp but not wet at the time of commencing mortar placement. The Contractor, at his option, may use either hand-placed mortar or mortar placed with a mortar gun. If hand-placed mortar is used, the edges of the cut shall be perpendicular to the surface of the concrete. The prepared area shall be brush-coated with a thin coat of neat cement grout. The repair shall then be made using a stiff mortar, preshrunk by allowing the mixed mortar to stand for 30 to 45 minutes and then remixed,

thoroughly tamped into place in thin layers. If hand-placed mortar is used, the Contractor shall test each repair area for drumminess by firm tapping with a hammer and shall inspect for cracks, both in the presence of the Contracting Officer's representative, immediately before completion of the contract, and shall replace any showing drumminess or cracking. If mortar placed with a mortar gun is used, the gun shall be a small compressed air-operated gun to which the mortar is slowly hand fed and which applies the mortar to the surface as a high-pressure stream, as approved. Repairs made using shotcrete equipment will not be accepted. The mortar used shall be the same mortar as specified for damp-pack mortar repair. If gun-placed mortar is used, the edges of the cut shall be beveled toward the center at a slope of 1:1. All surface applied mortar repairs shall be continuously moist cured for at least 7 days. Moist curing shall consist of several layers of saturated burlap applied to the surface immediately after placement is complete and covered with polyethylene sheeting, all held closely in place by a sheet of plywood or similar material rigidly braced against it. Burlap shall be kept continually wet.

3.8.2.2 Repair of Deep and Large Defects

Deep and large defects will be those that are more than 6 inches deep and also have an average diameter at the surface more than 18 inches or that are otherwise so identified by the Project Office. Such defects shall be repaired as specified herein or directed, except that defects which affect the strength of the structure shall not be repaired and that portion of the structure shall be completely removed and replaced. Deep and large defects shall be repaired by procedures approved in advance including forming and placing special concrete using applied pressure during hardening. Preparation of the repair area shall be as specified for surface application of mortar. In addition, the top edge (surface) of the repair area shall be sloped at approximately 20 degrees from the horizontal, upward toward the side from which concrete will be placed. The special concrete shall be a concrete mixture with low water content and low slump, and shall be allowed to age 30 to 60 minutes before use. Concrete containing a specified expanding admixture may be used in lieu of the above mixture; the paste portion of such concrete mixture shall be designed to have an expansion between 2.0 and 4.0 percent when tested in accordance with ASTM C 940. A full width "chimney" shall be provided at the top of the form on the placing side to ensure filling to the top of the opening. A pressure cap shall be used on the concrete in the chimney with simultaneous tightening and revibrating the form during hardening to ensure a tight fit for the repair. The form shall be removed after 24 hours and immediately the chimney shall be carefully chipped away to avoid breaking concrete out of the repair; the surface of the repair concrete shall be dressed as required.

3.9 FINISHING UNFORMED SURFACES

The finish of all unformed surfaces shall meet the requirements of paragraph Tolerances in PART 1, when tested as specified herein.

3.9.1 General

The ambient temperature of spaces adjacent to unformed surfaces being finished and of the base on which concrete will be placed shall be not less than 50 degrees F. In hot weather all requirements of paragraphs Hot Weather Requirements and Prevention of Plastic Shrinkage Cracking shall be met. Unformed surfaces that are not to be covered by additional concrete or

backfill shall have a float finish, with additional finishing as specified below, and shall be true to the elevation shown on the drawings. Surfaces to receive additional concrete or backfill shall be brought to the elevation shown on the drawings, properly consolidated, and left true and regular. Unless otherwise shown on the drawings, exterior surfaces shall be sloped for drainage, as directed. Where drains are provided, interior floors shall be evenly sloped to the drains. Joints shall be carefully made with a jointing or edging tool. The finished surfaces shall be protected from stains or abrasions. Grate tampers or "jitterbugs" shall not be used for any surfaces. The dusting of surfaces with dry cement or other materials or the addition of any water during finishing shall not be permitted. If bleedwater is present prior to finishing, the excess water shall be carefully dragged off or removed by absorption with porous materials such as burlap. During finishing operations, extreme care shall be taken to prevent over finishing or working water into the surface; this can cause "crazing" (surface shrinkage cracks which appear after hardening) of the surface. Any slabs with surfaces which exhibit significant crazing shall be removed and replaced. During finishing operations, surfaces shall be checked with a 10 foot straightedge, applied in both directions at regular intervals while the concrete is still plastic, to detect high or low areas.

3.9.2 Non-Slip Finish

Non-slip floors shall be constructed in accordance with the following subparagraphs.

3.9.2.1 Broomed

Areas as indicated on the drawings. After floating, the surface shall be lightly steel troweled, and then carefully scored by pulling a coarse fiber push-type broom across the surface. Brooming shall be transverse to traffic or at right angles to the slope of the slab. After the end of the curing period, the surface shall be vigorously broomed with a coarse fiber broom to remove all loose or semi-detached particles.

3.10 EXTERIOR SLAB AND RELATED ITEMS

3.10.1 Pavements

Pavements shall be constructed where shown on the drawings. After forms are set and underlying material prepared as specified, the concrete shall be placed uniformly throughout the area and thoroughly vibrated. As soon as placed and vibrated, the concrete shall be struck off and screeded to the crown and cross section and to such elevation above grade that when consolidated and finished, the surface of the pavement will be at the required elevation. The entire surface shall be tamped with the strike off, or consolidated with a vibrating screed, and this operation continued until the required compaction and reduction of internal and surface voids are accomplished. Care shall be taken to prevent bringing excess paste to the surface. Immediately following the final consolidation of the surface, the pavement shall be floated longitudinally from bridges resting on the side forms and spanning but not touching the concrete. If necessary, additional concrete shall be placed and screeded, and the float operated until a satisfactory surface has been produced. The floating operation shall be advanced not more than half the length of the float and then continued over the new and previously floated surfaces. After finishing is completed but while the concrete is still plastic, minor irregularities and score marks in

the pavement surface shall be eliminated by means of long-handled cutting straightedges. Straightedges shall be 12 feet in length and shall be operated from the sides of the pavement and from bridges. A straightedge operated from the side of the pavement shall be equipped with a handle 3 feet longer than one-half the width of the pavement. The surface shall then be tested for trueness with a 12 foot straightedge held in successive positions parallel and at right angles to the center line of the pavement, and the whole area covered as necessary to detect variations. The straightedge shall be advanced along the pavement in successive stages of not more than one-half the length of the straightedge. Depressions shall be immediately filled with freshly mixed concrete, struck off, consolidated, and refinished. Projections above the required elevation shall also be struck off and refinished. The straightedge testing and finishing shall continue until the entire surface of the concrete is true. Before the surface sheen has disappeared and well before the concrete becomes nonplastic, the surface of the pavement shall be given a nonslip sandy surface texture by [belting with approved "belt" and procedures] [use of a burlap drag. A strip of clean, wet burlap from 3 to 5 feet wide and 2 feet longer than the pavement width shall be carefully pulled across the surface]. Edges and joints shall be rounded with an edger having a radius of 1/8 inch. Curing shall be as specified.

3.10.2 Sidewalks

Concrete shall be 4 inches minimum thickness. Contraction joints shall be provided at 5 feet spaces unless otherwise indicated. Contraction joints shall be cut 1 inch deep with a jointing tool after the surface has been finished. Transverse expansion joints 1/2 inch thick shall be provided at changes in direction and where sidewalk abuts curbs, steps, rigid pavement, or other similar structures. Sidewalks shall be given a lightly broomed finish. A transverse slope of 1/4 inch per foot shall be provided, unless otherwise indicated. Variations in cross section shall be limited to 1/4 inch in 5 feet.

3.10.3 Curbs and Gutters

Concrete shall be formed, placed, and finished by hand using a properly shaped "mule" or constructed using a slipform machine specially designed for this work. Contraction joints shall be cut 3 inches deep with a jointing tool after the surface has been finished. Expansion joints (1/2 inch wide) shall be provided at 100 feet maximum spacing unless otherwise indicated. Exposed surfaces shall be finished using a stiff bristled brush.

3.10.4 Pits and Trenches

Pits and trenches shall be constructed as indicated on the drawings. Bottoms and walls shall be placed monolithically or waterstops and keys, shall be provided as approved.

3.11 CURING AND PROTECTION

3.11.1 General

Concrete shall be cured by an approved method for the period of time given below:

Concrete with Type III cement	3 days
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All other concrete

7 days

Immediately after placement, concrete shall be protected from premature drying, extremes in temperatures, rapid temperature change, mechanical injury and damage from rain and flowing water for the duration of the curing period. Air and forms in contact with concrete shall be maintained at a temperature above 50 degrees F for the first 3 days and at a temperature above 32 degrees F for the remainder of the specified curing period. Exhaust fumes from combustion heating units shall be vented to the outside of the enclosure, and heaters and ducts shall be placed and directed so as not to cause areas of overheating and drying of concrete surfaces or to create fire hazards. Materials and equipment needed for adequate curing and protection shall be available and at the site prior to placing concrete. No fire or excessive heat, including welding, shall be permitted near or in direct contact with the concrete at any time. Except as otherwise permitted by paragraph Membrane Forming Curing Compounds, moist curing shall be provided for any areas to receive floor hardener, any paint or other applied coating, or to which other concrete is to be bonded. Concrete containing silica fume shall be initially cured by fog misting during finishing, followed immediately by continuous moist curing. Except for plastic coated burlap, impervious sheeting alone shall not be used for curing.

3.11.2 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, commencing immediately after finishing. If water or curing materials used stain or discolor concrete surfaces which are to be permanently exposed, the concrete surfaces shall be cleaned as approved. When wooden forms are left in place during curing, they shall be kept wet at all times. If steel forms are used in hot weather, nonsupporting vertical forms shall be broken loose from the concrete soon after the concrete hardens and curing water continually applied in this void. If the forms are removed before the end of the curing period, curing shall be carried out as on unformed surfaces, using suitable materials. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Burlap and mats shall be clean and free from any contamination and shall be completely saturated before being placed on the concrete. The Contractor shall have an approved work system to ensure that moist curing is continuous 24 hours per day.

3.11.3 Membrane Forming Curing Compounds

Concrete may be cured with a pigmented curing compound in lieu of moist curing. Membrane curing shall not be used on surfaces that are to receive any subsequent treatment depending on adhesion or bonding to the concrete, including surfaces to which a smooth finish is to be applied or other concrete to be bonded. However, a styrene acrylate or chlorinated rubber compound meeting [ASTM C 309](#), Class B requirements, may be used for surfaces which are to be painted or are to receive bituminous roofing or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing or flooring specified. Membrane curing compound shall not be used on surfaces that are maintained at curing temperatures with free steam. Curing compound shall be applied to formed surfaces immediately after the forms are removed and prior to any patching or other surface treatment except the cleaning of loose sand,

mortar, and debris from the surface. All surfaces shall be thoroughly moistened with water. Curing compound shall be applied to slab surfaces as soon as the bleeding water has disappeared, with the tops of joints being temporarily sealed to prevent entry of the compound and to prevent moisture loss during the curing period. The curing compound shall be applied in a two-coat continuous operation by approved motorized power-spraying equipment operating at a minimum pressure of 75 psi, at a uniform coverage of not more than 400 square feet per gallon for each coat, and the second coat shall be applied perpendicular to the first coat. Concrete surfaces which have been subjected to rainfall within 3 hours after curing compound has been applied shall be resprayed by the method and at the coverage specified. Surfaces on which clear compound is used shall be shaded from direct rays of the sun for the first 3 days. Surfaces coated with curing compound shall be kept free of foot and vehicular traffic, and from other sources of abrasion and contamination during the curing period.

3.11.4 Impervious Sheeting

Except for plastic coated burlap, impervious sheeting alone shall not be used for curing. Impervious-sheet curing shall only be used on horizontal or nearly horizontal surfaces. Surfaces shall be thoroughly wetted and be completely covered with the sheeting. Sheeting shall be at least 18 inches wider than the concrete surface to be covered. Covering shall be laid with light-colored side up. Covering shall be lapped not less than 12 inches and securely weighted down or shall be lapped not less than 4 inches and taped to form a continuous cover with completely closed joints. The sheet shall be weighted to prevent displacement so that it remains in contact with the concrete during the specified length of curing. Coverings shall be folded down over exposed edges of slabs and secured by approved means. Sheets shall be immediately repaired or replaced if tears or holes appear during the curing period.

3.11.5 Cold Weather Curing and Protection

When the daily ambient low temperature is less than 32 degrees F the temperature of the concrete shall be maintained above 40 degrees F for the first seven days after placing. During the period of protection removal, the air temperature adjacent to the concrete surfaces shall be controlled so that concrete near the surface will not be subjected to a temperature differential of more than 25 degrees F as determined by suitable temperature measuring devices furnished by the Contractor, as required, and installed adjacent to the concrete surface and 2 inches inside the surface of the concrete. The installation of the thermometers shall be made by the Contractor as directed.

3.12 SETTING BASE PLATES AND BEARING PLATES

After being properly positioned, column base plates, bearing plates for beams and similar structural members, and machinery and equipment base plates shall be set to the proper line and elevation with damp-pack bedding mortar, except where nonshrink grout is indicated. The thickness of the mortar or grout shall be approximately 1/24 the width of the plate, but not less than 3/4 inch. Concrete and metal surfaces in contact with grout shall be clean and free of oil and grease, and concrete surfaces in contact with grout shall be damp and free of laitance when grout is placed.

3.12.1 Damp-Pack Bedding Mortar

Damp-pack bedding mortar shall consist of 1 part cement and 2-1/2 parts fine aggregate having water content such that a mass of mortar tightly squeezed in the hand will retain its shape but will crumble when disturbed. The space between the top of the concrete and bottom of the bearing plate or base shall be packed with the bedding mortar by tamping or ramming with a bar or rod until it is completely filled.

3.12.2 Nonshrink Grout

Nonshrink grout shall be a ready-mixed material requiring only the addition of water. Water content shall be the minimum that will provide a flowable mixture and completely fill the space to be grouted without segregation, bleeding, or reduction of strength.

3.12.2.1 Mixing and Placing of Nonshrink Grout

Mixing and placing shall be in conformance with the material manufacturer's instructions and as specified therein. Ingredients shall be thoroughly dry-mixed before adding water. After adding water, the batch shall be mixed for 3 minutes. Batches shall be of size to allow continuous placement of freshly mixed grout. Grout not used within 30 minutes after mixing shall be discarded. The space between the top of the concrete or machinery-bearing surface and the plate shall be filled solid with the grout. Forms shall be of wood or other equally suitable material for completely retaining the grout on all sides and on top and shall be removed after the grout has set. The placed grout shall be carefully worked by rodding or other means to eliminate voids; however, overworking and breakdown of the initial set shall be avoided. Grout shall not be retempered or subjected to vibration from any source. Where clearances are unusually small, placement shall be under pressure with a grout pump. Temperature of the grout, and of surfaces receiving the grout, shall be maintained at 65 to 85 degrees F until after setting.

3.12.2.2 Treatment of Exposed Surfaces

For metal-oxidizing nonshrink grout, exposed surfaces shall be cut back 1 inch and immediately covered with a parge coat of mortar consisting of 1 part portland cement and 2-1/2 parts fine aggregate by weight, with sufficient water to make a plastic mixture. The parge coat shall have a smooth finish. For other mortars or grouts, exposed surfaces shall have a smooth-dense finish and be left untreated. Curing shall comply with paragraph CURING AND PROTECTION.

3.13 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL

The Contractor shall perform the inspection and tests described below and, based upon the results of these inspections and tests, shall take the action required and shall submit specified reports. When, in the opinion of the Contracting Officer, the concreting operation is out of control, concrete placement shall cease and the operation shall be corrected. The laboratory performing the tests shall be onsite and shall conform with [ASTM C 1077](#). Materials may be subjected to check testing by the Government from samples obtained at the manufacturer, at transfer points, or at the project site. The Government will inspect the laboratory, equipment, and test procedures

prior to start of concreting operations and at least once per month thereafter for conformance with [ASTM C 1077](#).

3.13.1 Grading and Corrective Action

3.13.1.1 Fine Aggregate

At least once during each shift when the concrete plant is operating, there shall be one sieve analysis and fineness modulus determination in accordance with [ASTM C 136](#) and [COE CRD-C 104](#) for the fine aggregate or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits. When the amount passing on any sieve is outside the specification limits, the fine aggregate shall be immediately resampled and retested. If there is another failure on any sieve, the fact shall immediately reported to the Contracting Officer, concreting shall be stopped, and immediate steps taken to correct the grading.

3.13.1.2 Coarse Aggregate

At least once during each shift in which the concrete plant is operating, there shall be a sieve analysis in accordance with [ASTM C 136](#) for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor shall be responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations shall show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling. When the amount passing any sieve is outside the specification limits, the coarse aggregate shall be immediately resampled and retested. If the second sample fails on any sieve, that fact shall be reported to the Contracting Officer. Where two consecutive averages of 5 tests are outside specification limits, the operation shall be considered out of control and shall be reported to the Contracting Officer. Concreting shall be stopped and immediate steps shall be taken to correct the grading.

3.13.2 Quality of Aggregates

Thirty days prior to the start of concrete placement, the Contractor shall perform all tests for aggregate quality required by [ASTM C 33](#). In addition, after the start of concrete placement, the Contractor shall perform tests for aggregate quality at least every three months, and when the source of aggregate or aggregate quality changes. Samples tested after the start of concrete placement shall be taken immediately prior to entering the concrete mixer.

3.13.3 Scales, Batching and Recording

The accuracy of the scales shall be checked by test weights prior to start of concrete operations and at least once every three months. Such tests shall also be made as directed whenever there are variations in properties of the fresh concrete that could result from batching errors. Once a week

the accuracy of each batching and recording device shall be checked during a weighing operation by noting and recording the required weight, recorded weight, and the actual weight batched. At the same time, the Contractor shall test and ensure that the devices for dispensing admixtures are operating properly and accurately. When either the weighing accuracy or batching accuracy does not comply with specification requirements, the plant shall not be operated until necessary adjustments or repairs have been made. Discrepancies in recording accuracies shall be corrected immediately.

3.13.4 Batch-Plant Control

The measurement of concrete materials including cementitious materials, each size of aggregate, water, and admixtures shall be continuously controlled. The aggregate weights and amount of added water shall be adjusted as necessary to compensate for free moisture in the aggregates. The amount of air-entraining agent shall be adjusted to control air content within specified limits. A report shall be prepared indicating type and source of cement used, type and source of pozzolan or slag used, amount and source of admixtures used, aggregate source, the required aggregate and water weights per cubic yard, amount of water as free moisture in each size of aggregate, and the batch aggregate and water weights per cubic yard for each class of concrete batched during each day's plant operation.

3.13.5 Concrete Mixture

- a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of concrete production. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Tests shall be made in accordance with **ASTM C 231** for normal weight concrete and **ASTM C 173** for lightweight concrete. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single test result reaches either the upper or lower action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the air content of the batch to plot on both the air content and the control chart for range, and for determining need for any remedial action. The result of each test, or average as noted in the previous sentence, shall be plotted on a separate control chart for each mixture on which an "average line" is set at the midpoint of the specified air content range from paragraph Air Entrainment. An upper warning limit and a lower warning limit line shall be set 1.0 percentage point above and below the average line, respectively. An upper action limit and a lower action limit line shall be set 1.5 percentage points above and below the average line, respectively. The range between each two consecutive tests shall be plotted on a secondary control chart for range where an upper warning limit is set at 2.0 percentage points and an upper action limit is set at 3.0 percentage points. Samples for air content may be taken at the mixer, however, the Contractor is responsible for delivering the concrete to the placement site at the stipulated air content. If the Contractor's materials or transportation methods

cause air content loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the air content at the mixer controlled as directed.

- b. Air Content Corrective Action. Whenever points on the control chart for percent air reach either warning limit, an adjustment shall immediately be made in the amount of air-entraining admixture batched. As soon as practical after each adjustment, another test shall be made to verify the result of the adjustment. Whenever a point on the secondary control chart for range reaches the warning limit, the admixture dispenser shall be recalibrated to ensure that it is operating accurately and with good reproducibility. Whenever a point on either control chart reaches an action limit line, the air content shall be considered out of control and the concreting operation shall immediately be halted until the air content is under control. Additional air content tests shall be made when concreting is restarted.
- c. Slump Testing. In addition to slump tests which shall be made when test specimens are fabricated, at least four slump tests shall be made on randomly selected batches in accordance with [ASTM C 143](#) for each separate concrete mixture produced during each 8-hour or less period of concrete production each day. Also, additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single slump test reaches or goes beyond either the upper or lower action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the slump of the batch to plot on both the control charts for slump and the chart for range, and for determining need for any remedial action. Limits shall be set on separate control charts for slump for each type of mixture. The upper warning limit shall be set at 1/2 inch below the maximum allowable slump specified in paragraph Slump in PART 1 for each type of concrete and an upper action limit line and lower action limit line shall be set at the maximum and minimum allowable slumps, respectively, as specified in the same paragraph. The range between each consecutive slump test for each type of mixture shall be plotted on a single control chart for range on which an upper action limit is set at 2 inches. Samples for slump shall be taken at the mixer. However, the Contractor is responsible for delivering the concrete to the placement site at the stipulated slump. If the Contractor's materials or transportation methods cause slump loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the slump at the mixer controlled as directed.
- d. Slump Corrective Action. Whenever points on the control charts for slump reach the upper warning limit, an adjustment shall immediately be made in the batch weights of water and fine aggregate. The adjustments are to be made so that the total water content does not exceed that amount allowed by the maximum w/c

ratio specified, based on aggregates which are in a saturated surface dry condition. When a single slump reaches the upper or lower action limit, no further concrete shall be delivered to the placing site until proper adjustments have been made. Immediately after each adjustment, another test shall be made to verify the correctness of the adjustment. Whenever two consecutive individual slump tests, made during a period when there was no adjustment of batch weights, produce a point on the control chart for range at or above the upper action limit, the concreting operation shall immediately be halted, and the Contractor shall take appropriate steps to bring the slump under control. Additional slump tests shall be made as directed.

- e. Temperature. The temperature of the concrete shall be measured when compressive strength specimens are fabricated. Measurement shall be in accordance with [ASTM C 1064](#). The temperature shall be reported along with the compressive strength data.
- f. Strength Specimens. At least one set of test specimens shall be made, for compressive or flexural strength as appropriate, on each different concrete mixture placed during the day for each 500 cubic yards or portion thereof of that concrete mixture placed each day. Additional sets of test specimens shall be made, as directed by the Contracting Officer, when the mixture proportions are changed or when low strengths have been detected. A truly random (not haphazard) sampling plan shall be developed by the Contractor and approved by the Contracting Officer prior to the start of construction. The plan shall assure that sampling is done in a completely random and unbiased manner. A set of test specimens for concrete with a 28-day specified strength per paragraph Strength Requirements in PART 1 shall consist of four specimens, two to be tested at 7 days and two at 28 days. Test specimens shall be molded and cured in accordance with [ASTM C 31/C 31M](#) and tested in accordance with [ASTM C 39](#) for test cylinders and [ASTM C 78](#) for test beams. Results of all strength tests shall be reported immediately to the Contracting Officer. Quality control charts shall be kept for individual strength "tests", ("test" as defined in paragraph Strength Requirements in PART 1) moving average of last 3 "tests" for strength, and moving average for range for the last 3 "tests" for each mixture. The charts shall be similar to those found in [ACI 214.3R](#).

3.13.6 Inspection Before Placing

Foundations, construction joints, forms, and embedded items shall be inspected by the Contractor in sufficient time prior to each concrete placement in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

3.13.7 Placing

The placing foreman shall supervise placing operations, shall determine that the correct quality of concrete or grout is placed in each location as specified and as directed by the Contracting Officer, and shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of

placement, volume placed, and method of placement. The placing foreman shall not permit batching and placing to begin until it has been verified that an adequate number of vibrators in working order and with competent operators are available. Placing shall not be continued if any pile of concrete is inadequately consolidated. If any batch of concrete fails to meet the temperature requirements, immediate steps shall be taken to improve temperature controls.

3.13.8 Vibrators

The frequency and amplitude of each vibrator shall be determined in accordance with COE CRD-C 521 prior to initial use and at least once a month when concrete is being placed. Additional tests shall be made as directed when a vibrator does not appear to be adequately consolidating the concrete. The frequency shall be determined while the vibrator is operating in concrete with the tachometer being held against the upper end of the vibrator head while almost submerged and just before the vibrator is withdrawn from the concrete. The amplitude shall be determined with the head vibrating in air. Two measurements shall be taken, one near the tip and another near the upper end of the vibrator head, and these results averaged. The make, model, type, and size of the vibrator and frequency and amplitude results shall be reported in writing. Any vibrator not meeting the requirements of paragraph Consolidation, shall be immediately removed from service and repaired or replaced.

3.13.9 Curing Inspection

- a. Moist Curing Inspections. At least once each shift, and not less than twice per day on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded.
- b. Moist Curing Corrective Action. When a daily inspection report lists an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for those areas shall be extended by 1 day.
- c. Membrane Curing Inspection. No curing compound shall be applied until the Contractor has verified that the compound is properly mixed and ready for spraying. At the end of each operation, the Contractor shall estimate the quantity of compound used by measurement of the container and the area of concrete surface covered, shall compute the rate of coverage in square feet per gallon, and shall note whether or not coverage is uniform.
- d. Membrane Curing Corrective Action. When the coverage rate of the curing compound is less than that specified or when the coverage is not uniform, the entire surface shall be sprayed again.
- e. Sheet Curing Inspection. At least once each shift and once per day on non-work days, an inspection shall be made of all areas being cured using impervious sheets. The condition of the covering and the tightness of the laps and tapes shall be noted and recorded.
- f. Sheet Curing Corrective Action. When a daily inspection report lists any tears, holes, or laps or joints that are not completely closed, the tears and holes shall promptly be repaired or the

sheets replaced, the joints closed, and the required curing period for those areas shall be extended by 1 day.

3.13.10 Cold-Weather Protection

At least once each shift and once per day on non-work days, an inspection shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

3.13.11 Mixer Uniformity

- a. Stationary Mixers. Prior to the start of concrete placing and once every 6 months when concrete is being placed, or once for every 75,000 cubic yards of concrete placed, whichever results in the shortest time interval, uniformity of concrete mixing shall be determined in accordance with [ASTM C 94](#).
- b. Truck Mixers. Prior to the start of concrete placing and at least once every 6 months when concrete is being placed, uniformity of concrete mixing shall be determined in accordance with [ASTM C 94](#). The truck mixers shall be selected randomly for testing. When satisfactory performance is found in one truck mixer, the performance of mixers of substantially the same design and condition of the blades may be regarded as satisfactory.
- c. Mixer Uniformity Corrective Action. When a mixer fails to meet mixer uniformity requirements, either the mixing time shall be increased, batching sequence changed, batch size reduced, or adjustments shall be made to the mixer until compliance is achieved.

3.13.12 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report shall be prepared for the updating of control charts covering the entire period from the start of the construction season through the current week. During periods of cold-weather protection, reports of pertinent temperatures shall be made daily. These requirements do not relieve the Contractor of the obligation to report certain failures immediately as required in preceding paragraphs. Such reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all contractor quality control records.

3.14 SELF LEVELING FLOWABLE FILL MATERIAL

The trench or excavation shall be prepared in accordance with appropriate specifications. All piping and underground utilities shall be done in accordance with appropriate specifications and manufacturer's recommendations. A minimum of 6 inches of flowable fill shall be above any utility line or as shown on the drawings.

The mix may be placed part or full depth as conditions dictate. When backfilling utility lines such as pipe culverts, flowable fill shall be distributed evenly to prevent any movement of the line.

Vibrators shall not be used to consolidate the mix. The fill is self consolidating.

Finishing can be accomplished with a square shovel if the fill surface is at the bottom of the pavement or with a wood float if the surface will be temporarily used as a finish surface.

The flowable fill material shall be placed a minimum of 2 inches above required level to allow for some settling and shrinkage when pavement is to be installed. The fill material shall be shaved to required depth when hardened.

The flowable fill material does not need curing, but allowance for excess water runoff shall be made by crowning or sloping fill. Plastic sheets shall not be used on or around fill material.

SECTION 03410

PRECAST/PRESTRESSED CONCRETE FLOOR AND ROOF UNITS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

- | | |
|--------------|---|
| ACI 318/318R | (1995) Building Code Requirements for Structural Concrete and Commentary |
| ACI 318M | 1995 Metric Building Code Requirements for Structural Concrete and Commentary |

AMERICAN WELDING SOCIETY (AWS)

- | | |
|----------|--|
| AWS D1.1 | (1998) Structural Welding Code - Steel |
|----------|--|

PRECAST/PRESTRESSED CONCRETE INSTITUTE (PCI)

- | | |
|--------------|--|
| PCI Mnl-116S | (1985) Manual for Quality Control for Plants and Production of Precast and Prestressed Concrete Products |
| PCI Mnl-120 | (1992) PCI Design Handbook - Precast and Prestressed Concrete |

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Shop Drawings

Precast Covers.

Detail drawings shall consist of erection instructions and the following as applicable:

- a. Anchorage for work of other trades.
- b. Anchorage to supporting construction, if required by the design.
- d. Joints between units and between units and other construction.
- e. Reinforcing.
- f. Pick-up points for handling units.

- g. Shoring, unless structural computations are submitted showing that allowable concrete stresses during the work will not be exceeded when shoring is not used.
- i. Layout plan and member identification marks.

Test Reports

Tests

Certified copies of test reports including all data and results of tests performed as required by **PCI Mnl-116S**.

1.3 GENERAL REQUIREMENTS

Precast covers shall be produced under plant-controlled conditions conforming to **PCI Mnl-116S** by a firm certified under the PCI Plant Certification Program and specializing in providing precast/prestressed concrete floor and roof units and related services.

1.4 HANDLING AND STORAGE

Units shall be cured prior to delivery to the jobsite. Units shall be stored off the ground and protected from soilage, marring, damage, or overload. Stacked members shall be separated by battens across the full width at bearing points.

2 PRODUCTS

2.1 FABRICATION

Fabrication of the units shall be in accordance with the requirements of **PCI Mnl-116S**. Units shall be made available for inspection by the Contracting Officer at the manufacturer's plant. Shape of units shall be solid flat slabs as indicated. Unit spans shall be as shown. Units shall be fabricated within the dimensional tolerances given in **PCI Mnl-116S**. Inserts, anchor bolts, bearing plates, and other embedded items shall be located as required or indicated. Where required, units shall be marked to facilitate sequential erection. Openings for mechanical and utility systems and for architectural purpose shall be as shown. Surfaces that will be concealed from view shall be free of surface holes over 1/2 inch in diameter. Surfaces to receive subsequent applications other than painting shall be suitable for the purpose intended and free of any coatings that would interfere with adhesion or bond. Surfaces that will be painted or exposed to view shall be smooth, free of form marks, and shall have surface blemishes filled and finished to match adjoining concrete in color and texture.

2.2 TESTS

Tests, as required by **PCI Mnl-116S**, shall be performed by an independent testing laboratory or in the manufacturer's approved laboratory if the manufacturer has a PCI certified plant with proof of current certification.

3 EXECUTION

3.1 ERECTION

Erection shall be in accordance with the approved detail drawings. Field welding shall be in accordance with AWS D1.1. Installation of equipment required by other trades shall be accomplished as the work progresses if required by design. Field-cut openings for utilities penetrations will not be permitted unless recommended by the manufacturer and approved by the Contracting Officer. Bearing surfaces shall be level and free from irregularities. Units shall be installed at right angles to bearings, drawn up tight without forcing or distortion, and with sides plumb. Slab ends shall be aligned.

SECTION 05090

WELDING, STRUCTURAL

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC ASD Spec (1989) Specification for Structural Steel Buildings - Allowable Stress Design, Plastic Design

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)

ASNT RP SNT-TC-1A (1996) Recommended Practice SNT-TC-1A

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4 (1998) Standard Symbols for Welding, Brazing and Nondestructive Examination

AWS A3.0 (1994) Standard Welding Terms and Definitions

AWS D1.1 (1998) Structural Welding Code - Steel

AWS Z49.1 (1999) Safety in Welding and Cutting and Allied Processes

1.2 DEFINITIONS

Definitions of welding terms shall be in accordance with **AWS A3.0**.

1.3 GENERAL REQUIREMENTS

The design of welded connections shall conform to **AISC ASD Spec** unless otherwise indicated or specified. Material with welds will not be accepted unless the welding is specified or indicated on the drawings or otherwise approved. Welding shall be as specified in this section, except where additional requirements are shown on the drawings or are specified in other sections. Welding shall not be started until welding procedures, inspectors, nondestructive testing personnel, welders, welding operators, and tackers have been qualified and the submittals approved by the Contracting Officer. Qualification testing shall be performed at or near the work site. Each Contractor performing welding shall maintain records of the test results obtained in welding procedure, welder, welding operator, and tacker performance qualifications.

1.3.1 Pre-erection Conference

A pre-erection conference shall be held, prior to the start of the field welding, to bring all affected parties together and to gain a naturally clear understanding of the project and the Welding Procedure Specifications (WPS) (which the Contractor shall develop and submit for all welding, including welding done using prequalified procedures). Attendees shall include all Contractor's welding production and inspection personnel and appropriate Government personnel. Items for discussion could include: responsibilities of various parties; welding procedures and processes to be followed; welding sequence (both within a joint and joint sequence within the building); inspection requirements and procedures, both visual and ultrasonic; welding schedule; fabrication of mock-up model; and other items deemed necessary by the attendees.

1.3.1 Mock-up Model

The field-welded connection designated as the mock-up model on the drawings shall be the first connection made. All welders qualified and designated to perform field-welded groove joints shall be present during the welding of the mock-up model connections and each one shall perform a part of the welding. The mock-up test shall simulate the physical and environmental conditions that will be encountered during the welding of all groove joints. All inspection procedures required for groove welded joints, including NDE tests, shall be performed on the mock-up model. All Contractor inspection and testing personnel that will perform QC of groove welded joints shall be present during the welding of the mock-up model and each one shall perform the inspection procedures to be performed on production welding of these joints. This mock-up model connection shall be the standard of performance, both for the welding and inspection procedures used and the results to be achieved in the production welding for these groove welded joints.

1.4 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Product Data

Welding Procedure Qualifications.

Welder, Welding Operator, and Tacker Qualification.

Copies of the welding procedure specifications; the procedure qualification test records; and the welder, welding operator, or tacker qualification test records.

1.5 WELDING PROCEDURE QUALIFICATIONS

Except for prequalified (per AWS D1.1) and previously qualified procedures, each Contractor performing welding shall record in detail and shall qualify the welding procedure specification for any welding procedure followed in the fabrication of weldments. Qualification of welding procedures shall conform to AWS D1.1 and to the specifications in this section. Copies of the welding procedure specification and the results of the procedure qualification test for each type of welding which requires procedure qualification shall be submitted for approval. Approval of any procedure, however, will not relieve the Contractor of the sole responsibility for producing a finished structure meeting all the requirements of these specifications. This information shall be submitted on the forms in

Appendix E of AWS D1.1. Welding procedure specifications shall be individually identified and shall be referenced on the detail drawings and erection drawings, or shall be suitably keyed to the contract drawings. In case of conflict between this specification and AWS D1.1, this specification governs.

1.5.1 Previous Qualifications

Welding procedures previously qualified by test may be accepted for this contract without requalification if the following conditions are met:

- a. Testing was performed by an approved testing laboratory, technical consultant, or the Contractor's approved quality control organization.
- b. The qualified welding procedure conforms to the requirements of this specification and is applicable to welding conditions encountered under this contract.
- c. The welder, welding operator, and tacker qualification tests conform to the requirements of this specification and are applicable to welding conditions encountered under this contract.

1.5.2 Prequalified Procedures

Welding procedures which are considered prequalified as specified in AWS D1.1 will be accepted without further qualification. The Contractor shall submit for approval a listing or an annotated drawing to indicate the joints not prequalified. Procedure qualification shall be required for these joints.

1.5.3 Retests

If welding procedure fails to meet the requirements of AWS D1.1, the procedure specification shall be revised and requalified, or at the Contractor's option, welding procedure may be retested in accordance with AWS D1.1. If the welding procedure is qualified through retesting, all test results, including those of test welds that failed to meet the requirements, shall be submitted with the welding procedure.

1.6 WELDER, WELDING OPERATOR, AND TACKER QUALIFICATION

Each welder, welding operator, and tacker assigned to work on this contract shall be qualified in accordance with the applicable requirements of AWS D1.1 and as specified in this section. Welders, welding operators, and tackers who make acceptable procedure qualification test welds will be considered qualified for the welding procedure used.

1.6.1 Previous Personnel Qualifications

At the discretion of the Contracting Officer, welders, welding operators, and tackers qualified by test within the previous 6 months may be accepted for this contract without requalification if all the following conditions are met:

- a. Copies of the welding procedure specifications, the procedure qualification test records, and the welder, welding operator, and tacker

qualification test records are submitted and approved in accordance with the specified requirements for detail drawings.

b. Testing was performed by an approved testing laboratory, technical consultant, or the Contractor's approved quality control organization.

c. The previously qualified welding procedure conforms to the requirements of this specification and is applicable to welding conditions encountered under this contract.

d. The welder, welding operator, and tacker qualification tests conform to the requirements of this specification and are applicable to welding conditions encountered under this contract.

1.6.2 Certificates

Before assigning any welder, welding operator, or tacker to work under this contract, the Contractor shall submit the names of the welders, welding operators, and tackers to be employed, and certification that each individual is qualified as specified. The certification shall state the type of welding and positions for which the welder, welding operator, or tacker is qualified, the code and procedure under which the individual is qualified, the date qualified, and the name of the firm and person certifying the qualification tests. The certification shall be kept on file, and 3 copies shall be furnished. The certification shall be kept current for the duration of the contract.

1.6.3 Renewal of Qualification

Requalification of a welder or welding operator shall be required under any of the following conditions:

a. It has been more than 6 months since the welder or welding operator has used the specific welding process for which he is qualified.

b. There is specific reason to question the welder or welding operator's ability to make welds that meet the requirements of these specifications.

c. The welder or welding operator was qualified by an employer other than those firms performing work under this contract, and a qualification test has not been taken within the past 12 months. Records showing periods of employment, name of employer where welder, or welding operator, was last employed, and the process for which qualified shall be submitted as evidence of conformance.

d. A tacker who passes the qualification test shall be considered eligible to perform tack welding indefinitely in the positions and with the processes for which he is qualified, unless there is some specific reason to question the tacker's ability. In such a case, the tacker shall be required to pass the prescribed tack welding test.

1.7 INSPECTOR QUALIFICATION

Inspector qualifications shall be in accordance with AWS D1.1. Nondestructive testing personnel shall be qualified in accordance with the requirements of ASNT RP SNT-TC-1A for Levels I or II in the applicable

nondestructive testing method. The inspector may be supported by assistant welding inspectors who are not qualified to ASNT RP SNT-TC-1A, and assistant inspectors may perform specific inspection functions under the supervision of the qualified inspector.

1.8 SYMBOLS

Symbols shall be in accordance with AWS A2.4, unless otherwise indicated.

1.9 SAFETY

Safety precautions during welding shall conform to AWS Z49.1.

2 PRODUCTS

2.1 WELDING EQUIPMENT AND MATERIALS

All welding equipment, electrodes, welding wire, and fluxes shall be capable of producing satisfactory welds when used by a qualified welder or welding operator performing qualified welding procedures. All welding equipment and materials shall comply with the applicable requirements of AWS D1.1.

3 EXECUTION

3.1 WELDING OPERATIONS

3.1.1 Requirements

Workmanship and techniques for welded construction shall conform to the requirements of AWS D1.1 and AISC ASD Spec. When AWS D1.1 and the AISC ASD Spec specification conflict, the requirements of AWS D1.1 shall govern.

3.1.2 Identification

Welds shall be identified in one of the following ways:

a. Written records shall be submitted to indicate the location of welds made by each welder, welding operator, or tacker.

b. Each welder, welding operator, or tacker shall be assigned a number, letter, or symbol to identify welds made by that individual. The Contracting Officer may require welders, welding operators, and tackers to apply their symbol next to the weld by means of rubber stamp, felt-tipped marker with waterproof ink, or other methods that do not cause an indentation in the metal. For seam welds, the identification mark shall be adjacent to the weld at 3 foot intervals. Identification with die stamps or electric etchers shall not be allowed.

3.2 QUALITY CONTROL

Testing shall be done by an approved inspection or testing laboratory or technical consultant; or if approved, the Contractor's inspection and testing personnel may be used instead of the commercial inspection or testing laboratory or technical consultant. The Contractor shall perform visual and ultrasonic and magnetic particle inspection to determine conformance with paragraph STANDARDS OF ACCEPTANCE. Procedures and

techniques for inspection shall be in accordance with applicable requirements of AWS D1.1.

3.3 STANDARDS OF ACCEPTANCE

Dimensional tolerances for welded construction, details of welds, and quality of welds shall be in accordance with the applicable requirements of AWS D1.1 and the contract drawings. Nondestructive testing shall be by visual inspection and ultrasonic and magnetic particle methods. One hundred percent of all welds shall be visually inspected. A random 10% of all fillet welds shall be magnetic particle inspected. A random 20% of all full penetration welds shall be ultrasonically tested. If a rejectable defect is found in the random 20% of all full penetration welds, 100% of the full penetration welds shall be ultrasonically tested and all defects repaired.

3.3.1 Nondestructive Examination

The welding shall be subject to inspection and tests in the mill, shop, and field. Inspection and tests in the mill or shop will not relieve the Contractor of the responsibility to furnish weldments of satisfactory quality. When materials or workmanship do not conform to the specification requirements, the Government reserves the right to reject material or workmanship or both at any time before final acceptance of the structure containing the weldment.

3.3.2 Destructive Tests

When metallographic specimens are removed from any part of a structure, the Contractor shall make repairs. The Contractor shall employ qualified welders or welding operators, and shall use the proper joints and welding procedures, including peening or heat treatment if required, to develop the full strength of the members and joints cut and to relieve residual stress.

3.4 GOVERNMENT INSPECTION AND TESTING

In addition to the inspection and tests performed by the Contractor for quality control, the Government will perform inspection and testing for acceptance to the extent determined by the Contracting Officer. The costs of such inspection and testing will be borne by the Contractor if unsatisfactory welds are discovered, or by the Government if the welds are satisfactory. The work may be performed by the Government's own forces or under a separate contract for inspection and testing. The Government reserves the right to perform supplemental nondestructive and destructive tests to determine compliance with paragraph STANDARDS OF ACCEPTANCE.

3.5 CORRECTIONS AND REPAIRS

When inspection or testing indicates defects in the weld joints, the welds shall be repaired using a qualified welder or welding operator as applicable. Corrections shall be in accordance with the requirements of AWS D1.1 and the specifications. Defects shall be repaired in accordance with the approved procedures. Defects discovered between passes shall be repaired before additional weld material is deposited. Wherever a defect is removed and repair by welding is not required, the affected area shall be blended into the surrounding surface to eliminate sharp notches, crevices, or corners. After a defect is thought to have been removed, and before rewelding, the area shall be examined by suitable methods to ensure that the

defect has been eliminated. Repair welds shall meet the inspection requirements for the original welds. Any indication of a defect shall be regarded as a defect, unless reevaluation by nondestructive methods or by surface conditioning shows that no unacceptable defect is present.

SECTION 05093

WELDING PRESSURE PIPING

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)

- ASNT RP SNT-TC-1A (1996) Recommended Practice SNT-TC-1A
- ASNT Q+A Bk A (1996) Question and Answer Book A: Radiographic Test Method; Levels I, II, III (Supplement to Recommended Practice SNT-TC-1A)
- ASNT RP SNT-TC-1A Bk B (1994) Question and Answers Levels I, II, and III Magnetic Particle Method Book B (Supplement to RP SNT-TC-1A)
- ASNT Q+A Bk C (1994) Question and Answer Book C: Ultrasonic Testing Method; Levels I, II, III (Supplement to RP SNT-TC-1A)
- ASNT Q+A Bk D (1996) Question and Answer Book D: Liquid Penetrant Testing Method; Levels I, II, III (Supplement to RP SNT-TC-1A)

ASME INTERNATIONAL (ASME)

- ASME B31.1 (1998) Power Piping
- ASME B31.3 (1999) Process Piping
- ASME B31.4 (1992; B31.4a) Liquid Transportation Systems for Hydrocarbons, Liquid Petroleum Gas, Anhydrous Ammonia, and Alcohols
- ASME B31.5 (1992; B31.5a) Refrigeration Piping
- ASME B31.8 (1995) Gas Transmission and Distribution Piping Systems
- ASME BPV I (1998) Boiler and Pressure Vessel Code; Section I, Power Boilers
- ASME BPV II Pt C (1998) Boiler and Pressure Vessel Code; Section II, Materials, Part C - Specifications for Welding Rods, Electrodes and Filler Metals

ASME BPV V (1998) Boiler and Pressure Vessel Code;
Section V, Nondestructive Examination

ASME BPV IX (1998) Boiler and Pressure Vessel Code;
Section IX, Welding and Brazing
Qualifications

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4 (1998) Standard Symbols for Welding, Brazing
and Nondestructive Examination

AWS A3.0 (1994) Standard Welding Terms and Definitions

AWS B2.1 (1998) Welding Procedure and Performance
Qualification

AWS QC1 (1996) AWS Certification of Welding
Inspectors

AWS Z49.1 (1999) Safety in Welding and Cutting and
Allied Processes

1.2 DEFINITIONS

Definitions shall be in accordance with AWS A3.0.

1.3 GENERAL REQUIREMENTS

This section covers the welding of pressure piping systems. Deviations from applicable codes, approved procedures, and approved detail drawings will not be permitted without prior written approval. Materials or components with welds made offsite will not be accepted if the welding does not conform to the requirements of this specification, unless otherwise specified. Procedures shall be developed by the Contractor for welding all metals included in the work. Welding shall not be started until welding procedures, welders, and welding operators have been qualified. Qualification testing shall be performed by an approved testing laboratory, or by the Contractor if approved by the Contracting Officer. Costs of such testing shall be borne by the Contractor. The Contracting Officer shall be notified at least 24 hours in advance of the time and place of the tests. When practicable, the qualification tests shall be performed at or near the worksite. The Contractor shall maintain current records of the test results obtained in the welding procedure, welding operator, welder performance qualifications, and nondestructive examination (NDE) procedures readily available at the site for examination by the Contracting Officer. The procedures for making transition welds between different materials or between plates or pipes of different wall thicknesses shall be qualified. ASME B31.1, requirements for branch connections may be used in lieu of detailed designs. Unless otherwise specified, the choice of welding process shall be the responsibility of the Contractor.

1.4 PERFORMANCE

The Contractor shall be responsible for the quality of all joint preparation, welding, and examination. All materials used in the welding operations shall be clearly identified and recorded. The inspection and

testing defined in this specification are minimum requirements. Additional inspection and testing shall be the responsibility of the Contractor when he deems it necessary to achieve the quality required.

1.5 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Certificates

Qualifications

Welder and welding operator performance qualification certificates. Welding inspectors and NDE personnel certificates. Qualifications of testing laboratory or the Contractor's quality assurance organization.

Records

Welding Operations

Detailed procedures which define methods of compliance to contract drawings and specifications. Inspection and material procurement records. System and material testing and certification records. Written records and drawings indicating location of welds made by each welder or welding operator.

1.6 QUALIFICATIONS

Welding procedures, welders, and welding operators previously qualified by test may be accepted for the work without requalification, provided that all of the following conditions are fulfilled:

- a. Copies of the welding procedures, the procedure qualification test records, and the welder and welding operator performance qualification test records are submitted and approved in accordance with paragraph SUBMITTALS.
- b. Testing was performed by an approved testing laboratory or technical consultant or by the Contractor's approved quality assurance organization.
- c. The welding procedures, welders, and welding operators were qualified in accordance with ASME BPV IX, or AWS B2.1, AR-2 level; and base materials, filler materials, electrodes, equipment, and processes conformed to the applicable requirements of this specification.
- d. The requirements of paragraph "Renewal of Qualification" below are met and records showing name of employer and period of employment using the process for which qualified are submitted as evidence of conformance.

1.6.1 Welding Procedures Qualification

The Contractor shall record in detail and shall qualify the Welding Procedure Specifications for every proposed welding procedure. Qualification for each welding procedure shall conform to the requirements

of ASME B31.1, and to this specification. The welding procedures shall specify end preparation for butt welds including cleaning, alignment, and root openings. Preheat, interpass temperature control, and postheat treatment of welds shall be as required by approved welding procedures, unless otherwise indicated or specified. The type of backing rings or consumable inserts, if used, shall be described and if they are to be removed, the removal process shall be described. Copies of the welding procedure specifications and procedure qualification test results for each type of welding required shall be submitted in accordance with paragraph SUBMITTALS. Approval of any procedure does not relieve the Contractor of the sole responsibility for producing acceptable welds. Welding procedures shall be identified individually and shall be referenced on the detail drawings or keyed to the contract drawings.

1.6.2 Welder and Welding Operator Performance

Each welder and welding operator assigned to work shall be qualified in accordance with ASME B31.1.

1.6.2.1 Certification

Before assigning welders or welding operators to the work, the Contractor shall provide the Contracting Officer with their names together with certification that each individual is performance-qualified as specified. The certification shall state the type of welding and positions for which each is qualified, the code and procedure under which each is qualified, date qualified, and the firm and individual certifying the qualification tests.

1.6.2.2 Identification

Each particular weld shall be identified with the personal number, letter, or symbol assigned to each welder or welding operator. To identify welds, written records indicating the location of welds made by each welder or welding operator shall be submitted, and each welder or welding operator shall apply the personal mark adjacent to the welds using a rubber stamp or felt-tipped marker with permanent, weatherproof ink or other methods approved by the Contracting Officer that do not deform the metal. For seam welds, identification marks shall be placed adjacent to the welds at 3 foot intervals. Identification by die stamps or electric etchers will not be allowed.

1.6.2.3 Renewal of Qualification

Requalification of a welder or welding operator shall be required under any of the following conditions:

- a. When a welder or welding operator has not used the specific welding process for a period of 3 months; the period may be extended to 6 months if the welder or welding operator has been employed on some other welding process.
- b. When a welder or welding operator has not welded with any process during a period of 3 months, all the personal qualifications shall be considered expired, including any extended by virtue of a., above.

- c. There is specific reason to question the person's ability to make welds that will meet the requirements of the specifications.
- d. The welder or welding operator was qualified by an employer, other than those firms performing work under this contract, and a qualification test has not been taken within the preceding 12 months.
- e. Renewal of qualification for a specific welding process under conditions a., b., and d., above, needs to be made on only a single test joint or pipe of any thickness, position, or material to reestablish the welder's or welding operator's qualification for any thickness, position, or material covered under previous qualification.

1.6.3 Inspection and NDE Personnel

All inspection and NDE personnel shall be qualified in accordance with the following requirements.

1.6.3.1 Inspector Certification

Welding inspectors shall be qualified in accordance with [AWS QC1](#).

1.6.3.2 NDE Personnel

NDE personnel shall be certified, and a written procedure for the control and administration of NDE personnel training, examination, and certification shall be established. The procedures shall be based on appropriate specific and general guidelines of training and experience recommended by [ASNT RP SNT-TC-1A](#), [ASNT Q+A Bk A](#), [ASNT RP SNT-TC-1A Bk B](#) and [ASNT Q+A Bk D](#).

1.7 DELIVERY, STORAGE, AND HANDLING

All filler metals, electrodes, fluxes, and other welding materials shall be delivered to the site in manufacturers' original packages and stored in a dry space until used. Packages shall be properly labeled and designed to give maximum protection from moisture and to insure safe handling.

1.7.1 Material Control

Materials shall be stored in a controlled access and clean, dry area that is weathertight and is maintained at a temperature recommended by the manufacturer. The materials shall not be in contact with the floor and shall be stored on wooden pallets or cribbing.

1.7.1.1 Damaged Containers

Low-hydrogen steel electrodes shall be stored in their sealed shipping container. If the seal is damaged during shipment or storage, and the damage is not immediately detected, the covered electrodes in that container shall be rebaked in accordance with the manufacturer's instructions prior to issuance or shall be discarded. If a container is damaged in storage and the damage is witnessed, the electrodes from that container shall be immediately placed in a storage oven. The storage oven temperature shall be as recommended by the manufacturer or the welding material specification.

1.7.1.2 Partial Issues

When a container of covered electrodes is opened and only a portion of the content is issued, the remaining portion shall, within 1/2 hour, be placed in a storage oven.

1.7.2 Damaged Materials

Materials which are damaged shall be discarded. Covered electrodes which are oil or water-soaked, dirty, or on which the flux has separated from the wire shall be discarded.

1.8 SYMBOLS

Symbols shall be in accordance with AWS A2.4.

1.9 SAFETY

Safety precautions shall conform to AWS Z49.1.

2 PRODUCTS

2.1 WELDING MATERIALS

Welding materials shall comply with ASME BPV II Pt C. Welding equipment, electrodes, welding wire, and fluxes shall be capable of producing satisfactory welds when used by a qualified welder or welding operator using qualified welding procedures.

3 EXECUTION

3.1 WELDING OPERATIONS

Welding shall be performed in accordance with qualified procedures using qualified welders and welding operators. Welding shall not be done when the quality of the completed weld could be impaired by the prevailing working or weather conditions. The Contracting Officer shall determine when weather or working conditions are unsuitable for welding.

3.1.1 Base Metal Preparation

Oxy-fuel cutting shall not be used on austenitic stainless steel or nonferrous materials.

3.1.2 Weld Joint Fit-Up

Parts that are to be joined by welding shall be fitted, aligned, and retained in position during the welding operation by the use of bars, jacks, clamps, or other mechanical fixtures. Welded temporary attachments shall not be used except when it is impractical to use mechanical fixtures. When temporary attachments are used, they shall be the same material as the base metal, and shall be completely removed by grinding or thermal cutting after the welding operation is completed. If thermal cutting is used, the attachment shall be cut to not less than 1/4 inch from the member and the balance removed by grinding. After the temporary attachment has been removed, the area shall be visually examined.

3.1.3 Preheat and Interpass Temperatures

Preheat temperatures shall meet the requirements specified by ASME B31.1. However, in no case shall the preheat be below 50 degrees F for ferritic steel or austenitic stainless steel, or 32 degrees F for nonferrous alloys. The maximum interpass temperatures shall not exceed 300 degrees F for austenitic stainless steels, nickel alloys, and copper alloys; and 500 degrees F for carbon steels. Preheat techniques shall be such as to ensure that the full thickness of the weld joint preparation and/or adjacent base material, at least 3 inches in all directions, is at the specified temperature. Preheating by induction or resistance methods is preferred. When flame heating is used, only a neutral flame shall be employed. Oxy-fuel heating shall not be used on austenitic stainless steel or nickel-alloy materials; however, air-fuel heating is acceptable if controlled to insure that the surface temperature does not exceed 150 degrees F. Interpass temperatures shall be checked on the surface of the component within 1 inch of the weld groove and at the starting location of the next weld pass, and for a distance of about 6 inches ahead of the weld, but not on the area to be welded.

3.1.4 Production Welding Instructions

- a. Welding shall not be done when the ambient temperature is lower than 0 degree F.
- b. Welding is not permitted on surfaces that are wet or covered with ice, when snow or rain is falling on the surfaces to be welded, or during periods of high winds, unless the welders and the work are properly protected.
- c. Gases for purging and shielding shall be welding grade and shall have a dew point of minus 40 degrees F or lower.
- d. Back purges are required for austenitic stainless steels and nonferrous alloys welded from one side and shall be set up such that the flow of gas from the inlet to the outlet orifice passes across the area to be welded. The oxygen content of the gas exiting from the purge vent shall be less than 2 percent prior to welding.
- e. The purge on groove welds shall be maintained for at least three layers or 3/16 inch.
- f. Removable purge dam materials shall be made of expandable or flexible plugs, such as plexiglass, plywood (which shall be dry when used), etc. Wood dams shall be kiln-dried quality. Nonremovable purge dams and purge dam adhesives shall be made of water soluble materials. Purge dams shall not be made of polyvinyl alcohol.
- g. Any welding process which requires the use of external gas shielding shall not be done in a draft or wind unless the weld area is protected by a shelter. This shelter shall be of material and shape appropriate to reduce wind velocity in the vicinity of the weld to a maximum of 5 mph (440 fpm).

- h. Welding of low-alloy and hardenable high-alloy steels may be interrupted provided a minimum of at least 3/8 inch thickness of weld deposit or 25 percent of the weld groove is filled, whichever is greater, and the preheat temperature is maintained during the time that welding is interrupted. If the temperature falls below the minimum preheat temperature before all welding has been completed on a joint, or, where required, before post weld heat treatment, a liquid penetrant or magnetic particle examination shall be performed to insure sound deposited metal before reheating. Welding of other materials may be interrupted without restriction provided a visual inspection is performed before welding is resumed.
- i. Tack welds to be incorporated in the final welds shall have their ends tapered by grinding or welding technique. Tack welds that are cracked or defective shall be removed and the groove shall be retacked prior to welding. Temporary tack welds shall be removed, the surface ground smooth, and visually inspected. For low-alloy and hardenable high-alloy steels, the area shall be magnetic particle examination inspected.
- j. When joining ferritic steel pressure piping components to austenitic stainless steel pressure piping components and postweld heat treatment is required, the following requirements apply:
 - (1) The weld-end preps of ferritic steel components, which are to be welded to austenitic stainless steel, shall be buttered with one of the following weld filler metals and shall conform to the specified requirements:
 - ASME BPV II Pt C, SFA 5.14, Classification ERNiCr-3.
 - ASME BPV II Pt C, SFA 5.11, Classification ENiCrFe-2.
 - (2) The ferritic steel weld-end prep shall be buttered, receive a postweld heat treatment as required by ASME B31.1, and then be machined with the applicable weld-end preparation. After machining, the buttered layer shall be a minimum of 1/4 inch thick.
 - (3) Pressure piping transition joints shall be completed using ERNiCr-3 or ENiCrFe-2 weld filler metals. No further postweld heat treatment shall be performed.
- k. When joining ferritic steel pressure piping components to austenitic stainless steel pressure piping components and postweld heat treatment is not required, prepare and weld the joint using either ERNiCr-3 or ENiCrFe-2 filler metals. For service temperatures of 200 degrees F or less, stainless filler metal 309 ASME BPV II Pt C, SFA 5.4 or 5.9 is permissible in lieu of the nickel-based alloys.
- l. Grinding of completed welds is to be performed only to the extent required for NDE, including any inservice examination, and to provide weld reinforcement within the requirements of ASME B31.1. If the surface of the weld requires grinding, reducing the weld or base material below the minimum required thickness shall be

avoided. Minimum weld external reinforcement shall be flush between external surfaces.

3.1.5 Postweld Heat Treatment

Postweld heat treatment shall be performed in accordance with ASME B31.1. Temperatures for local postweld heat treatment shall be measured continuously by thermocouples in contact with the weldment.

Postweld heat treatment of low-alloy steels, when required, shall be performed immediately upon completion of welding and prior to the temperature of the weld falling below the preheat temperature. However, postweld heat treatment may be postponed after the completion of the weld, if, immediately after the weld is completed, it is maintained at a minimum temperature of 300 degrees F or the preheat temperature, whichever is greater, for 2 hours per inch of weld thickness.

For low-alloy steels, the cooling rates shall be such that temper embrittlement is avoided.

3.2 EXAMINATIONS, INSPECTIONS, AND TESTS

Visual and NDE shall be performed by the Contractor to detect surface and internal discontinuities in completed welds. All tack welds, weld passes, and completed welds shall be visually inspected. In addition, magnetic particle or liquid penetrant examination shall be performed on root passes. Radiographic, Liquid penetrant or Magnetic particle examination shall be required as indicated in TABLE I. When inspection and testing indicates defects in a weld joint, the weld shall be repaired by a qualified welder in accordance with paragraph CORRECTIONS AND REPAIRS.

TABLE I. MANDATORY MINIMUM NONDESTRUCTIVE EXAMINATIONS

Type Weld	Piping Service Conditions and Nondestructive Test		
	Temperatures over 750 degrees F and at all pressures.	Temperatures between 350 degrees F and 750 degrees F inclusive and at pressures above 1,025 psig.	All others.
Butt Welds (Girth and Longitudinal)	RT for NPS over 2 inch MT or PT for NPS 2 inches and less.	RT for over 2 inch NPS with thickness over 3/4 inch. Visual for all sizes with thickness 3/4 inch or less.	Visual for all sizes and thicknesses.
Welded Branch Connections (Size indicated is branch size)	RT for NPS over 4 inch MT or PT for NPS 4 inches and less.	RT for branch over 4 inch NPS and thickness of branch over 3/4	Visual for all sizes and thicknesses.

welds shall be removed and rewelded, or the rejected welds shall be 100 percent inspected and all defective weld areas removed and rewelded.

3.2.2 Visual Inspection

Weld joints shall be inspected visually as follows:

- a. Before welding - for compliance with requirements for joint preparation, placement of backing rings or consumable inserts, alignment and fit-up, and cleanliness.
- b. During welding - for cracks and conformance to the qualified welding procedure.
- c. After welding - for cracks, contour and finish, bead reinforcement, undercutting, overlap, and size of fillet welds.

3.2.3 NDE Testing

NDE shall be in accordance with written procedures. Procedures for radiographic, liquid penetrant or magnetic particle tests and methods shall conform to ASME BPV V. The approved procedure shall be demonstrated to the satisfaction of the Contracting Officer. In addition to the information required in ASME BPV V, the written procedures shall include the timing of the NDE in relation to the welding operations and safety precautions.

3.2.4 Inspection and Tests by the Government

The Government will perform inspection and supplemental nondestructive or destructive tests as deemed necessary. The cost of supplemental NDE will be borne by the Government. The correction and repair of defects and the reexamination of weld repairs shall be performed by the Contractor at no additional cost to the Government. Inspection and tests will be performed as required for visual inspection and NDE, except that destructive tests may be required also. When destructive tests are ordered by the Contracting Officer and performed by the Contractor and the specimens or other supplemental examinations indicate that the materials and workmanship do not conform to the contract requirements, the cost of the tests, corrections, and repairs shall be borne by the Contractor. When the specimens or other supplemental examinations of destructive tests indicate that materials or workmanship do conform to the specification requirements, the cost of the tests and repairs will be borne by the Government. When destructive tests are made, repairs shall be made by qualified welders or welding operators using welding procedures which will develop the full strength of the members cut. Welding shall be subject to inspection and tests in the mill, shop, and field. When materials or workmanship do not conform to the specification requirements, the work may be rejected at any time before final acceptance of the system containing the weldment.

3.3 ACCEPTANCE STANDARDS

3.3.1 Visual

The following indications are unacceptable:

- a. Cracks.

- b. Undercut on surface which is greater than 1/32 inch deep.
- c. Weld reinforcement greater than 3/16 inch.
- d. Lack of fusion on surface.
- e. Incomplete penetration (applies only when inside surface is readily accessible).
- f. Convexity of fillet weld surface greater than 10 percent of longest leg plus 0.03 inch.
- g. Concavity in groove welds.
- h. Concavity in fillet welds greater than 1/16 inch.
- i. Fillet weld size less than indicated or greater than 1-1/4 times the minimum indicated fillet leg length.

3.3.2 Magnetic Particle Examination

The following relevant indications are unacceptable:

- a. Any cracks and linear indications.
- b. Rounded indications with dimensions greater than 3/16 inch.
- c. Four or more rounded indications in a line separated by 1/16 inch or less edge-to-edge.
- d. Ten or more rounded indications in any 6 square inches of surface with the major dimension of this area not to exceed 6 inches with the area taken in the most unfavorable location relative to the indications being evaluated.

3.3.3 Liquid Penetrant Examination

Indications with major dimensions greater than 1/16 of an inch shall be considered relevant. The following relevant indications are unacceptable:

- a. Any cracks or linear indications.
- b. Rounded indications with dimensions greater than 3/16 inch.
- c. Four or more rounded indications in a line separated by 1/16 inch or less edge-to-edge.
- d. Ten or more rounded indications in any 6 square inches of surface with the major dimension of this area not to exceed 6 inches with the area taken in the most unfavorable location relative to the indications being evaluated.

3.3.4 Radiography

Welds that are shown by radiography to have any of the following discontinuities are unacceptable:

- a. Porosity in excess of that shown as acceptable in ASME BPV I, Appendix A-250.
- b. Any type of crack or zone of incomplete fusion or penetration.
- c. Any other elongated indication which has a length greater than:
 - (1) 1/4 inch for t up to 3/4 inch inclusive, where t is the thickness of the thinner portion of the weld.
 - (2) 1/3 t for t from 3/4 inch to 2-1/4 inch, inclusive.
 - (3) 3/4 inch for t over 2-1/4 inch.
- d. Any group of indications in line that have an aggregate length greater than t in a length of 12t, except where the distance between the successive indications exceeds 6L where L is the longest indication in the group.

Where t pertains to the thickness of the weld being examined; if a weld joins two members having different thickness at the weld, t is the thinner of these two thicknesses.

3.4 CORRECTIONS AND REPAIRS

Defects shall be removed and repaired as specified in ASME B31.1, unless otherwise specified. Disqualifying defects discovered between weld passes shall be repaired before additional weld material is deposited. Wherever a defect is removed, and repair by welding is not required, the affected area shall be blended into the surrounding surface eliminating sharp notches, crevices, or corners. After defect removal is complete and before rewelding, the area shall be examined by the same test method which first revealed the defect to ensure that the defect has been eliminated. After rewelding, the repaired area shall be reexamined by the same test method originally used for that area. Any indication of a defect shall be regarded as a defect unless reevaluation by NDE or by surface conditioning shows that no disqualifying defects are present. The use of any foreign material to mask, fill in, seal, or disguise welding defects will not be permitted.

SECTION 05120

STRUCTURAL STEEL

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC FCD	(1995a) Quality Certification Program Description
AISC ASD Manual	(1989) Manual of Steel Construction Allowable Stress Design
AISC ASD/LRFD Vol II	(1992) Manual of Steel Construction Vol II: Connections
AISC Design Guide No. 10	(1989) Erection Bracing of Low-Rise Structural Steel Frames
AISC LRFD Vol I	(1995) Manual of Steel Construction Load & Resistance Factor Design, Vol I: Structural Members, Specifications & Codes
AISC LRFD Vol II	(1995) Manual of Steel Construction Load & Resistance Factor Design, Vol II: Structural Members, Specifications & Codes
AISC Pub No. S303	(1992) Code of Standard Practice for Steel Buildings and Bridges

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 6/A 6M	(1998a) General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling
ASTM A 36/A 36M	(1997a) Carbon Structural Steel
ASTM A 53	(1999) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 242/A 242M	(1998) High-Strength Low-Alloy Structural Steel
ASTM A 307	(1997) Carbon Steel Bolts and Studs, 60 000 PSI Tensile Strength
ASTM A 325	(1997) Structural Bolts, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength

ASTM A 325M	(1997) High-Strength Bolts for Structural Steel Joints (Metric)
ASTM A 490	(1997) Heat-Treated Steel Structural Bolts, 150 ksi Minimum Tensile Strength
ASTM A 490M	(1993) High-Strength Steel Bolts, Classes 10.9 and 10.9.3, for Structural Steel Joints (Metric)
ASTM A 500	(1999) Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A 501	(1999) Hot-Formed Welded and Seamless Carbon Steel Structural Tubing
ASTM A 502	(1993) Steel Structural Rivets
ASTM A 514/A 514M	(1994a) High-Yield-Strength, Quenched and Tempered Alloy Steel Plate, Suitable for Welding
ASTM A 529/A 529M	(1996) High-Strength Carbon-Manganese Steel of Structural Quality
ASTM A 563	(1997) Carbon and Alloy Steel Nuts
ASTM A 563M	(1997) Carbon and Alloy Steel Nuts (Metric)
ASTM A 572/A 572M	(1999) High-Strength Low-Alloy Columbium-Vanadium Structural Steel
ASTM A 588/A 588M	(1997) High-Strength Low-Alloy Structural Steel with 50 ksi (345 MPa) Minimum Yield Point to 4 in. (100 mm) Thick
ASTM A 618	(1999) Hot-Formed Welded and Seamless High-Strength Low-Alloy Structural Tubing
ASTM A 709/A 709M	(1997a) Carbon and High-Strength Low-Alloy Structural Steel Shapes, Plates, and Bars and Quenched-and-Tempered Alloy Structural Steel Plates for Bridges
ASTM A 852/A 852M	(1997) Quenched and Tempered Low-Alloy Structural Steel Plate with 70 ksi (485 MPa) Minimum Yield Strength to 4 in. (100 mm) Thick
ASTM A 992/A 992M	(1998e1) Steel for Structural Shapes For Use in Building Framing
ASTM F 436	(1993) Hardened Steel Washers
ASTM F 436M	(1993) Hardened Steel Washers (Metric)

- ASTM F 844 (1998) Washers, Steel, Plain (Flat), Unhardened for General Use
- ASTM F 959 (1999) Compressible-Washer-Type Direct Tension Indicators for Use with Structural Fasteners

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

- ASME B18.21.1 (1994) Lock Washers (Inch Series)
- ASME B46.1 (1995) Surface Texture (Surface Roughness, Waviness, and Lay)

AMERICAN WELDING SOCIETY (AWS)

- AWS A2.4 (1998) Standard Symbols for Welding, Brazing and Nondestructive Examination
- AWS D1.1 (1998) Structural Welding Code - Steel

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

- SSPC Paint 25 (1991) Red Iron Oxide, Zinc Oxide, Raw Linseed Oil and Alkyd Primer (without Lead and Chromate Pigments)

1.2 GENERAL REQUIREMENTS

Structural steel fabrication and erection shall be performed by an organization experienced in structural steel work of equivalent magnitude. The Contractor shall be responsible for correctness of detailing, fabrication, and for the correct fitting of structural members. Substitution of sections or modification of connection details will not be accepted unless approved by the Contracting Officer. [AISC ASD Manual](#) and [AISC ASD/LRFD Vol II](#) shall govern the work. Welding shall be in accordance with [AWS D1.1](#). High-strength bolting shall be in accordance with [AISC ASD Manual](#).

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Shop Drawings

Structural Steel System. Structural Connections.

Shop and erection details including members (with their connections) not shown on the contract drawings. Welds shall be indicated by standard welding symbols in accordance with [AWS A2.4](#).

Welder Qualifications.

Certified copies of welder qualifications test records showing qualification in accordance with [AWS D1.1](#).

1.4 STORAGE

Material shall be stored out of contact with the ground in such manner and location as will minimize deterioration.

2 PRODUCTS

2.1 STRUCTURAL STEEL

2.1.1 Carbon Grade Steel

Carbon grade steel shall conform to [ASTM A 36](#).

2.1.2 Structural Shapes for Use in Building Framing

Wide flange shapes in accordance with [ASTM A 992](#) shall be used where indicated on the drawings.

2.2 STRUCTURAL TUBING

Structural tubing shall conform to [ASTM A 500](#), Grade B.

2.3 STEEL PIPE

Steel pipe shall conform to [ASTM A 53](#), Type E, Grade B.

2.4 HIGH STRENGTH BOLTS AND NUTS

High strength bolts shall conform to [ASTM A 325](#), Type 1 with carbon steel nuts conforming to [ASTM A 563](#), Grade DH.

2.5 CARBON STEEL BOLTS AND NUTS

Carbon steel bolts shall conform to [ASTM A 307](#), Grade A with carbon steel nuts conforming to [ASTM A 563](#), Grade A.

2.6 NUTS DIMENSIONAL STYLE

Carbon steel nuts shall be Hex style when used with [ASTM A 307](#) bolts or Heavy Hex style when used with [ASTM A 325](#).

2.7 WASHERS

Plain washers shall conform to [ASTM F 844](#). Other types, when required, shall conform to [ASTM F 436](#).

2.8 PAINT

Paint shall conform to [SSPC Paint 25](#).

3 EXECUTION

3.1 FABRICATION

Fabrication shall be in accordance with the applicable provisions of [AISC ASD Manual](#). Fabrication and assembly shall be done in the shop to the greatest extent possible. Compression joints depending on contact bearing

shall have a surface roughness not in excess of 500 micro inches as determined by ASME B46.1, and ends shall be square within the tolerances for milled ends specified in ASTM A 6/A 6M. Structural steelwork, except surfaces of steel, to be field welded shall be prepared for painting and primed with the specified paint.

3.2 ERECTION

- a: Erection of structural steel shall be in accordance with the applicable provisions of AISC ASD Manual

3.2.1 Structural Connections

Anchor bolts and other connections between the structural steel and foundations shall be provided and shall be properly located and built into connecting work. Field welded structural connections shall be completed before load is applied.

3.2.2 Base Plates and Bearing Plates

Column base plates for columns and bearing plates for beams, girders, and similar members shall be provided. Base plates and bearing plates shall be provided with full bearing after the supported members have been plumbed and properly positioned, but prior to placing superimposed loads. Separate setting plates under column base plates will not be permitted. The area under the plate shall be damp-packed solidly with bedding mortar, except where nonshrink grout is indicated on the drawings.

3.2.3 Field Priming

After erection, the field bolt heads and nuts, field welds, and any abrasions in the shop coat shall be cleaned and primed with paint of the same quality as that used for the shop coat.

3.3 WELDING

The contractor shall develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Prequalified procedures may be submitted for information only; however, procedures that are not prequalified shall be submitted for approval.

SECTION 05500

MISCELLANEOUS METAL

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ALUMINUM ASSOCIATION (AA)

AA DAF-45 (1980; R 1993) Designation System for Aluminum Finishes

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A14.3 (1992) Ladders - Fixed - Safety Requirements

ANSI MH28.1 (1982) Design, Testing, Utilization, and Application of Industrial Grade Steel Shelving

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36 (1996) Carbon Structural Steel

ASTM A 53 (1996) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A 123 (1989a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A 283 (1993a) Low and Intermediate Tensile Strength Carbon Steel Plates

ASTM A 467 (1993) Machine and Coil Chain

ASTM A 475 (1995) Zinc-Coated Steel Wire Strand

ASTM A 500 (1993) Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes

ASTM A 653 (1996) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process

ASTM A 924 (1996a) Steel Sheet, Metallic-Coated by the Hot-Dip Process

ASTM B 26 (1996a) Aluminum-Alloy Sand Castings

ASTM B 221 (1996) Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Shapes, and Tubes

- ASTM B 429** (1995) Aluminum-Alloy Extruded Structural Pipe and Tube
- ASTM D 2047** (1993) Static Coefficient of Friction of Polish-Coated Floor Surfaces as Measured by the James Machine
- ASTM F 1267** (1991) Metal, Expanded, Steel
- AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
- ASCE 7** (1995) Minimum Design Loads for Buildings and Other Structures
- AMERICAN WELDING SOCIETY (AWS)
- AWS D1.1** (1994) Structural Welding Code - Steel
- COMMERCIAL ITEM DESCRIPTIONS (CID)
- CID A-A-344** (Rev B) Lacquer, Clear Gloss, Exterior, Interior
- NATIONAL ASSOCIATION OF ARCHITECTURAL METAL MANUFACTURERS (NAAMM)
- NAAMM MBG 531** (1993) Metal Bar Grating Manual
- NAAMM MBG 532** (1988) Heavy Duty Metal Bar Grating Manual
- NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
- NFPA 211** (1992) Chimneys, Fireplaces, Vents and Solid Fuel-Burning Appliances

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Drawings

Miscellaneous Metal Items.

Detail drawings indicating material thickness, type, grade, and class; dimensions; and construction details. Drawings shall include catalog cuts, erection details, manufacturer's descriptive data and installation instructions, and templates.

1.3 GENERAL REQUIREMENTS

The Contractor shall verify all measurements and shall take all field measurements necessary before fabrication. Welding to or on structural steel shall be in accordance with **AWS D1.1**. Items specified to be galvanized, when practicable and not indicated otherwise, shall be hot-dip galvanized after fabrication. Galvanizing shall be in accordance with **ASTM A 123**, **ASTM A 653**, or **ASTM A 924**, as applicable. Exposed fastenings shall be compatible materials, shall generally match in color and finish, and

shall harmonize with the material to which fastenings are applied. Materials and parts necessary to complete each item, even though such work is not definitely shown or specified, shall be included. Poor matching of holes for fasteners shall be cause for rejection. Fastenings shall be concealed where practicable. Thickness of metal and details of assembly and supports shall provide strength and stiffness. Joints exposed to the weather shall be formed to exclude water.

1.4 DISSIMILAR MATERIALS

Where dissimilar metals are in contact, or where aluminum is in contact with concrete, mortar, masonry, wet or pressure-treated wood, or absorptive materials subject to wetting, the surfaces shall be protected with a coat of bituminous paint or asphalt varnish.

1.5 WORKMANSHIP

Miscellaneous metalwork shall be well formed to shape and size, with sharp lines and angles and true curves. Drilling and punching shall produce clean true lines and surfaces. Welding shall be continuous along the entire area of contact except where tack welding is permitted. Exposed connections of work in place shall not be tack welded. Exposed welds shall be ground smooth. Exposed surfaces of work in place shall have a smooth finish, and unless otherwise approved, exposed riveting shall be flush. Where tight fits are required, joints shall be milled. Corner joints shall be coped or mitered, well formed, and in true alignment. Work shall be accurately set to established lines and elevations and securely fastened in place. Installation shall be in accordance with manufacturer's installation instructions and approved drawings, cuts, and details.

1.6 ANCHORAGE

Anchorage shall be provided where necessary for fastening miscellaneous metal items securely in place. Anchorage not otherwise specified or indicated shall include slotted inserts made to engage with the anchors, expansion shields, and power-driven fasteners when approved for concrete; toggle bolts and through bolts for masonry; machine and carriage bolts for steel; and lag bolts and screws for wood.

1.7 SHOP PAINTING

Surfaces of ferrous metal except galvanized surfaces, shall be cleaned and shop coated with the manufacturer's standard protective coating unless otherwise specified. Surfaces of items to be embedded in concrete shall not be painted. Items to be finish painted shall be prepared according to manufacturer's recommendations or as specified.

2 PRODUCTS

2.1 PIPE GUARDS

Pipe guards shall be heavy duty steel pipe conforming to [ASTM A 53](#), Type E or S, weight STD, black finish.

2.2 FLOOR GRATINGS AND FRAMES

Carbon steel grating shall be designed in accordance with NAAMM MBG 531 to meet the indicated load requirements. Edges shall be banded with bars 1/4 inch less in height than bearing bars for grating sizes above 3/4 inch. Banding bars shall be flush with the top of bearing grating. Frames shall be of welded steel construction finished to match the grating. Floor gratings and frames shall be galvanized after fabrication.

2.3 MISCELLANEOUS

Miscellaneous plates and shapes for items that do not form a part of the structural steel framework, such as lintels, pipe supports, sill angles, miscellaneous mountings, and frames, shall be provided to complete the work.

3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

All items shall be installed at the locations shown and according to the manufacturer's recommendations. Items listed below require additional procedures as specified.

3.2 INSTALLATION OF PIPE GUARDS

Pipe guards shall be set vertically in concrete piers. Piers shall be constructed of, and the hollow cores of the pipe filled with, concrete having a compressive strength of 3000 psi.

SECTION 07133

WATERPROOFING

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 208	(1995) Cellulosic Fiber Insulating Board
ASTM C 726	(1981) Mineral Fiber and Mineral Fiber, Rigid Cellular Polyurethane Composite Roof Insulation Board
ASTM D 41	(1994) Asphalt Primer Used in Roofing, Dampproofing, and Waterproofing
ASTM D 43	(1973; R 1988) Creosote Primer Used in Roofing, Dampproofing and Waterproofing
ASTM D 173	(1997) Bitumen-Saturated Cotton Fabrics Used in Roofing and Waterproofing
ASTM D 217	(1982) Cone Penetration of Lubricating Grease
ASTM D 227	(1989) Coal-Tar-Saturated Organic Felt Used in Roofing and Waterproofing
ASTM D 449	(1979; R 1983) Asphalt Used in Dampproofing and Waterproofing
ASTM D 450	(1978; R 1984) Coal-Tar Pitch Used in Roofing, Dampproofing and Waterproofing
ASTM D 1327	(1986) Bitumen-Saturated Woven Burlap Fabrics Used in Roofing and Waterproofing
ASTM D 1668	(1986) Glass Fabrics (Woven and Treated) for Roofing and Waterproofing
ASTM D 2822	(1975; R 1988) Asphalt Roof Cement
ASTM D 4022	(1981) Coal Tar Roof Cement

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Test Reports

Groundwater Tests

Prior to beginning any waterproofing work, groundwater samples shall be collected in accordance with instructions of the waterproofing material manufacturer and sent to the manufacturer for analysis. Installation shall follow manufacturer's recommended procedures established after completion of testing.

Installation Procedures

Manufacturer's installation specifications shall be submitted and approved before delivery of materials to the site or start of work. Instructions shall specify surface preparation, the condition of surfaces for treatment, and the application and treatment of joints and openings, the acceptable range of bitumen application temperatures and the maximum temperature for holding bitumen in a heated condition.

Records

Inspection Documentation

Contractor shall submit to the Contracting Officer documentation of the following on an "as occurred" basis or as indicated below:

- a. Before beginning work, submit report documenting (1) groundwater samples were taken, sent to waterproofing materials manufacturer and test results received along with specific installation procedures and instructions; (2) materials received conform to specifications; and (3) materials received and stored are in accordance with specifications.
- b. During the work, submit reports documenting (1) surface preparation performed in accordance with specifications; (2) application of materials conform to contract requirements; and (3) installed materials are protected as required.

1.3 PRODUCT DELIVERY, STORAGE AND HANDLING

Materials shall be delivered to the site in sealed containers bearing the manufacturer's original labels. Materials shall be stored in an enclosed area free from contact with soil and weather and maintained at not less than 50 degrees F for at least 24 hours before use.

1.4 CONTRACTOR EXPERIENCE

The work shall be done by qualified, experienced installers, working under a qualified supervisor with a minimum five years' experience in this area of work or by the manufacturer's approved installer, with a minimum five years of application experience in the application of bentonite waterproofing.

2 PRODUCTS

2.1 MATERIALS

Materials shall conform to the following requirements.

2.1.1 Coal-Tar

ASTM D 450, Type II.

2.1.2 Coal-Tar Saturated Felt

ASTM D 227.

2.1.3 Fabric

ASTM D 173, ASTM D 1327, or ASTM D 1668.

2.1.4 Cement Substrate

Coal-tar base, ASTM D 4022.

2.1.5 Primer

ASTM D 43 for coal-tar pitch.

2.1.6 Insulation Board

ASTM C 208, construction grade, 1/2 inch thick, asphalt saturated or coated; ASTM C 726, 7/16 inch thick; or prefabricated membrane board 1/8 inch thick, consisting of saturated felt laminated under pressure to both sides or with felt laminated on the bottom and a fiberglass mat laminated on top with a mineral-filled asphalt core.

2.1.7 Bentonite

Bulk and Panel: Materials shall include high-swelling Wyoming-type granular bentonite containing a minimum of 90 percent of montmorillonite and a maximum of 10 percent of unaltered volcanic ash or other native sediments. Material shall meet the following requirements:

2.1.7.1 Swelling

Swelling of 2 grams of bentonite, when reduced to 100 percent passing a 100 mesh screen, shall be a minimum of 25 cubic centimeters when gradually added to a 100 ml graduate of distilled water. A minimum free swelling of 20 cubic centimeters shall be created with water samples taken from soil borings.

2.1.7.2 Active Ingredient

Hydrous silicate of alumina with approximate percentage of chemical analysis:

Silica	60.0
Alumina	20.0
Iron Oxide	5.0
Magnesia	3.0
Soda and Potash Oxides	3.0
Calcium Oxide	0.5
Molecular Water	5.5
Minor	3.0

2.1.7.3 Bentonite Panels

Panel shall contain bentonite material sealed between two layers of absorbent material. Each panel shall contain a minimum of 1 pound of bentonite per square foot evenly distributed and shall be 48 inches square by minimum 3/16 inch thick.

2.1.7.4 Bentonite Mineral-Base Jelly

Material shall meet requirements of [ASTM D 217](#) for a worked penetration range of 225 to 275 and shall contain 10 percent of controlled hydrated Wyoming-type, high-swelling bentonite by weight. Bentonite mineral-base jelly shall have a minimum pH of 8.8, contain no free water and have a maximum of 5 percent residual swell.

3 EXECUTION

3.1 PREPARATION

All surfaces shall be examined and determined to be satisfactory for receiving treatment prior to starting work. Surfaces to receive waterproofing shall be free from irregularities. Remove loose and foreign material. Remove form tie rods. Point cracks and honeycombs in concrete surfaces with cement mortar flush with adjacent concrete surfaces. Allow mortar to dry for a minimum of 72 hours prior to application of bentonite panels.

Trench walls, trench floors, valve pit walls, valve pit floor, expansion loop walls and expansion loop floor shall be waterproofed. Items that penetrate the membrane shall be installed before waterproofing is applied. Surfaces shall be smooth and swept thoroughly to remove foreign materials immediately before waterproofing is applied. Waterproofing shall be applied if frothing or bubbling occurs when hot bitumen is applied to the surface. The hot bitumen must stick tightly to the surface. When waterproofing is applied in an enclosed space, adequate ventilation shall be provided.

3.2 APPLICATION

3.2.1 Coal-Tar Application

Waterproofing shall be 5 plies of coal-tar saturated fabric applied in solid moppings of hot coal-tar. Waterproofing shall be applied when the ambient temperature is 40 degrees F or above. Coal-tar bitumen shall not be heated above 425 degrees F or above. Heating kettles and tanks shall be provided with automatic thermostatic control capable of maintaining bitumen temperature. Temperature of bitumen at time of application shall be in accordance with the bitumen manufacturer's recommendation. Temperature shall be measured with a portable thermometer at the point of application immediately before its use. Bitumen with a temperature not conforming to the manufacturer's's recommendations shall be returned to the kettle. Bitumen overheated by more than 50 degrees F for more than 1 hour shall be removed from the site.

3.2.1.1 Priming

Surfaces shall be coated uniformly with primer at the rate of not less than 1 gallon per square.

3.2.1.2 Flashing

Flashing around penetrations and projections shall be as specified in other sections of the specifications.

3.2.1.3 Membrane

Membrane shall be applied in bitumen applied uniformly at the rate of each ply of not less than 30 pounds of coal-tar per square. Fabric shall be broomed in full width into the hot bitumen to eliminate air pockets, wrinkles or similar deficiencies. Brooms shall have soft bristles and shall be discarded when bitumen buildup on the fibers prevents application of equal pressure across the broom width. Plies shall be applied shingle fashion and the fabric shall be coated thoroughly.

3.2.1.4 Final Coat

Horizontal surfaces shall be final coated using not less than 70 pounds of coal-tar per square. Vertical surfaces shall be given a final mopping of not less than 30 pounds of coal-tar over the coal-tar saturated fabric membrane per square. Bitumen shall be applied solidly over area to be covered by the fabric and shall extend beyond the edges of fabric on all sides.

3.2.1.5 Fabric Reinforcing

Reinforcing consisting of two plies of fabric and moppings of bitumen shall be provided over the membrane at corners and angles where the membrane passes through a wall over construction joints and points where the membrane may be subject to unusual stress. Where slabs abut walls, the first ply of reinforcing shall extend at least 6 inches on the slab and 8 inches on the wall. At vertical corners, the first ply shall extend at least 5 inches from the corner on each side. The second ply shall lap the first ply by at least 2 inches.

3.2.1.6 Flood Test

Prior to concealment, waterproofing shall be tested for watertightness. Leaks shall be located and the section of membrane containing the fault shall be removed and completely replaced and membrane shall be retested. Additional flood coat shall not be an acceptable method for eliminating leaks.

3.2.2 Bentonite Application

Apply a double layer of waterproofing on exterior below-grade surfaces of concrete walls where shown on the drawings. Apply waterproofing in accordance with manufacturer's printed instructions. Securely fasten panels over all construction joints and all expansion joints. Thoroughly pack all through-wall openings and penetrations with bentonite gel or granular bentonite, or both, prior to the placement of bentonite panels.

All leaks and defective areas shall be remedied before final acceptance of the work.

3.3 PROTECTION

3.3.1 Coal-Tar Application

Waterproofing against which backfill is to be placed shall be protected by a single thickness of insulation board. The insulation board shall be pressed into the final mopping while the bitumen is still hot, with edges of boards brought into moderate contact and joints staggered. Where surfaced insulation board is used, the surfaced side shall face outward. Boards shall be carefully and neatly fitted around projections and shall cover the entire surface of the waterproofing. Waterproofing not covered with insulating fiberboard shall be protected as necessary to prevent damage from subsequent building operations.

3.3.2 Bentonite Application

Provide protection to the bentonite panels during backfilling operations as recommended by the manufacturer of bentonite materials.

3.4 QUALITY CONTROL

The Contractor shall establish and maintain quality control for operations under this section to assure compliance with contract requirements and maintain records of his quality control for materials, equipment and construction operations. See SPECIAL CLAUSE entitled, "CONTRACTOR QUALITY CONTROL."

3.4.1 Manufacturer's Representative

In addition to the Contractor Quality Control requirements specified herein, the Contractor shall have a representative from the waterproofing materials manufacturer inspect the waterproofing system on a full-time basis to ensure that the installation is in accordance with contract requirements and the manufacturer's recommendations.

SECTION 07900

JOINT SEALING

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 509	(1994) Elastomeric Cellular Preformed Gasket and Sealing Material
ASTM C 570	(1995) Oil- and Resin-Base Caulking Compound for Building Construction
ASTM C 734	(1993) Low-Temperature Flexibility of Latex Sealants After Artificial Weathering
ASTM C 834	(1995) Latex Sealants
ASTM C 920	(1995) Elastomeric Joint Sealants
ASTM C 1085	(1991) Butyl Rubber-Based Solvent-Release Sealants
ASTM C 1184	(1995) Structural Silicone-Sealants
ASTM D 217	(1994) Cone Penetration of Lubricating Grease (IP50/88)
ASTM D 1056	(1991) Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D 1565	(1981; R 1990) Flexible Cellular Materials - Vinyl Chloride Polymers and Copolymers (Open-Cell Foam)
ASTM E 84	(1996a) Surface Burning Characteristics of Building Materials

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Backing. Bond-Breaker. Sealant.

Sealant.

Manufacturer's descriptive data including storage requirements, shelf life, curing time, instructions for mixing and application, and primer data (if required). A copy of the Material Safety Data Sheet shall be provided for each solvent, primer or sealant material.

1.3 ENVIRONMENTAL REQUIREMENTS

The ambient temperature shall be within the limits of 40 to 90 degrees F when the sealants are applied.

1.4 DELIVERY AND STORAGE

Materials shall be delivered to the job in the manufacturer's original unopened containers. The container label or accompanying data sheet shall include the following information as applicable: manufacturer, name of material, formula or specification number, lot number, color, date of manufacture, mixing instructions, shelf life, and curing time at the standard conditions for laboratory tests. Materials shall be handled and stored to prevent inclusion of foreign materials. Materials shall be stored at temperatures between 40 and 90 degrees F unless otherwise specified by the manufacturer.

2 PRODUCTS

2.1 BACKING

Backing shall be 25 to 33 percent oversize for closed cell and 40 to 50 percent oversize for open cell material, unless otherwise indicated.

2.1.1 Rubber

Cellular rubber sponge backing shall be [ASTM D 1056](#), Type 2, closed cell, Class A, Grade 1, round cross section.

2.2 BOND-BREAKER

Bond-breaker shall be as recommended by the sealant manufacturer to prevent adhesion of the sealant to backing or to bottom of the joint.

2.3 PRIMER

Primer shall be non-staining type as recommended by sealant manufacturer for the application.

2.3.1 ELASTOMERIC

Elastomeric polyurethane sealants shall conform to [ASTM C 920](#) and the following:

- a. Above-Grade Exterior Wall Penetrations: Single-component (Type S), non-sag (Grade NS), Class 25, Use NT, M, O.
- b. Horizontal Trench Joints: Multi-component (Type M), pourable (Grade P), Class 25, Use NT, M, O.
- c. Vertical Trench Joints: Multi-component (Type M), non-sag (Grade NS), Class 25, Use NT, M, O.

- d. For interior, above-grade wall penetrations, refer to Section 07840, "FIRESTOPPING".

2.4 SOLVENTS AND CLEANING AGENTS

Solvents, cleaning agents, and accessory materials shall be provided as recommended by the manufacturer.

3 EXECUTION

3.1 GENERAL

3.1.1 Surface Preparation

The surfaces of joints to receive sealant or caulk shall be free of all frost, condensation and moisture. Oil, grease, dirt, chalk, particles of mortar, dust, loose rust, loose mill scale, and other foreign substances shall be removed from surfaces of joints to be in contact with the sealant. Oil and grease shall be removed with solvent and surfaces shall be wiped dry with clean cloths. For surface types not listed below, the sealant manufacturer shall be contacted for specific recommendations.

3.1.2 Concrete and Masonry Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, the materials shall be removed by sandblasting or wire brushing. Laitance, efflorescence and loose mortar shall be removed from the joint cavity.

3.1.3 Steel Surfaces

Steel surfaces to be in contact with sealant shall be sandblasted or, if sandblasting would not be practical or would damage adjacent finish work, the metal shall be scraped and wire brushed to remove loose mill scale. Protective coatings on steel surfaces shall be removed by sandblasting or by a solvent that leaves no residue.

3.2 APPLICATION

3.2.1 Backing

Backing shall be installed to provide the indicated sealant depth. The installation tool shall be shaped to avoid puncturing the backing.

3.2.2 Bond-Breaker

Bond-breaker shall be applied to fully cover the bottom of the joint without contaminating the sides where sealant adhesion is required.

3.2.3 Primer

Primer shall be used on concrete masonry units, wood, or other porous surfaces in accordance with instructions furnished with the sealant. Primer shall be applied to the joint surfaces to be sealed. Surfaces adjacent to joints shall not be primed.

3.2.4 Sealant

Sealant shall be used before expiration of shelf life. Multi-component sealants shall be mixed according to manufacturer's printed instructions. Sealant in guns shall be applied with a nozzle of proper size to fit the width of joint. Joints shall be sealed as detailed in the drawings. Sealant shall be forced into joints with sufficient pressure to expel air and fill the groove solidly. Sealant shall be installed to the indicated depth without displacing the backing. Unless otherwise indicated, specified, or recommended by the manufacturer, the installed sealant shall be dry tooled to produce a uniformly smooth surface free of wrinkles and to ensure full adhesion to the sides of the joint; the use of solvents, soapy water, etc., will not be allowed. Sealants shall be installed free of air pockets, foreign embedded matter, ridges and sags. Sealer shall be applied over the sealant when and as specified by the sealant manufacturer.

3.3 CLEANING

The surfaces adjoining the sealed joints shall be cleaned of smears and other soiling resulting from the sealant application as work progresses.

SECTION 09900

PAINTING, GENERAL

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH Limit Values (1996) Threshold Limit Values for Chemical Substances and Physical Agents and Biological Exposure Indices

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 150 (1997) Portland Cement

ASTM D 3273 (1994) Resistance to Growth of Mold on the Surface of Interior Coating in an Environmental Chamber

ASTM D 3274 (1995) Evaluating Degree of Surface Disfigurement of Paint Films by Microbial (Fungal or Algal) Growth or Soil and Dirt Accumulation

ASTM D 4214 (1998) Evaluating Degree of Chalking of Exterior Paint Films

ASTM D 4258 (1999) Surface Cleaning Concrete for Coating

COMMERCIAL ITEM DESCRIPTIONS (CID)

CID A-A-1500 (Rev A; Notice 1) Sealer, Surface (Latex Block Filler)

CID A-A-1546 (Rev A) Rubbing Varnish

CID A-A-1632 (Basic) Varnish, Asphalt

CID A-A-1788 (Basic) Varnish, Oil: Interior

CID A-A-2246 (Rev B) Paint, Latex

CID A-A-2247 (Basic) Paint, Latex (Semigloss, Interior)

CID A-A-2248 (Basic) Paint, Latex, (Flat, Interior)

CID A-A-2335 (Basic) Sealer, Surface (Varnish Type, Wood and Cork Floors)

CID A-A-2336 (Rev A) Primer Coating (Alkyd, Exterior Wood, White and Tints)

CID A-A-2339 (Basic) Stain (Wood, Solvent-Dye Type)

CID A-A-2542 (Basic) Sealer, Terrazzo and Concrete Floors, Waterbased

CID A-A-2834 (Basic) Urethane, Waterborne (Low VOC, Clear)

CID A-A-2867 (Basic) Coating, Polyurethane, Single Component Moisture Cure, Aliphatic

CID A-A-2962 (Rev A) Enamel, Alkyd (Metric)

CID A-A-2994 (Basic) Primer Coating, Interior, for Walls and Wood

FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1 (Rev J) Obstruction Marking and Lighting

FEDERAL SPECIFICATIONS (FS)

FS TT-C-542 (Rev E) Coating, Polyurethane, Oil-Free, Moisture Curing

FS TT-C-555 (Rev B; Am 1) Coating, Textured (for Interior and Exterior Masonry Surfaces)

FS TT-E-2784 (Rev A) Enamel (Acrylic-Emulsion, Exterior Gloss and Semigloss) (Metric)

FS TT-P-28 (Rev G; Notice 1) Paint, Aluminum, Heat Resisting (1200 Degrees F.)

FS TT-S-708 (Rev A; Am 2; Notice 1) Stain, Oil; Semi-Transparent, Wood, Exterior

FS TT-S-001992 (Basic; Notice 1) Stain, Latex, Exterior for Wood Surfaces

MAPLE FLOORING MANUFACTURERS ASSOCIATION (MFMA)

MFMA-03 (1997) Floor Sealer and Finish List and Specifications for Heavy Duty and Gymnasium Sealers and Finishes for Maple, Beech and Birch Floors: MFMA Floor Finish List Number 16

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC Paint 5 (1995) Zinc Dust, Zinc Oxide and Phenolic Varnish Paint

SSPC Paint 18	(1991) Chlorinated Rubber Intermediate Coat Paint
SSPC Paint 20	(1991) Zinc-Rich Primers (Type I - Inorganic and Type II - Organic)
SSPC Paint 23	(1982) Latex Primer for Steel surfaces
SSPC Paint 25	(1991) Red Iron Oxide, Zinc Oxide, Raw Linseed Oil and Alkyd Primer (Without Lead and Chromate Pigments)
SSPC SP 1	(1982) Solvent Cleaning
SSPC SP 2	(1995) Hand Tool Cleaning
SSPC SP 3	(1995) Power Tool Cleaning
SSPC SP 6/NACE 3	(1994) Commercial Blast Cleaning
SSPC SP 7/NACE 4	(1994) Brush-Off Blast Cleaning

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Product Data

Paint.

Mixing and Thinning. Application.

Manufacturer's current printed product description, material safety data sheets (MSDS) and technical data sheets for each coating system. Detailed mixing, thinning and application instructions, minimum and maximum application temperature, and curing and drying times between coats for epoxy, moisture-curing polyurethane, and liquid glaze coatings. Detailed application instructions for textured coatings shall be provided.

1.3 PACKAGING, LABELING, AND STORING

Paints shall be in sealed containers that legibly show the designated name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name of manufacturer. Pigmented paints shall be furnished in containers not larger than 5 gallons. Paints and thinner shall be stored in accordance with the manufacturer's written directions and as a minimum stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors and at temperatures between 40 and 95 degrees F. Paints shall be stored on the project site or segregated at the source of supply sufficiently in advance of need to allow 30 days for testing.

1.4 ENVIRONMENTAL CONDITIONS

Unless otherwise recommended by the paint manufacturer, the ambient temperature shall be between 45 and 95 degrees F when applying coatings

other than water-thinned, epoxy, and moisture-curing polyurethane coatings. Water-thinned coatings shall be applied only when ambient temperature is between 50 and 90 degrees F. Epoxy, and moisture-curing polyurethane coatings shall be applied only within the minimum and maximum temperatures recommended by the coating manufacturer.

1.5 SAFETY AND HEALTH

Work shall comply with applicable Federal, State, and local laws and regulations.

1.5.1 Coordination

Work shall be coordinated to minimize exposure of building occupants, other Contractor personnel, and visitors to mists and odors from preparation, painting and clean-up operations.

2 PRODUCTS

2.1 PAINT

The term "paint" as used herein includes emulsions, enamels, paints, stains, varnishes, sealers, cement-emulsion filler, and other coatings, whether used as prime, intermediate, or finish coat. Paint shall conform to the requirements listed in the painting schedules at the end of this section, except when the required amount of a material of a particular batch is 50 gallons or less, an approved first-line proprietary paint material with similar intended formulation, usage and color to that specified may be used. Additional requirements are as follows:

2.1.1 Colors and Tints

Colors shall be as selected from manufacturer's standard colors, as indicated. Manufacturer's standard color is for identification of color only. Tinting of epoxy and urethane paints shall be done by the manufacturer. Stains shall conform in shade to manufacturer's standard color. The color of the undercoats shall vary slightly from the color of the next coat.

2.1.2 Mildewcide and Insecticide

Paint specified for all coats applied to fabrics and vapor barrier jackets over insulation and surfaces in trench shall contain a mildewcide that will not adversely affect the color, texture, or durability of the coating. The mildewcide shall be incorporated into the paint by the manufacturer and shall attain a surface disfigurement rating of 8 or greater when tested in accordance with [ASTM D 3273](#) and evaluated in accordance with [ASTM D 3274](#). Mercurial mildewcide shall not be used in interior paint. Insecticides shall not be used in paint.

2.1.3 Lead

Paints containing lead in excess of 0.06 percent by weight of the total nonvolatile content (calculated as lead metal) shall not be used.

2.1.4 Chromium

Paints containing zinc chromate or strontium chromate pigments shall not be used.

2.1.5 Volatile Organic Compound (VOC) Content

Paints shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards and shall conform to the restrictions of the local air pollution control authority.

3 EXECUTION

3.1 PROTECTION OF AREAS NOT TO BE PAINTED

Items not to be painted which are in contact with or adjacent to painted surfaces shall be removed or protected prior to surface preparation and painting operations. Items removed prior to painting shall be replaced when painting is completed. Following completion of painting, workmen skilled in the trades involved shall reinstall removed items. Surfaces contaminated by coating materials shall be restored to original condition.

3.2 SURFACE PREPARATION

Surfaces to be painted shall be clean and free of foreign matter before application of paint or surface treatments. Oil and grease shall be removed prior to mechanical cleaning. Cleaning shall be programmed so that dust and other contaminants will not fall on wet, newly painted surfaces. Exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, shall be spot-primed with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

3.2.1 Ferrous Surfaces

Ferrous surfaces including those that have been shop-coated, shall be solvent-cleaned or detergent-washed in accordance with **SSPC SP 1**. Surfaces that contain loose rust, loose mill scale, and other foreign substances shall be cleaned mechanically with hand tools according to **SSPC SP 2**, power tools according to **SSPC SP 3** or by sandblasting according to **SSPC SP 7/NACE 4**. Shop-coated ferrous surfaces shall be protected from corrosion by treating and touching up corroded areas immediately upon detection.

3.2.2 Nonferrous Metallic Surfaces

Galvanized, aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces shall be solvent-cleaned or detergent-washed in accordance with **SSPC SP 1**.

3.3 MIXING AND THINNING

When thinning is approved as necessary to suit surface, temperature, weather conditions, or application methods, paints may be thinned in accordance with the manufacturer's directions. When thinning is allowed, paints shall be thinned immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner shall not relieve the Contractor from obtaining complete hiding, full film thickness, or required

gloss. Thinning shall not cause the paint to exceed limits on volatile organic compounds. Paints of different manufacturers shall not be mixed.

3.4 APPLICATION

Painting practices shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. At the time of application, paint shall show no signs of deterioration. Uniform suspension of pigments shall be maintained during application. Each coat of paint shall be applied so dry film shall be of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Hiding shall be complete. Rollers for applying paints and enamels shall be of a type designed for the coating to be applied and the surface to be coated. Special attention shall be given to insure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces. Paints, except water-thinned types, shall be applied only to surfaces that are completely free of moisture as determined by sight or touch.

3.4.1 Ventilation

Affected areas shall be ventilated during paint application so that workers exposure to chemical substances shall not exceed limits as established by [ACGIH Limit Values](#), or as required by a more stringent applicable regulation. Interior work zones having a volume of 10,000 cubic feet or less shall be ventilated at a minimum of 2 air exchanges per hour. Ventilation in larger work zones shall be maintained by means of mechanical exhaust. Solvent vapors shall be exhausted outdoors, away from air intakes and workers. Return air inlets in the work zone shall be temporarily sealed before start of work until the coatings have dried.

3.4.2 Respirators

Operators and personnel in the vicinity of operating paint sprayers shall wear respirators.

3.4.3 Timing

Surfaces that have been cleaned, pretreated, and otherwise prepared for painting shall be given a coat of the specified first coat as soon as practical after such pretreatment has been completed, but prior to any deterioration of the prepared surface. Sufficient time shall elapse between successive coats to permit proper drying. This period shall be modified as necessary to suit weather conditions. Oil-based or oleoresinous solvent-type paints shall be considered dry for recoating when the paint feels firm, does not deform or feel sticky under moderate pressure of the thumb, and the application of another coat of paint does not cause the undercoat to lift or lose adhesion.

3.4.4 Ferrous-Metal Primer

Primer for ferrous-metal shall be applied to ferrous surfaces to receive paint other than asphalt varnish prior to deterioration of the prepared surface. The semitransparent film applied to some pipes and tubing at the

mill is not to be considered a shop coat, but shall be overcoated with the specified ferrous-metal primer prior to application of finish coats.

3.5 PIPE COLOR CODE MARKING

Pipes in exposed areas and in accessible pipe spaces shall be provided with color band and titles adjacent to all valves, except those provided at plumbing fixtures, at not more than 40 foot spacing on straight pipe runs, adjacent to change in direction, and on both sides where pipes pass through walls or floors. Color code marking shall be of the color listed in TABLE I and the size listed in TABLE II. The arrows shall be installed adjacent to each band to indicate the direction of flow in the pipe. The legends shall be printed in upper-case black letters as listed in TABLE I. Letter sizes shall be as listed in TABLE II. Marking shall be painted or applied using colored, pressure-sensitive adhesive markers of standard manufacture. Paint shall be as specified for insulated and uninsulated piping.

TABLE I. COLOR CODES FOR MARKING PIPE

Material	Band	Letters and Arrow*	Legend
Cold water (potable)	Blue	White	POTABLE WATER
Fire protection water	Red	White	FIRE PR. WATER
Fire Sprinkler Water	Red	White	FIRE SPR. WATER
Hot water (domestic)	Green	White	H.W.
Hot water recirculating (domestic)	Green	White	H.W.C.
High temp. water supply	Yellow	Black	H.T.W.S.
High temp. water return	Yellow	Black	H.T.W.R.
Low temp. water supply (heating)	Yellow	Black	H.W.S.
Low temp. water return (heating)	Yellow	Black	H.W.R.
Chilled water supply	Green	White	C.H.W.S.
Chilled water return	Green	White	C.H.W.R.
Natural gas	Yellow	Black	NAT. GAS

TABLE II. COLOR CODE MARKING SIZES

Outside Diameter of Pipe Covering (Inches)	Length of Color Band (inches)	Arrow Length x Width (Inches)	Size of Legend Letters and Numerals (Inches)
Less than 1-1/2	8	8 x 2-1/4	1/2
1-1/2 to 2-3/8	8	8 x 2-1/4	3/4
2-1/2 to 7-7/8	12	8 x 2-1/4	1-1/4
8 to 10	24	12 x 4-1/2	2-1/2
Over 10	32	12 x 4-1/2	3-1/2

3.6 MISCELLANEOUS PAINTING

3.6.1 Lettering

Lettering shall be provided as scheduled on the drawings, shall be block type, and shall be black enamel. Samples shall be approved before application.

3.7 SURFACES TO BE PAINTED

Surfaces listed in the painting schedules at the end of this section shall be painted as scheduled.

3.8 CLEANING

Cloths, cotton waste and other debris that might constitute a fire hazard shall be placed in closed metal containers and removed at the end of each day. Upon completion of the work, staging, scaffolding, and containers shall be removed from the site or destroyed in an approved manner. Paint and other deposits on adjacent surfaces shall be removed and the entire job left clean and acceptable.

3.9 PAINTING SCHEDULES

The following painting schedules identify the surfaces to be painted and prescribe the paint to be used and the number of coats of paint to be applied. Contractor options are indicated by -----or----- between optional systems or coats.

EXTERIOR PAINTING SCHEDULE

<u>Surface</u>	<u>First Coat</u>	<u>Second Coat</u>	<u>Third Coat</u>
Ferrous metal unless otherwise specified, including piping and pipe supports	SSPC Paint 25	CID A-A-2962 Type I Grade C	CID A-A-2962 Type 1 Grade C
Galvanized metal.	FS TT-E-2784 Type III	FS TT-E-2784	FS TT-E-2784
Aluminum aluminum-alloy, and other non-ferrous metal (non-galvanized)	FS TT-E-2784 Type III	FS TT-E-2784	FS TT-E-2784
Ferrous Metal unless otherwise specified	SSPC Paint 25	CID A-A-2962 Type I Grade C	CID A-A-2962 Type I Grade C
Aluminum and aluminum alloy	FS TT-E-2784 Type III	FS TT-E-2784	FS TT-E-2784

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unless
otherwise
specified.

Galvanized metal: FS TT-E-2784
Type III

FS TT-E-2784

None

SECTION 13080

SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36/A 36M	(1997a) Carbon Structural Steel
ASTM A 53	(1999) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 153/A 153M	(1998) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A 307	(1997) Carbon Steel Bolts and Studs, 60,000 PSI Tensile Strength
ASTM A 325	(1997) Structural Bolts, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength
ASTM A 325M	(1997) High-Strength Bolts for Structural Steel Joints (Metric)
ASTM A 500	(1999) Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A 563	(1997) Carbon and Alloy Steel Nuts
ASTM A 572/A 572M	(1999) High-Strength Low-Alloy Columbium-Vanadium Structural Steel
ASTM A 603	(1998) Zinc-Coated Steel Structural Wire Rope
ASTM A 653/A 653M	(1999) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM E 488	(1996) Strength of Anchors in Concrete and Masonry Elements

ASME INTERNATIONAL (ASME)

ASME B18.2.1	(1996) Square and Hex Bolts and Screws (Inch Series)
ASME B18.2.2	(1987; R 1999) Square and Hex Nuts (Inch Series)

CORPS OF ENGINEERS, HUNTSVILLE CENTER (CEHNC)

TI 809-04

(1998) Seismic Design for Buildings

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

The requirements for seismic protection measures described in this section shall be applied to the mechanical equipment and systems outlined in Section 15070 SEISMIC PROTECTION FOR MECHANICAL EQUIPMENT, the electrical equipment and systems outlined in Section 16070 SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT, and the miscellaneous equipment and systems listed below. Seismic protection requirements shall be in accordance with TI 809-04 and additional data furnished by the Contracting Officer, and shall be provided in addition to any other requirements called for in other sections of these specifications. The design for seismic protection shall be based on a Seismic Use Group I building occupancy and on site response coefficients for $S_{MS} = 0.53$ and $S_{M1} = 0.33$. Resistance to lateral forces induced by earthquakes shall be accomplished without consideration of friction resulting from gravity loads. The basic force formulas, for Ground Motions A and B in Chapter 3 of TI 809-04, use the design spectral response acceleration parameters for the performance objective of the building, not for equipment in the building; therefore, corresponding adjustments to the formulas shall be required.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Bracing. Equipment Requirements.

Copies of the design calculations with the detail drawings. Calculations shall be stamped by a registered engineer and shall verify the capability of structural members to which bracing is attached for carrying the load from the brace.

Drawings

Bracing. Resilient Vibration Isolation Devices. Equipment Requirements.

Detail drawings along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals shall be complete in detail; shall indicate thickness, type, grade, class of metal, and dimensions; and shall show construction details, reinforcement, anchorage, and installation with relation to the building construction. For equipment and systems in buildings that have a performance objective higher than life-safety, the drawings shall be stamped by the registered engineer who stamps the calculations required above.

1.4 EQUIPMENT REQUIREMENTS

2 PRODUCTS

2.1 BOLTS AND NUTS

Squarehead and hexhead bolts, and heavy hexagon nuts, ASME B18.2.1, ASME B18.2.2. Bolts and nuts used underground and/or exposed to weather shall be galvanized in accordance with ASTM A 153/A 153M.

2.2 SWAY BRACING

Material used for members listed on the drawings, shall be structural steel conforming with the following:

- a. Plates, rods, and rolled shapes, ASTM A 36/A 36M. If the Contractor does the design, both ASTM A 36/A 36M and ASTM A 572/A 572M, grade 503 will be allowed.
- b. Wire rope, ASTM A 603.
- c. Tubes, ASTM A 500, Grade B.
- d. Pipes, ASTM A 53, Type E or S, Grade B.
- e. Light gauge angles, less than 1/4 inch thickness, ASTM A 653/A 653M.

3 EXECUTION

3.1 BRACING

Bracing shall conform to the arrangements shown. Trapeze-type hanger shall be secured with not less than two 1/2 inch bolts.

3.2 BUILDING DRIFT

Sway braces for a piping run shall not be attached to two dissimilar structural elements of a building that may respond differentially during an earthquake unless a flexible joint is provided.

3.3 ANCHOR BOLTS

3.3.1 Cast-In-Place

Floor or pad mounted equipment shall use cast-in-place anchor bolts, except as specified below. Two nuts shall be provided on each bolt. Anchor bolts shall conform to ASTM A 307. Anchor bolts shall have an embedded straight length equal to at least 12 times nominal diameter of the bolt. Anchor bolts that exceed the normal depth of equipment foundation piers or pads shall either extend into concrete floor or the foundation shall be increased in depth to accommodate bolt lengths.

3.3.2 Expansion or Chemically Bonded Anchors

Expansion or chemically bonded anchors shall not be used unless test data in accordance with ASTM E 488 has been provided to verify the adequacy of the specific anchor and application. Expansion or chemically bonded anchors shall not be used to resist pull-out in overhead and wall installations if the adhesive is manufactured with temperature sensitive epoxies and the

location is accessible to a building fire. Expansion and chemically bonded anchors shall be installed in accordance with the manufacturer's recommendations. The allowable forces shall be adjusted for the spacing between anchor bolts and the distance between the anchor bolt and the nearest edge, as specified by the manufacturer.

3.3.2.1 Torque Wrench Testing

Torque wrench testing shall be done on not less than 25 percent of the total installed expansion anchors and at least one anchor for every piece of equipment containing more than two anchors. The test torque shall equal the minimum required installation torque as required by the bolt manufacturer. Torque wrenches shall be calibrated at the beginning of each day the torque tests are performed. Torque wrenches shall be recalibrated for each bolt diameter whenever tests are run on bolts of various diameters. The applied torque shall be between 20 and 100 percent of wrench capacity. The test torque shall be reached within one half turn of the nut, except for 3/8 inch sleeve anchors which shall reach their torque by one quarter turn of the nut. If any anchor fails the test, similar anchors not previously tested shall be tested until 20 consecutive anchors pass. Failed anchors shall be retightened and retested to the specified torque; if the anchor still fails the test it shall be replaced.

3.4 RESILIENT VIBRATION ISOLATION DEVICES

Where the need for these devices is determined, based on the magnitude of the design seismic forces, selection of anchor bolts for vibration isolation devices and/or snubbers for equipment base and foundations shall follow the same procedure as in paragraph ANCHOR BOLTS, except that an equipment weight equal to five times the actual equipment weight shall be used.

3.4.1 Resilient and Spring-Type Vibration Devices

Vibration isolation devices shall be selected so that the maximum movement of equipment from the static deflection point shall be 0.5 inches.

3.4.2 Multidirectional Seismic Snubbers

Multidirectional seismic snubbers employing elastomeric pads shall be installed on floor- or slab-mounted equipment. These snubbers shall provide 0.25 inches free vertical and horizontal movement from the static deflection point. Snubber medium shall consist of multiple pads of cotton duct and neoprene or other suitable materials arranged around a flanged steel trunnion so both horizontal and vertical forces are resisted by the snubber medium.

3.5 SWAY BRACES FOR PIPING

Transverse sway bracing for steel and copper pipe shall be provided at intervals not to exceed those shown on the drawings. Transverse sway bracing for pipes of materials other than steel and copper shall be provided at intervals not to exceed the hanger spacing as specified in Section 15400 PLUMBING, GENERAL PURPOSE. Bracing shall consist of at least one vertical angle 2 x 2 x 16 gauge and one diagonal angle of the same size.

3.5.1 Longitudinal Sway Bracing

Longitudinal sway bracing shall be provided in accordance with Section 15070 SEISMIC CONTROL FOR MECHANICAL EQUIPMENT.

3.5.2 Anchor Rods, Angles, and Bars

Anchor rods, angles, and bars shall be bolted to either pipe clamps or pipe flanges at one end and cast-in-place concrete or masonry insert or clip angles bolted to the steel structure on the other end. Rods shall be solid metal or pipe as specified below. Anchor rods, angles, and bars shall not exceed lengths given in the tabulation below.

3.5.3 Maximum Length for Anchor Braces

Type	Size (Inches)	Maximum Length* (Feet/Inches)
Angles	1-1/2 x 1-1/2 x 1/4	4-10
	2 x 2 x 1/4	6-6
	2-1/2 x 1-1/2 x 1/4	8-0
	3 x 2-1/2 x 1/4	8-10
	3 x 3 x 1/4	9-10
Rods	3/4	3-1
	7/8	3-8
Flat Bars	1-1/2 x 1/4	1-2
	2 x 1/4	1-2
	2 x 3/8	1-9
Pipes (40S)	1	7-0
	1-1/4	9-0
	1-1/2	10-4
	2	13-1

3.5.4 Bolts

Bolts used for attachment of anchors to pipe and structure shall be not less than 1/2 inch diameter.

3.6 EQUIPMENT SWAY BRACING

3.6.1 Suspended Equipment and Light Fixtures

Equipment sway bracing shall be provided for items supported from overhead floor or roof structural systems, including light fixtures. Braces shall consist of angles, rods, wire rope, bars, or pipes arranged as shown and secured at both ends with not less than 1/2 inch bolts. Sufficient braces shall be provided for equipment to resist a horizontal force equal to 5 times the weight of equipment without exceeding safe working stress of bracing components. Details of equipment bracing shall be submitted for acceptance. In lieu of bracing with vertical supports, these items may be supported with hangers inclined at 45 degrees directed up and radially away from equipment and oriented symmetrically in 90-degree intervals on the horizontal plane, bisecting the angles of each corner of the equipment,

provided that supporting members are properly sized to support operating weight of equipment when hangers are inclined at a 45-degree angle.

3.6.2 Floor or Pad Mounted Equipment

3.6.2.1 Shear Resistance

Floor mounted equipment shall be bolted to the floor. Requirements for the number and installation of bolts to resist shear forces shall be in accordance with paragraph ANCHOR BOLTS.

3.6.2.2 Overturning Resistance

The ratio of the overturning moment from seismic forces to the resisting moment due to gravity loads shall be used to determine if overturning forces need to be considered in the sizing of anchor bolts. Calculations shall be provided to verify the adequacy of the anchor bolts for combined shear and overturning.

SECTION 15070

SEISMIC PROTECTION FOR MECHANICAL EQUIPMENT

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CORPS OF ENGINEERS, HUNTSVILLE CENTER (CEHNC)

TI 809-04 (1998) Seismic Design for Buildings

SHEET METAL & AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION
(SMACNA)

SMACNA Seismic Restraint Mnl (1991; Appx E, 1993) Seismic Restraint Manual
Guidelines for Mechanical Systems

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

The requirements for seismic protection measures described in this section shall be applied to the mechanical equipment and systems listed below. Structural requirements shall be in accordance with Section 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

1.2.2 Mechanical Equipment

Mechanical equipment to be seismically protected shall include the following items to the extent required on the drawings or in other sections of these specifications:

Boilers and furnaces	
Water Heaters	Water and Gas Piping
Expansion Air Separator Tanks	Valves and Fittings for Piping
Heat Exchangers	
Pumps with Motors	

1.2.3 Mechanical Systems

The following mechanical systems shall be installed as required on the drawings and other sections of these specifications and shall be seismically protected in accordance with this specification:

All Piping Inside the Building Except as Specifically Stated Below Under "Items Not Covered By This Section".
Fuel Piping Outside of Buildings
Heat Distribution Systems (Supply and Return) Outside of Buildings

1.2.4 Contractor Designed Bracing

The Contractor shall design the bracing in accordance with TI 809-04 and additional data furnished by the Contracting Officer. Resistance to lateral forces induced by earthquakes shall be accomplished without consideration of friction resulting from gravity loads. TI 809-04 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas shall be required. Loadings determined using TI 809-04 are based on strength design; therefore, the AISC LRFD Specifications shall be used for the design. The bracing for the following mechanical equipment and systems shall be developed by the Contractor: All.

1.2.5 Items Not Covered By This Section

1.2.5.1 Items Requiring No Seismic Restraints

Seismic restraints are not required for the following items:

- a. Gas piping less than 1 inch inside diameter.
- b. Piping in boiler and mechanical equipment rooms less than 1-1/4 inches inside diameter.
- c. All other piping less than 2-1/2 inches inside diameter.
- d. Piping suspended by individual hangers 12 inches or less in length from the top of pipe to the bottom of the supporting structural member where the hanger is attached, except as noted below.

In exemptions "d.", all hangers shall meet the length requirements. If the length requirement is exceeded by one hanger in the run, the entire run shall be braced. Interior piping not listed above shall be seismically protected in accordance with the provisions of this specification.

1.3 EQUIPMENT REQUIREMENTS

1.3.1 Rigidly Mounted Equipment

The following specific items of equipment to be furnished under this contract shall be constructed and assembled to withstand the seismic forces specified in TI 809-04, Chapter 10. For any rigid equipment which is rigidly attached on both sides of a building expansion joint, flexible joints for piping, electrical conduit, etc. that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions, shall be provided.

Boilers

1.3.2 Nonrigid or Flexibly-Mounted Equipment

The following specific items of equipment to be furnished: Boilers shall be constructed and assembled to resist a horizontal lateral force of 4 times the operating weight of the equipment at the vertical center of gravity of the equipment.

1.4 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Coupling and Bracing. Equipment Requirements.

Copies of the design calculations with the detail drawings. Calculations shall be stamped by a registered engineer and shall verify the capability of structural members to which bracing is attached for carrying the load from the brace.

Contractor Designed Bracing.

Copies of the design calculations with the drawings. Calculations shall be approved, certified, stamped and signed by a registered Professional Engineer. Calculations shall verify the capability of structural members to which bracing is attached for carrying the load from the brace.

Drawings

Detail drawings along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals shall be complete in detail; shall indicate thickness, type, grade, class of metal, and dimensions; and shall show construction details, reinforcement, anchorage, and installation with relation to the building construction.

2 PRODUCTS

2.1 FLEXIBLE COUPLINGS

Flexible couplings shall have same pressure and temperature ratings as adjoining pipe.

2.2 FLEXIBLE MECHANICAL JOINTS

- a. Mechanical couplings for steel or cast iron pipe shall be of the sleeve type and shall provide a tight flexible joint under all reasonable conditions, such as pipe movement caused by expansion, contraction, slight settling or shifting of the ground, minor variations in trench gradients, and traffic vibrations. Where permitted in other sections of these specifications, joints utilizing split-half couplings with grooved or shouldered pipe ends may be used.
- b. Sleeve-type couplings shall be used for joining plain-end pipe sections. The coupling shall consist of one steel middle ring, two steel followers, two gaskets, and necessary steel bolts and nuts to compress the gaskets.

2.3 SWAY BRACING MATERIALS

Sway bracing materials (e.g. rods, plates, rope, angles, etc.) shall be as specified in Section 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

3 EXECUTION

3.1 COUPLING AND BRACING

Coupling installation shall conform to the details shown on the drawings. Provisions of this paragraph apply to all piping within a 5 foot line around outside of building unless buried in the ground. Piping grouped for support on trapeze-type hangers shall be braced at the same intervals as determined by the smallest diameter pipe of the group. Bracing rigidly attached to pipe flanges, or similar, shall not be used where it would interfere with thermal expansion of piping.

3.2 BUILDING DRIFT

Joints capable of accommodating seismic displacements shall be provided for vertical piping between floors of the building, where pipes pass through a building seismic or expansion joint, or where rigidly supported pipes connect to equipment with vibration isolators. Horizontal piping across expansion joints shall accommodate the resultant of the drifts of each building unit in each orthogonal direction. For threaded piping, swing joints made of the same piping material shall be provided. For piping with manufactured ball joints the seismic drift shall be 0.015 feet per foot of height above the base where the seismic separation occurs; this drift value shall be used in place of the expansion given in the manufacturer's selection table.

3.3 FLEXIBLE COUPLINGS OR JOINTS

3.3.1 Building Piping

Flexible couplings or joints in building piping shall be provided at bottom of all pipe risers for pipe larger than 3-1/2 inches in diameter. Flexible couplings or joints shall be braced laterally without interfering with the action of the flexible coupling or joint. Cast iron waste and vent piping need only comply with these provisions when caulked joints are used. Flexible bell and spigot pipe joints using rubber gaskets or no-hub fittings may be used at each branch adjacent to tees and elbows for underground waste piping inside of building to satisfy these requirements.

3.3.2 Underground Piping

Underground piping and 4 inch or larger conduit, except heat distribution system, shall have flexible couplings installed where the piping enters the building. The couplings shall accommodate 1 inch of relative movement between the pipe and the building in any direction. Additional flexible couplings shall be provided where shown on the drawings.

3.4 PIPE SLEEVES

Pipe sleeves in interior non-fire rated walls shall be sized as indicated on the drawings to provide clearances that will permit differential movement of piping without the piping striking the pipe sleeve. Pipe sleeves in fire rated walls shall conform to the requirements in Section 07840 FIRESTOPPING.

3.5 SPREADERS

Spreaders shall be provided between adjacent piping runs to prevent contact during seismic activity whenever pipe or insulated pipe surfaces are less than 4 inches apart. Spreaders shall be applied at same interval as sway braces at an equal distance between the sway braces. If rack type hangers are used where the pipes are restrained from contact by mounting to the

rack, spreaders are not required for pipes mounted in the rack. Spreaders shall be applied to surface of bare pipe and over insulation on insulated pipes utilizing high-density inserts and pipe protection shields in accordance with the requirements of Section 15080 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3.6 SWAY BRACES FOR PIPING

Sway braces shall be provided to prevent movement of the pipes under seismic loading. Braces shall be provided in both the longitudinal and transverse directions, relative to the axis of the pipe. The bracing shall not interfere with thermal expansion requirements for the pipes as described in other sections of these specifications.

3.6.1 Transverse Sway Bracing

Transverse sway bracing for steel and copper pipe shall be provided as specified in Section 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT. All runs (length of pipe between end joints) shall have a minimum of two transverse braces. Transverse sway bracing for pipes of materials other than steel and copper shall be provided at intervals not to exceed the hanger spacing as specified in Section 15400 PLUMBING, GENERAL PURPOSE.

3.6.2 Longitudinal Sway Bracing

Longitudinal sway bracing shall be provided at 40 foot intervals unless otherwise indicated. All runs (length of pipe between end joints) shall have one longitudinal brace minimum. Sway braces shall be constructed in accordance with the drawings. Branch lines, walls, or floors shall not be used as sway braces.

3.6.3 Vertical Runs

Run is defined as length of pipe between end joints. Vertical runs of piping shall be braced at not more than 10 foot vertical intervals. Braces for vertical runs shall be above the center of gravity of the segment being braced. All sway braces shall be constructed in accordance with the drawings. Sway branches shall not be connected to branch lines, walls, or floors.

3.6.4 Clamps and Hangers

Clamps or hangers on uninsulated pipes shall be applied directly to pipe. Insulated piping shall have clamps or hangers applied over insulation in accordance with Section 15080 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

SECTION 15080

THERMAL INSULATION FOR MECHANICAL SYSTEMS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 167	(1996) Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A 580/A 580M	(1998) Stainless Steel Wire
ASTM B 209	(1996) Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C 195	(1995) Mineral Fiber Thermal Insulating Cement
ASTM C 449/C 449M	(1995) Mineral Fiber Hydraulic-Setting Thermal Insulating and Finishing Cement
ASTM C 518	(1998) Steady-State Heat Flux Measurements and Thermal Transmission Properties by Means of the Heat Flow Meter Apparatus
ASTM C 533	(1995) Calcium Silicate Block and Pipe Thermal Insulation
ASTM C 534	(1999) Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form
ASTM C 547	(1995) Mineral Fiber Pipe Insulation
ASTM C 552	(1991) Cellular Glass Thermal Insulation
ASTM C 553	(1992) Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications
ASTM C 610	(1995) Molded Expanded Perlite Block and Pipe thermal Insulation
ASTM C 612	(1993) Mineral Fiber Block and Board Thermal Insulation

ASTM C 647	(1995) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM C 665	(1998) Mineral-Fiber Blanket Thermal Insulation for Light Frame Construction and Manufactured Housing
ASTM C 795	(1992) Thermal Insulation for Use in Contact With Austenitic Stainless Steel
ASTM C 916	(1985; R 1996e1) Adhesives for Duct Thermal Insulation
ASTM C 920	(1998) Elastomeric Joint Sealants
ASTM C 921	(1989 R; 1996) Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM C 1126	(1998) Faced or Unfaced Rigid Cellular Phenolic Thermal Insulation
ASTM C 1136	(1995) Flexible, Low Permeance Vapor retarders for Thermal Insulation
ASTM C 1290	(1995) Flexible Fibrous Glass Blanket Insulation Used to Externally Insulate HV AC Ducts
ASTM E 84	(1998e1) Surface Burning Characteristics of Building Materials
ASTM E 96	(1995) Water Vapor Transmission of Materials

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-69	(1996) Pipe Hangers and Supports - Selection and Application
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MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds	(1993) National Commercial & Industrial Insulation Standards
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1.2 SYSTEM DESCRIPTION

Field-applied insulation and accessories on mechanical systems shall be as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Insulation of heat distribution systems and chilled water systems outside of buildings shall be as specified in Section 02552 PRE-ENGINEERED UNDERGROUND HEAT DISTRIBUTION SYSTEM, Section 02553 HEAT DISTRIBUTION SYSTEMS IN CONCRETE TRENCHES, Section 02554 ABOVEGROUND HEAT DISTRIBUTION SYSTEM, and Section 02555 PREFABRICATED UNDERGROUND HEATING/COOLING DISTRIBUTION SYSTEM. Field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS shall be furnished and installed by the Contractor.

1.3 GENERAL QUALITY CONTROL

1.3.1 Standard Products

Materials shall be the standard products of manufacturers regularly engaged in the manufacture of such products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

1.3.2 Installer's Qualifications

Qualified installers shall have successfully completed three or more similar type jobs within the last 5 years.

1.3.3 Surface Burning Characteristics

Unless otherwise specified, insulation not covered with a jacket shall have a flame spread index no higher than 75 and a smoke developed index no higher than 150. Insulation systems which are located in air plenums, in ceiling spaces, and in attic spaces shall have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Insulation materials located exterior to the building perimeter are not required to be fire-rated. Flame spread and smoke developed indexes shall be determined by **ASTM E 84**. Insulation shall be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket shall be tested as a composite material. Jackets, facings, and adhesives shall have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with **ASTM E 84**.

1.3.4 Identification of Materials

Packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval shall have manufacturer's stamp or label attached giving the name of the manufacturer and brand, and a description of the material.

1.4 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Thermal Insulation Materials.

A complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. The product number, k-value, thickness and furnished accessories for each mechanical system requiring insulation shall be included. Materials furnished under this section of the specification shall be submitted at one time.

1.5 STORAGE

Materials shall be delivered in the manufacturer's unopened containers. Materials delivered and placed in storage shall be provided with protection

from weather, humidity, dirt, dust and other contaminants. Insulation material and supplies that become dirty, dusty, wet, or otherwise contaminated may be rejected by the Contracting Officer.

2 PRODUCTS

2.1 GENERAL MATERIALS

Materials shall be compatible and shall not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either the wet or dry state. Materials to be used on stainless steel surfaces shall meet **ASTM C 795** requirements. Materials shall be asbestos free and conform to the following:

2.1.1 Adhesives

2.1.1.1 Mineral Fiber Insulation Cement

Cement shall be in accordance with **ASTM C 195**.

2.1.1.2 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. Lagging adhesives shall be nonflammable and fire-resistant and shall have a flame spread rating no higher than 25 and a smoke developed rating no higher than 50 when tested in accordance with **ASTM E 84**. Adhesive shall be pigmented white and be suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bounding fibrous glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or for attaching fibrous glass insulation to metal surfaces. Lagging adhesives shall be applied in strict accordance with the manufacturer's recommendations.

2.1.2 Contact Adhesive

Adhesive may be dispersed in a nonhalogenated organic solvent or, dispersed in a nonflammable organic solvent which shall not have a fire point below 200 degrees F. The adhesive shall not adversely affect, initially or in service, the insulation to which it is applied, nor shall it cause any corrosive effect on metal to which it is applied. Any solvent dispersing medium or volatile component of the adhesive shall have no objectionable odor and shall not contain any benzene or carbon tetrachloride. The dried adhesive shall not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The adhesive shall be nonflammable and fire resistant.

2.1.3 Caulking

ASTM C 920, Type S, Grade NS, Class 25, Use A.

2.1.4 Corner Angles

Nominal 0.016 inch aluminum 1 x 1 inch with factory applied kraft backing. Aluminum shall be **ASTM B 209**, Alloy 3003, 3105, or 5005.

2.1.5 Finishing Cement

Mineral fiber hydraulic-setting thermal insulating cement [ASTM C 449/C 449M](#). All cements that may come in contact with Austenitic stainless steel must include testing per [ASTM C 795](#).

2.1.6 Fibrous Glass Cloth and Glass Tape

Fibrous glass cloth and glass tape shall have flame spread and smoke developed ratings of no greater than 25/50 when measured in accordance with [ASTM E 84](#). Tape shall be 4 inch wide rolls.

2.1.7 Staples

Outward clinching type monel. Monel is a nickel rich alloy which has high strength, high ductility, and excellent resistance to corrosion.

2.1.8 Jackets

[ASTM C 921](#), Type I, maximum moisture vapor transmission 0.02 perms, (measured before factory application or installation), minimum puncture resistance 50 Beach units on all surfaces `<TAI OPT=DUCT INSULATION>`except concealed ductwork,`</TAI>` where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pound/inch width. [ASTM C 921](#), Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pound/inchwidth. Jackets used on insulation exposed in finished areas shall have white finish suitable for painting without sizing. Based on the application, insulation materials which require factory applied jackets are mineral fiber, cellular glass, and phenolic foam. All non-metallic jackets shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with [ASTM E 84](#).

2.1.8.1 White Vapor Retarder All Service Jacket (ASJ)

For use on `<TAI OPT=PIPE INSULATION>`hot/cold pipes`</TAI>`, `<TAI OPT=DUCT INSULATION>`ducts,`</TAI>` or `<TAI OPT=EQUIPMENT INSULATION>`equipment`</TAI>` vapor retarder jackets used on insulation exposed in finished areas shall have white finish suitable for painting without sizing.

2.1.8.2 Aluminum Jackets

Aluminum jackets shall be corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; [ASTM B 209](#), Temper H14, Temper H16, Alloy 3003, 5005, or 3105 with factory applied moisture retarder. Corrugated aluminum jacket shall not be used outdoors. `<TAI OPT=PIPE INSULATION>`Aluminum jacket securing bands shall be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter`</TAI>`. Aluminum jacket circumferential seam bands shall be 2 x 0.016 inch aluminum matching jacket material. Bands for insulation below ground shall be 3/4 x 0.020 inch) thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, the bands at the circumferential joints shall be designed by the manufacturer to seal the joints and hold the jacket in place.

2.1.8.3 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers shall have high impact strength, UV resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

2.1.9 Vapor Retarder Coating

The vapor retarder coating shall be fire and water resistant and appropriately selected for either outdoor or indoor service. Color shall be white. The water vapor permeance of the compound shall be determined according to procedure B of ASTM E 96 utilizing apparatus described in ASTM E 96. The coating shall be a nonflammable, fire resistant type. All other application and service properties shall be in accordance with ASTM C 647.

2.1.9.1 Vapor Retarder Required

ASTM C 1136, Type I, maximum moisture vapor transmission 0.02 perms, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where Type II, maximum moisture vapor transmission 0.02 perms, a minimum puncture resistance of 25 Beach units is acceptable.

2.1.9.2 Vapor Retarder Not Required

ASTM C 1136, Type III, maximum moisture vapor transmission 0.10 perms, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable.

2.1.10 Wire

Soft annealed ASTM A 580/A 580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.2 <TAI OPT=PIPE INSULATION>2.2 PIPE INSULATION MATERIALS

Pipe insulation materials shall be limited to those listed herein and shall meet the following requirements:

2.2.1 Aboveground Cold Pipeline

Insulation for minus 30 degrees to plus 60 degrees F for outdoor, indoor, exposed or concealed applications,, shall be as follows:

- a. Cellular Glass: ASTM C 552, Type II, and Type III. Supply the insulation with manufacturers recommended factory applied jacket.
- b. Flexible Cellular Insulation: ASTM C 534, Type I or II. Type II shall have vapor retarder skin on both sides of the insulation.

2.2.2 Aboveground Hot Pipeline

Insulation for above 60 degrees F, for outdoor, indoor, exposed or concealed applications shall meet the following requirements. Supply the insulation with manufacturers recommended factory applied jacket.

- a. Mineral Fiber: **ASTM C 547**, Types I, II or III, supply the insulation with manufacturers recommended factory applied jacket.
- b. Calcium Silicate: **ASTM C 533**, Type I indoor only, or outdoors above 250 degrees F pipe temperature.

2.2.3 Above Ground Dual Temperature Pipeline - Outdoor, Indoor - Exposed or Concealed

Selection of insulation for use over a dual temperature pipeline system shall be in accordance with the most limiting/restrictive case. Find an allowable material from paragraph PIPE INSULATION MATERIALS and determine the required thickness from the most restrictive case. Use the thickness listed in paragraphs INSULATION THICKNESS for cold & hot pipe applications.

2.2.4 Below ground Pipeline Insulation

For below ground pipeline insulation the following requirements shall be met.

2.2.4.1 Cellular Glass

ASTM C 552, type II.

2.3 ~~</TAI><TAI OPT=EQUIPMENT INSULATION>~~2.4 EQUIPMENT INSULATION MATERIALS

Equipment insulation materials shall be limited to those listed herein and shall meet the following requirements:

2.3.1 Cold Equipment Insulation

For temperatures below 60 degrees F.

2.3.1.1 Cellular Glass

ASTM C 552, Type I, Type III, or Type IV as required.

2.3.1.2 Flexible Cellular Insulation

ASTM C 534, Type II.

2.3.2 Hot Equipment Insulation

For temperatures above 60 degrees F.

2.3.2.1 Rigid Mineral Fiber

ASTM C 612, Type IA, IB, II, III, IV, or V as required for temperature encountered to 1800 degrees F.

2.3.2.2 Flexible Mineral Fiber

ASTM C 553, Type I, II, III, IV, V, VI or VII as required for temperature encountered to 1200 degrees F.

2.3.2.3 Calcium Silicate

ASTM C 533, Type I, indoors only, or outdoors above 250 degrees F. Pipe shape may be used on diesel engine exhaust piping and mufflers to 1200 degrees F.

2.3.2.4 Cellular Glass

ASTM C 552, Type I, Type III, or Type IV as required.

</TAI>PART 3 EXECUTION

2.4 APPLICATION - GENERAL

2.4.1 Installation

Except as otherwise specified, material shall be installed in accordance with the manufacturer's written instructions. Insulation materials shall not be applied until tests specified in other sections of this specification are completed. Material such as rust, scale, dirt and moisture shall be removed from surfaces to receive insulation. Insulation shall be kept clean and dry. Insulation shall not be removed from its shipping containers until the day it is ready to use and shall be returned to like containers or equally protected from dirt and moisture at the end of each workday. Insulation that becomes dirty shall be thoroughly cleaned prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, the insulation will be rejected, and shall be immediately removed from the jobsite. Joints shall be staggered on multi layer insulation. Mineral fiber thermal insulating cement shall be mixed with demineralized water when used on stainless steel surfaces. Insulation, jacketing and accessories shall be installed in accordance with MICA Insulation Stds standard plates except where modified herein or on the drawings.

2.4.2 Firestopping

Where <TAI OPT=PIPE INSULATION>pipes</TAI> and <TAI OPT=DUCT INSULATION>ducts</TAI> pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, the penetration shall be sealed with fire stopping materials as specified in Section 07840 FIRESTOPPING.

2.4.3 Installation of Flexible Cellular Insulation

Flexible cellular insulation shall be installed with seams and joints sealed with a contact adhesive. Flexible cellular insulation shall not be used on surfaces greater than 200 degrees F. Seams shall be staggered when applying multiple layers of insulation. Insulation exposed to weather and not shown to have jacketing shall be protected with two coats of UV resistant finish as recommended by the manufacturer after the adhesive is dry.

2.4.4 <TAI OPT=PIPE INSULATION>Pipes/</TAI><TAI OPT=DUCT INSULATION>Ducts/</TAI><TAI OPT=EQUIPMENT INSULATION>Equipment</TAI> which Require Insulation

Insulation is required, unless stated otherwise, on all <TAI OPT=PIPE INSULATION>pipes,</TAI> <TAI OPT=DUCT INSULATION>ducts,</TAI> or <TAI

OPT=EQUIPMENT INSULATION>equipment,</TAI> which operate at or below 60 F and at or above 80 F.

2.5 <TAI OPT=PIPE INSULATION>3.2 PIPE INSULATION INSTALLATION

2.5.1 Pipe Insulation

2.5.1.1 General

Pipe insulation shall be installed on aboveground hot and cold pipeline systems as specified below to form a continuous thermal retarder, including straight runs, fittings and appurtenances unless specified otherwise. Installation shall be with full length units of insulation and using a single cut piece to complete a run. Cut pieces or scraps abutting each other shall not be used.

2.5.1.2 Pipes Passing Through Walls, Roofs, and Floors

- a. Pipe insulation shall be continuous through the sleeve.
- b. An aluminum jacket with factory applied moisture retarder shall be provided over the insulation wherever penetrations require sealing.
- c. Where penetrating interior walls, the aluminum jacket shall extend 2 inches beyond either side of the wall and shall be secured on each end with a band.
- d. Where penetrating floors, the aluminum jacket shall extend from a point below the backup material to a point 10 inches above the floor with one band at the floor and one not more than 1 inch from the end of the aluminum jacket.
- e. Where penetrating waterproofed floors, the aluminum jacket shall extend from below the backup material to a point 2 inches above the flashing with a band 1 inch from the end of the aluminum jacket.
- f. Where penetrating exterior walls, the aluminum jacket required for pipe exposed to weather shall continue through the sleeve to a point 2 inches beyond the interior surface of the wall.
- g. Where penetrating roofs, pipe shall be insulated as required for interior service to a point flush with the top of the flashing and sealed with vapor retarder coating. The insulation for exterior application shall butt tightly to the top of flashing and interior insulation. The exterior aluminum jacket shall extend 2 inches down beyond the end of the insulation to form a counter flashing. The flashing and counter flashing shall be sealed underneath with caulking.

2.5.1.3 Pipes Passing Through Hangers

- a. Insulation, whether hot or cold application, shall be continuous through hangers. All horizontal pipes 2 inches and smaller shall be supported on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with **MSS SP-69**. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near

the support shield, insulation inserts as specified below for piping larger than 2 inches shall be installed.

- b. Horizontal pipes larger than 2 inches at 60 degrees F and above shall be supported on hangers in accordance with **MSS SP-69**, and Section **15400 PLUMBING, GENERAL PURPOSE**.
- c. Horizontal pipes larger than 2 inches and below 60 degrees F shall be supported on hangers with the addition of a Type 40 protection shield in accordance with **MSS SP-69**. An insulation insert of cellular glass or calcium silicate shall be installed above each shield. The insert shall cover not less than the bottom 180 degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield.
- d. Vertical pipes shall be supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with **MSS SP-69** covering the 360 degree arc of the insulation. An insulation insert of cellular glass or calcium silicate shall be installed between each shield and the pipe. The insert shall cover the 360 degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield. The insulation jacket shall be continuous over the insulation insert. The vertical weight of the pipe shall be supported with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, the weight of the pipe shall be additionally supported with hangers in the vertical run of the pipe which are directly clamped to the pipe, penetrating the pipe insulation. These hangers shall be insulated and the insulation jacket sealed as indicated herein for anchors in a similar service.
- e. Inserts shall be covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, shall overlap the adjoining pipe jacket 1-1/2 inches, and shall be sealed as required for the pipe jacket. The jacket material used to cover inserts in flexible cellular insulation shall conform to **ASTM C 1136**, Type 1, and is allowed to be of a different material than the adjoining insulation material.

2.5.1.4 Flexible Cellular Pipe Insulation

Flexible cellular pipe insulation shall be tubular form for pipe sizes 1 inches and less. Sweat fittings shall be insulated with miter-cut pieces the same size as on adjacent piping. Screwed fittings shall be insulated with sleeved fitting covers fabricated from miter-cut pieces and shall be overlapped and sealed to the adjacent pipe insulation. Maximum length limited to 12 feet, 0 inches for runouts to equipment.

2.5.1.5 Pipes in high abuse areas.

In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, welded PVC jackets shall be utilized. Pipe insulation to the 8 foot level shall be protected.

2.5.2 Aboveground Cold Pipelines

The following cold pipelines shall be insulated per Table I minus 30 degrees to plus 60 degrees F:

- a. Make-up water.
- b. Chilled water.
- c. Dual temperature water, i.e. HVAC hot/chilled water.
- d. Air conditioner condensate drains.

2.5.2.1 Insulation Thickness

Insulation thickness for cold pipelines shall be determined using Table I.

Table I - Cold Piping Insulation Thickness
Pipe Size (inches)

Type of Service	Material	Runouts up to 2 in*	1 in & less	1.25 - 2 in	2.5 - 4 in	5 - 6 in	8 in & larger
Chilled water supply & return & dual temp piping	CG	1.5	1.5	1.5	2.0	2.0	2.0
	FC	0.5	1.0	1.0	1.0	1.0	1.0
Cold domestic water, above and below ceilings & makeup water	CG	1.5	1.5	1.5	1.5	1.5	1.5
	FC	3/8	3/8	3/8	3/8	3/8	3/8
	PF	1.0	1.0	1.0	1.0	1.0	1.0
Air conditioning condensate drain located inside building	FC		3/8	0.5	0.5	N/A	N/A
	PF		1.0	1.0	1.0	N/A	N/A

*When runouts to terminal units exceed 12 feet, the entire length of runout shall be insulated like main feed pipe.

LEGEND:

CG - Cellular Glass
FC - Flexible Cellular

2.5.2.2 Jacket for Cellular Glass, Insulated Pipe

Insulation shall be covered with a factory applied vapor retarder jacket. Insulation inside the building shown to be protected with an aluminum jacket shall have the insulation and vapor retarder jacket installed as specified herein. The aluminum jacket shall be installed as specified for piping exposed to weather, except sealing of the laps of the aluminum jacket is not

required. In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, PVC jackets shall be utilized. Pipe insulation to the 8 ft level will be protected.

2.5.2.3 Insulation for Straight Runs (Cellular Glass)

- a. Insulation shall be applied to the pipe with joints tightly butted. All butted joints and ends shall be sealed with a vapor retarder coating.
- b. Longitudinal laps of the jacket material shall overlap not less than 1-1/2 inches. Butt strips 3 inches wide shall be provided for circumferential joints.
- c. Laps and butt strips shall be secured with adhesive and stapled on 4 inch centers if not factory self-sealing. If staples are used, they shall be sealed per paragraph 3.2.2.3 e.
- d. Factory self-sealing lap systems may be used when the ambient temperature is between 40 degrees and 120 degrees F during installation. The lap system shall be installed in accordance with manufacturer's recommendations. Stapler shall be used only if specifically recommended by the manufacturer. Where gaps occur, the section shall be replaced or the gap repaired by applying adhesive under the lap and then stapling.
- e. All Staples, including those used to repair factory self-seal lap systems, shall be coated with a vapor retarder coating. All seams, except those on factory self-seal systems shall be coated with vapor retarder coating.
- f. Breaks and punctures in the jacket material shall be patched by wrapping a strip of jacket material around the pipe and securing it with adhesive, stapling, and coating with vapor retarder coating. The patch shall extend not less than 1-1/2 inches past the break.
- g. At penetrations such as thermometers, the voids in the insulation shall be filled and sealed with vapor retarder coating.

2.5.2.4 Insulation for Fittings and Accessories

- a. Pipe insulation shall be tightly butted to the insulation of the fittings and accessories. The butted joints and ends shall be coated with vapor retarder coating.
- b. Precut or preformed insulation shall be placed around all fittings and accessories. Insulation shall be the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity. Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter.
- c. Upon completion of insulation installation on flanges, unions, valves, anchors, fittings and accessories, terminations, seams,

joints and insulation not protected by factory vapor retarder jackets or PVC fitting covers shall be protected with two coats of vapor retarder coating with a minimum total thickness of 1/16 inch, applied with glass tape embedded between coats. Tape seams shall overlap 1 inch. The coating shall extend out onto the adjoining pipe insulation 2 inches. Fabricated insulation with a factory vapor retarder jacket shall be protected with two coats of vapor retarder coating with a minimum thickness of 1/16 inch and with a 2 inch wide glass tape embedded between coats. Where fitting insulation butts to pipe insulation, the joints shall be sealed with a vapor retarder coating and a 4 inch wide ASJ tape which matches the jacket of the pipe insulation.

- d. Anchors attached directly to the pipe shall be insulated for a sufficient distance to prevent condensation but not less than 6 inches from the insulation surface.
- e. Insulation shall be marked showing the location of unions, strainers, and check valves.

2.5.2.5 Optional PVC Fitting Covers

At the option of the Contractor, premolded, one or two piece PVC fitting covers may be used in lieu of the vapor retarder and embedded glass tape. Factory precut or premolded insulation segments shall be used under the fitting covers for elbows. Insulation segments shall be the same insulation as the pipe insulation including same density, thickness, and thermal conductivity. The covers shall be secured by PVC vapor retarder tape, adhesive, seal-welding or with tacks made for securing PVC covers. Seams in the cover, and tacks and laps to adjoining pipe insulation jacket, shall be sealed with vapor retarder tape to ensure that the assembly has a continuous vapor seal.

2.5.3 Aboveground Hot Pipelines

The following hot pipelines above 60 degrees F shall be insulated per Table II:

- b. Steam.
- c. Condensate.
- d. Hot water heating.

2.5.3.1 Insulation Thickness

Insulation thickness for hot pipelines shall be determined using Table II.

LEGEND:

CG - Cellular Glass
CS - Calcium Silicate
MF - Mineral Fiber
FC - Flexible Cellular

Table II - Hot Piping Insulation Thickness

Type of Service (degrees F)	Material	Pipe Size (inches)					
		Runouts up to 2 in *	1 in & less	1.25 - 2 in	2.5 - 4 in	5 - 6 in	8 in & larger
Steam & condensate return (201-250 F)]	CG		1.5	2.0	2.0	2.0	3.5
	MF		1.5	1.5	2.0	2.0	2.5
Heating hot water, supply & return (250 F max)	CG	1.5	1.5	2.0	2.0	2.5	3.0
	MF	0.5	1.5	1.5	2.0	2.5	3.0
	CS	1.0	1.5	2.0	2.5	2.5	3.0
High Temp Hot water & steam (351 - 500 F)	CG	2.0	3.5	4.0	4.5	5.0	5.5
	MF	1.5	3.0	3.5	4.0	4.0	4.5
	CS/PL	2.0	3.5	4.0	4.5	5.0	5.5

* When runouts to terminal units exceed 12 feet, the entire length of runout shall be insulated like the main feed pipe.

2.5.3.2 Jacket for Insulated Hot Pipe, Except Pipe Insulated with Flexible Cellular

Insulation shall be covered, in accordance with manufacturer's recommendations, with a factory applied Type II jacket or field applied aluminum where required or seal welded PVC.

2.5.3.3 Insulation for Straight Runs

- a. Insulation shall be applied to the pipe with joints tightly butted.
- b. Longitudinal laps of the jacket material shall overlap not less than 1-1/2 inches, and butt strips 3 inches wide shall be provided for circumferential joints.
- c. Laps and butt strips shall be secured with adhesive and stapled on 4 inch centers if not factory self-sealing. Adhesive may be omitted where pipe is concealed.
- d. Factory self-sealing lap systems may be used when the ambient temperature is between 40 degrees and 120 degrees F and shall be installed in accordance with manufacturer's instructions. Laps and butt strips shall be stapled whenever there is nonadhesion of the system. Where gaps occur, the section shall be replaced or the gap repaired by applying adhesive under the lap and then stapling.
- e. Breaks and punctures in the jacket material shall be patched by either wrapping a strip of jacket material around the pipe and securing with adhesive and staple on 4 inch centers (if not factory self-sealing), or patching with tape and sealing with a brush coat

of vapor retarder coating. Adhesive may be omitted where pipe is concealed. Patch shall extend not less than 1-1/2 inches past the break.

2.5.3.4 Insulation for Fittings and Accessories

- a. Pipe insulation shall be tightly butted to the insulation of the fittings and accessories.
- b. Precut or preformed insulation shall be placed around all fittings and accessories. Insulation shall be the same as the pipe insulation, including same density, thickness, and thermal conductivity. Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter.
- c. Upon completion of installation of insulation on flanges, unions, valves, anchors, fittings and accessories, terminations and insulation not protected by factory vapor retarder jackets or PVC fitting covers shall be protected with two coats of adhesive applied with glass tape embedded between coats. Tape seams shall overlap 1 inch. Adhesive shall extend onto the adjoining insulation not less than 2 inches. The total dry film thickness shall be not less than 1/16 inch.
- d. Insulation terminations shall be tapered to unions at a 45-degree angle.
- e. At the option of the Contractor, factory premolded one- or two-piece PVC fitting covers may be used in lieu of the adhesive and embedded glass tape. Factory premolded segments or factory or field cut blanket insert insulation segments shall be used under the cover and shall be the same thickness as adjoining pipe insulation. The covers shall be secured by PVC vapor retarder tape, adhesive, seal-welding or with tacks made for securing PVC covers.

2.5.4 Piping Exposed to Weather

Piping exposed to weather shall be insulated and jacketed as specified for the applicable service inside the building. After this procedure, an aluminum jacket shall be applied.

2.5.4.1 Aluminum Jacket

The jacket for hot piping may be factory applied. The jacket shall overlap not less than 2 inches at longitudinal and circumferential joints and shall be secured with bands at not more than 12 inch centers. Longitudinal joints shall be overlapped down to shed water and located at 4 or 8 o'clock positions. Joints on piping 60 degrees F and below shall be sealed with caulking while overlapping to prevent moisture penetration. Where jacketing on piping 60 degrees F and below abuts an uninsulated surface, joints shall be caulked to prevent moisture penetration. Joints on piping above 60 degrees F shall be sealed with a moisture retarder.

2.5.4.2 Insulation for Fittings

Flanges, unions, valves, fittings, and accessories shall be insulated and finished as specified for the applicable service. Two coats of breather emulsion type weatherproof mastic (impermeable to water, permeable to air) recommended by the insulation manufacturer shall be applied with glass tape embedded between coats. Tape overlaps shall be not less than 1 inch and the adjoining aluminum jacket not less than 2 inches. Factory preformed aluminum jackets may be used in lieu of the above. Molded PVC fitting covers shall be provided when PVC jackets are used for straight runs of pipe. PVC fitting covers shall have adhesive welded joints and shall be weatherproof.

2.5.4.3 PVC Jacket

PVC jacket shall be ultraviolet resistant and adhesive welded weather tight with manufacturer's recommended adhesive. Installation shall include provision for thermal expansion.

2.6 </TAI><TAI OPT=EQUIPMENT INSULATION>3.4 EQUIPMENT INSULATION INSTALLATION

2.6.1 General

Removable insulation sections shall be provided to cover parts of equipment which must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Equipment insulation shall be omitted on the following:

- a. Handholes.
- b. Boiler manholes.
- c. Cleanouts.
- d. ASME stamps.
- e. Manufacturer's nameplates.

2.6.2 Insulation for Cold Equipment

Cold equipment below 60 degrees F: Insulation shall be furnished on equipment handling media below 60 degrees F including the following:

- a. Cold and chilled water pumps.

2.6.2.1 Insulation Type

Insulation shall be suitable for the temperature encountered. Thicknesses shall be as follows:

- a. Equipment handling media between 35 and 60 degrees F: 1.5 inch thick cellular glass or 1 inch thick flexible cellular.

2.6.2.2 Pump Insulation

- a. Pumps shall be insulated by forming a box around the pump housing. The box shall be constructed by forming the bottom and sides using joints which do not leave raw ends of insulation exposed. Joints between sides and between sides and bottom shall be joined by adhesive with lap strips for rigid mineral fiber and contact adhesive for flexible cellular insulation. Joints between top cover and sides shall fit tightly forming a female shiplap joint on the side pieces and a male joint on the top cover, thus making the top cover removable.
- b. Exposed insulation corners shall be protected with corner angles.
- c. Upon completion of installation of the insulation, including removable sections, two coats of vapor retarder coating shall be applied with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. A parting line shall be provided between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. Caulking shall be applied to parting line, between equipment and removable section insulation, and at all penetrations.

2.6.3 Insulation for Hot Equipment

Insulation shall be furnished on equipment handling media above 60 degrees F including the following:

- a. Converters.
- b. Heat exchangers.
- c. Hot water generators.
- d. Hot water storage tanks.
- e. Air separation tanks.

2.6.3.1 Insulation

Insulation shall be suitable for the temperature encountered. Shell and tube-type heat exchangers shall be insulated for the temperature of the shell medium.

Insulation thickness for hot equipment shall be determined using Table IV:

Legend

RMF: Rigid Mineral Fiber
FMF: Flexible Mineral Fiber
CS: Calcium Silicate
CG: Cellular Glass

TABLE IV
Insulation Thickness for Hot Equipment (Inches)

Equipment handling steam or media to indicated pressure or temperature limit:	Material	Thickness
15 psig or 250F	RMF	2.0 inches
	FMF	2.0 inches
	CS	4.0 inches
	CG	3.0 inches
200 psig or 400 F	RMF	3.0 inches
	FMF	3.0 inches
	CS	4.0 inches
	CG	4.0 inches

2.6.3.2 Other Equipment

- a. Insulation shall be formed or fabricated to fit the equipment. To ensure a tight fit on round equipment, edges shall be beveled and joints shall be tightly butted and staggered.
- b. Insulation shall be secured in place with bands or wires at intervals as recommended by the manufacturer but not greater than 12 inch centers except flexible cellular which shall be adhered. Insulation corners shall be protected under wires and bands with suitable corner angles.
- c. On high vibration equipment, cellular glass insulation shall be set in a coating of bedding compound as recommended by the manufacturer, and joints shall be sealed with bedding compound. Mineral fiber joints shall be filled with finishing cement.
- d. Insulation on heads of heat exchangers shall be removable. The removable section joint shall be fabricated using a male-female shiplap type joint. Entire surface of the removable section shall be finished as specified.
- e. Exposed insulation corners shall be protected with corner angles.
- f. On equipment with ribs, such as boiler flue gas connection, draft fans, and fly ash or soot collectors, insulation shall be applied over 6 x 6 inch by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot welded to the equipment over the ribs. Insulation shall be secured to the fabric with J-hooks and 2 x 2 inch washers or shall be securely banded or wired in place on 12 inch (maximum) centers.
- g. Upon completion of installation of insulation, penetrations shall be caulked. Two coats of adhesive shall be applied over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. Caulking shall be applied to parting line between equipment and removable section insulation.

2.6.4 Equipment Handling Dual Temperature Media

Below and above 60 degrees F: equipment handling dual temperature media shall be insulated as specified for cold equipment.

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SECTION 15400

PLUMBING, GENERAL PURPOSE

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AIR CONDITIONING AND REFRIGERATION INSTITUTE (ARI)

ARI 700 (1995; Apx C) Specifications for Fluorocarbon and Other Refrigerants

ARI 1010 (1994) Self-Contained, Mechanically-Refrigerated Drinking-Water Coolers

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.10.1 (1993; Z21.10.1a; Z21.10.1b; Z21.10.1c) Gas Water Heaters Vol. I Storage Water Heaters with Input Ratings of 75,000 Btu Per Hour or Less

ANSI Z21.10.3 (1998) Gas Water Heaters Vol. III, Storage Water Heaters with Input Ratings Above 75,000 Btu Per Hour, Circulating and Instantaneous Water Heaters

ANSI Z21.22 (1986; Z21.22a) Relief Valves and Automatic Gas Shutoff Devices for Hot Water Supply Systems

ANSI Z21.56 (1994; Z21.56a) Gas-Fired Pool Heaters

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 47 (1990; R 1995) Ferritic Malleable Iron Castings

ASTM A 47M (1990; R 1996) Ferritic Malleable Iron Castings (Metric)

ASTM A 53 (1999) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A 74 (1998) Cast Iron Soil Pipe and Fittings

ASTM A 105/A 105M (1998) Carbon Steel Forgings for Piping Applications

ASTM A 183 (1998) Carbon Steel Track Bolts and Nuts

ASTM A 193/A 193M	(1999) Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service
ASTM A 515/A 515M	(1997) Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service
ASTM A 516/A 516M	(1990; R 1996) Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service
ASTM A 518	(1992; R 1997) Corrosion-Resistant High-Silicon Iron Castings
ASTM A 518M	(1992; R 1997) Corrosion-Resistant High-Silicon Iron Castings (Metric)
ASTM A 536	(1984; R 1993) Ductile Iron Castings
ASTM A 733	(1999) Welded and Seamless Carbon Steel and Austenitic Stainless Steel Pipe Nipples
ASTM A 888	(1998) Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications
ASTM B 32	(1996) Solder Metal
ASTM B 42	(1996) Seamless Copper Pipe, Standard Sizes
ASTM B 43	(1996) Seamless Red Brass Pipe, Standard Sizes
ASTM B 75	(1997) Seamless Copper Tube
ASTM B 88	(1996) Seamless Copper Water Tube
ASTM B 88M	(1996) Seamless Copper Water Tube (Metric)
ASTM B 111	(1998) Copper and Copper-Alloy Seamless Condenser Tubes and Ferrule Stock
ASTM B 117	(1997) Operating Salt Spray (FOG) Apparatus
ASTM B 152	(1997a) Copper Sheet, Strip, Plate, and Rolled Bar
ASTM B 152M	(1997a) Copper Sheet, Strip, Plate, and Rolled Bar (Metric)
ASTM B 306	(1996) Copper Drainage Tube (DWV)
ASTM B 370	(1998) Copper Sheet and Strip for Building Construction

ASTM B 584	(1998a) Copper Alloy Sand Castings for General Applications
ASTM B 813	(1993) Liquid and Paste Fluxes for Soldering Applications of Copper and Copper Alloy Tube
ASTM B 828	(1998) Making Capillary Joints by Soldering of Copper and Copper Alloy Tube and Fittings
ASTM C 564	(1997) Rubber Gaskets for Cast Iron Soil Pipe and Fittings
ASTM C 920	(1998) Elastomeric Joint Sealants
ASTM C 1053	(1990; R 1995e1) Borosilicate Glass Pipe and Fittings for Drain, Waste, and Vent (DWV) Applications
ASTM D 638	(1998) Tensile Properties of Plastics
ASTM D 638M	(1998) Tensile Properties of Plastics (Metric)
ASTM D 1004	(1994a) Initial Tear Resistance of Plastic Film and Sheeting
ASTM D 1248	(1984; R 1989) Polyethylene Plastics Molding and Extrusion Materials
ASTM D 1785	(1996b) Poly(Vinyl Chloride) (PVC) Plastic Pipe, Schedules 40, 80, and 120
ASTM D 2000	(1999) Rubber Products in Automotive Applications
ASTM D 2235	(1996a) Solvent Cement for Acrylonitrile-Butadiene-Styrene (ABS) Plastic Pipe and Fittings
ASTM D 2239	(1996a) Polyethylene (PE) Plastic Pipe (SIDR-PR) Based on Controlled Inside Diameter
ASTM D 2241	(1996b) Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)
ASTM D 2447	(1995) Polyethylene (PE) Plastic Pipe, Schedules 40 and 80, Based on Outside Diameter
ASTM D 2464	(1996a) Threaded Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80
ASTM D 2466	(1997) Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 40
ASTM D 2467	(1998a) Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80

- ASTM D 2485 (1991; R 1996) Evaluating Coatings for High Temperature Service
- ASTM D 2564 (1996a) Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
- ASTM D 2657 (1997) Heat Fusing Joining Polyolefin Pipe and Fittings
- ASTM D 2661 (1997a) Acrylonitrile-Butadiene-Styrene (ABS) Schedule 40 Plastic Drain, Waste, and Vent Pipe and Fittings
- ASTM D 2665 (1998) Poly(Vinyl Chloride) (PVC) Plastic Drain, Waste, and Vent Pipe and Fittings
- ASTM D 2672 (1996a) Joints for IPS PVC Pipe Using Solvent Cement
- ASTM D 2683 (1998) Socket-Type Polyethylene Fittings for Outside Diameter-Controlled Polyethylene Pipe and Tubing
- ASTM D 2737 (1996a) Polyethylene (PE) Plastic Tubing
- ASTM D 2822 (1991; R 1997e1) Asphalt Roof Cement
- ASTM D 2846/D 2846M (1997) Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Hot- and Cold-Water Distribution Systems
- ASTM D 2855 (1996) Making Solvent-Cemented Joints with Poly(Vinyl Chloride) (PVC) Pipe and Fittings
- ASTM D 2996 (1995) Filament-Wound "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe
- ASTM D 3035 (1995) Polyethylene (PE) Plastic Pipe (DR-PR) Based on Controlled Outside Diameter
- ASTM D 3122 (1995) Solvent Cements for Styrene-Rubber (SR) Plastic Pipe and Fittings
- ASTM D 3138 (1995) Solvent Cements for Transition Joints Between Acrylonitrile-Butadiene-Styrene (ABS) and Poly(Vinyl Chloride) (PVC) Non-Pressure Piping Components
- ASTM D 3139 (1998) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals
- ASTM D 3212 (1996a) Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals

ASTM D 3261 (1997) Butt Heat Fusion Polyethylene (PE) Plastic Fittings for Polyethylene (PE) Plastic Pipe and Tubing

ASTM D 3308 (1997) PTFE Resin Skived Tape

ASTM D 3311 (1994) Drain, Waste, and Vent (DWV) Plastic Fittings Patterns

ASTM D 4060 (1995) Abrasion Resistance of Organic Coatings by the Taber Abraser

ASTM D 4101 (1996a) Propylene Plastic Injection and Extrusion Materials

ASTM D 4551 (1996) Poly(Vinyl Chloride) (PVC) Plastic Flexible Concealed Water-Containment Membrane

ASTM E 1 (1998) ASTM Thermometers

ASTM E 96 (1995) Water Vapor Transmission of Materials

ASTM F 409 (1998) Thermoplastic Accessible and Replaceable Plastic Tube and Tubular Fittings

ASTM F 437 (1997) Threaded Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe Fittings, Schedule 80

ASTM F 438 (1997) Socket-Type Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe Fittings, Schedule 40

ASTM F 439 (1998) Socket-Type Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe Fittings, Schedule 80

ASTM F 441/F 441M (1997) Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe, Schedules 40 and 80

ASTM F 442/F 442M (1997) Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe (SDR-PR)

ASTM F 477 (1996a) Elastomeric Seals (Gaskets) for Joining Plastic Pipe

ASTM F 493 (1997) Solvent Cements for Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe and Fittings

ASTM F 628 (1997a) Acrylonitrile-Butadiene-Styrene (ABS) Schedule 40 Plastic Drain, Waste, and Vent Pipe with a Cellular Core

ASTM F 891 (1998) Coextruded Poly(Vinyl Chloride) (PVC) Plastic Pipe with a Cellular Core

ASTM F 1290 (1998a) Electrofusion Joining Polyolefin Pipe and Fittings

ASTM F 1760 (1997) Coextruded Poly(Vinyl Chloride) (PVC) Non-Pressure Plastuc Pipe Having Reprocessed-Recycled Content

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 34 (1992; Addenda a-j) Number Designation and Safety Classification of Refrigerants

ASHRAE 90.1 (1989; 90.1b; 90.1c; 90.1d; 90.1e; 90.1g; 90.1i; 90.1l-1995; 90.1m-1995; 90.1n-1997) Energy Efficient Design of New Buildings Except Low-Rise Residential Buildings

ASME INTERNATIONAL (ASME)

ASME A112.1.2 (1991; R 1998) Air Gaps in Plumbing Systems

ASME A112.6.1M (1997) Supports for Off-the-Floor Plumbing Fixtures for Public Use

ASME A112.14.1 (1975; R 1998) Backwater Valves

ASME A112.18.1M (1996) Plumbing Fixture Fittings

ASME A112.19.1M (1994; Errata 97, Supplement 1998) Enameled Cast Iron Plumbing Fixtures

ASME A112.19.2M 1998 Vitreous China Plumbing Fixtures

ASME A112.19.3M (1987; R 1996) Stainless Steel Plumbing Fixtures (Designed for Residential Use)

ASME A112.19.4M (1994; 1997 and 1998) Porcelain Enameled Formed Steel Plumbing Fixtures

ASME A112.21.1M (1991; R 1998) Floor Drains

ASME A112.21.2M (1983) Roof Drains

ASME A112.36.2M (1991; R 1998) Cleanouts

ASME B1.20.1 (1983; R 1992) Pipe Threads, General Purpose (Inch)

ASME B16.3 (1992) Malleable Iron Threaded Fittings

ASME B16.4 (1992) Gray Iron Threaded Fittings

ASME B16.5 (1996; B16.5a) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24

ASME B16.12 (1998) Cast Iron Threaded Drainage Fittings

- ASME B16.15 (1985; R 1994) Cast Bronze Threaded Fittings
Classes 125 and 250
- ASME B16.18 (1984; R 1994) Cast Copper Alloy Solder Joint
Pressure Fittings
- ASME B16.21 (1992) Nonmetallic Flat Gaskets for Pipe
Flanges
- ASME B16.22 (1995; B16.22a) Wrought Copper and Copper
Alloy Solder Joint Pressure Fittings
- ASME B16.23 (1992; Errata Jan 1994) Cast Copper Alloy
Solder Joint Drainage Fittings - DWV
- ASME B16.24 (1991; R 1998) Cast Copper Alloy Pipe
Flanges, Class 150, 300, 400, 600, 900, 1500,
and 2500, and Flanged Fittings, Class 150 and
300
- ASME B16.29 (1994) Wrought Copper and Wrought Copper
Alloy Solder Joint Drainage Fittings - DWV
- ASME B16.34 (1997) Valves - Flanged, Threaded, and
Welding End
- ASME B16.39 (1986; R 1998) Malleable Iron Threaded Pipe
Unions Classes 150, 250, and 300
- ASME B31.1 (1998) Power Piping
- ASME B31.5 (1992; B31.5a) Refrigeration Piping
- ASME B40.1 (1991) Gauges - Pressure Indicating Dial Type
- Elastic Element
- ASME BPV VIII Div 1 (1998) Boiler and Pressure Vessel Code;
Section VIII, Pressure Vessels Division 1 -
Basic Coverage
- ASME BPV IX (1998) Boiler and Pressure Vessel Code;
Section IX, Welding and Brazing
Qualifications
- ASME CSD-1 (1998) Controls and Safety Devices for
Automatically Fired Boilers

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

- ASSE 1001 (1990) Pipe Applied Atmospheric Type Vacuum
Breakers
- ASSE 1002 (1986) Water Closet Flush Tank Ball Cocks
- ASSE 1003 (1995) Water Pressure Reducing Valves for
Domestic Water Supply Systems

- ASSE 1005 (1986) Water Heater Drain Valves - 3/4-Inch Iron Pipe Size
- ASSE 1006 (1989) Residential Use (Household) Dishwashers
- ASSE 1011 (1995) Hose Connection Vacuum Breakers
- ASSE 1012 (1995) Backflow Preventers with Intermediate Atmospheric Vent
- ASSE 1013 (1993) Reduced Pressure Principle Backflow Preventers
- ASSE 1018 (1986) Trap Seal Primer Valves Water Supply Fed
- ASSE 1037 (1990; Rev thru Mar 1990) Pressurized Flushing Devices (Flushometers) for Plumbing Fixtures

AMERICAN WATER WORKS ASSOCIATION (AWWA)

- AWWA EWW (1995) Standard Methods for the Examination of Water and Wastewater
- AWWA B300 (1992) Hypochlorites
- AWWA B301 (1992) Liquid Chlorine
- AWWA C105 (1993) Polyethylene Encasement for Ductile-Iron Pipe Systems
- AWWA C203 (1997) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied
- AWWA C606 (1997) Grooved and Shouldered Joints
- AWWA C700 (1995) Cold-Water Meters - Displacement Type, Bronze Main Case
- AWWA D100 (1996) Welded Steel Tanks for Water Storage
- AWWA M20 (1973) Manual: Water Chlorination Principles and Practices

AMERICAN WELDING SOCIETY (AWS)

- AWS A5.8 (1992) Filler Metals for Brazing and Braze Welding
- AWS B2.2 (1991) Brazing Procedure and Performance Qualification

CAST IRON SOIL PIPE INSTITUTE (CISPI)

- CISPI 301 (1997) Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications
- CISPI 310 (1997) Coupling for Use in Connection with Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications
- CISPI HSN-85 (1985) Neoprene Rubber Gaskets for Hub and Spigot Cast Iron Soil Pipe and Fittings

CODE OF FEDERAL REGULATIONS (CFR)

- 10 CFR 430 Energy Conservation Program for Consumer Products
- 21 CFR 175 Indirect Food Additives: Adhesives and Components of Coatings

COMMERCIAL ITEM DESCRIPTIONS (CID)

- CID A-A-240 (Rev A) Shower Head, Ball Joint
- CID A-A-50012 (Basic) Garbage Disposal Machine, Commercial

COPPER DEVELOPMENT ASSOCIATION (CDA)

- CDA Tube Handbook (1995) Copper Tube Handbook

COUNCIL OF AMERICAN BUILDING OFFICIALS (CABO)

- CABO A117.1 (1992; Errata Jun 1993) Accessible and Usable Buildings and Facilities

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH (FCCHR)

- FCCCHR-01 (1993) Manual of Cross-Connection Control

HYDRAULIC INSTITUTE (HI)

- HI 1.1-1.5 (1994) Centrifugal Pumps

INTERNATIONAL ASSOCIATION OF PLUMBING AND MECHANICAL OFFICIALS

- IAPMO Z124.1 (1995) Plastic Bathtub Units
- IAPMO Z124.3 (1995) Plastic Lavatories
- IAPMO Z124.5 (1997) Plastic Toilet (Water Closets) Seats
- IAPMO Z124.9 (1994) Plastic Urinal Fixtures

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-25	(1998) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-44	(1996) Steel PipeLine Flanges
MSS SP-58	(1993) Pipe Hangers and Supports - Materials, Design and Manufacture
MSS SP-67	(1995) Butterfly Valves
MSS SP-69	(1996) Pipe Hangers and Supports - Selection and Application
MSS SP-70	(1998) Cast Iron Gate Valves, Flanged and Threaded Ends
MSS SP-71	(1997) Cast Iron Swing Check Valves, Flanges and Threaded Ends
MSS SP-72	(1992) Ball Valves with Flanged or Butt-Welding Ends for General Service
MSS SP-73	(1991; R 1996) Brazing Joints for Copper and Copper Alloy Pressure Fittings
MSS SP-78	(1998) Cast Iron Plug Valves, Flanged and Threaded Ends
MSS SP-80	(1997) Bronze Gate, Globe, Angle and Check Valves
MSS SP-83	(1995) Class 3000 Steel Pipe Unions Socket-Welding and Threaded
MSS SP-85	(1994) Cast Iron Globe & Angle Valves, Flanged and Threaded Ends
MSS SP-110	(1996) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends

NATIONAL ASSOCIATION OF PLUMBING-HEATING-COOLING CONTRACTORS (NAPHCC)

NAPHCC Plumbing Code	(1996) National Standard Plumbing Code
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NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(1991) Enclosures for Electrical Equipment (1000 Volts Maximum)
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NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 31	(1997; TIA 97-1) Installation of Oil Burning Equipment
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- NFPA 54** (1996; Errata) National Fuel Gas Code
- NFPA 90A** (1996) Installation of Air Conditioning and Ventilating Systems
- NATIONAL SANITATION FOUNDATION (NSF)
- NSF 3** (1996) Commercial Spray-Type Dishwashing and Glasswashing Machines
- NSF 5** (1992) Water Heaters, Hot Water Supply Boilers, and Heat Recovery Equipment
- NSF 14** (1998) Plastics Piping Components and Related Materials
- PLASTIC PIPE AND FITTINGS ASSOCIATION (PPFA)
- PPFA-01** (1991) Plastic Pipe in Fire Resistive Construction
- PLUMBING AND DRAINAGE INSTITUTE (PDI)
- PDI G-101** (1996) Testing and Rating Procedure for Grease Interceptors with Appendix of Sizing and Installation Data
- PDI WH 201** (1992) Water Hammer Arresters
- SOCIETY OF AUTOMOTIVE ENGINEERS (SAE)
- SAE J 1508** (1997) Hose Clamps
- THE SOCIETY FOR PROTECTIVE COATING (SSPC)
- SSPC SP 5/NACE 1** (1994) White Metal Blast Cleaning
- UNDERWRITERS LABORATORIES (UL)
- UL 174** (1996; Rev thru Nov 1997) Household Electric Storage Tank Water Heaters
- UL 430** (1994; Rev thru Oct 1996) Waste Disposers
- UL 732** (1995; Rev Oct 1997) Oil-Fired Storage Tank Water Heaters
- UL 749** (1997) Household Dishwashers
- UL 921** (1996) Commercial Electric Dishwashers

1.2 STANDARD PRODUCTS

Specified materials and equipment shall be standard products of a manufacturer regularly engaged in the manufacture of such products. Specified equipment shall essentially duplicate equipment that has performed satisfactorily at least two years prior to bid opening.

1.3 ELECTRICAL WORK

Motors, motor controllers and motor efficiencies shall conform to the requirements of Section 16415 ELECTRICAL WORK, INTERIOR. Electrical motor-driven equipment specified herein shall be provided complete with motors. Equipment shall be rated at 60 Hz, single phase, ac unless otherwise indicated. Where a motor controller is not provided in a motor-control center on the electrical drawings, a motor controller shall be as indicated. Motor controllers shall be provided complete with properly sized thermal-overload protection in each ungrounded conductor, auxiliary contact, and other equipment, at the specified capacity, and including an allowable service factor.

1.4 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Drawings

Plumbing System.

Detail drawings consisting of illustrations, schedules, performance charts, instructions, brochures, diagrams, and other information to illustrate the requirements and operations of each system. Detail drawings for the complete plumbing system <TAI OPT=PIPING>including piping layouts and locations of connections</TAI>; dimensions for roughing-in, foundation, and support points; schematic diagrams and wiring diagrams or connection and interconnection diagrams. Detail drawings shall indicate clearances required for maintenance and operation. Where piping and equipment are to be supported other than as indicated, details shall include loadings and proposed support methods. Mechanical drawing plans, elevations, views, and details, shall be drawn to scale.

Electrical Schematics.

Complete electrical schematic lineless or full line interconnection and connection diagram for each piece of mechanical equipment having more than one automatic or manual electrical control device.

Reports

Tests, Flushing and Disinfection .

Test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, completion and testing of the installed system. Each test report shall indicate the final position of controls.

<TAI OPT=PIPING>Backflow Prevention Assembly Tests.

Certification of proper operation shall be as accomplished in accordance with state regulations by an individual certified by the state to perform such tests. If no state requirement exists, the Contractor shall have the manufacturer's representative test the device, to ensure the unit is properly installed and performing as intended. The Contractor shall provide

written documentation of the tests performed and signed by the individual performing the tests.</TAI>

Operation and Maintenance Manuals

Plumbing System.

Six copies of the operation manual outlining the step-by-step procedures required for system startup, operation and shutdown. The manual shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Six copies of the maintenance manual listing routine maintenance procedures, possible breakdowns and repairs. The manual shall include piping and equipment layout and simplified wiring and control diagrams of the system as installed.

1.5 REGULATORY REQUIREMENTS

Plumbing work shall be in accordance with **NAPHCC Plumbing Code**.

1.6 PROJECT/SITE CONDITIONS

The Contractor shall become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

2 PRODUCTS

2.1 MATERIALS

Materials for various services shall be in accordance with TABLES I and II. <TAI OPT=PIPING>Pipe schedules shall be selected based on service requirements. Pipe fittings shall be compatible with the applicable pipe materials.</TAI>

2.1.1 <TAI OPT=PIPING>2.1.1 Pipe Joint Materials

- a. Solder Material: Solder metal shall conform to **ASTM B 32 95-5** tin-antimony.
- b. Solder Flux: Flux shall be liquid form, non-corrosive, and conform to **ASTM B 813**, Standard Test 1.

</TAI>

2.1.2 <TAI OPT=PIPING>2.1.2 Miscellaneous Materials

Miscellaneous materials shall conform to the following:

</TAI>

- a. Copper, Sheet and Strip for Building Construction: **ASTM B 370**.
- b. Asphalt Roof Cement: **ASTM D 2822**.
- c. Hose Clamps: **SAE J 1508**.
- d. Hypochlorites: **AWWA B300**.
- e. Liquid Chlorine: **AWWA B301**.

- l. Gauges - Pressure and Vacuum Indicating Dial Type - Elastic Element: ASME B40.1.
- m. Thermometers: ASTM E 1.

2.1.3 <TAI OPT=PIPING>2.1.3 Pipe Insulation Material

Insulation shall be as specified in Section 15080 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.2 </TAI><TAI OPT=PIPING>2.2 PIPE HANGERS, INSERTS, AND SUPPORTS

Pipe hangers, inserts, and supports shall conform to MSS SP-58 and MSS SP-69.

2.3 </TAI>2.3 VALVES

Valves shall be provided on supplies to equipment and fixtures. <TAI OPT=PIPING>Valves 2 inches and smaller shall be bronze with threaded bodies for pipe and solder-type connections for tubing</TAI>. Valves 2-1/2 inches and larger shall have flanged iron bodies and bronze trim. Pressure ratings shall be based upon the application. Valves shall conform to the following standards:

Description	Standard
Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends	MSS SP-110
Cast-Iron Plug Valves, Flanged and Threaded Ends	MSS SP-78
Bronze Gate, Globe, Angle, and Check Valves	MSS SP-80
<TAI OPT=WATER HEATERS>Water Heater Drain Valves 1005</TAI>	ASSE
<TAI OPT=WATER HEATERS>Temperature and Pressure Relief Valves Z21.22 for Hot Water Supply Systems	ANSI
Temperature and Pressure Relief Valves for Automatically Fired Hot Water Boilers	ASME CSD-1 Safety Code No., Part CW, Article 5</TAI>

2.3.1 <TAI OPT=WATER HEATERS>2.3.6 Relief Valves

Water heaters and hot water storage tanks shall have a combination pressure and temperature (P&T) relief valve. The pressure relief element of a P&T relief valve shall have adequate capacity to prevent excessive pressure buildup in the system when the system is operating at the maximum rate of heat input. The temperature element of a P&T relief valve shall have a relieving capacity which is at least equal to the total input of the heaters when operating at their maximum capacity. Relief valves shall be rated according to ANSI Z21.22. Relief valves for systems where the maximum rate

of heat input is less than 200,000 Btuh shall have 3/4 inch minimum inlets, and 3/4 inch outlets. Relief valves for systems where the maximum rate of heat input is greater than 200,000 Btuh shall have 1 inch minimum inlets, and 1 inch outlets. The discharge pipe from the relief valve shall be the size of the valve outlet.

2.4 </TAI><TAI OPT=PIPING>2.5 BACKFLOW PREVENTERS

Backflow preventers shall be approved and listed by the Foundation For Cross-Connection Control & Hydraulic Research. Reduced pressure principle assemblies, double check valve assemblies, atmospheric (nonpressure) type vacuum breakers, and pressure type vacuum breakers shall be tested, approved, and listed in accordance with FCCCHR-01. Backflow preventers with intermediate atmospheric vent shall conform to ASSE 1012. Reduced pressure principle backflow preventers shall conform to ASSE 1013. Hose connection vacuum breakers shall conform to ASSE 1011. Pipe applied atmospheric type vacuum breakers shall conform to ASSE 1001. Air gaps in plumbing systems shall conform to ASME A112.1.2.

2.5 </TAI><TAI OPT=WATER HEATERS>2.10 WATER HEATERS

Water heater types and capacities shall be as indicated. Each gas-fired water heater shall have controls with an adjustable range that includes 120 to 180 degrees F. The thermal efficiencies and standby heat losses shall conform to TABLE III for each type of water heater specified. The only exception is that storage water heaters and hot water storage tanks having more than 500 gallons storage capacity need not meet the standard loss requirement if the tank surface area is not insulated to R-12.5 and if a standing light is not used. Plastic materials polyetherimide (PEI) and polyethersulfone (PES) are forbidden to be used for vent piping of combustion gases.

2.5.1 Automatic Remote Storage Type

Heaters shall be complete skid or tank mounted with tank, bronze fitted, pump, piping, control system, temperature gauge, and pressure gauge and shall have ASME rated combination pressure and temperature relief valve.

2.5.1.1 Tank-Mounted Type

Components shall be factory assembled as a unit with the water heater mounted on top of the tank, pre-piped, pressure tested and ready for installation.

The water containing section shall be of a fin tube design, with straight copper tubes having extruded integral fins spaced seven fins per inch. The tubes shall terminate into a one piece, lined, cast iron header. There shall be no bolts, gaskets or o-rings in the header configuration. There shall be access to the front header of the heat exchanger. The heat exchanger shall be mounted in a stress free jacket assembly. The assembled heat exchanger shall be hydrostatically tested to 240 PSI water pressure. The water heater shall bear the ASME "H" stamp for 160 psi working pressure and shall be National Board listed. The complete heat exchanger assembly shall carry a five-year limited warranty. The heat exchanger shall be equipped with an outlet thermometer.

The combustion chamber shall be sealed and completely enclosed with ceramic fiberboard insulation. A burner/flame observation port shall be provided. The burners shall be a premix design, constructed of high temperature stainless steel and fire on a horizontal plane. The water heater shall have an integral combustion air blower.

The water heater shall be constructed with a heavy gauge galvanized steel jacket assembly. All steel jacket components must be galvanized on both sides. The exterior of the jacket assembly shall be finished in a three-coat acrylic enamel finish. The jacket design shall allow single unit venting connection without the use of external draft hood devices.

The water heater shall be certified and listed by The American Gas Association under the latest edition of the applicable ANSI test standard. The water heater shall comply with the energy efficiency requirements of the latest edition of the ASHRAE 90.1 standard. The water heater shall operate at a minimum of 85 percent thermal efficiency.

Standard operating controls shall include an immersion type operating aquastat and high limit control. The control panel shall have a master power switch. The ignition control shall have four LED lights to indicate sequential operation and diagnostics on control sensed malfunctions. The control panel shall have a terminal strip.

The standard control system shall include a Hot Surface Ignition system with full flame monitoring capability. The main combination gas valve shall have redundant valve seats and a built in low gas pressure regulator as standard. The gas pressure regulator portion of the main gas valve shall be referenced to the combustion air fan. Additional standard controls shall include a combination low air and blocked flue pressure switch to monitor fan operation, low voltage transformer for the control circuit and an ASME temperature and pressure relief valve. The manufacturer shall verify proper operation of the burners, all controls and the heat exchanger by connection to water and venting for a factory fire test prior to shipping. A quality test report shall be shipped with each unit.

A 24 VAC control circuit and components shall be used. All components shall be easily accessed and serviceable from the front of the jacket. Access to the controls shall be provided by a quick access. quarter turn knob on the control panel cover>

The water heater shall be installed and vented with a (a) direct vent system with sidewall termination of both the vent and combustion air. The flue shall be a AL29-4C sealed vent material and accessories\accessories terminating at the sidewall with the manufacturers specified vent cap. A separate pipe shall supply combustion air directly to the heater from the outside. The air inlet pipe may be PVC, CPVC, ABS, dryer vent or sealed Type "B" galvanized vent pipe. The air inlet must terminate on the sidewall with the manufacturers specified air inlet cap; or (b) direct vent system with vertical roof top termination of both the vent and combustion air. The flue shall be AL29-4C sealed vent material, cap and accessories terminating at the roof top. A separate pipe shall supply combustion air directly to the heater from the outside. The air inlet pipe may be PVC, CPVC, ABS or sealed Type "B" or galvanized vent pipe. The air inlet must terminate on the rooftop with the manufacturers specified air inlet cap assembly.

The water heater shall be certified for low emissions of Oxides of Nitrogen (NOX).

The water heater shall be mounted on top of the storage tank, pre-piped to the tank with copper pipe and equipped with a factory installed 1/6 HP circulating pump to ensure scale-free heater performance. The pump shall be bronze-fitted and provided for operation on 120 volt, 60 cycle, 1 phase power supply. The water heater will have a 150 psi pressure only relief valve and the storage tank will have a 150 psi temperature and pressure relieve valve. All relief valves sized per ASME requirements and factory installed.

2.5.1.2 Skid-Mounted Type

The entire assembly shall be pre-piped, assembled and skid mounted, pressure tested and ready for installation. The water containing section shall have of a fin tube design, with straight copper tubes having extruded integral fins spaced seven fins per inch. The tubes shall be set vertically and rolled securely into lined, cast iron headers forming the top and bottom of the heat exchanger. The heat exchanger shall be circular in pattern and shall completely enclose the combustion chamber. There shall be removable access covers on the heat exchanger headers. The heat exchanger shall have externally accessible boiler drains. The heat exchanger shall be mounted in a stress free jacket assembly. The water heater shall bear the ASME "H" stamp for 160 psi working pressure and shall be National Board listed. The complete heat exchanger assembly shall carry a five-year warranty against failure caused by defective workmanship or material. The water heater shall be equipped with a factory installed circulating pump of sufficient capacity to ensure scale-free heater performance. The pump shall be bronze-fitted and provided for operation on 120-volt, 60 cycle, 1 phase power supply.

The combustion chamber shall be of the sealed combustion type. The burner surface shall be constructed of high temperature stainless steel and fired in a vertical plane within the combustion chamber. The burner shall employ perforated flame injection tube extended the entire length of the heat exchanger. The burner shall fire in a full 360 degrees pattern. An external viewing port shall be provided.

The water heater shall use a combustion air blower. The combustion air blower shall operate for a pre-purge period before burner ignition and a post-purge period after burner operation. The water heater shall be constructed with a 16 gauge galvanized steel jacket assembly. All steel jacket components must be galvanized on both sides. The interior of the combustion chamber and flue collector shall be lined with a corrosion resistant polymer coating. All inner and outer panels shall be fully gasketed and sealed. The exterior of the jacket assembly shall be finished in a three-coat acrylic enamel finish. The water heater shall be certified for installation on combustible floors without additional safety provisions.

The water heater shall be designed to allow field installation of multiple venting options. The water heater shall be vented with a horizontal direct vent system using the concentric air intake/flue/flue pipe material and horizontal vent cap supply by manufacturer or with a vertical direct vent system using the concentric air intake/flue pipe material and vertical vent cap supplied by manufacturer. The water heater shall also be AGA certified for outdoor installation using the special air intake/flue outlet cap supplied by manufacturer.

The water heater shall be certified and listed by the American Gas Association under the latest edition of the applicable ANSI test standard. The water heater shall comply with the energy efficiency requirements of the latest edition of the ASHRAE 90.1 Standard. The water heater shall operate at a minimum of 88 percent thermal efficiency.

Standard operating controls shall include an adjustable immersion type temperature controller and an immersion safety high limit to regulate water temperature. Multiple air pressure switches shall be provided to prove operation of the combustion air fan, monitor combustion chamber pressures and monitor operation of the flue.

The standard control system shall include an electronically proven hot surface ignition system with full flame monitoring capability. Manually operated gas plug cock, multiple main gas valves and built-in low gas pressure regulator shall be supplied as standard. A low gas pressure switch shall monitor gas supply. Additional standard controls shall include a low voltage transformer for the control circuit, a McDonnell and Miller FS4 flow switch to prove water flow, inlet and outlet temperature gauges and a factory installed ASME temperature and pressure relief valve.

The units control panel shall contain a lighted on/off main power switch, pilot lights to indicate sequential operation and diagnostic pilot lights to indicate control sensed malfunctions. A 24 VAC control circuit and components shall be used. All components shall be easily accessed and serviceable from the front and top of the unit. All wiring harness connections shall have multi-pin plug in type connectors to ease service, troubleshooting and reduce removable and replacement cost.

F-9: Hot Surface Ignition with electronic flame supervision to provide main burner shutdown upon flame failure. Control circuit is 24 volt.

2.6 #.1 HOT-WATER STORAGE TANKS

Hot-water storage tanks shall be constructed by same manufacturer at the heater, ASME stamped for 150 psi working pressure, and shall have the National Board (ASME) registration. The tank shall be glass-lined steel type in accordance with AWWA D100 and carry a five-year warranty. The heat loss shall conform to TABLE III as determined by the requirements of ASHRAE 90.1. The tank shall be constructed with an inner chamber designed to receive all circulation to and from the water heater to eliminate turbulence in the tank. The baffled tank shall supply 80 percent of the tank capacity without a drop in outlet temperature, regardless of rate of draw. The tank shall be furnished with a factory installed jacket of 16 gauge steel, galvanized inside and out and finished with three coats of acrylic enamel. The jacket and tank base shall be a water tight construction with a built-in drain pan, complete with a 3/4 inch drain connection. The storage tank shall be completely encased in high density fiberglass polyurethane foam insulation of sufficient thickness to meet the energy efficiency requirements. The entire assembly shall be mounted on "I" beam skids to facilitate handling and installation.

</TAI>PART 3 EXECUTION

2.7 <TAI OPT=PIPING>3.1 GENERAL INSTALLATION REQUIREMENTS

2.7.1 </TAI><TAI OPT=PIPING>3.1.1 Water Pipe, Fittings, and Connections

2.7.1.1 Cutting and Repairing

The work shall be carefully laid out in advance, and unnecessary cutting of construction shall be avoided. Damage to building, piping, wiring, or equipment as a result of cutting shall be repaired by mechanics skilled in the trade involved.

2.7.1.2 Protection of Fixtures, Materials, and Equipment

Pipe openings shall be closed with caps or plugs during installation. Fixtures and equipment shall be tightly covered and protected against dirt, water, chemicals, and mechanical injury. Upon completion of the work, the fixtures, materials, and equipment shall be thoroughly cleaned, adjusted, and operated. Safety guards shall be provided for exposed rotating equipment.

2.7.1.3 Mains, Branches, and Runouts

Piping shall be installed as indicated. Pipe shall be accurately cut and worked into place without springing or forcing. Structural portions of the building shall not be weakened. Aboveground piping shall run parallel with the lines of the building, unless otherwise indicated. Branch pipes from service lines may be taken from top, bottom, or side of main, using crossover fittings required by structural or installation conditions. Supply pipes, valves, and fittings shall be kept a sufficient distance from other work and other services to permit not less than 1/2 inch between finished covering on the different services. Bare and insulated water lines shall not bear directly against building structural elements so as to transmit sound to the structure or to prevent flexible movement of the lines. Changes in pipe sizes shall be made with reducing fittings. Use of bushings will not be permitted except for use in situations in which standard factory fabricated components are furnished to accommodate specific excepted installation practice. Change in direction shall be made with fittings.

2.7.1.4 Pipe Drains

Pipe drains indicated shall consist of 3/4 inch hose bibb with renewable seat and full port ball valve ahead of hose bibb. At other low points, 3/4 inch brass plugs or caps shall be provided. Disconnection of the supply piping at the fixture is an acceptable drain.

2.7.2 </TAI><TAI OPT=PIPING>3.1.3 Joints

Installation of pipe and fittings shall be made in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Joints shall be made up with fittings of compatible material and made for the specific purpose intended.

2.7.2.1 Unions and Flanges

Unions, flanges and mechanical couplings shall not be concealed in walls, ceilings, or partitions. Unions shall be used on pipe sizes 2 inches and smaller; flanges shall be used on pipe sizes 2-1/2 inches and larger.

2.7.2.2 Copper Tube and Pipe

The tube or fittings shall not be annealed when making connections. Connections shall be made with a multiframe torch.

- a. Soldered. Soldered joints shall be made with flux and are only acceptable for piping 2 inches and smaller. Soldered joints shall conform to [ASME B31.5](#) and [CDA Tube Handbook](#).

2.7.2.3 Other Joint Methods

2.7.3 Dissimilar Pipe Materials

Connections between ferrous and non-ferrous copper water pipe shall be made with dielectric unions or flange waterways. Connecting joints between plastic and metallic pipe shall be made with transition fitting for the specific purpose.

2.7.4 Pipe Sleeves and Flashing

Pipe sleeves shall be furnished and set in their proper and permanent location.

2.7.5 Fire Seal

Where pipes pass through fire walls, fire-partitions, fire-rated pipe chase walls or floors above grade, a fire seal shall be provided as specified in Section [07840 FIRESTOPPING](#).

2.7.6 Supports

2.7.6.1 General

Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while still supporting the load. Pipe guides and anchors shall be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Piping subjected to vertical movement when operating temperatures exceed ambient temperatures shall be supported by variable spring hangers and supports or by constant support hangers. In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run. Threaded sections of rods shall not be formed or bent.

2.7.6.2 Pipe Supports and Structural Bracing, Seismic Requirements

Piping and attached valves shall be supported and braced to resist seismic loads as specified in Sections [13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT](#) and [15070 SEISMIC PROTECTION FOR MECHANICAL EQUIPMENT](#) [as shown].

Structural steel required for reinforcement to properly support piping, headers, and equipment, but not shown, shall be provided. Material used for supports shall be as specified in Section 05120 STRUCTURAL STEEL.

2.7.6.3 Pipe Hangers, Inserts, and Supports

Installation of pipe hangers, inserts and supports shall conform to MSS SP-58 and MSS SP-69, except as modified herein.

- a. Types 5, 12, and 26 shall not be used.
- b. Type 3 shall not be used on insulated pipe.
- c. Type 19 and 23 C-clamps shall be torqued per MSS SP-69 and shall have both locknuts and retaining devices furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.
- d. Type 20 attachments used on angles and channels shall be furnished with an added malleable-iron heel plate or adapter.
- e. Type 24 may be used only on trapeze hanger systems or on fabricated frames.
- f. Type 40 shields shall:
 - (1) Be used on insulated pipe less than 4 inches.
 - (2) Be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or less.
 - (3) Have a high density insert for pipe 2 inches and larger and for smaller pipe sizes when the insulation is suspected of being visibly compressed, or distorted at or near the shield/insulation interface. High density inserts shall have a density of 8 pcf or greater.
- g. Horizontal pipe supports shall be spaced as specified in MSS SP-69 and a support shall be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports shall be spaced not over 5 feet apart at valves. Horizontal pipe runs shall include allowances for expansion and contraction.
- h. Vertical pipe shall be supported at each floor, except at slab-on-grade, at intervals of not more than 15 feet nor more than 8 feet from end of risers, and at vent terminations. Vertical pipe risers shall include allowances for expansion and contraction.
- i. Type 40 shields used on insulated pipe shall have high density inserts with a density of 8 pcf or greater.
- j. Pipe hangers on horizontal insulated pipe shall be the size of the outside diameter of the insulation. The insulation shall be continuous through the hanger on all pipe sizes and applications.

2.8 </TAI><TAI OPT=WATER HEATERS>3.2 WATER HEATERS AND HOT WATER STORAGE TANKS

2.8.1 Relief Valves

No valves shall be installed between a relief valve and its water heater or storage tank. The P&T relief valve shall be installed where the valve actuator comes in contact with the hottest water in the heater. Whenever possible, the relief valve shall be installed directly in a tapping in the tank or heater; otherwise, the P&T valve shall be installed in the hot-water outlet piping. A vacuum relief valve shall be provided on the cold water supply line to the hot-water storage tank or water heater and mounted above and within 6 inches above the top of the tank or water heater.

2.8.2 Installation of Gas-Fired Water Heater

Installation shall conform to **NFPA 54** for gas fired. Storage water heaters that are not equipped with integral heat traps and having vertical pipe risers shall be installed with heat traps directly on both the inlet and outlet. Circulating systems need not have heat traps installed. An acceptable heat trap may be a piping arrangement such as elbows connected so that the inlet and outlet piping make vertically upward runs of not less than 24 inches just before turning downward or directly horizontal into the water heater's inlet and outlet fittings. Commercially available heat traps, specifically designed by the manufacturer for the purpose of effectively restricting the natural tendency of hot water to rise through vertical inlet and outlet piping during standby periods may also be approved.

2.8.3 Heat Traps

Piping to and from each water heater and hot water storage tank shall be routed horizontally and downward a minimum of 2 feet before turning in an upward direction.

2.8.4 Connections to Water Heaters

Connections of metallic pipe to water heaters shall be made with dielectric unions or flanges.

2.9 </TAI>3.9 TESTS, FLUSHING AND DISINFECTION

2.9.1 <TAI OPT=PIPING>3.9.1 Plumbing System

The following tests shall be performed on the plumbing system in accordance with **NAPHCC Plumbing Code**.

- a. Drainage and Vent Systems Tests.
- b. Building Sewers Tests.
- c. Water Supply Systems Tests.

2.9.1.1 Test of Backflow Prevention Assemblies

Backflow prevention assembly shall be tested using gauges specifically designed for the testing of backflow prevention assemblies. Gauges shall be

tested annually for accuracy in accordance with the University of Southern California's Foundation of Cross Connection Control and Hydraulic Research or the American Water Works Association Manual of Cross Connection (Manual M-14). Report form for each assembly shall include, as a minimum, the following:

Data on Device	Data on Testing Firm
Type of Assembly	Name
Manufacturer	Address
Model Number	Certified Tester
Serial Number	Certified Tester No.
Size	Date of Test
Location	
Test Pressure Readings	Serial Number and Test Data of
Gauges	

If the unit fails to meet specified requirements, the unit shall be repaired and retested. Submit copy of all reports to Fort Stewart Environmental Branch.

2.9.2 </TAI><TAI OPT=PIPING>3.9.3 System Flushing

Before operational tests or disinfection, potable water piping system shall be flushed with potable water. In general, sufficient water shall be used to produce a minimum water velocity of 2.5 feet per second through piping being flushed. Flushing shall be continued until entrained dirt and other foreign materials have been removed and until discharge water shows no discoloration. System shall be drained at low points. Strainer screens shall be removed, cleaned, and replaced. After flushing and cleaning, systems shall be prepared for testing by immediately filling water piping with clean, fresh potable water. Any stoppage, discoloration, or other damage to the finish, furnishings, or parts of the building due to the Contractor's failure to properly clean the piping system shall be repaired by the Contractor. When the system flushing is complete, the hot-water system shall be adjusted for uniform circulation. Flushing devices and automatic control systems shall be adjusted for proper operation.

2.9.3 </TAI>3.9.4 Operational Test

Upon completion of flushing and prior to disinfection procedures, the Contractor shall subject the plumbing system to operating tests to demonstrate satisfactory functional and operational efficiency. Such operating tests shall cover a period of not less than 8 hours for each system and shall include the following information in a report with conclusion as to the adequacy of the system:

a. Time, date, and duration of test.

<TAI OPT=FIXTURES>b. Water pressures.

c. Start up and operation of each water heater system.</TAI>

d. Operation of each valve..

<TAI OPT=WATER HEATERS>e. Temperature of each domestic hot-water supply.</TAI>

f. Operation of each backflow preventer.

2.9.4 Disinfection

After operational tests are complete, the entire domestic hot- and cold-water distribution system shall be disinfected. System shall be flushed as specified, before introducing chlorinating material. <TAI OPT=PIPING>The chlorinating material shall be hypochlorites or liquid chlorine. Water chlorination procedure shall be in accordance with AWWA M20. The chlorinating material shall be fed into the water piping system at a constant rate at a concentration of at least 50 parts per million (ppm)</TAI>. A properly adjusted hypochlorite solution injected into the main with a hypochlorinator, or liquid chlorine injected into the main through a solution-feed chlorinator <TAI OPT=PUMPS>and booster pump</TAI>, shall be used. The chlorine residual shall be checked at intervals to ensure that the proper level is maintained. Chlorine application shall continue until the entire main is filled. The water shall remain in the system for a minimum of 24 hours. Each valve in the system being disinfected shall be opened and closed several times during the contact period to ensure its proper disinfection. Following the 24-hour period, no less than 25 ppm chlorine residual shall remain in the system. Water tanks shall be disinfected by the addition of chlorine directly to the filling water. Following a 6 hour period, no less than 50 ppm chlorine residual shall remain in the tank. If after the 24 hour and 6 hour holding periods, the residual solution contains less than 25 ppm and 50 ppm chlorine respectively, flush the piping and tank with potable water, and repeat the above procedures until the required residual chlorine levels are satisfied. The system including the tanks shall then be flushed with clean water until the residual chlorine level is reduced to less than one part per million. During the flushing period each valve and faucet shall be opened and closed several times. Samples of water in disinfected containers shall be obtained from several locations selected by the Contracting Officer. The samples of water shall be tested for total coliform organisms (coliform bacteria, fecal coliform, streptococcal, and other bacteria) in accordance with AWWA EWW. The testing method used shall be either the multiple-tube fermentation technique or the membrane-filter technique. Disinfection shall be repeated until tests indicate the absence of coliform organisms (zero mean coliform density per 100 milliliters) in the samples for at least 2 full days. The system will not be accepted until satisfactory bacteriological results have been obtained.

2.10 <TAI OPT=WATER HEATERS>3.12 PERFORMANCE OF WATER HEATING EQUIPMENT

Standard rating condition terms are as follows:

EF = Energy factor, overall efficiency.

ET = Thermal efficiency with 70 degrees F delta T.

EC = Combustion efficiency, 100 percent - flue loss when smoke = 0 (trace is permitted).

SL = Standby loss in W/sq. ft. based on 80 degrees F delta T, or in percent per hour based on nominal 90 degrees F delta T.

HL = Heat loss of tank surface area.

V = Storage volume in liters

2.10.1 Storage Water Heaters

2.10.1.1 Gas

- a. Storage capacity of 100 gallons or less, and input rating of 75,000 Btu/h or less: minimum EF shall be $0.62 - 0.0019V$ per 10 CFR 430.
- b. Storage capacity of more than 100 gallons - or input rating more than 75,000 Btu/h: Et shall be 77 percent; maximum SL shall be $1.3 + 38/V$, per ANSI Z21.10.3.

2.11 </TAI>

<TAI OPT=PIPING>3.13 TABLES

</TAI><TAI OPT=PRESSURE PIPING>

TABLE II
PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS

Item No.	Pipe and Fitting Materials	SERVICE	
		A	B

8	Seamless copper water tube, ASTM B 88, ASTM B 88M	X**	X**
10	Wrought copper and bronze solder-joint pressure fittings, ASME B16.22	X	X

A - Cold Water Aboveground
B - Hot Water 180 degrees F Maximum Aboveground
Indicated types are minimum wall thicknesses.
** - Type L - Hard

</TAI>

<TAI OPT=WATER HEATERS>

TABLE III
STANDARD RATING CONDITIONS AND MINIMUM PERFORMANCE RATINGS FOR WATER HEATING
EQUIPMENT

A. STORAGE WATER HEATERS

FUEL	STORAGE CAPACITY GALLONS	INPUT RATING	TEST PROCEDURE	REQUIRED
Gas	100 min.	OR 75,000 Btu/h	ANSI Z21.10.3	ET = 85 percent; SL = $1.3+38/V$ max.

TERMS:

EF = Energy factor, overall efficiency.
ET = Thermal efficiency with 70 degrees F delta T.
EC = Combustion efficiency, 100 percent - flue loss when smoke = 0
(trace is permitted).
SL = Standby loss in W/sq. ft. based on 80 degrees F delta T, or in
percent per hour based on nominal 90 degrees F delta T.
HL = Heat loss of tank surface area
V = Storage volume in gallons
</TAI>

SECTION 15556

FORCED HOT WATER HEATING SYSTEMS USING WATER AND STEAM HEAT EXCHANGERS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designations only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 47	(1990; R 1995) Ferritic Malleable Iron Castings
ASTM A 47M	(1990; R 1996) Ferritic Malleable Iron Castings (Metric)
ASTM A 53	(1998) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated Welded and Seamless
ASTM A 105/A 105M	(1998) Carbon Steel Forgings for Piping Applications
ASTM A 106	(1997a) Seamless Carbon Steel Pipe for High-Temperature Service
ASTM A 183	(1983; R 1998) Carbon Steel Track Bolts and Nuts
ASTM A 193/A 193M	(1998) Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service
ASTM A 234/A 234M	(1997) Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service
ASTM A 366/A 366M	(1997) Steel, Sheet, Carbon, Cold-Rolled, Commercial Quality
ASTM A 515/A 515M	(1997) Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service
ASTM A 516/A 516M	(1990; R 1996) Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service
ASTM A 536	(1984; R 1993) Ductile Iron Castings
ASTM A 569/A 569M	(1997) Commercial Steel (CS) Sheet and Strip, Carbon (0.15 Maximum Percent), Hot-Rolled

ASTM A 653/A 653M	(1997) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A 733	(1993) Welded and Seamless Carbon Steel and Austenitic Stainless Steel Pipe Nipples
ASTM B 32	(1996) Solder Metal
ASTM B 62	(1993) Composition Bronze or Ounce Metal Castings
ASTM B 75	(1997) Seamless Copper Tube
ASTM B 88	(1996) Seamless Copper Water Tube
ASTM B 88M	(1996) Seamless Copper Water Tube (Metric)
ASTM B 251	(1997) General Requirements for Wrought Seamless Copper and Copper-Alloy Tube
ASTM B 265	(1998) Titanium and Titanium Alloy Strip, Sheet, and Plate
ASTM B 333	(1998) Nickel-Molybdenum Alloy Plate, Sheet, and Strip
ASTM B 395	(1995) U-Bend Seamless Copper and Copper Alloy Heat Exchanger and Condenser Tubes
ASTM B 395M	(1995) U-Bend Seamless Copper and Copper Alloy Heat Exchanger and Condenser Tubes (Metric)
ASTM B 424	(1998) Ni-Fe-Cr-Mo-Cu Alloy (UNS N08825 and UNS N08221) Plate, Sheet, and Strip
ASTM B 650	(1995) Electrodeposited Engineering Chromium Coatings of Ferrous Substrates
ASTM B 687	(1996) Brass, Copper, and Chromium-Plated Pipe Nipples
ASTM B 813	(1993) Liquid and Paste Fluxes for Soldering Applications of Copper and Copper Alloy Tube
ASTM B 828	(1998) Making Capillary Joints by Soldering of Copper and Copper Alloy Tube and Fittings
ASTM D 596	(1991; R 1995) Reporting Results of Analysis of Water
ASTM D 1248	(1984, R 1989) Polyethylene Plastics Molding and Extrusion Materials
ASTM D 1384	(1997a) Corrosion Test for Engine Coolants in Glassware

ASTM D 2000	(1998a) Rubber Products in Automotive Applications
ASTM D 3308	(1997) PTFE Resin Skived Tape
ASME INTERNATIONAL (ASME)	
ASME B1.20.1	(1983; R 1992) Pipe Threads, General Purpose (Inch)
ASME B16.1	(1989) Cast Iron Pipe Flanges and Flanged Fittings
ASME B16.3	(1992) Malleable Iron Threaded Fittings
ASME B16.4	(1992) Gray Iron Threaded Fittings
ASME B16.5	(1996; B16.5a) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B16.11	(1996) Forged Fittings, Socket-Welding and Threaded
ASME B16.15	(1985; R 1994) Cast Bronze Threaded Fittings Classes 125 and 250
ASME B16.18	(1984; R 1994) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(1992) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(1995; B16.22a) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(1988) Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B16.34	(1997) Valves - Flanged, Threaded, and Welding End
ASME B16.39	(1986; R 1998) Malleable Iron Threaded Pipe Unions Classes 150, 250, and 300
ASME B31.1	(1998) Power Piping
ASME B40.1	(1991) Gauges - Pressure Indicating Dial Type - Elastic Element
ASME BPV VIII Div 1	(1998) Boiler and Pressure Vessel Code; Section VIII, Pressure Vessels Division 1 - Basic Coverage

ASME BPV IX (1998) Boiler and Pressure Vessel Code;
Section IX, Welding and Brazing
Qualifications

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C606 (1997) Grooved and Shouldered Joints

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8 (1992) Filler Metals for Brazing and Braze
Welding

EXPANSION JOINT MANUFACTURERS ASSOCIATION (EJMA)

EJMA Stds (1998; 7th Edition) EJMA Standards

HYDRONICS INSTITUTE (HYI)

HYI-01 (1998) I=B=R Ratings for Boilers, Baseboard
Radiation and Finned Tube (Commercial)
Radiation

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-25 (1998) Standard Marking System for Valves,
Fittings, Flanges and Unions

MSS SP-58 (1993) Pipe Hangers and Supports - Materials,
Design and Manufacture

MSS SP-69 (1996) Pipe Hangers and Supports - Selection
and Application

MSS SP-70 (1998) Cast Iron Gate Valves, Flanged and
Threaded Ends

MSS SP-71 (1997) Cast Iron Swing Check Valves, Flanges
and Threaded Ends

MSS SP-80 (1997) Bronze Gate, Globe, Angle and Check
Valves

MSS SP-85 (1994) Cast Iron Globe & Angle Valves,
Flanged and Threaded Ends

NATIONAL ASSOCIATION OF PLUMBING-HEATING-COOLING CONTRACTORS (NAPHCC)

NAPHCC Plumbing Code (1996) National Standard Plumbing Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1991) Enclosures for Electrical Equipment
(1000 Volts Maximum)

1.2 SYSTEM DESCRIPTION

Heat exchangers and high temperature heating water piping system within the building from connection to site distribution system. Piping for low temperature heating water systems is included in Section 15569. Piping for high temperature heating water system piping extended to the building is covered in Section 02555.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Spare Parts.

Spare parts data for each different item of material and equipment specified, after approval of the related submittals and not later than 1 months prior to the date of beneficial occupancy. The data shall include a complete list of parts and supplies, with current unit prices and source of supply.

Welding Procedures and Qualifications.

3 copies of qualified procedures and list of names and identification symbols of qualified welders and welding operators, prior to welding operations.

Drawings

Heating System.

Detail drawings consisting of a complete list of equipment and material, including manufacturer's descriptive and technical literature, performance charts and curves, catalog cuts, and installation instructions. Drawings shall also contain complete wiring and schematic diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Drawings shall show proposed layout and anchorage of equipment and appurtenances and equipment relationship to other parts of the work including clearances for maintenance and operation.

Reports

Performance Tests.

Performance test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall indicate the final position of controls.

Operation and Maintenance Manuals

Heating System.

Six copies of operation and six copies of maintenance manuals for the equipment furnished. One complete set, prior to performance testing and the remainder upon acceptance. Operating manuals shall detail the step-by-step

procedures required for system startup, operation, and shutdown. Operating manuals shall include the manufacturer's name, model number, parts list, and brief description of all equipment and their basic operating features. Maintenance manuals shall list routine maintenance procedures, water treatment procedures, possible breakdowns and repairs, and troubleshooting guides. Maintenance manuals shall include piping and equipment layout and simplified wiring and control diagrams of the system as installed. Manuals shall be provided prior to the field training course.

1.4 QUALIFICATIONS

Procedures and welders shall be qualified in accordance with the code under which the welding is specified to be accomplished.

1.5 DELIVERY AND STORAGE

All equipment delivered and placed in storage shall be stored with protection from the weather, excessive humidity and excessive temperature variation; and dirt, dust, or other contaminants.

1.6 FIELD MEASUREMENTS

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

2 PRODUCTS

2.1 GENERAL MATERIAL AND EQUIPMENT REQUIREMENTS

2.1.1 Standard Products

Materials and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of such products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Equipment shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

2.1.2 Nameplates

Each major item of equipment shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment.

2.1.3 Equipment Guards and Access

Belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact shall be fully enclosed or guarded in accordance with OSHA requirements. High temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard shall be properly guarded or covered with insulation of a type specified.

2.1.4 Asbestos Prohibition

Asbestos and asbestos-containing products shall not be used.

2.2 PIPING, TUBING, AND FITTINGS

2.2.1 General

Piping, tubing, and fittings shall be as follows:

- a. <TAI OPT=HIGH AND MEDIUM TEMPERATURE SYSTEMS>High temperature water piping shall be black steel, Schedule 40</TAI>.
- b. Vent piping shall be black steel, Schedule 40, with black malleable iron fittings.

2.2.2 <TAI OPT=HIGH AND MEDIUM TEMPERATURE SYSTEMS>2.2.3 High Temperature Water Piping

Piping shall be Type S for 1-1/2 inches and smaller, Type S or Type E for pipe 2 inches and larger, schedule 40 steel conforming to [ASTM A 53](#), Grade B; or to [ASTM A 106](#), Grade B.

2.2.3 </TAI>2.2.4 Gauge Piping

Black steel, [ASTM A 106](#), seamless, Grade A pipe shall be used for high temperature.

2.2.4 <TAI OPT=HIGH AND MEDIUM TEMPERATURE SYSTEMS>2.2.6 High Temperature Water Fittings

Fittings shall be steel welding fittings conforming in physical and chemical properties to [ASTM A 234/A 234M](#). Buttwelding fittings shall conform to [ASME B16.9](#). Socket welded fittings shall conform to [ASME B16.1](#). Screwed fittings, when required, shall be black forged steel, 2000-pound class, conforming to [ASME B16.11](#). Flanges shall be serrated or raised-faced type.

2.2.5 </TAI>2.2.9 Steel Pipe Fittings

Fittings shall have the manufacturer's trademark affixed in accordance with [MSS SP-25](#) so as to permanently identify the manufacturer.

2.2.5.1 Welded Fittings

Welded fittings shall conform to [ASTM A 234/A 234M](#) with WPA marking. Butt welded fittings shall conform to [ASME B16.9](#), and socket welded fittings shall conform to [ASME B16.11](#).

2.2.6 Steel Flanges

Flanged fittings including flanges, bolts, nuts, bolt patterns., etc. shall be in accordance with [ASME B16.5](#) class 150 and shall have the manufacturers trademark affixed in accordance with [MSS SP-25](#). Flange material shall conform to [ASTM A 105/A 105M](#). Flanges for high temperature water systems shall be serrated or raised-face type. Blind flange material shall conform to [ASTM A 516/A 516M](#) cold service and [ASTM A 515/A 515M](#) for hot service. Bolts shall be high strength or intermediate strength with material conforming to [ASTM A 193/A 193M](#).

2.2.7 Pipe Threads

Pipe threads shall conform to ASME B1.20.1.

2.2.8 Nipples

Nipples shall conform to ASTM A 733 or ASTM B 687, standard weight.

2.2.9 Unions

Unions shall conform to ASME B16.39, type to match adjacent piping.

2.3 MATERIALS AND ACCESSORIES

2.3.1 Thermometers

Thermometers shall have brass, malleable iron, or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a 9 inch scale, and thermometers shall have rigid stems with straight, angular, or inclined pattern. Mercury shall not be used for indicating fluid.

2.3.2 Gauges

Gauges shall conform to ASME B40.1.

2.3.3 Gaskets for Flanges

Composition gaskets shall conform to ASME B16.21. Gaskets shall be nonasbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type. Gaskets shall contain aramid fibers bonded with styrene butadiene rubber (SBR) or nitrile butadiene rubber (NBR). NBR binder shall be used for hydrocarbon service. Gaskets shall be suitable for pressure and temperatures of piping system.

2.3.4 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, and supports shall conform to MSS SP-58 and MSS SP-69. Provide miscellaneous steel as requested to meet standards and seismic restrain requirements.

2.4 <TAI OPT=HIGH AND MEDIUM TEMPERATURE SYSTEMS>2.5 VALVES FOR HIGH AND MEDIUM TEMPERATURE WATER SYSTEMS

2.4.1 Check Valves

Sizes 2 inches and less, bronze shall conform to MSS SP-80, Class 300 minimum. Sizes 2-1/2 inches through 24 inches, steel shall conform to ASME B16.34, Class 300 minimum, flanged ends, swing disc; water, oil gas or steam service to 850 degrees F.

2.4.2 Globe Valves

Sizes 2 inches and less, bronze shall conform to MSS SP-80, Type 1, 2 or 3, Class 300 minimum. Sizes 2-1/2 inches through 24 inches, steel shall conform to ASME B16.34, Class 300 minimum, flanged ends; water, oil, gas, or steam service to 850 degrees F.

2.4.3 Angle Valves

Sizes 2 inches and less, bronze shall conform to **MSS SP-80**, Type 1, 2 or 3, Class 300 minimum. Sizes 2-1/2 inches through 24 inches, steel shall conform to **ASME B16.34**, Class 300 minimum, flanged ends; water, oil, gas, or steam service to 850 degrees F.

2.4.4 Gate Valves

Sizes 2 inches and less, bronze shall conform to **MSS SP-80**, Type 1, or 2, Class 300 minimum. Sizes 2-1/2 inches through 24 inches, steel shall conform to **ASME B16.34**, Class 300 minimum, flanged ends; water, oil, gas or steam service to 850 degrees F. Gate shall be split wedge (double disc) type.

2.4.5 Ball Valves

Ball valves are covered in Section **02553**, HEAT DISTRIBUTION SYSTEMS IN CONCRETE TRENCHES.

2.5 </TAI>2.11 HEAT EXCHANGERS

Heat exchangers shall be multiple pass shell and U-tube type as indicated, to provide low temperature hot water for the heating system when supplied with <TAI OPT=HIGH AND MEDIUM TEMPERATURE SYSTEMS>high temperature hot water</TAI> at the temperatures and pressures indicated. Temperature and pressure for shell and U-tube exchangers shall not exceed 338 degrees F and 100 psig for steam or 430 degrees F and 400 psig for high temperature hot water. Exchangers shall be constructed in accordance with **ASME BPV VIII Div 1** and certified with ASME stamp secured to unit. U-tube bundles shall be completely removable for cleaning and tube replacement and shall be free to expand with shell. Shells shall be of seamless steel pipe or welded steel construction and tubes shall be seamless tubing as specified below unless otherwise indicated. Tube connections to plates shall be leakproof. Saddles or cradles shall be provided to mount shell and U-tube exchangers. Frames of plate and frame type exchangers shall be fabricated of carbon steel and finished with baked epoxy enamel. Design fouling factor shall be .0005.

2.5.1 <TAI OPT=HIGH AND MEDIUM TEMPERATURE SYSTEMS>2.11.2 High Temperature Water Heat Exchangers, Shell and U-tube Type

Exchangers shall operate with low temperature water in shell and high temperature water in tubes. Shell side shall be designed for 150 psig working pressure and factory tested at 300 psig. Tubes shall be designed for 400 psig working pressure and an operating temperature of 450 degrees F. High and low temperature water and pressure relief connections shall be located in accordance with the manufacturer's standard practice. Water connections larger than 2-1/2 inches shall be ASME 600 pound flanged for high temperature water, and ASME 150 pound flanged for low temperature water. Water pressure loss through clean tubes shall not exceed 5 ft. water unless otherwise indicated. Minimum water velocity in tubes shall be 1 fps and assure turbulent flow. Tubes shall be cupronickel or inhibited admiralty, constructed in accordance with **ASTM B 395**, **ASTM B 395M**, suitable for the temperatures and pressures specified. Tubes shall be not less than 3/4 inch unless otherwise indicated.

2.6 </TAI>2.12 SYSTEM EQUIPMENT AND ACCESSORIES

2.6.1 Pressure Gauges and Thermometers

Gauges shall be provided for each heat exchanger and piping as indicated. A thermometer and pressure gauge shall be provided on the high temperature water supply and return mains. Thermometers shall be separable socket type.

2.6.2 Pressure Relief Valves

One or more pressure relief valves shall be provided for each heat exchanger in accordance with ASME BPV VIII Div 1. The aggregate relieving capacity of the relief valves shall be not less than that required by the above code. Discharge from the valves shall be installed as indicated. Pressure relief valves for steam heat exchangers shall be located on the low temperature water supply coming from near the heat exchanger as indicated. Relief valves for high temperature water heat exchanger shall be installed on the heat exchanger shell.

2.6.3 Drains

A drain connection with 3/4 inch hose bib shall be installed at the lowest point in the low temperature water return main near the heat exchanger. In addition, threaded drain connections with threaded cap or plug shall be installed wherever required for thorough draining of the low temperature water system.

2.6.4 Strainers

Basket or Y-type strainer-body connections shall be the same size as the pipe lines in which the connections are installed. The bodies shall have arrows clearly cast on the sides to indicate the direction of flow. Each strainer shall be equipped with an easily removable cover and sediment basket. The body or bottom opening shall be equipped with nipple and gate valve for blowdown. The basket for steam systems shall be of not less than 0.025 inch thick stainless steel, or monel with small perforations of sufficient number to provide a net free area through the basket of at least 2.5 times that of the entering pipe. The flow shall be into the basket and out through the perforations. For high temperature water systems, only cast steel bodies shall be used.

2.7 INSULATION

Shop and field applied insulation shall be as specified in Section 15080 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3 EXECUTION

3.1 INSTALLATION

All work shall be installed as indicated and in accordance with the manufacturer's diagrams and recommendations.

3.2 COLOR CODE MARKING AND FIELD PAINTING

Color code marking, field painting of exposed pipe, and field painting of factory primed equipment shall be as specified in Section 09900 PAINTING, GENERAL.

3.3 WELDING

Piping shall be welded in accordance with qualified procedures using performance qualified welders and welding operators. Procedures and welders shall be qualified in accordance with ASME BPV IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.1. The Contracting Officer shall be notified 24 hours in advance of tests and the tests shall be performed at the work site if practical. The welder or welding operator shall apply his assigned symbol near each weld he makes as a permanent record. Welding and nondestructive testing procedures for piping shall be as specified in Section 05093 WELDING, PRESSURE PIPING.

3.4 PIPING

Unless otherwise specified, pipe and fittings installation shall conform to the requirements of ASME B31.1. Pipe shall be cut accurately to measurements established at the job site and worked into place without springing or forcing, completely clearing all windows, doors, and other openings. Cuttings or other weakening of the building structure to facilitate piping installation will not be permitted without written approval. Pipe or tubing shall be cut square, shall have burrs removed by reaming, and shall be so installed as to permit free expansion and contraction without causing damage to building structure, pipe, joints, or hangers. Changes in direction shall be made with factory made fittings. Vent pipes shall be installed through the roof as indicated and shall be flashed as specified. Horizontal mains shall pitch up or down in the direction of flow as indicated. The grade shall be not less than 1 inch in 40 feet. Reducing fittings shall be used for changes in pipe sizes. Open ends of pipelines and equipment shall be capped or plugged during installation to keep dirt or other foreign materials out of the systems. Pipe not otherwise specified shall be uncoated.

3.4.1 Joints

Except as otherwise specified, joints used on steel pipe shall be threaded for fittings 1 inch and smaller; threaded or welded for 1-1/4 inches up through 2 inches; and flanged or welded for 2-1/2 inches and larger. Pipe and fittings 1-1/4 inches and larger installed in inaccessible conduits or trenches beneath concrete floor slabs shall be welded. Unless otherwise specified, connections to equipment shall be made with black malleable iron unions for pipe 2 inches or smaller in diameter, and with flanges for pipe 2-1/2 inches or larger in diameter.

3.4.2 High And Medium Temperature Systems

High temperature systems shall have welded joints to the maximum extent practicable, except screwed joints and fittings may be used at connections to equipment and on piping 2 inches and smaller. Equipment connections 2-1/2 inches and larger shall be flanged. Piping connections 2-1/2 inches and larger may be welded or flanged. In horizontal lines, reducing fittings shall be the eccentric type to maintain the tops of adjoining pipes at the same level. Grooved mechanical joints shall not be used.

3.4.3 Threaded Joints

Threaded joints shall be made with tapered threads properly cut, and shall be made tight with PTFE tape complying with ASTM D 3308, or equivalent thread joint compound applied to the male threads only, and in no case to the fittings.

3.4.4 Welded Joints

Joints shall be fusion-welded unless otherwise required. Changes in direction of piping shall be made with welding fittings only. Branch connection may be made with either welding tees or branch outlet fittings. Branch outlet fittings shall be forged, flared for improvement of flow where attached to the run, and reinforced against external strains.

3.4.5 Flanged Joints or Unions

Flanged joints or unions shall be provided in each line immediately preceding the connection to each piece of equipment or material requiring maintenance such as heat exchangers, pumps, control valves, and similar items. Flanged joints shall be faced true, provided with gaskets, and made square and tight.

3.5 CONNECTIONS TO EQUIPMENT

Supply and return connections shall be provided by the Contractor unless otherwise indicated. Valves shall be installed in accordance with the manufacturer's recommendations. Unless otherwise indicated, the size of the supply and return pipes to each piece of equipment shall be not smaller than the connections on the equipment. No bushed connections shall be permitted. Change in sizes shall be made with reducers or increasers only.

3.5.1 High And Medium Temperature Water Connections

Connections shall be made with 2000 pound black malleable iron unions for pipe 3/4 inch or less in diameter and with flanges for pipe 1 inch and larger in diameter.

3.6 BRANCH CONNECTIONS

Branches shall pitch up or down as indicated, unless otherwise specified. Connection shall be made to insure unrestricted circulation, eliminate air pockets, and permit drainage of the system.

3.6.1 High And Medium Temperature Water Branches

Branches shall take off at 45 degrees in the direction of the fluid flow from the supply and return lines and should be branched from the top or upper half of the main line unless otherwise indicated. Abrupt reduction in pipe sizes shall be avoided.

3.7 RISERS

The location of risers is approximate. Exact locations of the risers shall be as approved.

3.8 SUPPORTS

3.8.1 General

Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while supporting the load. Pipe guides and anchors shall be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. All piping subjected to vertical movement when operating temperatures exceed ambient temperatures, shall be supported by variable spring hangers and supports or by constant support hangers. Where threaded rods are used for support, they shall not be formed or bent.

3.8.1.1 Seismic Requirements for Pipe Supports, Standard Bracing

All piping and attached valves shall be supported and braced to resist seismic loads as specified under Sections 13080, SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT and 15070, SEISMIC PROTECTION FOR MECHANICAL EQUIPMENT. Structural steel required for reinforcement to properly support piping, headers, and equipment but not shown shall be provided under this section. Material used for supports shall be as specified under Section 05500.

3.8.1.2 Structural Attachments

Structural steel brackets required to support piping, headers, and equipment, but not shown, shall be provided under this section. Material and installation shall be as specified under Section 05500. Pipe hanger loads suspended from steel joist panel points shall not exceed 50 pounds. Loads exceeding 50 pounds shall be suspended from panel points.

3.8.1.3 Multiple Pipe Runs

In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for any individual pipe in the multiple pipe run.

3.8.2 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts and supports shall conform to MSS SP-58 and MSS SP-69, except as specified as follows:

- a. Types 5, 12, and 26 shall not be used.
- b. Type 3 shall not be used on insulated pipe which has a vapor barrier. Type 3 may be used on insulated pipe that does not have a vapor barrier if clamped directly to the pipe and if the clamp bottom does not extend through the insulation and the top clamp attachment does not contact the insulation during pipe movement.
- c. Type 18 inserts shall be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for Type 18 inserts.
- d. Type 19 and 23 C-clamps shall be torqued per MSS SP-69 and have both locknuts and retaining devices, furnished by the manufacturer.

Field-fabricated C-clamp bodies or retaining devices are not acceptable.

- e. Type 20 attachments used on angles and channels shall be furnished with an added malleable iron heel plate or adapter.
- f. Type 24 may be used only on trapeze hanger systems or on fabricated frames.
- g. Where Type 39 saddle or Type 40 shield are permitted for a particular pipe attachment application, the Type 39 saddle shall be used on all pipe 4 inches and larger.
- h. Horizontal pipe supports shall be spaced as specified in **MSS SP-69** and a support shall be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports shall be spaced not over 5 feet apart at valves.
- i. Vertical pipe shall be supported at each floor, except at slab-on-grade, and at intervals of not more than 15 feet, except that pipe shall be supported not more than 8 feet from end of risers, and at vent terminations.
- j. Type 35 guides using steel, reinforced PTFE or graphite slides shall be provided where required to allow longitudinal pipe movement. Lateral restraints shall be provided as required. Slide materials shall be suitable for the system operating temperatures, atmospheric conditions and bearing loads encountered. Where steel slides do not require provision for restraint or lateral movement, an alternate guide method may be used. On piping 4 inches and larger, a Type 39 saddle may be welded to the pipe and freely rest on a steel plate. On piping under 4 inches, a Type 40 protection shield may be attached to the pipe or insulation and freely rest on a steel slide plate. Where there are high system temperatures and welding to piping is not desirable, then the Type 35 guide shall include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe shall be separated from the slide material by at least 4 inches, or by an amount adequate for the insulation, which ever is greater.
- k. Except for Type 3, pipe hangers on horizontal insulated pipe shall be the size of the outside diameter of the insulation.

3.9 PIPE SLEEVES

3.9.1 Pipe Passing Through Concrete or Masonry

Pipe passing through concrete or masonry walls or concrete floors or roofs shall be provided with pipe sleeves fitted into place at the time of construction. Sleeves shall not be installed in structural members except where indicated or approved. Rectangular and square openings shall be as detailed. Each sleeve shall extend through its respective wall, floor, or roof, and shall be cut flush with each surface. Unless otherwise indicated, sleeves shall provide a minimum of 1/4 inch annular space between bare pipe or insulation surface and sleeves. Sleeves in bearing walls, waterproofing membrane floors, and wet areas shall be steel pipe or cast iron pipe. Sleeves in nonbearing walls, floors, or ceilings may be steel pipe, cast

iron pipe, or galvanized sheet metal with lock-type longitudinal seam and of the metal thickness indicated. Except in pipe chases or interior walls, the annular space between pipe and sleeve or between jacket over insulation and sleeve in nonfire rated walls and floors shall be sealed as indicated and specified in Section 07900 JOINT SEALING. Penetrations in fire walls and floors shall be sealed in accordance with Section 07840 FIRESTOPPING.

3.9.2 Pipes Passing Through Waterproofing Membranes

Pipes passing through waterproofing membranes shall be installed through a 4 pound lead-flashing sleeve, a 16 ounce copper sleeve, or a 0.032 inch thick aluminum sleeve, each having an integral skirt or flange. Flashing sleeve shall be suitably formed, and the skirt or flange shall extend 8 inches or more from the pipe and shall be set over the roof or floor membrane in a troweled coating of bituminous cement. The flashing sleeve shall extend up the pipe a minimum of 2 inches above the highest flood level of the roof or a minimum of 10 inches above the roof, whichever is greater, or 10 inches above the floor. The annular space between the flashing sleeve and the bare pipe or between the flashing sleeve and the metal-jacket-covered insulation shall be sealed as indicated. At the Contractor's option, pipes up to and including 10 inches in diameter passing through roof or floor waterproofing membrane may be installed through a cast iron sleeve with caulking recess, anchor lugs, flashing clamp device, and pressure ring with brass bolts. Waterproofing membrane shall be clamped into place and sealant shall be placed in the caulking recess.

3.9.3 Fire Seal

Where pipes pass through fire walls, fire partitions, fire rated pipe chase walls or floors above grade, a fire seal shall be provided as specified in Section 07840 FIRESTOPPING.

3.9.4 Escutcheons

Escutcheons shall be provided at all finished surfaces where exposed piping, bare or covered, passes through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Escutcheons shall be fastened securely to pipe sleeves or to extensions of sleeves without any part of sleeves being visible. Where sleeves project slightly from floors, special deep-type escutcheons shall be used. Escutcheons shall be chromium-plated iron or chromium-plated brass, either one-piece or split pattern, held in place by internal spring tension or setscrew.

3.10 ANCHORS

Anchors shall be provided where necessary or indicated to localize expansion or prevent undue strain on piping. Anchors shall consist of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Anchor braces shall be installed using turnbuckles where required. Supports, anchors, or stays shall not be attached in places where construction will be damaged by installation operations or by the weight or expansion of the pipeline.

3.11 PIPE EXPANSION

The expansion of supply and return pipes shall be provided for by changes in the direction of the run of pipe or by expansion loops, as indicated. Low

temperature water and steam expansion joints may be one of the types specified.

3.11.1 Expansion Loops

Expansion loops shall provide adequate expansion of the main straight runs of the system within the stress limits specified in ASME B31.1. The loops shall be cold-sprung and installed where indicated. Pipe guides shall be provided as indicated.

3.12 VALVES AND EQUIPMENT ACCESSORIES

3.12.1 Valves and Equipment

Valves shall be installed at the locations shown or specified, and where required for the proper functioning of the system as directed. Gate valves shall be used unless otherwise indicated, specified, or directed. Valves shall be installed with their stems horizontal to or above the main body of the valve. Valves used with ferrous piping shall have threaded or flanged ends and sweat-type connections for copper tubing.

3.12.2 Thermometer Socket

A thermometer well shall be provided in each return line for each circuit in multicircuit systems.

3.12.3 Air Vents

Vents shall be installed where indicated, and on all high points and piping offsets where air can collect or pocket.

3.12.3.1 Water Air Vents

High temperature water air vents shall be as indicated. Vent discharge lines shall be double-valved with globe valves and shall discharge into a funnel drain.

3.13 INSULATION

Thickness of insulation materials for piping and equipment and application shall be in accordance with Section 15080 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3.14 TESTING AND CLEANING

3.14.1 Pressure Testing

The Contractor shall notify the Contracting Officer 2 days before the tests are to be conducted. The tests shall be performed in the presence of the Contracting Officer. The Contractor shall furnish all instruments and personnel required for the tests. Electricity, steam, and water will be furnished by the Government. All test results shall be accepted before thermal insulation is installed. The entire low temperature heating system, including heat exchanger, radiators and fittings, shall be hydrostatically tested and proved tight under a pressure of 45 psig for a period of four hours.

3.14.2 Test of Backflow Prevention Assemblies

Backflow prevention assemblies shall be tested in accordance with Section 15400 PLUMBING, GENERAL PURPOSE.

3.14.3 Cleaning

After the hydrostatic and backflow prevention tests have been made and prior to the operating tests, the heat exchanger and piping shall be thoroughly cleaned by filling the system with a solution of 1 pound of caustic soda or 1 pound of trisodium phosphate per 50 gallons of water. Observe the proper safety precautions in the handling and use of these chemicals. The water shall be heated to approximately 150 degrees F, and the solution circulated in the system for a period of 48 hours, then drained and the system thoroughly flushed out with fresh water. Equipment shall be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. The Contractor shall be responsible for maintaining the system in a clean condition until final acceptance. Bearings shall be lubricated with oil or grease as recommended by the manufacturer.

3.15 TESTING, ADJUSTING AND BALANCING

Except as specified herein, testing, adjusting, and balancing shall be in accordance with Section 15990 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

3.16 MANUFACTURER'S SERVICES

Services of a manufacturer's representative who is experienced in the installation, adjustment, and operation of the equipment specified shall be provided. The representative shall supervise the installation, adjustment, and testing of the equipment.

3.17 FRAMED INSTRUCTIONS

Framed instructions containing wiring and control diagrams under glass or in laminated plastic shall be posted where directed. Condensed operating instructions, prepared in typed form, shall be framed as specified above and posted beside the diagrams. The framed instructions shall be posted before acceptance testing of the system.

3.18 FIELD TRAINING

A field training course shall be provided for designated operating and maintenance staff members. Training shall be provided for a total period of 8 hours of normal working time and shall start after the system is functionally complete but prior to final acceptance tests. Field training shall cover all of the items contained in the approved operation and maintenance manuals.

SECTION 15951

DIRECT DIGITAL CONTROL FOR HVAC

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION (AMCA)

AMCA Std 500 (11989; Rev994) Test Methods for Louvers, Dampers and Shutters

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1 (1995) Code for Electricity Metering

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 269 (1996) Seamless and Welded Austenitic Stainless Steel Tubing for General Service

ASTM B 88 (1996) Seamless Copper Water Tube

ASTM B 88M (1996) Seamless Copper Water Tube (Metric)

ASTM D 635 (1997) Rate of Burning and/or Extent and Time of Burning of Self-Supporting Plastics in a Horizontal Position

ASTM D 1693 (1997a) Environmental Stress-Cracking of Ethylene Plastics

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.34 (199; B16.34a) Valves - Flanged, Threaded, and Welding End

ASME B40.1 (1991) Gauges - Pressure Indicating Dial Type - Elastic Element

ASME BPV VIII Div I (1998) Boiler and Pressure Vessel Code; Section VIII, Pressure Vessels Division 1 - Basic Coverage

ELECTRONIC INDUSTRIES ASSOCIATION (EIA)

EIA ANSI/EIA/TIA 232-F (1991) Interface Between Data Technical Equipment and Data Circuit-Terminating Equipment Employing Serial Binary Data Interchange

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41 (1991; R 1995) Surge Voltages in Low-Voltage AC Power Circuits

IEEE Std 142 (1991) IEEE Recommended Practice for Grounding of Industrial and Commercial Power Systems

INSTRUMENT SOCIETY OF AMERICA (ISA)

ISA S7.0.01 (1996) Quality Standard for Instrument Air

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1991) Enclosures for Electrical Equipment (1000 Volts Maximum)

NEMA ICS 1 (1993) Industrial Control and Systems

NEMA ST 1 (1988) Specialty Transformers (Except General-Purpose Type)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (1999) National Electrical Code

NFPA 90A (1996) Installation of Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 94 (1996; Rev thru Jul 1998) Tests for Flammability of Plastic Materials for Parts in Devices and Appliances

UL 268A (1998) Smoke Detectors for Duct Application

UL 508 (1993; Rev thru Oct 1997) Industrial Control Equipment

UL 555S (1996) Leakage Rated Dampers for Use in Smoke Control Systems

1.2 GENERAL REQUIREMENTS

The direct digital control (DDC) shall be an extension of the existing base system suitable for the heating, ventilating and air-conditioning (HVAC) system.

1.2.1 Nameplates, Lens Caps, and Tags

Nameplates and lens caps bearing legends as shown and tags bearing device-unique identifiers as shown shall have engraved or stamped characters. A plastic or metal tag shall be mechanically attached directly to each device or attached by a metal chain or wire.

1.2.2 Verification of Dimensions

After becoming familiar with all details of the work, the Contractor shall verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing any work.

1.2.3 Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. The Contractor shall carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, shall arrange such work accordingly, and shall furnish all work necessary to meet such conditions.

1.2.4 Power-Line Surge Protection

Equipment connected to ac circuits shall be protected from power-line surges. Equipment protection shall meet the requirements of [IEEE C62.41](#). Fuses shall not be used for surge protection.

1.2.5 Surge Protection for Transmitter and Control Wiring

DDC system control-panel equipment shall be protected against surges induced on control and transmitter wiring installed outside and as shown. The equipment protection shall be tested in the normal mode and in the common mode, using the following two waveforms:

a. A 10-microsecond by 1,000-microsecond waveform with a peak voltage of 1,500 volts and a peak current of 60 amperes.

b. An eight microsecond by 20-microsecond waveform with a peak voltage of 1,000 volts and a peak current of 500 amperes.

1.2.6 System Overall Reliability Requirement

The system shall be configured and installed to yield a mean time between failure (MTBF) of at least 40,000 hours. Each DDC controller shall be designed, configured, installed and programmed to provide for stand alone operation with minimal performance degradation on failure of other system components to which it is connected or with which it communicates.

1.2.7 DDC System Network Accessibility

Where the systems to be controlled by the DDC system are located in multiple mechanical rooms, each mechanical room shall have at least one communication port for the portable workstation/tester. DDC controllers shall be located in the same room as the equipment being controlled or in an adjacent space which has direct access to the equipment room.

1.2.8 System Accuracy and Display

The system shall maintain an end-to-end accuracy for one year from sensor to operator's console display for the applications specified and shall display the value as specified. Each temperature shall be displayed and printed to nearest 0.1 degree F.

1.2.8.1 Outside Air Temperature

Outside air (OA) temperature with a range of minus 30 to plus 130 degrees F plus or minus 2 degrees F; with a subrange of 30 to 100 degrees F plus or minus 1 degree F.

1.2.8.2 Water Temperature

Water temperature with a range of 30 to 100 degrees F plus or minus 0.75 degree F; the range of 100 to 250 degrees F plus or minus 2 degrees F; and water temperatures for the purpose of performing Btu calculations using differential temperatures to plus or minus 0.5 degree F using matched sensors.

1.2.8.3 High Temperature

High temperature with a range of 200 to 500 degrees F plus or minus 2.0 degrees F.

1.2.8.4 Pressure

Pressure with a range for the specific application plus or minus 2.0 percent of range (display and print to nearest psi.)

1.2.8.5 Flow

Flow with a range for the specific application plus or minus 3.0 percent of range, and flows for the purpose of thermal calculations to plus or minus 2.0 percent of actual flow (display and print to nearest unit, such as gallons per minute).

1.2.8.6 KWh and kW Demand

KWh and kW demand with a range for the specific application plus or minus 1.0 percent of reading (display and print to nearest kWh or kW).

1.2.8.7 Analog Value Input

An analog value input to the system's equipment via an AI with a maximum error of 0.50 percent of range, not including the sensor or transmitter error. This accuracy shall be maintained over the specified environmental conditions.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Drawings

HVAC Control System.

Drawings shall be on 34 by 22 inch sheets in the form and arrangement shown. The drawings shall use the same abbreviations, symbols, nomenclature and identifiers shown. Each control system element on a drawing shall have a unique identifier as shown. The HVAC Control System Drawings shall be delivered together as a complete submittal. Deviations must be approved by the Contracting Officer. Drawings shall be submitted along with Submittal SD-01, Data.

a. HVAC Control System Drawings shall include the following:

Sheet One: Drawing Index, HVAC Control System Legend.
Sheet Two: Valve Schedule, Damper Schedule.
Sheet Four: Control System Schematic and Equipment Schedule.
Sheet Five: Sequence of Operation and Data Terminal Strip Layout.
Sheet Six: Control Loop Wiring Diagrams.
Sheet Seven: Motor Starter and Relay Wiring Diagram.
Sheet Eight: Communication Network and Block Diagram.
Sheet Nine: DDC Panel Installation and Block Diagram.

b. The HVAC Control System Drawing Index shall show the name and number of the building, military site, State or other similar designation, and Country. The Drawing Index shall list HVAC Control System Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. The HVAC Control System Legend shall show generic symbols and the name of devices shown on the HVAC Control System Drawings.

c. The valve schedule shall include each valve's unique identifier, size, flow coefficient Cv, pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure data, dimensions, and access and clearance requirements data. Valve schedules may be submitted in advance but shall be included in the complete submittal.

d. The damper schedule shall contain each damper's and each actuator's identifier, nominal and actual sizes, orientation of axis and frame, direction of blade rotation, spring ranges, operation rate, positive positioner ranges, locations of actuators and damper end switches, arrangement of sections in multi-section dampers, and methods of connecting dampers, actuators, and linkages. The Damper Schedule shall include the maximum leakage rate at the operating static-pressure differential. The Damper Schedule shall contain actuator selection data supported by calculations of the torque required to move and seal the dampers, access and clearance requirements. Damper schedules may be submitted in advance but shall be included in the complete submittal.

f. The HVAC control system schematics shall be in the form shown, and shall show all control and mechanical devices associated with the HVAC system. A system schematic drawing shall be submitted for each HVAC system.

g. The HVAC control system equipment Schedule shall be in the form shown. All devices shown on the drawings having unique identifiers shall be referenced in the equipment schedule. Information to be included in the equipment schedule shall be the control loop, device unique identifier, device function, setpoint, input range, and additional important parameters (i.e., output range). An equipment schedule shall be submitted for each HVAC system.

h. The HVAC control system sequence of operation shall reflect the language and format of this specification, and shall refer to the devices by their unique identifiers as shown. No operational deviations from specified sequences will be permitted without prior written approval of the Contracting Officer. Sequences of operation shall be submitted for each HVAC control system including each type of terminal unit control system.

i. The HVAC control system wiring diagrams shall be functional wiring diagrams which show the interconnection of conductors and cables to HVAC control panel terminal blocks and to the identified terminals of devices, starters and package equipment. The wiring diagrams shall show necessary jumpers and ground connections. The wiring diagrams shall show the labels of all conductors. Sources of power required for HVAC control systems and for packaged equipment control systems shall be identified back to the panel board circuit breaker number, HVAC system control panel, magnetic starter, or packaged equipment control circuit. Each power supply and transformer not integral to a controller, starter, or packaged equipment shall be shown. The connected volt-ampere load and the power supply volt-ampere rating shall be shown. Wiring diagrams shall be submitted for each HVAC control system.

Statements

Commissioning Procedures.

Six copies of the HVAC control system commissioning procedures, in booklet form and indexed, 60 days prior to the scheduled start of commissioning. Commissioning procedures shall be provided for each HVAC control system, and for each type of terminal unit control system. The Commissioning procedures shall reflect the format and language of this specification, and refer to devices by their unique identifiers as shown. The Commissioning procedures shall be specific for each HVAC system, and shall give detailed step-by-step procedures for commissioning of the system.

a. The Commissioning procedures shall include detailed, product specific set-up procedures, configuration procedures, adjustment procedures, and calibration procedures for each device. Where the detailed product specific commissioning procedures are included in manufacturer supplied manuals, reference may be made in the HVAC control system commissioning procedures to the manuals.

b. An HVAC control system commissioning procedures equipment list shall be included that lists the equipment to be used to accomplish commissioning. The list shall include manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration.

Performance Verification Test Procedures.

Six copies of the HVAC Control System Performance Verification Test Procedures, in booklet form and indexed, 60 days before the Contractor's scheduled test dates. The performance verification test procedures shall refer to the devices by their unique identifiers as shown, shall explain, step-by-step, the actions and expected results that will demonstrate that the HVAC control system performs in accordance with the sequences of operation, and other contract documents. An HVAC control system performance verification test equipment list shall be included that lists the equipment to be used during performance verification testing. The list shall include

manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration.

Training Course Materials.

An outline for the HVAC control system training course with a proposed time schedule. Approval of the planned training schedule shall be obtained from the Government at least 60 days prior to the start of the training. Six copies of HVAC control system training course material 30 days prior to the scheduled start of the training course. The training course material shall include the operation manual, maintenance and repair manual, and paper copies of overheads used in the course.

Reports

Commissioning Report.

Six copies of the HVAC Control System Commissioning Report, in booklet form and indexed, within 30 days after completion of the system commissioning. The commissioning report shall include data collected during the HVAC control system commissioning procedures and shall follow the format of the commissioning procedures. The commissioning report shall include all configuration checksheets with final values listed for all parameters, setpoints, P, I, D setting constants, calibration data for all devices, results of adjustments, and results of testing.

Performance Verification Test Report.

Six copies of the HVAC Control System Performance Verification Test Report, in booklet form and indexed, within 30 days after completion of the test. The HVAC control system performance verification test report shall include data collected during the HVAC control system performance verification test. The original copies of all data gathered during the performance verification test shall be turned over to the Government after Government approval of the test results.

Operation and Maintenance Manuals

Operation Manual. Maintenance and Repair Manual.

Six copies of the HVAC Control System Operation Manual and HVAC Control System Maintenance and Repair Manual, for each HVAC control system, 30 days before the date scheduled for the training course.

1.4 DELIVERY AND STORAGE

Products shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer. Dampers shall be stored so that seal integrity, blade alignment and frame alignment are maintained.

1.5 OPERATION MANUAL

An HVAC control system operation manual in indexed booklet form shall be provided for each HVAC control system. The operation manual shall include the HVAC control system sequence of operation, and procedures for the HVAC

system start-up, operation and shut-down. The operation manual shall include as-built HVAC control system detail drawings. The operation manual shall include the as-built configuration checksheets, the procedures for changing HVAC control system setpoints, and the procedures for placing HVAC system controllers in the manual control mode.

a. The procedures for changing HVAC control system setpoints shall describe the step-by-step procedures required to change the process variable setpoints, the alarm setpoints, the bias settings, and setpoint reset schedules.

b. The procedures for placing HVAC system controllers in the manual control mode shall describe step-by-step procedures required to obtain manual control of each controlled device and to manually adjust their positions.

1.6 MAINTENANCE AND REPAIR MANUAL

An HVAC control system maintenance and repair manual in indexed booklet form in hardback binders shall be provided for each HVAC control system. The maintenance and repair manual shall include the routine maintenance checklist, a recommended repair methods list, a list of recommended maintenance and repair tools, the qualified service organization list, the as-built commissioning procedures and report, the as-built performance verification test procedures and report, and the as-built equipment data booklet.

a. The routine maintenance checklist shall be arranged in a columnar format. The first column shall list all devices listed in the equipment compliance booklet, the second column shall state the maintenance activity or state no maintenance required, the third column shall state the frequency of the maintenance activity, and the fourth column for additional comments or reference.

b. The recommended repair methods list shall be arranged in a columnar format and shall list all devices in the equipment data compliance booklet and state the guidance on recommended repair methods, either field repair, factory repair, or whole-item replacement.

c. The as-built equipment data booklet shall include the equipment compliance booklet and manufacturer supplied user manuals and information.

d. If the operation manual and the maintenance and repair manual are provided in a common volume, they shall be clearly differentiated and separately indexed.

1.7 MAINTENANCE AND SERVICE

Services, materials and equipment shall be provided as necessary to maintain the entire system in an operational state as specified for a period of one year after successful completion and acceptance of the Performance Verification Test. Impacts on facility operations shall be minimized.

1.7.1 Description of Work

The adjustment and repair of the system shall include the manufacturer's required adjustments of computer equipment, software updates, transmission equipment and instrumentation and control devices.

1.7.2 Personnel

Service personnel shall be qualified to accomplish work promptly and satisfactorily. The Government shall be advised in writing of the name of the designated service representative, and of any changes in personnel.

1.7.3 Scheduled Inspections

Two inspections shall be performed at six-month intervals (or less if required by the manufacturer), and all work required shall be performed. Inspections shall be scheduled in June and December. These inspections shall include:

- a. Visual checks and operational tests of equipment.
- b. Fan checks and filter changes for control system equipment.
- c. Clean control system equipment including interior and exterior surfaces.
- d. Check and calibrate each field device. Check and calibrate 50 percent of the total analog points during the first inspection. Check and calibrate the remaining 50 percent of the analog points during the second major inspection. Certify analog test instrumentation accuracy to be twice that of the device being calibrated. Randomly check at least 25 percent of all digital points for proper operation during the first inspection. Randomly check at least 25 percent of the remaining digital points during the second inspection.
- e. Run system software diagnostics and correct diagnosed problems.
- f. Resolve any previous outstanding problems.

1.7.4 Scheduled Work

This work shall be performed during regular working hours, Monday through Friday, excluding legal holidays.

1.7.5 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel shall be available to provide service to the system. A telephone number where the service supervisor can be reached at all times shall be provided. Service personnel shall be at the site within 24 hours after receiving a request for service. The control system shall be restored to proper operating condition within three calendar days after receiving a request for service.

1.7.6 Operation

Scheduled adjustments and repairs shall include verification of the control system operation as demonstrated by the applicable tests of the performance verification test.

1.7.7 Records and Logs

Dated records and logs shall be kept of each task, with cumulative records for each major component, and for the complete system chronologically. A continuous log shall be maintained for all devices. The log shall contain initial analog span and zero calibration values and digital points. Complete logs shall be kept and shall be available for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

1.7.8 Work Requests

Each service call request shall be recorded as received and shall include the serial number identifying the component involved, its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. A record of the work performed shall be submitted within 5 days after work is accomplished.

1.7.9 System Modifications

Recommendations for system modification shall be submitted in writing. No system modifications, including operating parameters and control settings, shall be made without prior approval of the Government. Any modifications made to the system shall be incorporated into the operations and maintenance manuals, and other documentation affected.

1.7.10 Software

Updates to the software shall be provided for system, operating and application software, and operation in the system shall be verified. Updates shall be incorporated into operations and maintenance manuals, and software documentation. There shall be at least one scheduled update near the end of the first year's warranty period, at which time the latest released version of the Contractor's software shall be installed and validated.

2 PRODUCTS

2.1 GENERAL EQUIPMENT REQUIREMENTS

Units of the same type of equipment shall be products of a single manufacturer. Each major component of equipment shall have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment shall be standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products shall have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two years' use shall include applications of equipment and materials under similar circumstances and of similar size.

The two years' experience shall be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6,000 hours exclusive of the manufacturer's factory tests, can be shown. The equipment items shall be supported by a service organization. Items of the same type and purpose shall be identical, including equipment, assemblies, parts and components. Automatic temperature controls shall be direct digital controls that will provide the required sequence of operation.

2.1.1 Electrical and Electronic Devices

Electrical, electronic, and electropneumatic devices not located within a DDC panel shall have a NEMA ICS 1 enclosure in accordance with NEMA 250 unless otherwise shown.

2.1.2 Standard Signals

Except for air distribution terminal unit control equipment, the output of all analog transmitters and the analog input and output of all DDC controllers shall be 4-to-20 mA dc signals. The signal shall originate from current-sourcing devices and shall be received by current-sinking devices.

2.1.3 Ambient Temperature Limits

DDC panels shall have ambient condition ratings of 35 to 120 degrees F and 10 to 95 percent relative humidity, noncondensing. Devices installed outdoors shall operate within limit ratings of minus 35 to plus 150 degrees F. Instrumentation and control elements shall be rated for continuous operation under the ambient environmental temperature, pressure, humidity, and vibration conditions specified or normally encountered for the installed location.

2.2 WIRING

2.2.1 Terminal Blocks

Terminal blocks shall be insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, shall be suitable for rail mounting, and shall have end plates and partition plates for separation or shall have enclosed sides.

2.2.2 Control Wiring for 24-Volt Circuits

Control wiring for 24-volt circuits shall be 18 AWG minimum, stranded copper and shall be rated for 300-volt service.

2.2.3 Wiring for 120-Volt Circuits

Wiring for 120-volt circuits shall be 18 AWG minimum, stranded copper and shall be rated for 600-volt service.

2.2.4 Instrumentation Cable

Instrumentation cable shall be 18 AWG, stranded copper, single- or multiple-twisted, minimum 2 inch lay of twist, 100 percent shielded pairs, and shall

have a 300-volt insulation. Each pair shall have a 20 AWG tinned-copper drain wire and individual overall pair insulation. Cables shall have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.2.5 Transformers

Step down transformers shall be utilized where control equipment operates at lower than line circuit voltage. Transformers, other than transformers in bridge circuits, shall have primaries wound for the voltage available and secondaries wound for the correct control circuit voltage. Transformer shall be sized so that the connected load is 80 percent of the rated capacity or less. Transformers shall conform to [UL 508](#) and [NEMA ST 1](#).

2.3 ACTUATORS

Actuators shall be electric or electronic as shown and shall be provided with mounting and connecting hardware. Actuators shall fail to their spring-return positions on signal or power failure. The actuator stroke shall be limited in the direction of power stroke by an adjustable stop. Actuators shall have a visible position indicator. Actuators shall smoothly open or close the devices to which they are applied and shall have a full stroke response time of 90 seconds or less. Electric actuators shall have an oil-immersed gear train. Electric or electronic actuators operating in series shall have an auxiliary actuator driver. Electric or electronic actuators used in sequencing applications shall have an adjustable operating range and start point.

2.3.1 Valve Actuators

Valve actuators shall be selected to provide a minimum of 125 percent of the motive power necessary to operate the valve over its full range of operation.

2.4 AUTOMATIC CONTROL VALVES

Valves shall have stainless-steel stems and stuffing boxes with extended necks to clear the piping insulation. Unless otherwise stated, valves shall have globe style bodies. Valve bodies shall be designed for not less than 125 psig working pressure or 150 percent of the system operating pressure, whichever is greater. Valve leakage rating shall be 0.01 percent of rated Cv. Unless otherwise specified, bodies for valves 1-1/2 inches and smaller shall be brass or bronze, with threaded or union ends; bodies for 2 inch valves shall have threaded ends; and bodies for valves 2 to 3 inches shall be of brass, bronze or iron. Bodies for valves 2-1/2 inches and larger shall be provided with flanged-end connections. Valve Cv shall be within 100 to 125 percent of the Cv shown.

2.4.1 Two-Way Valves

Two-way modulating valves shall have equal-percentage characteristics.

2.4.2 Three-Way Valves

Three-way valves shall provide linear flow control with constant total flow throughout full plug travel.

2.4.3 Valves for Hot-Water and Dual Temperature Service

For hot water service below 250 degrees F and dual-temperature service, internal trim (including seats, seat rings, modulating plugs, and springs) of valves controlling water hotter than 210 degrees F shall be Type 316 stainless steel. Internal trim for valves controlling water 210 degrees F or less shall be brass or bronze. Nonmetallic parts of hot-water control valves shall be suitable for a minimum continuous operating temperature of 250 degrees F or 50 degrees F above the system design temperature, whichever is higher.

2.4.4 Valves for High-Temperature Hot-Water Service

For high-temperature hot water service above 250 Degrees F, valve bodies shall be rated ANSI Class 300, as specified in ASME B16.34. Valve and actuator combination shall be normally closed. Bodies shall be carbon steel, globe type with welded ends on valves 1 inch and larger. Valves smaller than 1 inch shall have socket-weld ends. Packing shall be virgin polytetrafluoroethylene (PTFE). Internal valve trim shall be Type 316 stainless steel. Valve Cv shall be within 100 to 125 percent of the Cv shown.

2.5 DAMPERS

2.5.1 Damper Assembly

A single damper section shall have blades no longer than 48 inches and shall be no higher than 72 inches. Maximum damper blade width shall be 8 inches. Larger sizes shall be made from a combination of sections. Dampers shall be steel, or other materials where shown. Flat blades shall be made rigid by folding the edges. Blade-operating linkages shall be within the frame so that blade-connecting devices within the same damper section shall not be located directly in the air stream. Damper axles shall be 0.5 inch minimum, plated steel rods supported in the damper frame by stainless steel or bronze bearings. Blades mounted vertically shall be supported by thrust bearings. Pressure drop through dampers shall not exceed 0.04 inch water gauge at 1,000 feet per minute in the wide-open position. Frames shall not be less than 2 inches in width. Dampers shall be tested in accordance with AMCA Std 500.

2.5.2 Operating Links

Operating links external to dampers, such as crankarms, connecting rods, and line shafting for transmitting motion from damper actuators to dampers, shall withstand a load equal to at least twice the maximum required damper-operating force. Rod lengths shall be adjustable. Links shall be brass, bronze, zinc-coated steel, or stainless steel. Working parts of joints and clevises shall be brass, bronze, or stainless steel. Adjustments of crankarms shall control the open and closed positions of dampers.

2.5.3 Damper Types

Dampers shall be parallel-blade type.

2.5.3.1 Mechanical and Electrical Space Ventilation Dampers

Mechanical and electrical space ventilation dampers shall be as shown. Dampers shall not leak in excess of 80 cfm square foot at 4 inches water gauge static pressure when closed. Dampers shall be rated at not less than 1,500 feet per minute air velocity.

2.5.4 Damper End Switches

Each end switch shall be a hermetically sealed switch with a trip lever and over-travel mechanism. The switch enclosure shall be suitable for mounting on the duct exterior and shall permit setting the position of the trip lever that actuates the switch. The trip lever shall be aligned with the damper blade.

2.6 INSTRUMENTATION

2.6.1 Measurements

Transmitters shall be calibrated to provide the following measurements, over the indicated ranges, for an output of 4 to 20 mAdc:

- a. High-temperature hot-water temperature, from 200 to 500 degrees F.
- b. Dual-temperature water, from 30 to 240 degrees F.
- d. Heating hot-water temperature, from 50 to 250 degrees F.
- d. Outside-air temperature, from minus 30 to 130 degrees F.

2.6.2 Temperature Instruments

2.6.2.1 Resistance Temperature Detectors (RTD)

Temperature sensors shall be 100 ohms 3- or 4-wire RTD. Each RTD shall be platinum with a tolerance of 0.54 degrees F at 32 degrees F with a temperature coefficient of resistance (TCR) of .00214 ohms/ohm/deg F and shall be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper. Each RTD shall be furnished with an RTD transmitter as specified, integrally mounted unless otherwise shown.

2.6.2.2 Continuous Averaging RTD

Continuous averaging RTDs shall have a tolerance of plus or minus 1.0 degree F at the reference temperature, and shall be of sufficient length to ensure that the resistance represents an average over the cross section in which it is installed. The sensing element shall have a bendable copper sheath. Each averaging RTD shall be furnished with an RTD transmitter to match the resistance range of the averaging RTD.

2.6.2.3 RTD Transmitter

The RTD transmitter shall match the resistance range of the RTD. The transmitter shall be a two-wire, loop powered device. The transmitter shall produce a linear 4-to-20 mAdc output corresponding to the required temperature measurement. The output error shall not exceed 0.1 percent of the calibrated measurement.

2.6.3 Differential Pressure Instruments

The instrument shall be a pressure transmitter with an integral sensing element. The instrument over pressure rating shall be 300 percent of the operating pressure. The sensor/transmitter assembly accuracy shall be plus or minus two percent of full scale. The transmitter shall be a two-wire, loop-powered device. The transmitter shall produce a linear 4-to-20 mAdc output corresponding to the required pressure measurement.

2.6.4 Thermowells

Thermowells shall be Series 300 stainless steel with threaded brass plug and chain, 2 inch lagging neck and extension type well. Inside diameter and insertion length shall be as required for the application.

2.6.5 Sunshields

Sunshields for outside air temperature sensing elements shall prevent the sun from directly striking the temperature sensing elements. The sunshields shall be provided with adequate ventilation so that the sensing element responds to the ambient temperature of the surroundings. The top of each sunshield shall have a galvanized metal rainshield projecting over the face of the sunshield. The sunshields shall be painted white.

2.7 PRESSURE SWITCHES AND SOLENOID VALVES

2.7.1 Pressure Switches

Each switch shall have an adjustable setpoint with visible setpoint scale. Range shall be as shown. Differential adjustment shall span 20 to 40 percent of the range of the device.

2.7.2 Differential-Pressure Switches

Each switch shall be an adjustable diaphragm-operated device with two SPDT contacts, with taps for sensing lines to be connected to pipe pressure fittings designed to sense water pressure. The setpoint shall not be in the upper or lower quarters of the range and the range shall not be more than three times the setpoint. Differential shall be a maximum of 2 foot water gauge at the low end of the range and 10 foot water gauge at the high end of the range.

2.8 INDICATING DEVICES

2.9 CONTROL DEVICES AND ACCESSORIES

2.9.1 Relays

Control relay contacts shall have utilization category and ratings selected for the application, with a minimum of two sets of contacts (two normally open, two normally closed) enclosed in a dustproof enclosure. Relays shall be rated for a minimum life of one million operations. Operating time shall be 20 milliseconds or less. Relays shall be equipped with coil transient suppression devices to limit transients to 150 percent of rated coil voltage. Time delay relays shall be 2PDT with eight-pin connectors, dust

cover, and a matching rail-mounted socket. Adjustable timing range shall be 0 to 5 minutes. Power consumption shall not be greater than three watts.

2.9.2 Joule or Watthour Meters

Watthour meters shall be in accordance with ANSI C12.1 and have pulse initiators for remote monitoring of Watthour consumption. Pulse initiator shall consist of form C contacts with a current rating not to exceed two amperes and voltage not to exceed 500 V, with combinations of VA not to exceed 100 VA, and a life rating of one billion operations. Meter sockets shall be in accordance with ANSI C12.1.

2.9.3 Joule or Watthour Meters with Demand Register

Meters shall be in accordance with ANSI C12.1 and shall have pulse initiators for remote monitoring of Watthour consumption and instantaneous demand. Pulse initiators shall consist of form C contacts with a current rating not to exceed two amperes and voltage not to exceed 500 V, with combinations of VA not to exceed 100 VA, and a life rating of one billion operations. Meter sockets shall be in accordance with ANSI C12.1

2.9.4 Joule or Watthour Transducers

Watthour transducers shall have an accuracy of plus or minus 0.25 percent for kW and kWh outputs from full lag to full lead power factor. Input ranges for kW and kWh transducers shall be selectable without requiring the changing of current or potential transformers. The output shall be 4 to 20 mAdc.

2.9.5 Current Sensing Relays

Current sensing relays shall provide a normally-open contact rated at a minimum of 50 volts peak and 1/2 ampere or 25 VA, noninductive. There shall be a single hole for passage of current carrying conductors. The devices shall be sized for operation at 50 percent rated current based on the connected load. Voltage isolation shall be a minimum of 600 volts.

2.10 DIRECT DIGITAL CONTROL (DDC) HARDWARE

All functions, constraints, data base parameters, operator developed programs and any other data shall be downloadable from a portable workstation/tester to network control panels, RIU's, universal programmable controllers, and unitary controllers. Download shall be accomplished through both the primary network and the local DDC portable workstation/tester port.

2.10.1 Universal Programmable Controller (UPC)

The universal programmable controller shall be a microprocessor based controller designed and programmed to control and monitor systems as shown. Resident programs shall be contained in reprogramable nonvolatile memory. Each universal programmable controller shall contain necessary power supplies, transformers, memory, I/O functions and communications interfaces necessary to perform its required functions and to provide control and monitoring of connected equipment and devices. It shall contain all necessary I/O functions to connect to field sensors and controls. I/O operation shall be fully supervised to detect I/O function failures. It

shall provide for operation as a device connected to the system via the manufacturers control network.

2.10.1.1 Integral Features

The universal programmable controller shall include as a minimum:

- a. Main power switch.
- b. Power on indicator.
- c. Portable workstation/tester port, connector, and if necessary power supply.
- d. Manufacturers control network port.
- e. I/O functions
 - (1) 8 DI
 - (2) 4 DO
 - (3) 8 AI
 - (4) 4 AO
 - (5) 1 PA
- f. On-Off-Auto switches for each DO which controls a device. These switches shall be mounted in the universal programmable controller, with the exception of motors, for which the switch shall be mounted at the motor control center. On-Off-Auto switches are not required for DO associated with a status or alarm such as pilot lights. The status of these switches shall be available to the panel for further processing.
- g. Minimum-Maximum-Auto switches, or Auto-Manual switches with manual output override, for each AO. The status of these shall be available to the panel for further processing.

2.10.1.2 Communication Interfaces

The UPC shall have the following communication capabilities which shall function simultaneously.

a. Manufacturers Control Network. The manufacturers control network communications interface for a data transmission systems (DTS) circuit between the UPC and a network control panels shall be provided. The DTS will provide for transmission speeds necessary to comply with performance requirements specified. DTS equipment shall be installed in the UPC Panel enclosure.

b. Portable Workstation/Tester Port. A communications port for interfacing to a portable workstation/tester shall be provided. A UPC workstation/tester port other than RS-232, shall be converted to RS-232, including cabling and power supply, and shall be permanently installed in the panel.

2.10.1.3 Memory and RTC Backup

The UPC memory and real time clock functions shall continue to operate for a minimum of 72 hours in the event of a power failure. If rechargeable batteries are provided, automatic charging of batteries shall be provided. Whenever either a permanent workstation/tester or portable workstation/tester is monitoring the network control panel, a low battery alarm message shall be sent to it.

2.10.1.4 Specific Requirements

Each universal programmable controller shall be accessible for purposes of application selection, control parameters, set point adjustment, and monitoring from any DDC controller connected to the same manufacturer's control network as the universal programmable controller. This shall be done using a portable workstation/tester connected to a portable workstation/tester port either directly or via modem.

2.10.1.5 Locking Enclosures

Locking type mounting cabinets with common keying shall be furnished for each enclosure.

2.10.1.6 Failure Mode

Upon failure of the universal programmable controller, it shall revert to the failure mode of operation as shown.

2.10.2 I/O Functions

2.10.2.1 DDC Hardware I/O Functions

I/O Functions shall be provided as part of the DDC system and shall be in accordance with the following:

a. The analog input (AI) function shall monitor each analog input, perform A-to-D conversion, and hold the digital value in a buffer for interrogation. The A-to-D conversion shall have a minimum resolution of 10 bits plus sign. Signal conditioning shall be provided for each analog input. Analog inputs shall be individually calibrated for zero and span, in hardware or in software. The AI shall incorporate common mode noise rejection of 50 dB from 0 to 100 Hz for differential inputs, and normal mode noise rejection of 20 dB at 60 Hz from a source impedance of 10,000 ohms. Input ranges shall be within the range of 4-to-20 mA_{dc}.

b. The analog output (AO) function shall accept digital data, perform D-to-A conversion, and output a signal within the range of 4-to-20 mA_{dc}. D-to-A conversion shall have a minimum resolution of eight bits plus sign. Analog outputs shall be individually calibrated for zero and span. Short circuit protection on voltage outputs and open circuit protection on current outputs shall be provided. An individual gradual switch for manual override of each analog output and means of physically securing access to these switches shall be provided. Each AO shall have a three-position switch for selection of the DDC control signal, no control, or a locally generated control signal for connection to the controlled device. Feedback shall be provided to the system as to the status of the output (manual control or automatic). Switches for pneumatic control outputs shall provide a

connection for an externally generated pneumatic signal. All switches shall be either of a key operated design with the same keying system used for other outputs or otherwise suitably protected from unauthorized access.

c. The digital input (DI) function shall accept on-off, open-close, or other change of state (two state data) indications. Isolation and protection against an applied steady-state voltage up to 180 Vac peak shall be provided.

d. The digital output (DO) function shall provide contact closures for momentary and maintained operation of output devices. Closures shall have a minimum duration of 0.1 second. DO relays shall have an initial breakdown voltage between contacts and coil of at least 500 V peak. Electromagnetic interference suppression shall be furnished on all output lines to limit transients to nondamaging levels. Protection against an applied steady-state voltage up to 180 Vac peak shall be provided. Minimum contact rating shall be one ampere at 24 Vac. Key locked HOA switches shall be provided for manual override of each digital output. Feedback shall be provided to the system as to the status of the output (manual control or automatic). Switches shall be common keyed.

e. The pulse accumulator function shall have the same characteristics as the DI. In addition, a buffer shall be provided to totalize pulses and allow for interrogation by the DDC system. The pulse accumulator shall accept rates up to 20 pulses per second. The totalized value shall be reset to zero upon operator's command.

f. Signal conditioning for sensors shall be provided as specified.

g. The binary coded decimal (BCD) function: The BCD function shall have the same characteristics as the DI, except that, in addition, a buffer shall be provided to totalize inputs and allow for interrogation by the network control panel. The BCD function shall have 16-channel optically isolated buffered inputs to read four digit numbers. The BCD function shall accumulate inputs at rates up to 10 inputs per second.

2.10.2.2 Failure Mode

Upon failure of the I/O function, including data transmission failure, logic power supply failure, DDC processor malfunction, software failure, interposing relay power failure, or any other failure which prevents stand alone operation of any DDC normally capable of stand alone operation, connected outputs shall be forced to the failure mode shown.

2.11 DDC SOFTWARE

All DDC software described in this specification shall be furnished as part of the complete DDC System.

2.11.1 Operating System

Each DDC shall contain an operating system that controls and schedules that DDC's activities in real time. The DDC shall maintain a point database in its memory that includes all parameters, constraints, and the latest value or status of all points connected to that DDC. The execution of DDC application programs shall utilize the data in memory resident files. The operating system shall include a real time clock function that maintains the

seconds, minutes, hours, date and month, including day of the week. Each DDC real time clock shall be automatically synchronized with the network control panel real time clock at least once per day to plus or minus 10 seconds. When the network control panel is connected to a central workstation/tester, the network control panel RTC shall be updated by the central workstation/tester RTC. The time synchronization shall be accomplished without operator intervention and without requiring system shutdown. The operating system shall allow loading of software, data files data entry, and diagnostics from the central workstation/tester both locally through the central workstation/tester port and remotely through a network control panel and the manufacturers control network.

2.11.1.1 Startup

The DDC shall have startup software that causes automatic commencement of operation without human intervention, including startup of all connected I/O functions. A DDC restart program based on detection of power failure at the DDC shall be included in the DDC software. Upon restoration of power to the DDC, the program shall restart equipment and restore loads to the state at time of power failure, or to the state as commanded by time programs or other overriding programs. The restart program shall include start time delays between successive commands to prevent demand surges or overload trips. The startup software shall initiate operation of self-test diagnostic routines. Upon failure of the DDC, if the database and application software are no longer resident or if the clock cannot be read, the DDC shall not restart and systems shall remain in the failure mode indicated until the necessary repairs are made. If the database and application programs are resident, the DDC shall resume operation after an adjustable time delay of from 0 to 600 seconds. The startup sequence for each DDC shall include a unique time delay setting for each control output when system operation is initiated.

2.11.1.2 Operating Mode

Each DDC shall control and monitor functions as specified, independent of communications with other DDC. This software shall perform all DDC functions and DDC resident application programs as specified using data obtained from I/O functions and based upon the DDC real time clock function. When communications circuits between the DDC are operable, the DDC shall obtain real time clock updates and any required global data values transmitted from other network control panels. The DDC software shall execute commands after performing constraints checks in the DDC. Status and analog values, including alarms and other data shall be transmitted from other network control panels when communications circuits are operable. If communications are not available, each DDC shall function in stand-alone mode and operational data, including the latest status and value of each point and results of calculations, normally transmitted from other network control panels shall be stored for later transmission to the network control panel. Storage for the latest 256 values shall be provided at each network control panel. Each DDC shall accept software downloaded from the network control panel. Constraints shall reside at the DDC.

2.11.1.3 Failure Mode

Upon failure for any reason, each DDC shall perform an orderly shutdown and force all DDC outputs to a predetermined (failure mode) state, consistent with the failure modes shown and the associated control device.

2.11.2 Functions

The Contractor shall provide software necessary to accomplish the following functions, as appropriate, fully implemented and operational, within each network control panel, RIU, unitary controller and universal programmable controller.

- a. Scanning of inputs.
- b. Control of outputs.
- c. Reporting of analog changes outside a selectable differential.
- d. Reporting of unauthorized digital status.
- e. Reporting of alarms automatically to network control panel.
- f. Reporting of I/O status to network control panel upon request.
- g. Maintenance of real time, updated by the network control panel at least once a day.
- h. Communication with the network control panel.
- i. Execution of DDC resident application programs.
- j. Averaging or filtering of AIs.
- k. Constraints checks (prior to command issuance).
- l. Diagnostics.
- m. Portable workstation/tester operation as specified.
- n. Reset of PA by operator based on time and value.

2.11.2.1 Analog Monitoring

The system shall measure and transmit analog values including calculated analog points. An analog change in value is defined as a change exceeding a preset differential value as specified. The record transmitted for each analog value shall include a readily identifiable flag which indicates the abnormal status of the value when it deviates from operator selectable upper and lower analog limits. Analog values shall be expressed in proper engineering units with sign. Engineering units conversions shall be provided for each measurement. Each engineering units conversion set shall include range, span, and conversion equation. A vocabulary of engineering unit descriptors shall be provided, using at least three alphanumeric characters to identify information in the system. The system shall support 255 different engineering units.

2.11.2.2 Logic (Virtual) Points

Logic (virtual) points shall be software points entered in the point database which are not directly associated with a physical I/O function. Logic (virtual) points shall be analog or digital points created by

calculation from any combination of digital and analog points, or other data having the properties of real points, including alarms, without the associated hardware. Logic (virtual) points shall be defined or calculated and entered into the database by the Contractor. The calculated analog point shall have point identification in the same format as any other analog point. The calculated point shall be used in any program where the real value is not obtainable directly. Constants used in calculations shall be changeable on-line by the operator. Calculated point values shall be current for use by the system within 10 seconds of the time of any input changes.

2.11.2.3 State Variables

If an analog point represents more than two (up to eight) specific states, each state shall be nameable. For example, a level sensor shall be displayed at its measured engineering units plus a state variable with named states usable in programs or for display such as low alarm/low/normal/high/high alarm.

2.11.2.4 Analog Totalization

Any analog point shall be operator assignable to the totalization program. Up to eight analog values shall be totalized within a selectable time period. At the end of the period, the totals shall be stored. Totalization shall then restart from zero for the next time period. The program shall keep track of the peak and total value measured during the current period and for the previous period. The operator shall be able to set or reset each totalized value individually. The time period shall be able to be operator defined, modified or deleted on-line.

2.11.2.5 Energy Totalization

The system shall calculate the heat energy in Btus, for each energy source consumed by the mechanical systems specified, totalize the calculated Btus, the instantaneous rate in Btus per hour, and store totals in thousands of Btus (MBtu). The Btus calculated shall be totalized for an adjustable time period. The time period shall be defined uniquely for each Btu totalization.

2.11.2.6 Trending

Any analog or calculated point shall be operator assignable to the trend program. Up to eight points shall be sampled at individually assigned intervals, selectable between one minute and two hours. A minimum of the most recent 128 samples of each trended point shall be stored. The sample intervals shall be able to be defined, modified, or deleted on-line.

2.11.3 I/O Point Database/Parameter Definition

Each I/O point shall be defined in a database residing in the DDC. The definition shall include all physical parameters associated with each point. Each point shall be defined and entered into the database by the Contractor, including as applicable:

- a. Name.
- b. Device or sensor type (i.e., sensor, control relay, motors).

- c. Point identification number.
- d. Unit.
- e. Building number.
- f. Area.
- g. Island.
- h. DDC number and channel address.
- i. KW (running).
- j. KW (starting).
- k. Sensor range.
- l. Controller range.
- m. Sensor span.
- n. Controller span.
- o. Engineering units conversion (scale factor).
- p. Setpoint (analog).
- q. High reasonableness value (analog).
- r. Low reasonableness value (analog).
- s. High alarm limit differential (return to normal).
- t. Low alarm limit differential (return to normal).
- u. High alarm limit (analog).
- v. Low alarm limit (analog).
- w. Alarm disable time period upon startup or change of setpoint.
- x. Analog change differential (for reporting).
- y. Alarm class and associated primary message text.
- z. High accumulator limit (pulse).
- aa. Status description.
- bb. Run time target.
- cc. Failure mode as specified and shown.
- dd. Constraints as specified.

2.11.4 Alarm Processing

Each DDC shall have alarm processing software for AI, DI, and PA alarms for all real and virtual points connected to that DDC.

2.11.4.1 Digital Alarms Definition

Digital alarms are those abnormal conditions indicated by DIs as specified and shown.

2.11.4.2 Analog Alarms Definition

Analog alarms are those conditions higher or lower than a defined value, as measured by an AI. Analog readings shall be compared to predefined high and low limits, and alarmed each time a value enters or returns from a limit condition. Unique high and low limits shall be assigned to each analog point in the system. Analog alarm limits shall be stored in the DDC database. Each analog alarm limit shall have an associated unique limit differential specifying the amount by which a variable must return into the proper operating range before being annunciated as a return-to-normal-state. All limits and differentials shall be entered on-line by the operator in limits of the measured variable, without interruption or loss of monitoring of the point concerned. The program shall automatically change the high or low limits or both, of any analog point, based on time scheduled operations as specified, allowing for a time interval before the alarm limit becomes effective. In CPA applications, key the limit to a finite deviation traveling with the setpoint. The system shall automatically suppress analog alarm reporting associated with a digital point when that digital point is turned off.

2.11.4.3 Pulse Accumulator Alarms Definition

Pulse accumulator alarms are those conditions calculated from totalized values of accumulator inputs or PA input rates that are outside defined limits as specified and shown. PA totalized values shall be compared to predefined limits and alarmed each time a value enters a limit condition. Unique limits shall be assigned to each PA point in the system. Limits shall be stored in the DDC database.

2.11.5 Constraints

2.11.5.1 Equipment Constraints Definitions

Each control point in the database shall have DDC resident constraints defined and entered by the Contractor, including as applicable:

- a. Maximum starts (cycles) per hour.
- b. Minimum off time.
- c. Minimum on time.
- d. High limit (value in engineering units).
- e. Low limit (value in engineering units).

2.11.5.2 Constraints Checks

Control devices connected to the system shall have the DDC memory resident constraints checked before each command is issued to insure that no equipment damage will result from improper operation. Each command shall be executed by the DDC only after all constraints checks have been passed. Each command point shall have unique constraints assigned. High and low "reasonableness" values or one differential "rate-of-change" value shall be assigned to each AI. Values outside the reasonableness limits shall be rejected and an alarm message sent to the network control panel or portable workstation/tester. Status changes and analog point values shall be reported to the workstation upon operator request, such as for reports, alphanumeric displays, graphic displays, and application programs. Each individual point shall be capable of being selectively disabled by the operator from a workstation/tester. Disabling a point shall prohibit monitoring and automatic control of that point.

2.11.6 Diagnostics

Each DDC shall have self-test diagnostic routines implemented in firmware. The tests shall include routines that exercise memory. Diagnostic software shall be usable in conjunction with the central workstation/tester and portable workstation/tester. The software shall display messages in English to inform the tester's operator of diagnosed problems.

2.11.7 Summer-Winter Operation Monitoring

The system shall provide software to automatically change the operating parameters, monitoring of alarm limits, and start-stop schedules for each mechanical system from summer to winter and vice-versa. The software shall provide automatic commands to applications programs to coordinate proper summer or winter operation. Change over setpoints shall be operator selectable and settable.

2.11.8 Control Sequences and Control Loops

Sufficient memory shall be provided to implement the requirements specified and shown for each DDC. Specific functions to be implemented are defined in individual system control sequences and database tables shown in the drawings, and shall include, as applicable, the following:

a. PI Control: This function shall provide proportional control and proportional plus integral control.

b. Two Position Control: This function shall provide control for a two state device by comparing a set point against a process variable and an established deadband.

c. Floating Point Control: This function shall exercise control when an error signal exceeds a selected deadband, and shall maintain control until the error is within the deadband limits.

d. Signal Selection: This function shall allow the selection of the highest or lowest analog value from a group of analog values as the basis of control. The function shall include the ability to cascade analog values so that large numbers of inputs can be reduced to one or two outputs.

e. Signal Averaging: This function shall allow the mathematical calculation of the average analog value from a group of analog values as the basis of control. The function shall include the ability to "weight" the individual analog values so that the function output can be biased as necessary to achieve proper control.

f. Reset Function: This function shall develop an AO based on up to two AIs and one operator specified reset schedule.

g. Cooling/Heating Operation Program: Software shall be provided to change, either automatically or on operator command, the operating parameters, monitoring of alarm limits, and start-stop schedules for each mechanical system where such a change from cooling to heating and vice versa is meaningful. The software shall provide commands to application programs to coordinate cooling or heating mode operation. Software shall automatically switch facilities from cooling to heating, and vice versa, based on schedules or temperatures. All HVAC equipment and systems shall be assigned to the program.

2.11.9 Command Priorities

A scheme of priority levels shall be provided to prevent interaction of a command of low priority with a command of higher priority. The system shall require the latest highest priority command addressed to a single point to be stored for a period of time longer than the longest time constraint in the on and off states, insuring that the correct command shall be issued when the time constraint is no longer in effect or report the rejected command. Override commands entered by the operator shall have higher priority than those emanating from applications programs.

2.11.10 Resident Application Software

The Contractor shall provide resident applications programs to achieve the sequences of operation, parameters, constraints, and interlocks necessary to provide control of the systems connected to the DDC system. Application programs shall be resident and shall execute in the DDC, and shall coordinate with each other, to insure that no conflicts or contentions remain unresolved. The Contractor shall coordinate the application programs specified with the equipment and controls operation, and other specified requirements. A scheme of priority levels shall be provided to prevent interaction of a command of low priority with a command of higher priority. The system shall require the latest highest priority command addressed to a single point to be stored for a period of time longer than the longest time constraint in the ON and OFF states, insuring that the correct command shall be issued when the time constraint is no longer in effect or the rejected command shall be reported. Override commands entered by the operator shall have higher priority than those emanating from application programs.

2.11.10.1 Program Inputs and Outputs

The Contractor shall select the appropriate program inputs listed for each application program to calculate the required program outputs. Where the specific program inputs are not available, a "default" value or virtual point appropriate for the equipment being controlled and the proposed sequence of operation shall be provided to replace the missing input, thus allowing the application program to operate. AIs to application programs shall have an operator adjustable deadband to preclude short cycling or

hunting. Program outputs shall be real analog or digital outputs or logic (virtual) points as required to provide the specified functions. The Contractor shall select the appropriate input and output signals to satisfy the requirements for control of systems as shown.

2.11.10.2 DDC General Conditions

The Contractor shall provide software required to achieve the sequences of operation, parameters, constraints, and interlocks shown. Application software shall be resident in the DDC in addition to any other required software. In the event of a DDC failure, the controlled equipment shall continue to function in the failure mode shown.

2.11.10.3 Scheduled Start/Stop Program

This program shall start and stop equipment based on a time of day schedule for each day of the week, and on a holiday schedule. To eliminate power surges, an operator adjustable time delay shall be provided between consecutive start commands.

a. Program Inputs:

- (1) Day of week/holiday.
- (2) Time of day.
- (3) Cooling and heating high-low alarm limits.
- (4) Cooling and heating start-stop schedules.
- (5) Cooling or heating mode of operation.
- (6) Equipment status.
- (7) Equipment constraints.
- (8) Consecutive start time delay.

b. Program Outputs: Start/stop signal.

2.11.10.4 Optimum Start/Stop Program

This program shall start and stop equipment as specified for the scheduled start/stop program, but shall include a sliding schedule based on indoor and outdoor air conditions. The program shall take into account the thermal characteristics of the structure, and indoor and outdoor air conditions, using prediction software to determine the minimum time of HVAC system operation needed to satisfy space environmental requirements at the start of the occupied cycle, and determine the earliest time for stopping equipment at the day's end without exceeding space environmental requirements. An adaptive control algorithm shall be utilized to automatically adjust the constants used in the program.

a. Program Inputs:

- (1) Day of week/holiday.

- (2) Time of day.
- (3) Cooling or heating mode of operation.
- (4) Equipment status.
- (5) Cooling and heating building occupancy schedules.
- (6) Space temperature.
- (7) Building heating constant (operator adjustable and automatically optimized).
- (8) Building cooling constant (operator adjustable and automatically optimized).
- (9) OA temperature.
- (10) Required space temperature at occupancy (heating).
- (11) Required space temperature at occupancy (cooling).
- (12) Equipment constraints.
- (13) Cooling and heating high-low alarm limits.

b. Program Outputs: Start/stop signal.

2.11.10.5 Day-Night Setback Program

The software shall limit the rise or drop of space temperature (or specified fluid temperature) during unoccupied hours. Whenever the space temperature (or specified fluid temperature) is above (or below for heating) the operator assigned temperature limit, the system shall be turned on until the temperature is within the assigned temperature limit.

a. Program Inputs:

- (1) Day of week.
- (2) Time of day.
- (3) Cooling or heating mode of operation.
- (4) Cooling and heating occupancy schedules.
- (5) Equipment status.
- (6) Space temperature (or specified fluid temperature).
- (7) Minimum space temperature (or specified fluid temperature) during unoccupied periods.
- (8) Maximum space temperature (or specified fluid temperature) during unoccupied periods.
- (9) Equipment constraints.

- b. Program Outputs: Start/stop signal.

2.11.10.6 Hot Water OA Reset Program

The software shall reset the hot water temperature supplied by the boiler or heat exchanger in accordance with the OA temperature or other specified independent variable. The hot water supply temperature shall be reset downward or upward from a fixed temperature proportionally, as a function of OA temperature or other specified independent variable.

- a. Program Inputs

- (1) Reset schedule.
- (2) OA dry bulb temperature or other specified independent variable.
- (3) Hot water supply temperature.
- (4) Maximum hot water supply temperature.
- (5) Minimum hot water supply temperature.
- (6) Equipment constraints.

- b. Program Output: Valve actuator control signal.

2.11.10.7 Boiler Monitoring and Control

The software shall remotely monitor and control boiler operation based on boiler operational data. The program shall monitor inputs and discontinue boiler operation if any monitored point exceeds a predetermined value or changes status incorrectly. The operator shall be able to add or delete individual program input points from the list of points that will discontinue boiler operation.

- a. Program Inputs

- (4) Flame status.
- (10) Hot water flow.
- (11) Hot water pressure.
- (12) Hot water supply temperature.
- (13) Hot water return temperature.
- (14) Hot water BTUs.

- b. Program Outputs

- (1) Boiler enable/disable control signal.
- (2) Boiler enable/disable permission to boiler operator for manual control.

2.11.10.8 Hot Water Distribution Program

The software shall control the hot water distribution temperature to individual building zones. The zone hot water distribution temperature shall be reset downward or upward from a fixed temperature proportionally as a function of OA temperature or other specified independent variable by modulating the respective zone mixing valve. The zone pump shall be stopped when the OA temperature exceeds the specified setpoint. When parallel pumps are used, the software shall alternate pump operation and shall start the standby pump (after a time delay) upon failure of the operating pump.

a. Program Inputs

- (1) Zone hot water distribution temperature.
- (2) Reset schedule.
- (3) OA dry bulb temperature or other specified independent variable.
- (4) Maximum zone hot water distribution temperature.
- (5) Zone pump status.
- (6) Equipment constraints.

b. Program Outputs

- (1) Zone mixing valve control.
- (2) Zone pump start/stop signal(s).

2.11.10.9 Domestic Hot Water Generator Program

The software shall control the domestic hot water temperature by adjusting the hot water heating control valve.

a. Program Inputs

- (1) Domestic hot water temperature.
- (2) Domestic hot water temperature setpoint.

b. Program Output: Hot water heating control valve actuator control signal.

3 EXECUTION

3.1 GENERAL INSTALLATION CRITERIA

3.1.1 HVAC Control System

The HVAC control system shall be completely installed and ready for operation. Dielectric isolation shall be provided where dissimilar metals are used for connection and support. Penetrations through and mounting holes in the building exterior shall be made watertight. The HVAC control system installation shall provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. The control system installation shall not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.2 Software Installation

Software shall be loaded for an operational system, including databases for all points, operational parameters, and system, command, and application software. The Contractor shall provide original and backup copies of source, excluding the general purpose operating systems and utility programs furnished by computer manufacturers and the non-job-specific proprietary code furnished by the system manufacturer, and object modules for software on each type of media utilized, within 30 days of formal Government acceptance. In addition, a copy of individual floppy disks of software for each DDC panel shall be provided.

3.1.3 Device Mounting Criteria

Devices mounted in or on piping or ductwork, on building surfaces, in mechanical/electrical spaces, or in occupied space ceilings shall be installed in accordance with manufacturer's recommendations and as shown. Control devices to be installed in piping and ductwork shall be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements shall not be used except as specified.

3.1.4 Wiring Criteria

Wiring external to control panels, including low-voltage wiring, shall be installed in metallic raceways. Nonmetallic-sheathed cables or metallic-armored cables may be installed in areas permitted by **NFPA 70** Wiring shall be installed without splices between control devices and DDC panels. Instrumentation grounding shall be installed as necessary to prevent ground loops, noise, and surges from adversely affecting operation of the system. Ground rods installed by the contractor shall be tested as specified in **IEEE Std 142**. Cables and conductor wires shall be tagged at both ends, with the identifier shown on the shop drawings. Electrical work shall be as specified in Section **16415** ELECTRICAL WORK, INTERIOR and as shown.

3.2 CONTROL SYSTEM INSTALLATION

3.2.1 Damper Actuators

Actuators shall not be mounted in the air stream. Multiple actuators operating a common damper shall be connected to a common drive shaft. Actuators shall be installed so that their action shall seal the damper to the extent required to maintain leakage at or below the specified rate and shall move the blades smoothly.

3.2.2 Indication Devices Installed in Piping and Liquid Systems

Gauges in piping systems subject to pulsation shall have snubbers. Gauges for steam service shall have pigtail fittings with cock. Thermometers and temperature sensing elements installed in liquid systems shall be installed in thermowells.

3.2.3 Tubing

3.2.3.1 Connection to Liquid Lines

Tubing for connection of sensing elements and transmitters to liquid and steam lines shall be Serie 300 stainless steel with stainless-steel compression fittings.

3.3 CONTROL SEQUENCES OF OPERATION

3.3.1 General Requirements - HVAC Systems

These requirements shall apply to all primary HVAC systems unless modified herein. The sequences describe the actions of the control system for one direction of change in the HVAC process analog variable, such as

temperature, humidity or pressure. The reverse sequence shall occur when the direction of change is reversed.

3.3.2 Single Building Hydronic Heating with Hot Water Heat Exchangers

3.3.2.1 All Modes

The DDC system senses outside air temperature.

The DDC system monitors leaving water temperature (LWT) and entering water temperature (EWT) on both sides of heat exchanger and pump status.

When existing summer/winter switch is in winter, energize heating water pump, open valve V1. DDC system senses heat exchanger low temperature LWT and modulates V1 to maintain LWT setpoint. (180 degrees F, adjustable).

The DDC system resets the heat exchanger low temperature LWT setpoint based on indicated outside air reset schedule. The DDC system output shall modulate valve V1 to maintain the reset schedule setpoint for the low temperature LWT.

Provide new 3-way summer/winter diverting valves as indicated on drawings, normally open (n.o.) to heat as indicated. When existing summer/winter switch is in winter mode, open valves to heating water. When switch is in summer position, the reverse occurs.

3.3.3 Single Building Hydronic Heating with Hot Water Boiler

3.3.3.1 All Modes

The DDC system senses outside air temperature.

If outside temperature, is less than 55 degrees F (adjustable), heating water pump is turned on, boiler(s) and boiler pump(s) enabled.

The DDC system resets boiler leaving water temperature (LWT) based on indicated outside air reset schedule.

Boiler packaged controls sequence boiler(s) to maintain LWT. (180 degrees F, adjustable).

The DDC system monitors LWT, EWT and pump status.

The DDC system monitors flame failure, low water cut-off and combustion air alarms at boiler terminal strip.

The DDC system alarms upon receipt of a signal from the boiler terminal strip.

Interlock boiler with combustion air damper actuator to open damper any time boiler fires. (where indicated).

3.4 COMMISSIONING PROCEDURES

3.4.1 Evaluations

The Contractor shall make the observations, adjustments, calibrations, measurements, and tests of the control systems, set the time schedule, and make any necessary control system corrections to ensure that the systems function as described in the sequence of operation.

3.4.1.1 Item Check

Signal levels shall be recorded for the extreme positions of each controlled device. An item-by-item check of the sequence of operation requirements shall be performed using Steps 1 through 4 in the specified control system commissioning procedures. Steps 1, 2, and 3 shall be performed with the HVAC system shut down; Step 4 shall be performed after the HVAC systems have been started. External input signals to the DDC system (such as starter auxiliary contacts, and external systems) may be simulated in steps 1, 2, and 3. With each operational mode signal change, DDC system output relay contacts shall be observed to ensure that they function.

3.4.1.2 Weather Dependent Test Procedures

Weather dependent test procedures that cannot be performed by simulation shall be performed in the appropriate climatic season. When simulation is used, the actual results shall be verified in the appropriate season.

3.4.1.3 Two-Point Accuracy Check

A two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter shall be performed by comparing the DDC system readout to the actual value of the variable measured at the sensing element and transmitter or airflow measurement station location. Digital indicating test instruments shall be used, such as digital thermometers, motor-driven psychrometers, and tachometers. The test instruments shall be at least twice as accurate as the specified sensing element-to-DDC system readout accuracy. The calibration of the test instruments shall be traceable to National Institute Of Standards And Technology standards. The first check point shall be with the HVAC system in the shutdown condition, and the second check point shall be with the HVAC system in an operational condition. Calibration checks shall verify that the sensing element-to-DDC system readout accuracies at two points are within the specified product accuracy tolerances. If not, the device shall be recalibrated or replaced and the calibration check repeated.

3.4.1.4 Insertion and Immersion Temperatures

Insertion temperature and immersion temperature sensing elements and transmitter-to-DDC system readout calibration accuracy shall be checked at one physical location along the axis of the sensing element.

3.4.2 Single Building Hydronic Heating with Hot Water Converter

Installation shall be as follows:

a. Step 1 - System Inspection: The HVAC system shall be observed in its shutdown condition. Power and main air shall be available where required. The converter valve shall be closed.

b. Step 2 - Calibration Accuracy Check with HVAC System Shutdown: Readings shall be taken with a digital thermometer at each temperature sensing element location. Each temperature shall be read at the DDC controller, and the thermometer and DDC system readings logged. The calibration accuracy of the sensing element-to-DDC system readout for outside air temperature and hydronic system supply temperature shall be checked.

c. Step 3 - Actuator Range Adjustments: A signal shall be applied to the actuator, through an operator entered value to the DDC system. The proper operation of the actuators and positions for all valves shall be verified. The signal shall be varied from live zero to full range, and it shall be verified that the actuators travel from zero stroke to full stroke within the signal range. It shall be verified that all sequenced actuators move from zero stroke to full stroke in the proper direction and move the connected device in the proper direction from one extreme position to the other.

d. Step 4 - Control System Commissioning:

(1) The two-point calibration sensing element-to-DDC system readout accuracy check for the outside air temperature shall be performed. Any necessary software adjustments to setpoints or parameters shall be made to achieve the outside air temperature schedule.

(2) A signal shall be applied to simulate that the outside air temperature is above the setpoint. It shall be verified that the hot water pump stops. A signal shall be applied to simulate that the outside air temperature is below the setpoint. It shall be verified that the hot water pump starts.

(3) The two-point calibration accuracy check of the sensing element-to-DDC system readout for the system supply temperature shall be performed. The system supply temperature setpoint shall be set for the temperature schedule as shown. Signals of 8 ma and 16 ma shall be sent to the DDC system from the outside air temperature sensor, to verify that the supply temperature setpoint changes to the appropriate values.

(4) The control system shall be placed in the occupied mode. The calibration accuracy check of sensing element-to-DDC system readout shall be performed for each space temperature sensor and the values logged. Each space temperature setpoint shall be set as shown. The control system shall be placed in the unoccupied mode, and it shall be verified that each space temperature setpoint changes to the unoccupied mode setting.

3.4.3 Single Building Hydronic Heating with Hot Water Boiler

Steps for installation shall be as follows:

a. Step 1 - System Inspection: The HVAC system shall be observed in its shutdown condition. It shall be verified that power and main air are available where required.

b. Step 2 - Calibration Accuracy Check with HVAC System Shutdown: Readings shall be taken with a digital thermometer at each temperature sensing element location. Each temperature shall be read at the DDC controller, and the thermometer and DDC system readings logged. The calibration accuracy of the sensing element-to-DDC system readout for outside air temperature and system supply temperature shall be checked.

c. Step 3 - Actuator Range Adjustments: A signal shall be applied to the actuator through an operator entered value to the DDC system. The proper operation of the actuators and positioners for all valves shall be verified visually. The signal shall be varied from live zero to full range, and it shall be verified that the actuators travel from zero stroke to full stroke within the signal range. It shall be verified that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the other.

d. Step 4 - Control System Commissioning:

(1) The two-point calibration sensing element-to-DDC system readout accuracy check for the outside air temperature shall be performed. Any necessary software adjustments to setpoints or parameters shall be made to achieve the outside air temperature schedule.

(2) The outside air temperature shall be simulated through an operator entered value to be above the setpoint. It shall be verified that the hot water boiler pumps and the boiler stop. A value shall be entered to simulate that the outside air temperature is below the setpoint as shown. It shall be verified that the hot water boiler pumps start and the boiler operates.

(3) The two-point calibration accuracy check of the sensing element-to-DDC system readout for the hydronic system supply temperature shall be performed. The supply temperature setpoint shall be set for the temperature schedule as shown. Signals of 8 ma and 16 ma shall be sent to the DDC system from the outside air temperature sensor, to verify that the supply temperature setpoint changes to the appropriate values.

(4) The control system shall be placed in the occupied mode. The calibration accuracy check of sensing element-to-DDC system readout shall be performed for each space temperature sensor and the values logged. Each space temperature setpoint shall be set as shown. The control system shall be placed in the unoccupied mode, and it shall be verified that each space temperature setpoint changes to the unoccupied mode setting.

3.5 BALANCING, COMMISSIONING, AND TESTING

3.5.1 Coordination with HVAC System Balancing

Commissioning of the control system, except for tuning of controllers, shall be performed prior to or simultaneous with HVAC system balancing. The contractor shall tune the HVAC control system after all air system and hydronic system balancing has been completed, minimum damper positions set and a report has been issued.

3.5.2 Control System Calibration, Adjustments, and Commissioning

Control system commissioning shall be performed for each HVAC system, using test plans and procedures previously approved by the Government. The Contractor shall provide all personnel, equipment, instrumentation, and supplies necessary to perform commissioning and testing of the HVAC control system. All instrumentation and controls shall be calibrated and the specified accuracy shall be verified using test equipment with calibration traceable to NIST standards. Wiring shall be tested for continuity and for ground, open, and short circuits. Tubing systems shall be tested for leaks. Mechanical control devices shall be adjusted to operate as specified. HVAC control panels shall be pretested off-site as a functioning assembly ready for field connections, calibration, adjustment, and commissioning of the operational HVAC control system. Control parameters and logic (virtual) points including control loop setpoints, gain constants, and integral constraints, shall be adjusted before the system is placed on line. Communications requirements shall be as indicated. Written notification of any planned commissioning or testing of the HVAC Control systems shall be given to the Government at least 14 calendar days in advance.

3.5.3 Performance Verification Test

The Contractor shall demonstrate compliance of the HVAC control system with the contract documents. Using test plans and procedures previously approved by the Government, the Contractor shall demonstrate all physical and functional requirements of the project. The performance verification test shall show, step-by-step, the actions and results demonstrating that the control systems perform in accordance with the sequences of operation. The performance verification test shall not be started until after receipt by the Contractor of written permission by the Government, based on Government approval of the Commissioning Report and completion of balancing. The tests shall not be conducted during scheduled seasonal off periods of base heating and cooling systems.

3.5.4 Endurance Test

The endurance test shall be used to demonstrate the specified overall system reliability requirement of the completed system. The endurance test shall not be started until the Government notifies the Contractor in writing that the performance verification test is satisfactorily completed. The Government may terminate the testing at any time when the system fails to perform as specified. Upon termination of testing by the Government or by the Contractor, the Contractor shall commence an assessment period as described for Phase II. Upon successful completion of the endurance test, the Contractor shall deliver test reports and other documentation as specified to the Government prior to acceptance of the system.

a. Phase I (Testing). The test shall be conducted 24 hours per day, 7 days per week, for 15 consecutive calendar days, including holidays, and the system shall operate as specified. The Contractor shall make no repairs during this phase of testing unless authorized by the Government in writing.

b. Phase II (Assessment). After the conclusion of Phase I, the Contractor shall identify failures, determine causes of failures, repair failures, and deliver a written report to the Government. The report shall explain in detail the nature of each failure, corrective action taken, results of tests performed, and shall recommend the point at which testing should be resumed. After delivering the written report, the Contractor shall convene a test review meeting at the jobsite to present the results and recommendations to the Government. As a part of this test review meeting, the Contractor shall demonstrate that all failures have been corrected by performing appropriate portions of the performance verification test. Based on the Contractor's report and test review meeting, the Government may require that the Phase I test be totally or partially rerun. After the conclusion of any retesting which the Government may require, the Phase II assessment shall be repeated as if Phase I had just been completed.

3.5.5 Posted and Panel Instructions

Posted and Panel Instructions, showing the final installed conditions, shall be provided for each system. The posted instructions shall consist of laminated half-size drawings and shall include the control system schematic, equipment schedule, sequence of operation, wiring diagram, communication network diagram, and valve and damper schedules. The posted instructions shall be permanently affixed, by mechanical means, to a wall near the control panel. Panel instructions shall consist of laminated letter-size sheets and shall include a Routine Maintenance Checklist and as-built configuration check sheets. Panel instructions and one copy of the Operation and Maintenance Manuals, previously described herein, shall be placed inside each control panel or permanently affixed, by mechanical means, to a wall near the panel.

3.6 TRAINING

3.6.1 Training Course Requirements

A training course shall be conducted for 8 operating staff members designated by the Contracting Officer in the maintenance and operation of the system, including specified hardware and software. The training period, for a total of 32 hours of normal working time, shall be conducted within 30 days after successful completion of the performance verification test. The training course shall be conducted at the project site. Audiovisual equipment and 6 sets of all other training materials and supplies shall be provided. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.6.2 Training Course Content

For guidance in planning the required instruction, the Contractor shall assume that attendees will have a high school education or equivalent, and are familiar with HVAC systems. The training course shall cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each HVAC control panel, the layout of one of each type of

unitary equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. The results of the performance verification test and the calibration, adjustment and commissioning report shall be presented as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

SECTION 15990

TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ASSOCIATED AIR BALANCE COUNCIL (AABC)

AABC MN-1 (1989) National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB Procedural Stds (1991) Procedural Standards for Testing Adjusting Balancing of Environmental Systems

1.2 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

TAB Related HVAC Submittals.

A list of the TAB Related HVAC Submittals, no later than 7 days after the approval of the TAB Specialist.

Drawings

TAB Schematic Drawings and Report Forms.

Six copies of the TAB Schematic Drawings and Report Forms, no later than 21 days prior to the start of TAB field measurements.

Instructions

TAB Procedures.

Proposed procedures for TAB, submitted with the TAB Schematic Drawings and Report Forms.

Schedules

Systems Readiness Check.

Proposed date and time to begin the Systems Readiness Check, no later than 7 days prior to the start of the Systems Readiness Check.

TAB Execution.

Proposed date and time to begin field measurements, making adjustments, etc., for the TAB Report, submitted with the Systems Readiness Check Report.

TAB Verification.

Proposed date and time to begin the TAB Verification, submitted with the TAB Report.

Statements

TAB Firm.

Certification of the proposed TAB Firm's qualifications by either AABC or NEBB to perform the duties specified herein and in other related Sections, no later than 60 days after the Notice to Proceed. The documentation shall include the date that the Certification was initially granted and the date that the current Certification expires. Any lapses in Certification of the proposed TAB Firm or disciplinary action taken by AABC or NEBB against the proposed TAB Firm shall be described in detail.

TAB Specialist.

Certification of the proposed TAB Specialist's qualifications by either AABC or NEBB to perform the duties specified herein and in other related Sections, no later than 60 days after the Notice to Proceed. The documentation shall include the date that the Certification was initially granted and the date that the current Certification expires. Any lapses in Certification of the proposed TAB Specialist or disciplinary action taken by AABC or NEBB against the proposed TAB Specialist shall be described in detail.

Instrument Calibration.

List of each instrument to be used during TAB, stating calibration requirements required or recommended by both the TAB Standard and the instrument manufacturer and the actual calibration history of the instrument, submitted with the TAB Procedures. The calibration history shall include dates calibrated, the qualifications of the calibration laboratory, and the calibration procedures used.

Reports

Design Review Report.

A copy of the Design Review Report, no later than 90 days after approval of the TAB Firm and the TAB Specialist.

Systems Readiness Check Report.

A copy of completed checklists for each system, each signed by the TAB Specialist, at least 7 days prior to the start of TAB Execution. All items in the Systems Readiness Check Report shall be signed by the TAB Specialist and shall bear the seal of the Professional Society or National Association used as the TAB Standard.

TAB Report.

Six copies of the completed TAB Reports, no later than 21 days after the execution of TAB. All items in the TAB Report shall be signed by the TAB Specialist and shall bear the seal of the Professional Society or National Association used as the TAB Standard.

TAB Verification Report.

Six copies of the completed TAB Verification Report, no later than 14 days after the execution of TAB Verification. All items in the TAB Verification Report shall be signed by the TAB Specialist and shall bear the seal of the Professional Society or National Association used as the TAB Standard.

1.3 SIMILAR TERMS

In some instances, terminology differs between the Contract and the TAB Standard primarily because the intent of this Section is to use the industry standards specified, along with additional requirements listed herein to produce optimal results. The following table of similar terms is provided for clarification only. Contract requirements take precedent over the corresponding AABC or NEBB requirements where differences exist.

SIMILAR TERMS

Contract Term	AABC Term	NEBB Term
TAB Standard	National Standards for Testing and Balancing	Procedural Standards for Testing
Adjusting Systems.	Heating, Ventilating, and Air Conditioning Systems	Balancing of Environmental
TAB Specialist	TAB Engineer	TAB Supervisor
Systems Readiness Check	Construction Phase Inspection	Field Readiness Check & Preliminary Field Procedures.

1.4 TAB STANDARD

TAB shall be performed in accordance with the requirements of the standard under which the TAB Firm's qualifications are approved, i.e., **AABC MN-1** or **NEBB Procedural Stds**, unless otherwise specified herein. All recommendations and suggested practices contained in the TAB Standard shall be considered mandatory. The provisions of the TAB Standard, including checklists, report forms, etc., shall, as nearly as practical, be used to satisfy the Contract requirements. The TAB Standard shall be used for all aspects of TAB, including qualifications for the TAB Firm and Specialist and calibration of TAB instruments. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the TAB Standard, the manufacturer's recommendations shall be adhered to. All quality assurance provisions of the TAB Standard such as performance guarantees shall be part of this contract. For systems or system components not covered in the TAB Standard, TAB procedures shall be developed by the TAB Specialist. Where new procedures, requirements, etc., applicable to the

Contract requirements have been published or adopted by the body responsible for the TAB Standard used (AABC or NEBB), the requirements and recommendations contained in these procedures and requirements shall be considered mandatory.

1.5 QUALIFICATIONS

1.5.1 TAB Firm

The TAB Firm shall be either a member of AABC or certified by the NEBB and certified in all categories and functions where measurements or performance are specified on the plans and specifications, including TAB of environmental systems. The certification shall be maintained for the entire duration of duties specified herein. If, for any reason, the firm loses subject certification during this period, the Contractor shall immediately notify the Contracting Officer and submit another TAB Firm for approval. Any firm that has been the subject of disciplinary action by either the AABC or the NEBB within the five years preceding Contract Award shall not be eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections to be performed by the TAB Firm shall be considered invalid if the TAB Firm loses its certification prior to Contract completion and must be performed by an approved successor. These TAB services are to assist the prime Contractor in performing the quality oversight for which it is responsible. The TAB Firm shall be a subcontractor of the prime Contractor, and shall report to and be paid by the prime Contractor.

1.5.2 TAB Specialist

The TAB Specialist shall be either a member of AABC or an experienced technician of the Firm certified by the NEBB. The certification shall be maintained for the entire duration of duties specified herein. If, for any reason, the Specialist loses subject certification during this period, the Contractor shall immediately notify the Contracting Officer and submit another TAB Specialist for approval. Any individual that has been the subject of disciplinary action by either the AABC or the NEBB within the five years preceding Contract Award shall not be eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections performed by the TAB Specialist shall be considered invalid if the TAB Specialist loses its certification prior to Contract completion and must be performed by the approved successor.

1.6 TAB SPECIALIST RESPONSIBILITIES

All TAB work specified herein and in related sections shall be performed under the direct guidance of the TAB Specialist.

2 PRODUCTS (NOT APPLICABLE)

3 EXECUTION

3.1 DESIGN REVIEW

The TAB Specialist shall review the Contract Plans and Specifications and advise the Contracting Officer of any deficiencies that would prevent the HVAC systems from effectively operating in accordance with the sequence of

operation specified or prevent the effective and accurate TAB of the system. The TAB Specialist shall provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation.

3.2 TAB RELATED HVAC SUBMITTALS

The TAB Specialist shall prepare a list of the submittals from the Contract Submittal Register that relate to the successful accomplishment of all HVAC TAB. The submittals identified on this list shall be accompanied by a letter of approval signed and dated by the TAB Specialist when submitted to the Government. The TAB Specialist shall also ensure that the location and details of ports, terminals, connections, etc., necessary to perform TAB are identified on the submittals.

3.3 TAB SCHEMATIC DRAWINGS AND REPORT FORMS

A schematic drawing showing each system component, including balancing devices, shall be provided for each system. Each drawing shall be accompanied by a copy of all report forms required by the TAB Standard used for that system. Where applicable, the acceptable range of operation or appropriate setting for each component shall be included on the forms or as an attachment to the forms. The schematic drawings shall identify all testing points and cross reference these points to the report forms and procedures.

3.4 TESTING, ADJUSTING, AND BALANCING

3.4.1 TAB Procedures

Step by step procedures for each measurement required during TAB Execution shall be provided. The procedures shall be oriented such that there is a separate section for each system. The procedures shall include measures to ensure that each system performs as specified in all operating modes, interactions with other components and systems, and with all seasonal operating differences, diversity, simulated loads, and pressure relationships required.

3.4.2 Systems Readiness Check

The TAB Specialist shall inspect each system to ensure that it is complete, including installation and operation of controls, and that all aspects of the facility that have any bearing on the HVAC systems, including installation of ceilings, walls, windows, doors, and partitions, are complete to the extent that TAB results will not be affected by any detail or touch-up work remaining. The TAB Specialist shall also verify that all items such as ductwork and piping ports, terminals, connections, etc., necessary to perform TAB shall be complete during the Systems Readiness Check.

3.4.3 Preparation of TAB Report

Preparation of the TAB Report shall begin only when the Systems Readiness Report has been approved. The Report shall be oriented so that there is a separate section for each system. The Report shall include a copy of the appropriate approved Schematic Drawings and TAB Related Submittals, such as pump curves, fan curves, etc., along with the completed report forms for

each system. The operating points measured during successful TAB Execution and the theoretical operating points listed in the approved submittals shall be marked on the performance curves and tables. Where possible, adjustments shall be made using an "industry standard" technique which would result in the greatest energy savings, such as adjusting the speed of a fan instead of throttling the flow. Any deficiencies outside of the realm of normal adjustments and balancing during TAB Execution shall be noted along with a description of corrective action performed to bring the measurement into the specified range. If, for any reason, the TAB Specialist determines during TAB Execution that any Contract requirement cannot be met, the TAB Specialist shall immediately provide a written description of the deficiency and the corresponding proposed corrective action necessary for proper system operation to the Contracting Officer.

3.4.4 TAB Verification

The TAB Specialist shall recheck ten percent of the measurements listed in the Tab Report and prepare a TAB Verification Report. The measurements selected for verification and the individuals that witness the verification will be selected by the Contracting Officer's Representative (COR). The measurements will be recorded in the same manner as required for the TAB Report. All measurements that fall outside the acceptable operating range specified shall be accompanied by an explanation as to why the measurement does not correlate with that listed in the TAB Report and a description of corrective action performed to bring the measurement into the specified range. The TAB Specialist shall update the original TAB report to reflect any changes or differences noted in the TAB verification report and submit the updated TAB report. If over 20 percent of the measurements selected by the COR for verification fall outside of the acceptable operating range specified, the COR will select an additional ten percent for verification. If over 20 percent of the total tested (including both test groups) fall outside of the acceptable range, the TAB Report shall be considered invalid and all contract TAB work shall be repeated beginning with the Systems Readiness Check.

3.4.5 Marking of Setting

Following approval of TAB Verification Report, the setting of all HVAC adjustment devices including valves, splitters, and dampers shall be permanently marked by the TAB Specialist so that adjustment can be restored if disturbed at any time.

3.4.6 Identification of Test Ports

The TAB Specialist shall permanently and legibly identify the location points of duct test ports. If the ductwork has exterior insulation, the identification shall be made on the exterior side of the insulation. All penetrations through ductwork and ductwork insulation shall be sealed to prevent air leakage or to maintain integrity of vapor barrier.

SECTION 16070

SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM E 580 (1996) Application of Ceiling Suspension Systems for Acoustical Tile and Lay-in Panels in Areas Requiring Moderate Seismic Restraint

CORPS OF ENGINEERS, HUNTSVILLE CENTER (CEHNC)

TI 809-04 (1998) Seismic Design for Buildings

UNDERWRITERS LABORATORIES (UL)

UL 1570 (1995; Rev thru Jun 1997) Fluorescent Lighting Fixtures

UL 1571 (1995; Rev thru Jun 1997) Incandescent Lighting Fixtures

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

The requirements for seismic protection measures described in this section shall be applied to the electrical equipment and systems listed below. Structural requirements shall be in accordance with Section 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

1.2.2 Electrical Equipment

Electrical equipment shall include the following items to the extent required on the drawings or in other sections of these specifications:

Control Panels	Air Handling Units
Pumps with Motors	Transformers
Light Fixtures	

1.2.3 Contractor Designed Bracing

The Contractor shall design the bracing in accordance with TI 809-04 and additional data furnished by the Contracting Officer. Resistance to lateral forces induced by earthquakes shall be accomplished without consideration of friction resulting from gravity loads. TI 809-04 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas shall be required. Loadings determined using TI

809-04 are based on strength design; therefore, the AISC LRFP specifications shall be used for the design. The bracing for the electrical equipment and systems shall be developed by the Contractor.

1.2.4 Conduits Requiring No Special Seismic Restraints

Seismic restraints may be omitted from electrical conduit less than 2-1/2 inches trade size. All other interior conduit, shall be seismically protected as specified.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Equipment Requirements.

Copies of the design calculations with the detail drawings. Calculations shall be stamped by a registered engineer and shall verify the capability of structural members to which bracing is attached for carrying the load from the brace.

Drawings

Equipment Requirements.

Detail drawings along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals shall be complete in detail; shall indicate thickness, type, grade, class of metal, and dimensions; and shall show construction details, reinforcement, anchorage, and installation with relation to the building construction.

1.4 EQUIPMENT REQUIREMENTS

1.4.1 Rigidly Mounted Equipment

The following specific items of equipment: Transformers to be furnished under this contract shall be constructed and assembled to withstand the seismic forces specified in TI 809-04, Chapter 10. For any rigid equipment which is rigidly attached on both sides of a building expansion joint, flexible joints for piping, electrical conduit, etc., that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions, shall be provided.

2 PRODUCTS

2.1 SWAY BRACING MATERIALS

Sway bracing materials (e.g. rods, plates, rope, angles, etc.) shall be as specified in Section 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

3 EXECUTION

3.1 SWAY BRACES FOR CONDUIT

Conduit shall be braced as for an equivalent weight pipe in accordance with Section 15070 SEISMIC PROTECTION FOR MECHANICAL EQUIPMENT.

SECTION 16370

ELECTRICAL DISTRIBUTION SYSTEM, AERIAL

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C29.1	(1988; R 1996) Electrical Power Insulators - Test Methods
ANSI C29.2	(1992) Insulators - Wet-Process Porcelain and Toughened Glass - Suspension Type
ANSI C29.3	(1986; R 1995) Wet Process Porcelain Insulators - Spool Type
ANSI C29.4	(1989; R 1995) Wet-Process Porcelain Insulators - Strain Type
ANSI C29.5	(1984; R 1995) Wet-Process Porcelain Insulators - Low- and Medium-Voltage Types
ANSI C29.6	(1996) Wet-Process Porcelain Insulators - High-Voltage Pin Type
ANSI C29.8	(1985; R 1995) Wet-Process Porcelain Insulators - Apparatus, Cap and Pin Type
ANSI C29.9	(1983; R 1996) Wet-Process Porcelain Insulators - Apparatus, Post-Type
ANSI C37.32	(1996) High-Voltage Air Switches, Bus Supports, and Switch Accessories - Schedules of Preferred Ratings, Manufacturing Specifications, and Application Guide
ANSI C57.12.20	(1997) Overhead Type Distribution Transformers, 500 KVA and Smaller: High Voltage 34 500 Volts and Below: Low Voltage, 7970/13 800 Y Volts and Below
ANSI C135.1	(1979) Galvanized Steel Bolts and Nuts for Overhead Line Construction
ANSI C135.2	(1987) Threaded Zinc-Coated Ferrous Strand-Eye Anchor Rods and Nuts for Overhead Line Construction

- ANSI C135.4 (1987) Zinc-Coated Ferrous Eyebolts and Nuts for Overhead Line Construction
- ANSI C135.14 (1979) Staples with Rolled or Slash Points for Overhead Line Construction
- ANSI C135.22 (1988) Zinc-Coated Ferrous Pole-Top Insulator Pins with Lead Threads for Overhead Line Construction
- ANSI C135.30 (1988) Zinc-Coated Ferrous Ground Rods for Overhead or Underground Line Construction
- ANSI O5.1 (1992) Specifications and Dimensions for Wood Poles

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM A 36/A 36M (1997a) Carbon Structural Steel
- ASTM A 123/A 123M (1997ael) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
- ASTM A 153/A 153M (1998) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
- ASTM A 475 (1998) Zinc-Coated Steel Wire Strand
- ASTM A 575 (1996) Steel Bars, Carbon, Merchant Quality, M-Grades
- ASTM A 576 (1990b; R 1995) Steel Bars, Carbon, Hot-Wrought, Special Quality
- ASTM B 1 (1995) Hard-Drawn Copper Wire
- ASTM B 8 (1999) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
- ASTM B 117 (1997) Operating Salt Spray (Fog) Apparatus
- ASTM B 228 (1998) Concentric-Lay-Stranded Copper-Clad Steel Conductors
- ASTM B 230 (1998) Aluminum 1350-H19 Wire for Electrical Purposes
- ASTM B 231 (1995) Concentric-Lay-Stranded Aluminum 1350 Conductors
- ASTM B 232 (1997) Concentric-Lay-Stranded Aluminum Conductors, Coated-Steel Reinforced (ACSR)
- ASTM B 398 (1997) Aluminum-Alloy 6201-T81 Wire for Electrical Purposes

- ASTM B 399 (1997) Concentric-Lay-Stranded Aluminum-Alloy 6201-T81 Conductors
- ASTM B 416 (1998) Concentric-Lay-Stranded Aluminum-Clad Steel Conductors
- ASTM D 923 (1997) Sampling Electrical Insulating Liquids
- ASTM D 1654 (1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
- ASTM D 4059 (1996) Analysis of Polychlorinated Biphenyls in Insulating Liquids by Gas Chromatography.

AMERICAN WOOD-PRESERVERS' ASSOCIATION (AWPA)

- AWPA C4 (1995) Poles - Preservative Treatment by Pressure Processes
- AWPA C25 (1995) Sawn Crossarms - Preservative Treatment by Pressure Processes
- AWPA P1/P13 (1995) Standard for Coal Tar Creosote for Land and Fresh Water and Marine (Coastal Water Use)
- AWPA P5 (1997) Standards for Waterborne Preservatives
- AWPA P8 (1997) Standards for Oil-Borne Preservatives
- AWPA P9 (1997) Standards for Solvents for Organic Preservative Systems

INSTITUTE OF ELECTRICAL AND ELECTRONIC ENGINEERS (IEEE)

- IEEE C2 (1997) National Electrical Safety Code
- IEEE C37.34 (1994) Test Code for High-Voltage Air Switches
- IEEE C37.41 (1994; C37.41e) Design Tests for High-Voltage Fuses, Distribution Enclosed Single-Pole Air Switches, Fuse Disconnecting Switches, and Accessories
- IEEE C37.60 (1981; R 1992) Requirements for Overhead, Pad Mounted, Dry Vault and Submersible Automatic Circuit Reclosers and Fault Interrupters for AC Systems
- IEEE C37.63 (1997) Requirements for Overhead, Pad-Mounted, Dry-Vault, and Submersible Automatic Line Sectionalizer for AC Systems
- IEEE C57.12.00 (1993) Standard General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers

- IEEE C57.13.2 (1991) IEEE Standard Conformance Test Procedures for Instrument Transformers
- IEEE C57.15 (1986; R 1992) Requirements, Terminology, and Test Code for Step-Voltage and Induction-Voltage Regulators
- IEEE C57.19.00 (1991; R 1997) IEEE Standard General Requirements and Test Procedures for Outdoor Power Apparatus Bushings
- IEEE C57.19.01 (1991; R 1997) IEEE Standard Performance Characteristics and Dimensions for Outdoor Apparatus Bushings
- IEEE C57.98 (1993) Guide for Transformer Impulse Tests
- IEEE C62.1 (1989; R 1994) Surge Arresters for AC Power Circuits
- IEEE C62.2 (1987; R 1994) Guide for the Application of Gapped Silicon-Carbide Surge Arresters for Alternating Current Systems
- IEEE C62.11 (1998) IEEE Standard Metal-Oxide Surge Arresters for AC Power Circuits
- IEEE Std 18 (1992) Shunt Power Capacitors
- IEEE Std 81 (1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1)
- IEEE Std 100 (1996) IEEE Standard Dictionary of Electrical and Electronics Terms
- IEEE Std 242 (1986; R 1991) Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems
- IEEE Std 399 (1997) Recommended Practice for Industrial and Commercial Power Systems Analysis
- IEEE Std 404 (1993; errata) Cable Joints for Use with Extruded Dielectric Cable Rated 5000 V Through 138 000 V and Cable Joints for Use with Laminated Dielectric Cable Rated 2500 V Through 500 000 V

INSULATED CABLE ENGINEERING ASSOCIATION (ICEA)

- ICEA S-70-547 (1992) Weather Resistant Polyolefin Covered Wire & Cable

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA HV 2	(1991) Application Guide for Ceramic Suspension Insulators
NEMA ICS 6	(1993) Industrial Control and Systems, Enclosures
NEMA LA 1	(1992) Surge Arresters
NEMA SG 2	(1993) High Voltage Fuses
NEMA WC 5	(1992; Rev 1) Thermoplastic-Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy
NEMA WC 7	(1991; Rev 1) Cross-Linked-Thermosetting-Polyethylene- Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy
NEMA WC 8	(1991; Rev 3 1996) Ethylene-Propylene-Rubber-Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(1999) National Electrical Code
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RURAL UTILITIES SERVICES (RUS)

REA Bulletin 1728H-701	(1993) Crossarms (Solid and Laminated), Transmission Timbers and Pole Keys
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UNDERWRITERS LABORATORIES (UL)

UL 467	(1993; Rev thru Aug 1996) Grounding and Bonding Equipment
UL 486A	(1997; Rev thru Dec 1998) Wire Connectors and Soldering Lugs for Use with Copper Conductors
UL 486B	(1997; Rev Jun 1997) Wire Connectors for Use with Aluminum Conductors

1.2 GENERAL REQUIREMENTS

1.2.1 Terminology

Terminology used in this specification is as defined in IEEE Std 100.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES.

Data

Manufacturer's Catalog.

Catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Installation Procedures.

As a minimum, installation procedures for transformers. Procedures shall include diagrams, instructions, and precautions required to install, adjust, calibrate, and test the devices and equipment.

Drawings

Electrical Distribution System.

Detail drawings consisting of equipment drawings, illustrations, schedules, instructions, diagrams and other information necessary to define the installation and enable the Government to check conformity with the requirements of the contract drawings. Detail drawings shall as a minimum include:

- a. Transformers.
- b. Pole top switches.

If departures from the contract drawings are deemed necessary by the Contractor, complete details of such departures shall be submitted with the detail drawings. Approved departures shall be made at no additional cost to the Government.

Detail drawings shall show how components are assembled, function together and how they will be installed on the project. Data and drawings for component parts of an item or system shall be coordinated and submitted as a unit. Data and drawings shall be coordinated and included in a single submission. Multiple submissions for the same equipment or system are not acceptable except where prior approval has been obtained from the Contracting Officer. In such cases, a list of data to be submitted later shall be included with the first submission. Detail drawings shall consist of the following:

- a. Detail drawings showing physical arrangement, construction details, connections, finishes, materials used in fabrication, provisions for conduit or busway entrance, access requirements for installation and maintenance, physical size, electrical characteristics, foundation and support details, and equipment weight. Drawings shall be drawn to scale and/or dimensioned. Optional items shall be clearly identified as included or excluded.
- b. Internal wiring diagrams of equipment showing wiring as actually provided for this project. External wiring connections shall be clearly identified.

As-Built Drawings.

The as-built drawings shall be a record of the construction as installed. The drawings shall include the information shown on the contract drawings as

well as deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be kept at the job site and updated daily. The as-built drawings shall be a full sized set of prints marked to reflect deviations, modifications, and changes. The as-built drawings shall be complete and show the location, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate. Upon completion of the work, the Contractor shall submit three full sized sets of the marked prints to the Contracting Officer for approval. If upon review, the as-built drawings are found to contain errors and/or omissions, they will be returned to the Contractor for correction. The Contractor shall correct and return the as-built drawings to the Contracting Officer for approval within ten calendar days from the time the drawings are returned to the Contractor.

Reports

Factory Test.

Certified factory test reports shall be submitted when the manufacturer performs routine factory tests, including tests required by standards listed in paragraph REFERENCES. Results of factory tests performed shall be certified by the manufacturer, or an approved testing laboratory, and submitted within 7 days following successful completion of the tests specified in applicable publications or in these specifications.

Field Testing.

A proposed field test plan 20 days prior to testing the installed system. No field test shall be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Test Reports.

Six copies of the information described below in 8-1/2 by 11 inch binders having a minimum of 5 rings, and including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The condition specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

OPERATION AND MAINTENANCE MANUALS

Electrical Distribution System.

Six copies of Operation and Maintenance manuals electrical distribution system shall be provided, within 7 calendar days following the completion of tests and shall include assembly, installation, operation and maintenance instructions, spare parts data which provides supplier name, current cost, catalog order number, and a recommended list of spare parts to be stocked. Manuals shall also include data outlining detailed procedures for system startup and operation, and a troubleshooting guide which lists possible operational problems and corrective action to be taken. A brief description of all equipment, basic operating features, and routine maintenance requirements shall also be included. Documents shall be bound in a binder marked or identified on the spine and front cover. A table of contents page shall be included and marked with pertinent contract information and contents of the manual. Tabs shall be provided to separate different types of documents, such as catalog ordering information, drawings, instructions, and spare-parts data. Index sheets shall be provided for each section of the manual when warranted by the quantity of documents included under separate tabs or dividers. Three additional copies of the instructions manual shall be provided within 30 calendar days following the manuals.

Three additional copies of the instructions manual within 30 calendar days following the approval of the manuals.

1.4 DELIVERY, STORAGE, AND HANDLING

Devices and equipment shall be visually inspected by the Contractor when received and prior to acceptance from conveyance. Stored items shall be protected from the environment in accordance with the manufacturer's published instructions. Damaged items shall be replaced. Oil filled transformers and switches shall be stored in accordance with the manufacturer's requirements. <TAI OPT=WOOD POLES>Wood poles held in storage for more than 2 weeks shall be stored in accordance with ANSI 05.1. Handling of wood poles shall be in accordance with ANSI 05.1, except that pointed tools capable of producing indentations more than inch in depth shall not be used</TAI>. <TAI OPT=STEEL POLES>Metal poles shall be handled and stored in accordance with the manufacturer's instructions.</TAI>

1.5 EXTRA MATERIALS

One additional spare fuse or fuse element for each furnished fuse or fuse element shall be delivered to the Contracting Officer when the electrical system is accepted. Two complete sets of all special tools required for maintenance shall be provided, complete with a suitable tool box. Special tools are those that only the manufacturer provides, for special purposes (to access compartments, or operate, adjust, or maintain special parts).

2 PRODUCTS

2.1 GENERAL REQUIREMENTS

Products shall conform to the following requirements. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.2 STANDARD PRODUCT

Material and equipment shall be the standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

2.3 NAMEPLATES

2.3.1 General

Each major component shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a nameplate securely attached to the equipment. Equipment containing liquid-dielectrics shall have the type of dielectric on the nameplate. Nameplates shall be made of noncorrosive metal. As a minimum, nameplates shall be provided for transformers, regulators, circuit breakers, capacitors, meters and switches.

2.3.2 Liquid-Filled Transformer Nameplates

Power transformers shall be provided in accordance with [IEEE C57.12.00](#). Nameplates shall indicate the number of gallons and composition of liquid-dielectric, and shall be permanently marked with a statement that the transformer dielectric to be supplied is non-polychlorinated biphenyl. If transformer nameplate is not so marked, the Contractor shall furnish manufacturer's certification for each transformer that the dielectric is non-PCB classified, with less than 2 ppm PCB content in accordance with paragraph LIQUID DIELECTRICS. Certifications shall be related to serial numbers on transformer nameplates. Transformer dielectric exceeding the 2 ppm PCB content or transformers without certification will be considered as PCB insulated and will not be accepted.

2.4 CORROSION PROTECTION

2.4.1 Aluminum Materials

Aluminum shall not be used.

2.4.2 Ferrous Metal Materials

2.4.2.1 Hardware

Ferrous metal hardware shall be hot-dip galvanized in accordance with [ASTM A 153/A 153M](#) and [ASTM A 123/A 123M](#).

2.4.2.2 Equipment

Equipment and component items, including but not limited to transformers and ferrous metal luminaires not hot-dip galvanized or porcelain enamel finished, shall be provided with corrosion-resistant finishes which shall withstand 480 hours of exposure to the salt spray test specified in [ASTM B 117](#) without loss of paint or release of adhesion of the paint primer coat to the metal surface in excess of 1/16 inch from the test mark. The described test mark and test evaluation shall be in accordance with [ASTM D 1654](#) with a rating of not less than 7 in accordance with TABLE 1, (procedure A). Cut edges or otherwise damaged surfaces of hot-dip galvanized sheet steel or

mill galvanized sheet steel shall be coated with a zinc rich paint conforming to the manufacturer's standard.

2.5 CONDUCTORS, CONNECTORS, AND SPLICES

2.5.1 Copper Conductors

Hard-drawn-copper conductors shall comply with ASTM B 1 and ASTM B 8 as appropriate for the conductor size.

2.5.2 Connectors and Splices

Connectors and splices shall be of copper alloys for copper conductors, aluminum alloys for aluminum-composition conductors, and a type designed to minimize galvanic corrosion for copper to aluminum-composition conductors. Aluminum-composition and aluminum-composition to copper shall comply with UL 486B, and copper-to-copper shall comply with UL 486A.

2.6 <TAI OPT=MEDIUM VOLTAGE LINES>2.6 MEDIUM-VOLTAGE LINES

2.6.1 Bare Medium-Voltage Lines

Bare medium-voltage line conductors shall be hard-drawn-copper, CU. Conductor types shall not be mixed on any project, unless specifically indicated. Conductors larger than No. 2 AWG shall be stranded.

2.7 </TAI>2.8 POLE HARDWARE

2.7.1 Pole Line Hardware

Zinc-coated hardware shall comply with ANSI C135.1, ANSI C135.2, ANSI C135.4, ANSI C135.14 ANSI C135.22. Steel hardware shall comply with ASTM A 575 and ASTM A 576. Hardware shall be hot-dip galvanized in accordance with ASTM A 153/A 153M. Pole-line hardware shall be hot-dip galvanized steel. Washers shall be installed under boltheads and nuts on wood surfaces and elsewhere as required. Washers used on through-bolts and double-arming bolts shall be approximately 2-1/4 inches square and 3/16 inch thick. The diameter of holes in washers shall be the correct standard size for the bolt on which a washer is used. Washers for use under heads of carriage-bolts shall be of the proper size to fit over square shanks of bolts. Eye bolts, bolt eyes, eyenuts, strain-load plates, lag screws, guy clamps, fasteners, hooks, shims, and clevises shall be used wherever required to support and to protect poles, brackets, crossarms, guy wires, and insulators.

2.8 FUSES AND SWITCHES, MEDIUM-VOLTAGE

2.8.1 Fuse Cutouts

Medium-voltage fuses and cutouts shall comply with NEMA SG 2 and shall be of the loadbreak open type construction rated 27 kV and of the extra-heavy - duty type. Open-link cut-outs are not acceptable. Fuses shall be either indicating or dropout type. Fuse ratings shall be as indicated. Fuse cutouts shall be equipped with mounting brackets suitable for the indicated installations.

2.9 TRANSFORMERS

Transformers shall comply with [IEEE C57.12.00](#) for general requirements and [ANSI C57.12.20](#) for specific requirements for overhead transformers. Overhead distribution transformers shall be of the outdoor type, mineral-oil-insulated single-phase or three-phase as indicated and have two separate windings per phase. Transformers shall be provided with necessary auxiliary mounting devices suitable for the indicated installation. Transformers shall have two 2-1/2 percent rated kVA high-voltage taps above and below rated primary voltage. Transformer installations shall include one primary fuse cutout and one surge arrester for each ungrounded phase conductor. Self-protected transformers are not acceptable. Transformer tanks shall have a standard gray finish.

2.10 SURGE ARRESTERS

Surge arresters shall comply with [NEMA LA 1](#) and [IEEE C62.1](#), [IEEE C62.2](#), and [IEEE C62.11](#), and shall be provided for protection of aerial-to-underground transitions, automatic circuit reclosers, capacitor equipment, group-operated load-interrupter switches, transformers and other indicated equipment. Arresters shall be distribution class, rated as shown. Arresters shall be equipped with mounting brackets suitable for the indicated installations. Arresters shall be of the metal-oxide varistor type suitable for outdoor installations.

2.18 GROUNDING AND BONDING

2.10.1 Driven Ground Rods

Ground rods shall be of copper-clad steel conforming to [UL 467](#) not less than 3/4 inch in diameter by 10 feet in length of the sectional type driven full length into the earth.

2.10.2 Grounding Conductors

Grounding conductors shall be bare, except where installed in conduit with associated phase conductors. Insulated conductors shall be of the same material as the phase conductors and green color-coded, except that conductors shall be rated no more than 600 volts. Bare conductors shall be [ASTM B 8](#) soft-drawn unless otherwise indicated. Aluminum is not acceptable.

2.11 WARNING SIGNS

Warning signs shall be porcelain enameled steel or approved equal. Voltage warning signs shall comply with [IEEE C2](#).

2.12 LIQUID DIELECTRICS

Liquid dielectrics for transformers, capacitors, reclosers, and other liquid-filled electrical equipment shall be non-polychlorinated biphenyl (PCB) mineral-oil or less-flammable liquid as specified. Nonflammable fluids shall not be used. Tetrachloroethylene (perchloroethylene) and 1, 2, 4 tetrachlorobenzene fluids shall not be used. Liquid dielectrics in retrofitted equipment shall be certified by the manufacturer as having less than 2 parts-per-million (ppm) PCB content. In lieu of the manufacturer's certification, the Contractor may submit a test sample of the dielectric in accordance with [ASTM D 923](#) and have tests performed per [ASTM D 4059](#) at a

testing facility approved by the Contracting Officer. Equipment with test results indicating PCB level exceeding 2 ppm shall be replaced.

2.13 FACTORY TESTS

Factory tests shall be performed, as follows, in accordance with the applicable publications and with other requirements of these specifications. The Contracting Officer shall be notified at least 10 days before the equipment is ready for testing.

- a. Transformers: Manufacturer's standard routine tests in accordance with IEEE C57.12.00.
- b. High-Voltage Fuses: Manufacturer's standard tests in accordance with IEEE C37.41.

3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Equipment and devices shall be installed and energized in accordance with the manufacturer's published instructions. Secondary circuits installed in conduit on poles shall conform to the requirements of Section 16415 ELECTRICAL WORK, INTERIOR.

3.1.1 Conformance to Codes

The installation shall comply with the requirements and recommendations of IEEE C2 for light loading districts, Grade B construction. No reduction in clearance shall be made. The installation shall also comply with the applicable parts of NFPA 70.

3.1.2 Verification of Dimensions

The Contractor shall become familiar with details of the work, shall verify dimensions in the field, and shall notify the Contracting Officer of any discrepancy before performing any work.

3.2 POLE INSTALLATION

Cluster-mounted single-phase transformer installations shall be provided. Pole equipment mounts shall be used for wood poles rather than crossarm equipment mounts. Detail drawings shall be submitted for approval.

3.3 CONDUCTOR INSTALLATION

3.3.1 Connectors and Splices

Connectors and splices shall be mechanically and electrically secure under tension and shall be of the nonbolted compression type. The tensile strength of any splice shall be not less than the rated breaking strength of the conductor. Splice materials, sleeves, fittings, and connectors shall be noncorrosive and shall not adversely affect conductors. Aluminum-composition conductors shall be wire brushed and an oxide inhibitor applied before making a compression connection. Connectors which are factory-filled with an inhibitor are acceptable. Inhibitors and compression tools shall be of types recommended by the connector manufacturer. Primary line apparatus

taps shall be by means of hot line clamps attached to compression type bail clamps (stirrups). Low-voltage connectors for copper conductors shall be of the solderless pressure type. Noninsulated connectors shall be smoothly taped to provide a waterproof insulation equivalent to the original insulation, when installed on insulated conductors. On overhead connections of aluminum and copper, the aluminum shall be installed above the copper.

3.3.2 Conductor-To-Insulator Attachments

Conductors shall be attached to insulators by means of clamps, shoes or tie wires, in accordance with the type of insulator. For insulators requiring conductor tie-wire attachments, tie-wire sizes shall be as indicated in TABLE II.

TABLE II

TIE-WIRE REQUIREMENTS

CONDUCTOR Copper (AWG)	TIE WIRE Soft-Drawn Copper (AWG)
6	8
4 and 2	6
1 through 3/0	4
4/0 and larger	2
AAC, AAAC, or ACSR (AWG)	AAAC OR AAC (AWG)
Any size	6 or 4

3.4 TRANSFORMER INSTALLATION

Transformers shall be carefully installed so as not to scratch finishes or damage bushings. Transformers shall be installed in accordance with the manufacturer's instructions. After installation, surfaces shall be inspected and scratches shall be touched up with a finish provided by the transformer manufacturer for this purpose. Primary taps shall be set at nominal.

3.5 CONNECTIONS TO UTILITY LINES

The Contractor shall coordinate the work with the Contracting Officer and shall provide for final connections to the installation electric lines.

3.6 CONNECTIONS BETWEEN AERIAL AND UNDERGROUND SYSTEMS

Connections between aerial and underground systems shall be made as shown. Underground cables shall be extended up poles in conduit to cable terminations. Conduits shall be secured to poles by conduit supports spaced not more than 10 feet apart and with one support not more than 12 inches from any bend or termination. Cables shall be supported by devices separate from the conduit or guard, near their point of exit from the riser conduit.

3.7 CONNECTIONS TO STRUCTURES

3.7.1 Underground Services

Connections to structures shall be made at the point indicated and shall be terminated as indicated. Service entrance conduits with termination fittings and conductors within the structure shall conform to the requirements of Section 16415 ELECTRICAL WORK, INTERIOR.

3.8 GROUNDING

Noncurrent-carrying metal parts of equipment and conductor assemblies, such as luminaires, medium-voltage cable terminations and messengers, metal poles, operating mechanisms of pole top switches, panel enclosures, transformers, capacitors, recloser frames (cases) and other noncurrent-carrying metal items shall be grounded. Additional grounding of equipment, neutral, and surge arrester grounding systems shall be installed at poles where indicated.

3.8.1 Grounding Electrodes

Grounding electrodes shall be installed as follows:

- a. Driven rod electrodes - Unless otherwise indicated, ground rods shall be located approximately 3 feet out from base of the pole and shall be driven into the earth until the tops of the rods are approximately 1 foot below finished grade. Multiple rods shall be evenly spaced at least 10 feet apart and connected together 2 feet below grade with a minimum No. 6 bare copper conductor.
- b. Pole butt electrodes - Pole butt electrodes shall be installed where indicated, except that this method shall not be the sole grounding electrode at transformer locations. The pole butt electrode shall consist of a coil of at least 12 feet of minimum No. 6 bare copper conductor stapled to the butt of the pole.
- c. Plate electrodes - Plate electrodes shall be installed in accordance with the manufacturer's instructions and IEEE C2 and NFPA 70.
- d. Ground Resistance - The maximum resistance of a driven ground rod shall not exceed 5 ohms under normally dry conditions. Whenever the required ground resistance is not met, provide additional electrodes interconnected with grounding conductors, to achieve the specified ground resistance. The additional electrodes will be extension-type rods, 3/4 inch diameter, up to 30 feet long, coupled and driven with the first rod. If the resultant resistance exceeds 5 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately. Connections below grade shall be fusion welded. Connections above grade shall be fusion welded or shall use UL 467 approved connectors.

3.8.2 Grounding and Bonding Connections

Connections above grade shall be made by the fusion-welding process or with bolted solderless connectors in compliance with UL 467, and those below grade shall be made by a fusion-welding process. Where grounding conductors

are connected to aluminum-composition conductors, specially treated or lined copper-to-aluminum connectors suitable for this purpose shall be used.

3.8.3 Grounding Electrode Conductors

On multi-grounded circuits, as defined in **IEEE C2**, provide a single continuous vertical grounding electrode conductor. Neutrals, surge arresters, and equipment grounding conductors shall be bonded to this conductor. For single grounded or ungrounded systems, provide a grounding conductor for the surge arrester and equipment grounding conductors and a separate grounding conductor for the secondary neutrals. Grounding electrode conductors shall be sized as shown. Secondary system neutral conductors shall be connected directly to the transformer neutral bushings, then connected with a neutral bonding jumper between the transformer neutral bushing and the vertical grounding electrode conductor, as shown. Grounding electrode conductors shall be stapled to wood poles at intervals not exceeding 2 feet. On metal poles, a preformed galvanized steel strap, 5/8 inch wide by 22 gauge minimum by length, secured by a preformed locking method standard with the manufacturer, shall be used to support a grounding electrode conductor installation on the pole and spaced at intervals not exceeding 5 feet with one band not more than 3 inches from each end of the vertical grounding electrode conductor. Bends greater than 45 degrees in grounding electrode conductor are not permitted.

3.9 FIELD TESTING

3.9.1 General

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 20 days prior to conducting tests. The Contractor shall furnish materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform tests and inspections recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. Field reports will be signed and dated by the Contractor.

3.9.2 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.9.3 Ground-Resistance Tests

The resistance of each pole ground shall be measured using the fall-of-potential method defined in **IEEE Std 81**. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes shall be provided.

3.9.4 Low-Voltage Cable Test

For underground secondary or service laterals from overhead lines, the low-voltage cable, complete with splices, shall be tested for insulation resistance after the cables are installed, in their final configuration, ready for connection to the equipment, and prior to energization. The test voltage shall be 500 volts dc, applied for one minute between each conductor and ground and between all possible combinations of conductors in the same trench, duct, or cable, with other conductors in the same trench, duct, or conduit. The minimum value of insulation shall be:

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet)

Each cable failing this test shall be repaired or replaced. The repaired cable shall then be retested until failures have been eliminated.

3.9.5 Liquid-Filled Transformer Tests

The following field tests shall be performed on liquid-filled transformers. Pass-fail criteria shall be in accordance with the transformer manufacturer's specifications.

- a. Insulation resistance test phase-to-ground.
- b. Turns ratio test.

3.9.6 Operating Tests

After the installation is completed, and at such time as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the specified requirements. An operating test report shall be submitted in accordance with paragraph SUBMITTALS.

3.10 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

SECTION 16375

ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.4	(1984; R 1996) Mechanical Demand Registers
ANSI C12.10	(1987) Electromechanical Watthour Meters
ANSI C12.11	(1987; R 1993) Instrument Transformers for Revenue Metering, 10 kV BIL through 350 kV BIL (0.6 kV NSV through 69 kV NSV)
ANSI C29.1	(1988; R 1996) Electrical Power Insulators - Test Methods
ANSI C37.16	(1988; C37.16a; R 1995) Low-Voltage Power Circuit Breakers and AC Power Circuit Protectors - Preferred Ratings, Related Requirements, and Application Recommendations
ANSI C37.46	(1981; R 1992) Power Fuses and Fuse Disconnecting Switches
ANSI C37.50	(1989; R 1995) Switchgear, Low-Voltage AC Power Circuit Breakers Used in Enclosures - Test Procedures
ANSI C37.72	(1987) Manually-Operated Dead-Front, Padmounted Switchgear with Load-Interrupting Switches and Separable Connectors for Alternating-Current Systems
ANSI C37.121	(1989; R 1995) Switchgear, Unit Substations Requirements
ANSI C57.12.13	(1982) Conformance Requirements for Liquid-Filled Transformers Used in Unit Installations, Including Unit Substations
ANSI C57.12.21	(1995) Requirements for Pad-Mounted, Compartmental-Type, Self-Cooled, Single-Phase Distribution Transformers with High-Voltage Bushings; (High-Voltage, 34 500 Grd Y/19 920 Volts and Below; Low-Voltage, 240/120; 167 kVA and Smaller)

- ANSI C57.12.26 (1993) Pad-Mounted Compartmental-Type, Self-Cooled, Three-Phase Distribution Transformers for Use with Separable Insulated High-Voltage Connectors, High-Voltage, 34 500 Grd Y/19 920 Volts and Below; 2500 kVa and Smaller
- ANSI C57.12.27 (1982) Conformance Requirements for Liquid-Filled Distribution Transformers Used in Pad-Mounted Installations, Including Unit Substations
- ANSI C57.12.28 (1996) Switchgear and Transformers - Padmounted Equipment - Enclosure Integrity
- ANSI C80.1 (1995) Rigid Steel Conduit - Zinc Coated
- ANSI C119.1 (1986) Sealed Insulated Underground Connector Systems Rated 600 Volts
- ANSI C135.30 (1988) Zinc-Coated Ferrous Ground Rods for Overhead or Underground Line Construction
- ANSI O5.1 (1992) Specifications and Dimensions for Wood Poles

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM A 48 (1994a) Gray Iron Castings
- ASTM A 123/A 123M (1997a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
- ASTM A 153/A 153M (1995) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
- ASTM B 3 (1995) Soft or Annealed Copper Wire
- ASTM B 8 (1993) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
- ASTM B 117 (1997) Operating Salt Spray (Fog) Apparatus
- ASTM B 231 (1995) Concentric-Lay-Stranded Aluminum 1350 Conductors
- ASTM B 400 (1994) Compact Round Concentric-Lay-Stranded Aluminum 1350 Conductors
- ASTM B 496 (1992) Compact Round Concentric-Lay-Stranded Copper Conductors
- ASTM B 609 (1997) Aluminum 1350 Round Wire, Annealed and Intermediate Tempers, for Electrical Purposes

ASTM B 609M	(1991) Aluminum 1350 Round Wire, Annealed and Intermediate Tempers, for Electrical Purposes (Metric)
ASTM B 800	(1994) 8000 Series Aluminum Alloy Wire for Electrical Purposes - Annealed and Intermediate Tempers
ASTM B 801	(1995) Concentric-Lay-Stranded Conductors of 8000 Series Aluminum Alloy for Subsequent Covering or Insulation
ASTM C 478	(1997) Precast Reinforced Concrete Manhole Sections
ASTM C 478M	(1997) Precast Reinforced Concrete Mahhole Sections (Metric)
ASTM D 923	(1991) Sampling Electrical Insulating Liquids
ASTM D 1654	(1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
ASTM D 2472	(1992) Sulfur Hexafluoride
ASTM D 4059	(1996) Analysis of Polychlorinated Biphenyls in Insulating Liquids by Gas Chromatography

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

AEIC CS5	(1994) Cross-linked Polyethylene Insulated Shielded Power Cables Rated 5 Through 46 kV
AEIC CS6	(1996) Ethylene Propylene Rubber Insulated Shielded Power Cables Rated 5 Through 69 kV

FACTORY MUTUAL ENGINEERING AND RESEARCH (FM)

FM P7825a	(1998) Approval Guide Fire Protection
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INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(1997) National Electrical Safety Code
IEEE ANSI/IEEE C37.1	(1994) IEEE Standard Definition, Specification, and Analysis of Systems Used for Supervisory Control, Data Acquisition, and Automatic Control
IEEE ANSI/IEEE C37.2	(1996) Electrical Power System Device Function Numbers and Contact Designations
IEEE ANSI/IEEE C37.13	(1990; R 1995) Low-Voltage AC Power Circuit Breakers Used in Enclosures

IEEE ANSI/IEEE C37.20.1	(1993) Metal-Enclosed Low-Voltage Power Circuit-Breaker Switchgear
IEEE ANSI/IEEE C37.20.2	(1993; C37.20.2b) Metal-Clad and Station-Type Cubicle Switchgear
IEEE ANSI/IEEE C37.20.3	(1987; R 1992) Metal-Enclosed Interrupter Switchgear
IEEE ANSI/IEEE C37.23	(1987; R 1991) Guide for Metal-Enclosed Bus and Calculating Losses in Isolated-Phase Bus
IEEE ANSI/IEEE C37.30	(1997) Requirements for High-Voltage Switches
IEEE ANSI/IEEE C37.34	(1994) Test Code for High-Voltage Air Switches
IEEE ANSI/IEEE C37.41	(1994; C37.41e) Design Tests for High-Voltage Fuses, Distribution Enclosed Single-Pole Air Switches, Fuse Disconnecting Switches, and Accessories
IEEE ANSI/IEEE C37.63	(1997) Requirements for Overhead, Pad-Mounted, Dry-Vault, and Submersible Automatic Line Sectionalizer for AC Systems
IEEE ANSI/IEEE C37.90	(1989; R 1994) Relays and Relay Systems Associated with Electric Power Apparatus
IEEE ANSI/IEEE C37.90.1	(1989; R 1994) IEEE Standard Surge Withstand Capability (SWC) Tests for Protective Relays and Relay Systems
IEEE ANSI/IEEE C37.98	(1987; R 1990) Seismic Testing of Relays
IEEE ANSI/IEEE C57.12.00	(1993) IEEE Standard General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE ANSI/IEEE C57.13	(1993) Instrument Transformers
IEEE ANSI/IEEE C57.98	(1993) Guide for Transformer Impulse Tests
IEEE C62.1	(1989; R 1994) Surge Arresters for AC Power Circuits
IEEE C62.2	(1987; R 1994) Guide for the Application of Gapped Silicon-Carbide Surge Arresters for Alternating Current Systems
IEEE C62.11	(1993) IEEE Standard Metal-Oxide Surge Arresters for AC Power Circuits
IEEE Std 48	(1996) Standard Test Procedures and Requirements for Alternating-Current Cable Terminations 2.5 kV through 765 kV

- IEEE Std 81 (1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1)
- IEEE Std 100 (1996) IEEE Standard Dictionary of Electrical and Electronics Terms
- IEEE Std 242 (1986; R 1991) Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems
- IEEE Std 386 (1995) Separable Insulated Connector Systems for Power Distribution Systems Above 600V
- IEEE Std 399 (1997) Recommended Practice for Industrial and Commercial Power Systems Analysis
- IEEE Std 404 (1993; errata) Cable Joints for Use with Extruded Dielectric Cable Rated 5000 V through 138 000 V and Cable Joints for Use with Laminated Dielectric Cable Rated 2500 V Through 500 000 V
- IEEE Std 592 (1990; R 1996) Exposed Semiconducting Shields on Premolded High Voltage Cable Joints and Separable Insulated Connectors

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- NEMA AB 1 (1993) Molded Case Circuit Breakers and Molded Case Switches
- NEMA BU 1 (1994) Busways
- NEMA FB 1 (1993) Fittings, Cast Metal Boxes and Conduit Bodies for Conduit and Cable Assemblies
- NEMA FU 1 (1986) Low Voltage Cartridge Fuses
- NEMA LA 1 (1992) Surge Arresters
- NEMA PB 1 (1990) Panelboards
- NEMA PB 2 (1995) Deadfront Distribution Switchboards
- NEMA SG 2 (1993) High Voltage Fuses
- NEMA SG 3 (1995) Power Switching Equipment
- NEMA SG 5 (1990) Power Switchgear Assemblies
- NEMA TC 5 (1990) Corrugated Polyolefin Coilable Plastic Utilities Duct
- NEMA TC 6 (1990) PVC and ABS Plastic Utilities Duct for Underground Installation

- NEMA TC 7 (1990) Smooth-Wall Coilable Polyethylene Electrical Plastic Duct
- NEMA WC 7 (1991; Rev 1) Cross-Linked-Thermosetting-Polyethylene- Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy
- NEMA WC 8 (1991; Rev 1; Rev 2) Ethylene-Propylene-Rubber-Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 70 (1999) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

- UL 6 (1997) Rigid Metal Conduit
- UL 198C (1986; Rev thru Feb 1998) High-Interrupting-Capacity Fuses, Current-Limiting Types
- UL 198D (1995) Class K Fuses
- UL 198E (1988; Rev Jul 1988) Class R Fuses
- UL 198H (1988; Rev thru Nov 1993) Class T Fuses
- UL 467 (1993; Rev thru Aug 1996) Grounding and Bonding Equipment
- UL 486A (1997) Wire Connectors and Soldering Lugs for Use with Copper Conductors
- UL 486B (1997; Rev Jun 1997) Wire Connectors for Use with Aluminum Conductors
- UL 489 (1996; Rev thru Nov 1997) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit-Breaker Enclosures
- UL 510 (1994; Rev thru Nov 1997) Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
- UL 514A (1996; Rev Jul 1998) Metallic Outlet Boxes
- UL 651 (1995; Rev thru Oct 1998) Schedule 40 and 80 Rigid PVC Conduit
- UL 854 (1996; Rev Apr 1998) Service-Entrance Cables
- UL 857 (1994; Rev thru Nov 1996) Busways and Associated Fittings

UL 1072	(1995; Rev Mar 1998) Medium-Voltage Power Cable
UL 1242	(1996; Rev Apr 1997) Intermediate Metal Conduit
UL 1684	(1996) Reinforced Thermosetting Resin Conduit (RTRC) and Fittings

1.2 GENERAL REQUIREMENTS

1.2.1 Terminology

Terminology used in this specification is as defined in IEEE Std 100.

1.2.2 Service Conditions

Items provided under this section shall be specifically suitable for the following service conditions. Seismic details shall conform to Sections 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT and 16070 SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT.

- a. Altitude 30 feet
- b. Ambient Temperature 90 degrees F
- c. Frequency 60
- d. Seismic Parameters zone 1

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Manufacturer's Catalog Data.

Catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Drawings

As-Built Drawings.

The as-built drawings shall be a record of the construction as installed. The drawings shall include the information shown on the contract drawings as well as deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be a full sized set of prints marked to reflect deviations, modifications, and changes. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate. Upon completion of the work, the Contractor shall provide three full sized sets

of the marked prints to the Contracting Officer for approval. If upon review, the as-built drawings are found to contain errors and/or omissions, they will be returned to the Contractor for correction. The Contractor shall correct and return the as-built drawings to the Contracting Officer for approval within 10 calendar days from the time the drawings are returned to the Contractor.

Reports

Field Testing.

A proposed field test plan, 20 days prior to testing the installed system. No field test shall be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Test Reports.

Six copies of the information described below in 8-1/2 by 11 inch binders having a minimum of three rings, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The condition specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

1.4 DELIVERY, STORAGE, AND HANDLING

Devices and equipment shall be visually inspected by the Contractor when received and prior to acceptance from conveyance. Stored items shall be protected from the environment in accordance with the manufacturer's published instructions. Damaged items shall be replaced. Oil filled transformers and switches shall be stored in accordance with the manufacturer's requirements. Wood poles held in storage for more than 2 weeks shall be stored in accordance with ANSI O5.1. Handling of wood poles shall be in accordance with ANSI O5.1, except that pointed tools capable of producing indentations more than 1 inch in depth shall not be used. Metal poles shall be handled and stored in accordance with the manufacturer's instructions.

1.5 EXTRA MATERIALS

One additional spare fuse or fuse element for each furnished fuse or fuse element shall be delivered to the contracting officer when the electrical system is accepted. Two complete sets of all special tools required for maintenance shall be provided, complete with a suitable tool box. Special

tools are those that only the manufacturer provides, for special purposes (to access compartments, or operate, adjust, or maintain special parts).

2 PRODUCTS

2.1 STANDARD PRODUCT

Material and equipment shall be the standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.2 NAMEPLATES

2.2.1 General

Each major component of this specification shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a nameplate securely attached to the equipment. Nameplates shall be made of noncorrosive metal. Equipment containing liquid dielectrics shall have the type of dielectric on the nameplate. Sectionalizer switch nameplates shall have a schematic with all switch positions shown and labeled. As a minimum, nameplates shall be provided for transformers, circuit breakers, meters, switches, and switchgear.

2.3 CORROSION PROTECTION

2.3.1 Aluminum Materials

Aluminum shall not be used.

2.3.2 Ferrous Metal Materials

2.3.2.1 Hardware

Ferrous metal hardware shall be hot-dip galvanized in accordance with [ASTM A 153/A 153M](#) and [ASTM A 123/A 123M](#).

2.3.2.2 Equipment

Equipment and component items, including but not limited to transformer stations and ferrous metal luminaries not hot-dip galvanized or porcelain enamel finished, shall be provided with corrosion-resistant finishes which shall withstand 480 hours of exposure to the salt spray test specified in [ASTM B 117](#) without loss of paint or release of adhesion of the paint primer coat to the metal surface in excess of 1/16 inch from the test mark. The scribed test mark and test evaluation shall be in accordance with [ASTM D 1654](#) with a rating of not less than 7 in accordance with TABLE 1, (procedure A). Cut edges or otherwise damaged surfaces of hot-dip galvanized sheet steel or mill galvanized sheet steel shall be coated with a zinc rich paint conforming to the manufacturer's standard.

2.3.3 Finishing

Painting required for surfaces not otherwise specified and finish painting of items only primed at the factory shall be as specified in Section 09900 PAINTING, GENERAL.

2.4 CABLES

Cables shall be single conductor type unless otherwise indicated.

2.4.1 <TAI OPT=LOW VOLTAGE CABLE>2.4.2 Low-Voltage Cables

Cables shall be rated 600 volts and shall conform to the requirements of NFPA 70, and must be UL listed for the application or meet the applicable section of either ICEA or NEMA standards.

2.4.1.1 Conductor Material

Underground cables shall be annealed copper complying with ASTM B 3 and ASTM B 8. Intermixing of copper and aluminum conductors is not permitted.

2.4.1.2 Insulation

Insulation must be in accordance with NFPA 70, and must be UL listed for the application or meet the applicable sections of either ICEA, or NEMA standards.

2.4.1.3 In Duct

Cables shall be single-conductor cable, in accordance with NFPA 70.

2.5 </TAI>2.5 CABLE JOINTS, TERMINATIONS, AND CONNECTORS

2.5.1 <TAI OPT=LOW VOLTAGE CABLE>2.5.3 Low-Voltage Cable Splices

Low-voltage cable splices and terminations shall be rated at not less than 600 Volts. Splices in conductors No. 10 AWG and smaller shall be made with an insulated, solderless, pressure type connector, conforming to the applicable requirements of UL 486A. Splices in conductors No. 8 AWG and larger shall be made with noninsulated, solderless, pressure type connector, conforming to the applicable requirements of UL 486A and UL 486B. Splices shall then be covered with an insulation and jacket material equivalent to the conductor insulation and jacket. Splices below grade or in wet locations shall be sealed type conforming to ANSI C119.1 or shall be waterproofed by a sealant-filled, thick wall, heat shrinkable, thermosetting tubing or by pouring a thermosetting resin into a mold that surrounds the joined conductors.

2.6 </TAI>2.6 CONDUIT AND DUCTS

Duct lines shall be concrete-encased, thin-wall type. <TAI OPT=MEDIUM VOLTAGE CABLE>Duct lines shall be concrete-encased, thin-wall type for duct lines between manholes and for other medium-voltage lines.</TAI> <TAI OPT=LOW VOLTAGE CABLE>Low-voltage lines </TAI>run elsewhere may be direct-burial, thick-wall type.

2.6.1 Metallic Conduit

Intermediate metal conduit shall comply with [UL 1242](#). Rigid galvanized steel conduit shall comply with [UL 6](#) and [ANSI C80.1](#). Metallic conduit fittings and outlets shall comply with [UL 514A](#) and [NEMA FB 1](#).

2.6.2 Nonmetallic Ducts

2.6.2.1 Concrete Encased Ducts

[UL 651](#) Schedule 40 or [NEMA TC 6](#) Type EB.

2.6.2.2 Direct Burial

[UL 651](#) Schedule 80, or [NEMA TC 6](#) Type DB.

2.6.3 Conduit Sealing Compound

Compounds for sealing ducts and conduit shall have a putty-like consistency workable with the hands at temperatures as low as 35 degrees F, shall neither slump at a temperature of 300 degrees F, nor harden materially when exposed to the air. Compounds shall adhere to clean surfaces of fiber or plastic ducts; metallic conduits or conduit coatings; concrete, masonry, or lead; any cable sheaths, jackets, covers, or insulation materials; and the common metals. Compounds shall form a seal without dissolving, noticeably changing characteristics, or removing any of the ingredients. Compounds shall have no injurious effect upon the hands of workmen or upon materials.

2.7 MANHOLES, HANDHOLES, AND PULLBOXES

Manholes, handholes, and pullboxes shall be as indicated. Strength of manholes, handholes, and pullboxes and their frames and covers shall conform to the requirements of [IEEE C2](#). Precast-concrete manholes shall have the required strength established by [ASTM C 478](#), [ASTM C 478M](#). Frames and covers shall be made of gray cast iron and a machine-finished seat shall be provided to ensure a matching joint between frame and cover. Cast iron shall comply with [ASTM A 48](#), Class 30B, minimum. Handholes for low voltage cables installed in parking lots, sidewalks, and turfed areas shall be fabricated from an aggregate consisting of sand and with continuous woven glass strands having an overall compressive strength of at least 10,000 psi and a flexural strength of at least 5,000 psi. Pullbox and handhole covers in sidewalks, and turfed areas shall be of the same material as the box. Concrete pullboxes shall consist of precast reinforced concrete boxes, extensions, bases, and covers.

2.8 POLES AND HARDWARE

Poles and hardware shall be in accordance with Section [16370](#) ELECTRICAL DISTRIBUTION SYSTEM, AERIAL.

2.9 GROUNDING AND BONDING

2.9.1 Driven Ground Rods

Ground rods shall be copper-clad steel conforming to [UL 467](#) not less than 3/4 inch in diameter by 10 feet in length. Sectional type rods may be used.

2.9.2 Grounding Conductors

Grounding conductors shall be bare, except where installed in conduit with associated phase conductors. Insulated conductors shall be of the same material as phase conductors and green color-coded, except that conductors shall be rated no more than 600 volts. Bare conductors shall be **ASTM B 8** soft-drawn unless otherwise indicated. Aluminum is not acceptable.

3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Equipment and devices shall be installed and energized in accordance with the manufacturer's published instructions. Circuits installed aerially shall conform to the requirements of Section **16370 ELECTRICAL DISTRIBUTION SYSTEM, AERIAL**. Steel conduits installed underground shall be installed and protected from corrosion in conformance with the requirements of Section **16415 ELECTRICAL WORK, INTERIOR**. Except as covered herein, excavation, trenching, and backfilling shall conform to the requirements of Section **02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS**. Concrete work shall have minimum 3000 psi compressive strength.

3.1.1 Conformance to Codes

The installation shall comply with the requirements and recommendations of **NFPA 70** and **IEEE C2** as applicable.

3.1.2 Verification of Dimensions

The Contractor shall become familiar with details of the work, shall verify dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing any work.

3.1.3 Disposal of Liquid Dielectrics

PCB-contaminated dielectrics must be marked as PCB and transported to and incinerated by an approved EPA waste disposal facility. The Contractor shall furnish certification of proper disposal. Contaminated dielectrics shall not be diluted to lower the contamination level.

3.2 CABLE AND BUSWAY INSTALLATION

The Contractor shall obtain from the manufacturer an installation manual or set of instructions which addresses such aspects as cable construction, insulation type, cable diameter, bending radius, cable temperature, lubricants, coefficient of friction, conduit cleaning, storage procedures, moisture seals, testing for and purging moisture, etc. The Contractor shall then perform pulling calculations and prepare a pulling plan which shall be submitted along with the manufacturers instructions in accordance with SUBMITTALS.

3.2.1 Cable Installation Plan and Procedure

Cable shall be installed strictly in accordance with the cable manufacturer's recommendations. Each circuit shall be identified by means of a fiber, laminated plastic, or non-ferrous metal tags, or approved equal, in each manhole, handhole, junction box, and each terminal. Each tag shall

contain the following information; cable type, conductor size, circuit number, circuit voltage, cable destination and phase identification.

3.2.1.1 Cable Inspection

The cable reel shall be inspected for correct storage positions, signs of physical damage, and broken end seals. If end seal is broken, moisture shall be removed from cable in accordance with the cable manufacturer's recommendations.

3.2.2 Duct Line

Cables shall be installed in duct lines where indicated. <TAI OPT=LOW VOLTAGE CABLE>Cable splices in low-voltage cables shall be made in manholes and handholes only, except as otherwise noted.</TAI> <TAI OPT=MEDIUM VOLTAGE CABLE>Cable joints in medium-voltage cables shall be made in manholes or approved pullboxes only.</TAI> Neutral and grounding conductors shall be installed in the same duct with their associated phase conductors.

3.3 DUCT LINES

3.3.1 Requirements

Numbers and sizes of ducts shall be as indicated. Duct lines shall be laid with a minimum slope of 4 inches per 100 feet. Depending on the contour of the finished grade, the high-point may be at a terminal, a manhole, a handhole, or between manholes or handholes. Short-radius manufactured 90-degree duct bends may be used only for pole or equipment risers, unless specifically indicated as acceptable. The minimum manufactured bend radius shall be 18 inches for ducts of less than 3 inch diameter, and 36 inches for ducts 3 inches or greater in diameter. Otherwise, long sweep bends having a minimum radius of 25 feet shall be used for a change of direction of more than 5 degrees, either horizontally or vertically. Both curved and straight sections may be used to form long sweep bends, but the maximum curve used shall be 30 degrees and manufactured bends shall be used. Ducts shall be provided with end bells whenever duct lines terminate in manholes or handholes.

3.3.2 Treatment

Ducts shall be kept clean of concrete, dirt, or foreign substances during construction. Field cuts requiring tapers shall be made with proper tools and match factory tapers. A coupling recommended by the duct manufacturer shall be used whenever an existing duct is connected to a duct of different material or shape. Ducts shall be stored to avoid warping and deterioration with ends sufficiently plugged to prevent entry of any water or solid substances. Ducts shall be thoroughly cleaned before being laid. Plastic ducts shall be stored on a flat surface and protected from the direct rays of the sun.

3.3.3 Installation of Couplings

Joints in each type of duct shall be made up in accordance with the manufacturer's recommendations for the particular type of duct and coupling selected and as approved.

3.3.3.1 Plastic Duct

Duct joints shall be made by brushing a plastic solvent cement on insides of plastic coupling fittings and on outsides of duct ends. Each duct and fitting shall then be slipped together with a quick 1/4-turn twist to set the joint tightly.

3.3.4 Duct Line Markers

Duct line markers shall be provided as indicated. In addition to markers, a 5 mil brightly colored plastic tape, not less than 3 inches in width and suitably inscribed at not more than 10 feet on centers with a continuous metallic backing and a corrosion-resistant 1 mil metallic foil core to permit easy location of the duct line, shall be placed approximately 12 inches below finished grade levels of such lines.

3.4 MANHOLES, HANDHOLES, AND PULLBOXES

3.4.1 Handholes

Handholes shall be located approximately as shown. Handholes shall be of the type noted on the drawings and shall be constructed in accordance with the details shown.

3.4.2 Pullboxes

Pullbox tops shall be flush with sidewalks or curbs or placed 1/2 inch above surrounding grades when remote from curbed roadways or sidewalks. Covers shall be marked "Low-Voltage" and provided with 2 lifting eyes and 2 hold-down bolts. Each box shall have a suitable opening for a ground rod. Conduit, cable, ground rod entrances, and unused openings shall be sealed with mortar.

3.4.3 Ground Rods

A ground rod shall be installed at the manholes, handholes and pullboxes. Ground rods shall be driven into the earth before the manhole floor is poured so that approximately 4 inches of the ground rod will extend above the manhole floor. When precast concrete manholes are used, the top of the ground rod may be below the manhole floor and a No. 1/0 AWG ground conductor brought into the manhole through a watertight sleeve in the manhole wall.

3.5 CONNECTIONS BETWEEN AERIAL AND UNDERGROUND SYSTEMS

Connections between aerial and underground systems shall be made as shown. Underground cables shall be extended up poles in conduit to cable terminations. Conduits shall be secured to the poles by 2-hole galvanized steel pipe straps spaced not more than 10 feet apart and with 1 strap not more than 12 inches from any bend or termination. Cable guards shall be secured to poles in accordance with the manufacturer's published procedures. Conduits shall be equipped with bushings to protect cables and minimize water entry. Capnut potheads shall be used to terminate medium-voltage multiple-conductor cable. Cables shall be supported by devices separate from the conduit or guard, near their point of exit from the conduit or guard.

3.5.1 Pole Installation

Pole installation shall be in accordance with Section 16370 ELECTRICAL DISTRIBUTION SYSTEM, AERIAL.

3.6 CONNECTIONS TO STRUCTURES

Cables shall be extended into the various utility structures and pits as indicated.

3.7 GROUNDING

Equipment frames of metal-enclosed equipment, and other noncurrent-carrying metal parts, such as cable shields, cable sheaths and armor, and metallic conduit shall be grounded. Metallic frames and covers of handholes and pull boxes shall be grounded by use of a braided, copper ground strap with equivalent ampacity of No. 6 AWG.

3.7.1 Grounding Electrodes

Grounding electrodes shall be installed as shown on the drawings and as follows:

- a. Driven rod electrodes - Unless otherwise indicated, ground rods shall be driven into the earth until the tops of the rods are approximately 1 foot below finished grade.
- b. Additional electrodes - When the required ground resistance is not met, additional electrodes shall be provided interconnected with grounding conductors to achieve the specified ground resistance. The additional electrodes will be a single extension-type rod, 3/4 inch diameter, up to 30 feet long, coupled and driven with the first rod. In high ground resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately.

3.7.2 Grounding and Bonding Connections

Connections above grade shall be made by the fusion-welding process or with bolted solderless connectors, in compliance with UL 467, and those below grade shall be made by a fusion-welding process. Where grounding conductors are connected to aluminum-composition conductors, specially treated or lined copper-to-aluminum connectors suitable for this purpose shall be used.

3.7.3 Grounding and Bonding Conductors

Grounding and bonding conductors include conductors used to bond transformer enclosures and equipment frames to the grounding electrode system. Grounding and bonding conductors shall be sized as shown, and located to provide maximum physical protection. Bends greater than 45 degrees in ground conductors are not permitted. Routing of ground conductors through concrete shall be avoided. When concrete penetration is necessary, nonmetallic conduit shall be cast flush with the points of concrete entrance and exit so as to provide an opening for the ground conductor, and the opening shall be sealed with a suitable compound after installation.

3.7.4 Manhole, Handhole, or Concrete Pullbox Grounding

Ground rods installed in manholes, handholes, or concrete pullboxes shall be connected to cable racks, cable-pulling irons, the cable shielding, metallic sheath, and armor at each cable joint or splice by means of a No. 4 AWG braided tinned copper wire. Connections to metallic cable sheaths shall be by means of tinned terminals soldered to ground wires and to cable sheaths. Care shall be taken in soldering not to damage metallic cable sheaths or shields. Ground rods shall be protected with a double wrapping of pressure-sensitive plastic tape for a distance of 2 inches above and 6 inches below concrete penetrations. Grounding electrode conductors shall be neatly and firmly attached to manhole or handhole walls and the amount of exposed bare wire shall be held to a minimum.

3.7.5 Riser Pole Grounding

A single continuous vertical grounding electrode conductor shall be installed on each riser pole and connected directly to the grounding electrodes indicated on the drawings or required by these specifications. All equipment, neutrals, surge arresters, and items required to be grounded shall be connected directly to this vertical conductor. The grounding electrode conductor shall be sized as shown. Grounding electrode conductors shall be stapled to wood poles at intervals not exceeding 2 feet.

3.8 FIELD TESTING

3.8.1 General

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 10 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspections recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. Field test reports shall be signed and dated by the Contractor.

3.8.2 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.8.3 Ground-Resistance Tests

The resistance of each grounding electrode shall be measured using the fall-of-potential method defined in **IEEE Std 81**. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

- a. Single rod electrode - 25 ohms.

3.8.4 <TAI OPT=LOW VOLTAGE CABLE>3.11.6 Low-Voltage Cable Test

Low-voltage cable, complete with splices, shall be tested for insulation resistance after the cables are installed, in their final configuration, ready for connection to the equipment, and prior to energization. The test voltage shall be 500 volts dc, applied for one minute between each conductor and ground and between all possible combinations conductors in the same trench, duct, or cable, with all other conductors in the same trench, duct, or conduit. The minimum value of insulation shall be:

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet

Each cable failing this test shall be repaired or replaced. The repaired cable shall be retested until failures have been eliminated.

3.9 </TAI>3.13 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

SECTION 16415

ELECTRICAL WORK, INTERIOR

1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	(1995) Code for Electricity Metering
ANSI C12.4	(1984; R 1996) Mechanical Demand Registers
ANSI C12.10	(1987) Electromechanical Watthour Meters
ANSI C12.11	(1987; R 1993) Instrument Transformers for Revenue Metering, 10 kV BIL Through 350 kV BIL (0.6 kV NSV Through 69 kV NSV)
ANSI C37.16	(1988; C37.16a; R 1995) Low-Voltage Power Circuit Breakers and AC Power Circuit Protectors - Preferred Ratings, Related Requirements, and Application Recommendations
ANSI C39.1	(1981; R 1992) Requirements for Electrical Analog Indicating Instruments
ANSI C57.12.10	(1987) Safety Requirements for Transformers 230 kV and Below 833/958 Through 8333/10417 kVA, Single-Phase, and 750/862 Through 60 000/80 000/100 000 kVA, Three-Phase Without Load Tap Charging; and 3750/4687 Through 60 000/80 000/100 000 kVA With Load Tap Charging
ANSI C57.12.13	(1982) Conformance Requirements for Liquid-Filled Transformers Used in Unit Installations, Including Unit Substations
ANSI C57.12.27	(1982) Conformance Requirements for Liquid-Filled Distribution Transformers Used in Pad-Mounted Installations, Including Unit Substations
ANSI C57.12.50	(1981; R 1989) Ventilated Dry-type Distribution Transformers 1 to 500 kVA, Single-Phase; and 15 to 500 kVA, Three-Phase with High-Voltage 601 to 34 500 Volts, Low-Voltage 120 to 600 Volts
ANSI C57.12.51	(1981; R 1989) Ventilated Dry-Type Power Transformers, 501 kVA and Larger, Three-

Phase, with High-Voltage 601 to 34 500 Volts,
Low-Voltage 208Y/120 to 4160 Volts

- ANSI C57.12.52 (1981; R 1989) Sealed Dry-Type Power Transformers, 501 kVA and Larger, Three-Phase with High-Voltage 601 to 34 500 Volts, Low-Voltage 208Y/120 to 4160 Volts
- ANSI C57.12.70 (1978; R 1993) Terminal Markings and Connections for Distribution and Power Transformers
- ANSI C80.5 (1995) Rigid Aluminum Conduit
- ANSI C82.1 (1985; C82.1a; C82.1b; C82.1c; C82.1d; C82.1e; R 1992) Specifications for Fluorescent Lamp Ballasts
- ANSI C82.4 (1992) Ballasts for High-Intensity-Discharge and Low-Pressure Sodium Lamps (Multiple-Supply Type)
- ANSI C135.30 (1988) Zinc-Coated Ferrous Ground Rods for Overhead or Underground Line Construction

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM B 1 (1995) Hard-Drawn Copper Wire
- ASTM B 8 (1995) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
- ASTM D 709 (1992; R 1997) Laminated Thermosetting Materials
- ASTM D 4059 (1996) Analysis of Polychlorinated Biphenyls in Insulating Liquids by Gas Chromatography

CODE OF FEDERAL REGULATIONS (CFR)

- 47 CFR 18 Industrial, Scientific, and Medical Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE C2 (1997) National Electrical Safety Code
- IEEE ANSI/IEEE C37.13 (1990; R 1995) Low-Voltage AC Power Circuit Breakers Used in Enclosures
- IEEE ANSI/IEEE C37.20.1 (1993) Metal-Enclosed Low-Voltage Power Circuit-Breaker Switchgear
- IEEE ANSI/IEEE C57.12.00 (1993) IEEE Standard General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers

- IEEE ANSI/IEEE C57.12.80 (1978; R 1992) Terminology for Power and Distribution Transformers
- IEEE ANSI/IEEE C57.12.90 (1993) Test Code for Liquid-Immersed Distribution, Power, and Regulating Transformers and Guide for Short-Circuit Testing of Distribution and Power Transformers
- IEEE ANSI/IEEE C57.13 (1993) Instrument Transformers
- IEEE ANSI/IEEE C57.98 (1993) Guide for Transformer Impulse Tests
- IEEE ANSI/IEEE C57.100 (1986; R 1992) Test Procedure for Thermal Evaluation of Oil-Immersed Distribution Transformers
- IEEE C62.41 (1991; R 1995) Surge Voltages in Low-Voltage AC Power Circuits
- IEEE Std 81 (1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1)
- IEEE Std 242 (1986; R 1991) Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems
- IEEE Std 399 (1990) Recommended Practice for Industrial and Commercial Power Systems Analysis

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- NEMA 250 (1991) Enclosures for Electrical Equipment (1000 Volts Maximum)
- NEMA AB 1 (1993) Molded Case Circuit Breakers and Molded Case Switches
- NEMA BU 1 (1994) Busways
- NEMA FU 1 (1986) Low Voltage Cartridge Fuses
- NEMA ICS 1 (1993) Industrial Control and Systems
- NEMA ICS 2 (1993) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC or 750 Volts DC
- NEMA ICS 3 (1993) Industrial Control and Systems Factory Built Assemblies
- NEMA ICS 6 (1993) Industrial Control and Systems Enclosures

NEMA LE 4	(1987) Recessed Luminaires, Ceiling Compatibility
NEMA MG 1	(1993; Rev 1; Rev 2; Rev 3) Motors and Generators
NEMA MG 10	(1994) Energy Management Guide for Selection and Use of Polyphase Motors
NEMA OS 1	(1989) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA OS 2	(1986; Errata Aug 1986; R 1991) Nonmetallic Outlet Boxes, Device Boxes, Covers and Box Supports
NEMA PB 1	(1990) Panelboards
NEMA PB 2	(1995) Deadfront Distribution Switchboards
NEMA PE 5	(1985; R 1991) Utility Type Battery Chargers
NEMA RN 1	(1989) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA SG 3	(1995) Power Switching Equipment
NEMA ST 20	(1992) Dry-Type Transformers for General Applications
NEMA TC 2	(1990) Electrical Polyvinyl Chloride (PVC) Tubing (EPT) and Conduit (EPC-40 and EPC-80)
NEMA TC 13	(1993) Electrical Nonmetallic Tubing (ENT)
NEMA VE 1	(1996) Metal Cable Tray Systems
NEMA WD 1	(1983; R 1989) General Requirements for Wiring Devices
NEMA WD 6	(1988) Wiring Devices - Dimensional Requirements

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(1999) National Electrical Code
NFPA 101	(1997; Errata 97-1) Life Safety Code

UNDERWRITERS LABORATORIES (UL)

UL 1	(1993; Rev thru Jan 1995) Flexible Metal Conduit
UL 4	(1996) Armored Cable

- UL 5 (1996) Surface Metal Raceways and Fittings
- UL 6 (1997) Rigid Metal Conduit
- UL 20 (1995; Rev thru Jan 1998) General-Use Snap Switches
- UL 44 (1997; Rev Aug 1997) Thermoset-Insulated Wires and Cables
- UL 50 (1995; Rev thru Oct 1997) Enclosures for Electrical Equipment
- UL 67 (1993; Rev thru Nov 1995) Panelboards
- UL 83 (1996; Rev Sep 1997) Thermoplastic-Insulated Wires and Cables
- UL 98 (1994; R thru Oct 1995) Enclosed and Dead-Front Switches
- UL 198B (1995) Class H Fuses
- UL 198C (1986; Rev thru Feb 1998) High-Interrupting-Capacity Fuses, Current-Limiting Types
- UL 198D (1995) Class K Fuses
- UL 198E (1988; Rev Jul 1988) Class R Fuses
- UL 198G (1988; Rev May 1988) Fuses for Supplementary Overcurrent Protection
- UL 198H (1988; Rev thru Nov 1993) Class T Fuses
- UL 198L (1995; Rev May 1995) D-C Fuses for Industrial Use
- UL 360 (1996; Rev thru Oct 1997) Liquid-Tight Flexible Steel Conduit
- UL 467 (1993; Rev thru Aug 1996) Grounding and Bonding Equipment
- UL 486A (1997) Wire Connectors and Soldering Lugs for Use with Copper Conductors
- UL 486B (1997; Rev Jun 1997) Wire Connectors for Use with Aluminum Conductors
- UL 486C (1997) Splicing Wire Connectors
- UL 486E (1994; Rev thru Feb 1997) Equipment Wiring Terminals for Use with Aluminum and/or Copper Conductors

- UL 489 (1996; Rev thru Nov 1997) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit-Breaker Enclosures
- UL 498 (1996; Rev thru Nov 1997) Attachment Plugs and Receptacles
- UL 506 (1994; Rev Oct 1997) Specialty Transformers
- UL 508 (1993; Rev thru Oct 1997) Industrial Control Equipment
- UL 510 (1994; Rev thru Nov 1997) Insulating Tape
- UL 512 (1993; R Dec 1995) Fuseholders
- UL 514A (1996) Metallic Outlet Boxes
- UL 514B (1997) Fittings for Conduit and Outlet Boxes
- UL 514C (1996) Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
- UL 542 (1994; Rev May 1997) Lampholders, Starters, and Starter Holders for Fluorescent Lamps
- UL 651 (1995; Rev thru Apr 1997) Schedule 40 and 80 Rigid PVC Conduit
- UL 651A (1995; Rev Sep 1996) Type EB and A Rigid PVC Conduit and HDPE Conduit
- UL 674 (1994; Rev thru Feb 1997) Electric Motors and Generators for Use in Division 1 Hazardous (Classified) Locations
- UL 698 (1995; Rev thru Dec 1996) Industrial Control Equipment for Use in Hazardous (Classified) Locations
- UL 719 (1996) Nonmetallic-Sheathed Cables
- UL 797 (1993; Rev thru Mar 1997) Electrical Metallic Tubing
- UL 817 (1994; Rev thru Aug 1997) Cord Sets and Power-Supply Cords
- UL 844 (1995; Rev thru Aug 1997) Electric Lighting Fixtures for Use in Hazardous (Classified) Locations
- UL 845 (1995; Rev Feb 1996) Motor Control Centers
- UL 854 (1996) Service-Entrance Cables

- UL 857 (1994; Rev thru Nov 1996) Busways and Associated Fittings
- UL 869A (1993; Rev thru Apr 1996) Reference Standard for Service Equipment
- UL 877 (1993; Rev thru May 1997) Circuit Breakers and Circuit-Breaker Enclosures for Use in Hazardous (Classified) Locations
- UL 886 (1994; Rev thru Jan 1997) Outlet Boxes and Fittings for Use in Hazardous (Classified) Locations
- UL 891 (1994; Rev thru Jan 1995) Dead-Front Switchboards
- UL 924 (1995; Rev thru Oct 97) Emergency Lighting and Power Equipment
- UL 935 (1995; Rev thru Apr 1997) Fluorescent-Lamp Ballasts
- UL 943 (1993; Rev thru Mar 1997) Ground-Fault Circuit-Interrupters
- UL 1004 (1994; Rev thru Feb 1997) Electric Motors
- UL 1010 (1995; Rev thru Dec 1996) Receptacle-Plug Combinations for Use in Hazardous (Classified) Locations
- UL 1022 (1994) Line Isolation Monitors
- UL 1029 (1994; Rev thru Sep 1995) High-Intensity-Discharge Lamp Ballasts
- UL 1047 (1995; Rev May 1996) Isolated Power Systems Equipment
- UL 1236 (1994; Rev thru Dec 1997) Battery Chargers for Charging Engine-Starter Batteries
- UL 1242 (1996; Rev Apr 1997) Intermediate Metal Conduit
- UL 1449 (1985; Errata Apr 1986; Rev May 1995) Transient Voltage Surge Suppressors
- UL 1479 (1994) Fire Test of Through-Penetration Firestops
- UL 1564 (1993; Rev Apr 1994) Industrial Battery Chargers
- UL 1569 (1995; Rev thru Oct 1997) Metal-Clad Cables

UL 1570	(1995; Rev thru Jun 1997) Fluorescent Lighting Fixtures
UL 1571	(1995; Rev thru Jun 97) Incandescent Lighting Fixtures
UL 1572	(1995; Rev thru Jun 97) High Intensity Discharge Lighting Fixtures
UL 1660	(1994; Rev Jan 1996) Liquid-Tight Flexible Nonmetallic Conduit
UL Elec Const Dir	(1997) Electrical Construction Equipment Directory

1.2 GENERAL

Work in this section shall include electric exterior equipment in utility pits and structures.

1.2.1 Rules

The installation shall conform to the requirements of **NFPA 70** and **NFPA 101**, unless more stringent requirements are indicated or shown.

1.2.2 Coordination

The drawings indicate the extent and the general location and arrangement of equipment, conduit, and wiring. The Contractor shall become familiar with all details of the work and verify all dimensions in the field so that the outlets and equipment shall be properly located and readily accessible. Lighting fixtures, outlets, and other equipment and materials shall be located to avoid interference with mechanical or structural features; otherwise, lighting fixtures shall be symmetrically located according to the room arrangement when uniform illumination is required, or asymmetrically located to suit conditions fixed by design and shown. Raceways, junction and outlet boxes, and lighting fixtures shall not be supported from sheet metal roof decks. If any conflicts occur necessitating departures from the drawings, details of and reasons for departures shall be submitted and approved prior to implementing any change. The Contractor shall coordinate electrical work with the HVAC and electrical drawings and specifications and provide power related wiring.

1.2.3 Special Environments

1.2.3.1 Weatherproof Locations

Wiring, Fixtures, and equipment in designated locations shall conform to **NFPA 70** requirements for installation in damp or wet locations.

1.2.3.2 Ducts, Plenums and Other Air-Handling Spaces

Wiring and equipment in ducts, plenums and other air-handling spaces shall be installed using materials and methods in conformance with **NFPA 70** unless more stringent requirements are indicated in this specification or on the contract drawings.

1.2.4 Standard Products

Material and equipment shall be a standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

1.2.5 NAMEPLATES

1.2.5.1 Identification Nameplates

Major items of electrical equipment and major components shall be permanently marked with an identification name to identify the equipment by type or function and specific unit number as indicated. Designation of motors shall coincide with their designation in the motor control center or panel. Unless otherwise specified, identification nameplates shall be made of laminated plastic in accordance with ASTM D 709 with black outer layers and a white core. Edges shall be chamfered. Plates shall be fastened with black-finished round-head drive screws, except motors, or approved nonadhesive metal fasteners. When the nameplate is to be installed on an irregular-shaped object, the Contractor shall devise an approved support suitable for the application and ensure the proper installation of the supports and nameplates. In all instances, the nameplate shall be installed in a conspicuous location. At the option of the Contractor, the equipment manufacturer's standard embossed nameplate material with black paint-filled letters may be furnished in lieu of laminated plastic. The front of each panelboard, motor control center, switchgear, and switchboard shall have a nameplate to indicate the phase letter, corresponding color and arrangement of the phase conductors. The following equipment, as a minimum, shall be provided with identification nameplates:

Minimum 1/4 inch
High Letters

Minimum 1/8 inch
High Letters

Panelboards
Starters
Safety Switches
Transformers
Equipment Enclosures
Motors

Control Power Transformers
Control Devices

Each panel, section, or unit in motor control centers, switchgear or similar assemblies shall be provided with a nameplate in addition to nameplates listed above, which shall be provided for individual compartments in the respective assembly, including nameplates which identify "future," "spare," and "dedicated" or "equipped spaces."

1.2.6 As-Built Drawings

Following the project completion or turnover, within 30 days the Contractor shall furnish 2 sets of as-built drawings to the Contracting Officer.

1.3 SUBMITTALS

The following shall be submitted in accordance with the SPECIAL CLAUSES:

Data

Manufacturer's Catalog.

- a. Transformers.
- b. Circuit breakers.
- c. Switches.
- d. Receptacles.
- e. Fuses.

Data composed of catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Installation Procedures.

Installation procedures for transformers. Procedures shall include diagrams, instructions, and precautions required to install, adjust, calibrate, and test devices and equipment.

Drawings

As-Built Drawings.

The as-built drawings shall be a record of the construction as installed. The drawings shall include all the information shown on the contract drawings, deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be kept at the job site and updated daily. The as-built drawings shall be a full-sized set of prints marked to reflect all deviations, changes, and modifications. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate. Upon completion of the work, the Contractor shall submit three full sized sets of the marked prints to the Contracting Officer for approval. If upon review, the as-built drawings are found to contain errors and/or omissions, they will be returned to the Contractor for correction. The Contractor shall correct and return the as-built drawings to the Contracting Officer for approval within ten calendar days from the time the drawings are returned to the Contractor.

Reports

Field Test Reports.

Six copies of the information described below in 8 1/2 x 11 inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.

- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.
- h. Final position of controls and device settings.

1.4 WORKMANSHIP

Materials and equipment shall be installed in accordance with **NFPA 70**, recommendations of the manufacturer, and as shown.

2 PRODUCTS

Products shall conform to the respective publications and other requirements specified below. Materials and equipment not listed below shall be as specified elsewhere in this section. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.1 CABLES AND WIRES

Conductors No. 8 AWG and larger diameter shall be stranded. Conductors No. 10 AWG and smaller diameter shall be solid, except that conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3, shall be stranded unless specifically indicated otherwise. Conductor sizes and ampacities shown are based on copper, unless indicated otherwise. All conductors shall be copper.

2.1.1 Insulation

Unless indicated otherwise, or required by **NFPA 70**, power and lighting wires shall be 600-volt, Type THWN, THHN, or THW conforming to **UL 83**, except that grounding wire may be type TW conforming to **UL 83**; remote-control and signal circuits shall be Type TW, THW or TF, conforming to **UL 83**. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.1.2 Bonding Conductors

ASTM B 1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; **ASTM B 8**, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.1.3 Cord Sets and Power-Supply Cords

UL 817.

2.2 TRANSIENT VOLTAGE SURGE PROTECTION

Transient voltage surge suppressors shall be provided as indicated. Surge suppressors shall meet the requirements of [IEEE C62.41](#) and be UL listed and labeled as having been tested in accordance with [UL 1449](#). Surge suppressor ratings shall be as indicated. Fuses shall not be used as surge suppression.

2.3 CIRCUIT BREAKERS

2.3.1 MOLDED-CASE CIRCUIT BREAKERS

Molded-case circuit breakers shall conform to [NEMA AB 1](#) and [UL 489](#). Circuit breakers may be installed in panelboards, enclosures or combination motor controllers.

2.3.1.1 Construction

Circuit breakers shall be suitable for mounting and operating in any position. Lug shall be listed for copper conductors only in accordance with [UL 486E](#). Single-pole circuit breakers shall be full module size with not more than one pole per module. Multi-pole circuit breakers shall be of the common-trip type having a single operating handle such that an overload or short circuit on any one pole will result in all poles opening simultaneously. Sizes of 100 amperes or less may consist of single-pole breakers permanently factory assembled into a multi-pole unit having an internal, mechanical, nontamperable common-trip mechanism and external handle ties. All circuit breakers shall have a quick-make, quick-break overcenter toggle-type mechanism, and the handle mechanism shall be trip-free to prevent holding the contacts closed against a short-circuit or sustained overload. All circuit breaker handles shall assume a position between "ON" and "OFF" when tripped automatically. All ratings shall be clearly visible.

2.3.1.2 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. The interrupting rating of the circuit breakers shall be at least equal to the available short-circuit current at the line terminals of the circuit breaker and correspond to the UL listed integrated short-circuit current rating specified for the panelboards and switchboards. Molded-case circuit breakers shall have nominal voltage ratings, maximum continuous-current ratings, and maximum short-circuit interrupting ratings in accordance with [NEMA AB 1](#). Ratings shall be coordinated with system X/R ratio.

2.3.1.3 Cascade System Ratings

Circuit breakers shall not use series combinations.

2.3.1.4 Thermal-Magnetic Trip Elements

Thermal magnetic circuit breakers shall be provided as shown. Automatic operation shall be obtained by means of thermal-magnetic tripping devices located in each pole providing inverse time delay and instantaneous circuit protection. The instantaneous magnetic trip shall be adjustable and accessible from the front of all circuit breakers on frame sizes above 150 amperes.

2.3.2 Current-Limiting Circuit Breakers

Current-limiting circuit breakers shall be provided as shown. Current-limiting circuit breakers shall limit the let-through I square times t to a value less than the I square times t of one-half cycle of the symmetrical short-circuit current waveform. On fault currents below the threshold of limitation, breakers shall provide conventional overload and short-circuit protection. Integrally-fused circuit breakers shall not be used.

2.3.3 Ground Fault Circuit Interrupters

UL 943. Breakers equipped with ground fault circuit interrupters shall have ground fault class, interrupting capacity, and voltage and current ratings as indicated.

2.4 MOTOR SHORT-CIRCUIT PROTECTOR (MSCP)

Motor short-circuit protectors shall conform to UL 508 and shall be provided as shown. Protectors shall be used only as part of a combination motor controller which provides coordinated motor branch-circuit overload and short-circuit protection, and shall be rated in accordance with the requirements of NFPA 70.

2.4.1 Construction

Motor short-circuit protector bodies shall be constructed of high temperature, dimensionally stable, long life, nonhygroscopic materials. Protectors shall fit special MSCP mounting clips and shall not be interchangeable with any commercially available fuses. Protectors shall have 100 percent one-way interchangeability within the A-Y letter designations. All ratings shall be clearly visible.

2.4.2 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. Letter designations shall be A through Y for motor controller Sizes 0, 1, 2, 3, 4, and 5, with 100,000 amperes interrupting capacity rating. Letter designations shall correspond to controller sizes as follows:

CONTROLLER SIZE	MSCP DESIGNATION
NEMA 0	A-N
NEMA 1	A-P
NEMA 2	A-S
NEMA 3	A-U
NEMA 4	A-W
NEMA 5	A-Y

2.5 CONDUIT AND TUBING

2.5.1 Flexible Conduit, Steel and Plastic

General-purpose type, **UL 1**; liquid tight, **UL 360**, and **UL 1660**.

2.5.2 PVC Coated Rigid Steel Conduit

NEMA RN 1.

2.5.3 Rigid Metal Conduit

UL 6.

2.5.4 Rigid Plastic

NEMA TC 2, **UL 651** and **UL 651A**.

2.6 CONDUIT AND DEVICE BOXES AND FITTINGS

2.6.1 Boxes, Metallic Outlet

NEMA OS 1 and **UL 514C**.

2.6.2 Boxes, Switch (Enclosed), Surface-Mounted

UL 98.

2.6.3 Fittings for Conduit and Outlet Boxes

UL 514B.

2.6.4 Fittings, PVC, for Use with Rigid PVC Conduit and Tubing

UL 514B.

2.7 CONDUIT COATINGS PLASTIC RESIN SYSTEM

NEMA RN 1, Type A-40.

2.8 CONNECTORS, WIRE PRESSURE

2.8.1 For Use With Copper Conductors

UL 486A.

2.9 ELECTRICAL GROUNDING AND BONDING EQUIPMENT

UL 467.

2.9.1 Ground Rods

Ground rods shall be of copper-clad steel conforming to **UL 467** not less than 3/4 inch in diameter by 10 feet in length of the sectional type driven full length into the earth.

2.9.2 Ground Bus

The ground bus shall be bare conductor or flat copper in one piece, if practicable.

2.10 ENCLOSURES

NEMA ICS 6 or NEMA 250 unless otherwise specified.

2.10.1 Cabinets and Boxes

Cabinets and boxes with volume greater than 100 cubic inches shall be in accordance with UL 50, hot-dip, zinc-coated, if sheet steel.

2.10.2 Circuit Breaker Enclosures

UL 489.

2.11 LOW-VOLTAGE FUSES AND FUSEHOLDERS

2.11.1 Fuses, Low Voltage Cartridge Type

NEMA FU 1.

2.11.2 Fuses, High-Interrupting-Capacity, Current-Limiting Type

Fuses, Class G, J, L and CC shall be in accordance with UL 198C.

2.11.3 Fuses, Class K, High-Interrupting-Capacity Type

UL 198D.

2.11.4 Fuses, Class H

UL 198B.

2.11.5 Fuses, Class R

UL 198E.

2.11.6 Fuses, Class T

UL 198H.

2.11.7 Fuses for Supplementary Overcurrent Protection

UL 198G.

2.11.8 Fuses, D-C for Industrial Use

UL 198L.

2.11.9 Fuseholders

UL 512.

2.12 MOTORS, AC, FRACTIONAL AND INTEGRAL

Motors, ac, fractional and integral horsepower, 500 hp and smaller shall conform to NEMA MG 10 and UL 1004 for motors.

2.12.1 Rating

The horsepower rating of motors should be limited to no more than 125 percent of the maximum load being served unless a NEMA standard size does not fall within this range. In this case, the next larger NEMA standard motor size should be used.

2.12.2 Motor Efficiencies

All permanently wired polyphase motors of 1 hp or more shall meet the minimum full-load efficiencies as indicated in the following table, and as specified in this specification. Motors of 1 hp or more with open, drip proof or totally enclosed fan cooled enclosures shall be high efficiency type, unless otherwise indicated. Motors provided as an integral part of motor driven equipment are excluded from this requirement if a minimum seasonal or overall efficiency requirement is indicated for that equipment by the provisions of another section.

Minimum Motor Efficiencies

HP	Efficiency
1	85.5
1.5	85.5
2	85.5
3	88.5
5	88.5
7.5	90.0
10	90.0
15	91.0
20	92.0
25	92.0
30	92.0
40	92.0
50	92.5
60	92.5
75	95.5
100	93.5
125	94.5
150	94.5
200	94.5
250	94.5
300	94.5
350	94.5
400	94.5
500	94.5

2.13 MOTOR CONTROLS AND MOTOR CONTROL CENTERS

2.13.1 General

NEMA ICS 1, NEMA ICS 2, NEMA ICS 3 and NEMA ICS 6, and UL 508 and UL 845.

2.13.2 Motor Starters

Provide manual motor starters as indicated.

2.13.3 Thermal-Overload Protection

Each motor shall be provided with thermal-overload protection. The overload-protection device shall be provided either integral with the motor or controller. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating.

2.14 PANELBOARDS

Dead-front construction, NEMA PB 1 and UL 67.

2.15 RECEPTACLES

2.15.1 Heavy Duty Grade

NEMA WD 1. Devices shall conform to all requirements for heavy duty receptacles.

2.15.2 Ground Fault Interrupters

UL 943, Class A or B.

2.15.3 NEMA Standard Receptacle Configurations

NEMA WD 6.

- a. Single and Duplex, 15-Ampere and 20-Ampere, 125 Volt

15-ampere, non-locking: NEMA type 5-15R, locking: NEMA type L5-15R, 20-ampere, non-locking: NEMA type 5-20R, locking: NEMA type L5-20R.

2.16 SERVICE ENTRANCE EQUIPMENT

UL 869A.

2.17 SPLICE, CONDUCTOR

UL 486C.

2.18 SNAP SWITCHES

UL 20.

2.19 TAPES

2.19.1 Plastic Tape

UL 510.

2.19.2 Rubber Tape

UL 510.

2.20 TRANSFORMERS

Single- and three-phase transformers shall have two windings per phase. Full-capacity standard NEMA taps shall be provided in the primary windings of transformers unless otherwise indicated. Three-phase transformers shall be configured with delta-wye windings, except as indicated. Transformers supplying non-linear loads shall be UL listed as suitable for supplying such loads with a total K-factor not to exceed K-13 and have neutrals sized for 200 percent of rated current.

2.20.1 Transformers, Dry-Type

Transformers shall have 220 degrees C insulation system for transformers 15 kVA and greater, and shall have 180 degrees C insulation system for transformers rated 10 kVA and less, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient temperature of 40 degrees C. Transformer of 115 degrees C temperature rise shall be capable of carrying continuously 115 percent of nameplate kVA without exceeding insulation rating.

a. 600 Volt or Less Primary:

NEMA ST 20, UL 506, general purpose, dry-type, self-cooled, ventilated. Transformers shall be provided in NEMA 1 enclosure or NEMA 3R enclosure for exterior locations. Transformers shall be quiet type with maximum sound level at least 3 decibels less than NEMA standard level for transformer ratings indicated.

3 EXECUTION

3.1 GROUNDING

Grounding shall be in conformance with NFPA 70, the contract drawings, and the following specifications.

3.1.1 Ground Rods

The resistance to ground shall be measured using the fall-of-potential method described in IEEE Std 81. The maximum resistance of a driven ground shall not exceed 5 ohms under normally dry conditions. If this resistance cannot be obtained additional 10-foot sections may be coupled fusion welded and driven with the first rod. In high-ground-resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately. Connections below grade shall be fusion welded. Connections above grade shall be fusion welded or shall use UL 467 approved connectors.

3.1.2 Grounding Conductors

A green equipment grounding conductor, sized in accordance with NFPA 70 shall be provided, regardless of the type of conduit. Equipment grounding

bars shall be provided in all panelboards. The equipment grounding conductor shall be carried back to the service entrance grounding connection or separately derived grounding connection. All equipment grounding conductors, including metallic raceway systems used as such, shall be bonded or joined together in each wiring box or equipment enclosure. Metallic raceways and grounding conductors shall be checked to assure that they are wired or bonded into a common junction. Metallic boxes and enclosures, if used, shall also be bonded to these grounding conductors by an approved means per NFPA 70. When boxes for receptacles, switches, or other utilization devices are installed, any designated grounding terminal on these devices shall also be bonded to the equipment grounding conductor junction with a short jumper.

3.2 WIRING METHODS

Wiring shall conform to NFPA 70, the contract drawings, and the following specifications. Unless otherwise indicated, wiring shall consist of insulated conductors installed in rigid zinc-coated steel conduit. Wire fill in conduits shall be based on NFPA 70 for the type of conduit and wire insulations specified.

3.2.1 Conduit and Tubing Systems

Conduit and tubing systems shall be installed as indicated. Conduit sizes shown are based on use of copper conductors with insulation types as described in paragraph WIRING METHODS. Minimum size of raceways shall be 3/4 inch. Only metal conduits will be permitted when conduits are required for shielding or other special purposes indicated, or when required by conformance to NFPA 70. Nonmetallic conduit and tubing may be used in damp, wet or corrosive locations when permitted by NFPA 70 and the conduit or tubing system is provided with appropriate boxes, covers, clamps, screws or other appropriate type of fittings. Bushings, manufactured fittings or boxes providing equivalent means of protection shall be installed on the ends of all conduits and shall be of the insulating type, where required by NFPA 70. Penetrations of above grade floor slabs, time-rated partitions and fire walls shall be firestopped in accordance with UL 1479. Except as otherwise specified, IMC may not be used as an option for rigid steel conduit in areas as permitted by NFPA 70. Raceways shall not be installed under the firepits of boilers and furnaces and shall be kept 6 inches away from parallel runs of flues, steam pipes and hot-water pipes. Raceways shall be concealed within finished walls, ceilings, and floors unless otherwise shown. Raceways crossing structural expansion joints or seismic joints shall be provided with suitable expansion fittings or other suitable means to compensate for the building expansion and contraction and to provide for continuity of grounding.

3.2.1.1 Pull Wires

A pull wire shall be inserted in each empty raceway in which wiring is to be installed if the raceway is more than 50 feet in length and contains more than the equivalent of two 90-degree bends, or where the raceway is more than 150 feet in length. The pull wire shall be of No. 14 AWG zinc-coated steel, or of plastic having not less than 200 pounds per square inch tensile strength. Not less than 10 inches of slack shall be left at each end of the pull wire.

3.2.1.2 Conduit Stub-Ups

Where conduits are to be stubbed up through concrete floors, a short elbow PVC coated rigid metal conduit shall be installed below grade to transition from the horizontal run of conduit to a vertical run. A conduit coupling fitting, threaded on the inside shall be installed, to allow terminating the conduit flush with the finished floor. Wiring shall be extended in rigid threaded conduit to equipment, except that where required, flexible conduit may be used 6 inches above the floor. Empty or spare conduit stub-ups shall be plugged flush with the finished floor with a threaded, recessed plug.

3.2.1.3 Below Slab-on-Grade or in the Ground

Electrical wiring below slab-on-grade shall be protected by a conduit system. Conduit passing vertically through slabs-on-grade shall be rigid steel. Rigid steel conduits installed below slab-on-grade or in the earth shall have a factory-applied polyvinyl chloride, plastic resin, or epoxy coating system.

3.2.1.4 Installing in Slabs Including Slabs on Grade

Conduit installed in slabs-on-grade shall be rigid steel. Conduits shall be installed as close to the middle of concrete slabs as practicable without disturbing the reinforcement. Outside diameter shall not exceed 1/3 of the slab thickness and conduits shall be spaced not closer than 3 diameters on centers except at cabinet locations where the slab thickness shall be increased as approved by the Contracting Officer. Where conduit is run parallel to reinforcing steel, the conduit shall be spaced a minimum of one conduit diameter away but not less than one inch from the reinforcing steel.

3.2.1.5 Changes in Direction of Runs

Changes in direction of runs shall be made with symmetrical bends or cast-metal fittings. Field-made bends and offsets shall be made with an approved hickey or conduit-bending machine. Crushed or deformed raceways shall not be installed. Trapped raceways in damp and wet locations shall be avoided where possible. Lodgment of plaster, dirt, or trash in raceways, boxes, fittings and equipment shall be prevented during the course of construction. Clogged raceways shall be cleared of obstructions or shall be replaced.

3.2.1.6 Supports

Metallic conduits and tubing, and the support system to which they are attached, shall be securely and rigidly fastened in place to prevent vertical and horizontal movement at intervals of not more than 10 feet and within 3 feet of boxes, cabinets, and fittings, with approved pipe straps, wall brackets, conduit clamps, conduit hangers, threaded C-clamps, beam clamps, or ceiling trapeze. Loads and supports shall be coordinated with supporting structure to prevent damage or deformation to the structure. Loads shall not be applied to joist bridging. Attachment shall be by wood screws or screw-type nails to wood; by toggle bolts on hollow masonry units; by expansion bolts on concrete or brick; by machine screws, welded threaded studs, heat-treated or spring-steel-tension clamps on steel work. Nail-type nylon anchors or threaded studs driven in by a powder charge and provided with lock washers and nuts may be used in lieu of expansion bolts or machine screws. Raceways or pipe straps shall not be welded to steel structures. Cutting the main reinforcing bars in reinforced concrete beams or joists

shall be avoided when drilling holes for support anchors. Holes drilled for support anchors, but not used, shall be filled. In partitions of light steel construction, sheet-metal screws may be used. Raceways shall not be supported using wire or nylon ties. Raceways shall be independently supported from the structure. Upper raceways shall not be used as a means of support for lower raceways. Supporting means shall not be shared between electrical raceways and mechanical piping or ducts. Cables and raceways shall not be supported by ceiling grids. Except where permitted by NFPA 70, wiring shall not be supported by ceiling support systems. Conduits shall be fastened to sheet-metal boxes and cabinets with two locknuts where required by NFPA 70, where insulating bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, a single locknut and bushing may be used. Threadless fittings for electrical metallic tubing shall be of a type approved for the conditions encountered. Additional support for horizontal runs is not required when EMT rests on steel stud cutouts.

3.2.1.7 Exposed Raceways

Exposed raceways shall be installed parallel or perpendicular to walls, structural members, or intersections of vertical planes and ceilings. Raceways under raised floors and above accessible ceilings shall be considered as exposed installations in accordance with NFPA 70 definitions.

3.2.2 Cables and Conductors

Installation shall conform to the requirements of NFPA 70.

3.2.2.1 Sizing

Unless otherwise noted, all sizes are based on copper conductors and the insulation types indicated. Sizes shall be not less than indicated. Branch-circuit conductors shall be not smaller than No. 12 AWG. Conductors for branch circuits of 120 volts more than 100 feet long and of 277 volts more than 230 feet long, from panel to load center, shall be no smaller than No. 10 AWG. Class 1 remote control and signal circuit conductors shall be not less than No. 14 AWG. Class 2 remote control and signal circuit conductors shall be not less than No. 16 AWG. Class 3 low-energy, remote-control and signal circuits shall be not less than No. 22 AWG.

3.2.2.2 Use of Aluminum Conductors in Lieu of Copper

Aluminum conductors shall not be used.

3.2.2.3 Cable Splicing

Splices shall be made in an accessible location. Crimping tools and dies shall be approved by the connector manufacturer for use with the type of connector and conductor.

- a. Copper Conductors, 600 Volt and Under: Splices in conductors No. 10 AWG and smaller diameter shall be made with an insulated, pressure-type connector. Splices in conductors No. 8 AWG and larger diameter shall be made with a solderless connector and insulated with tape or heat-shrink type insulating material equivalent to the conductor insulation.

3.2.2.4 Conductor Identification and Tagging

Power, control, and signal circuit conductor identification shall be provided within each enclosure where a tap, splice, or termination is made. Where several feeders pass through a common pull box, the feeders shall be tagged to indicate clearly the electrical characteristics, circuit number, and panel designation. Phase conductors of low voltage power circuits shall be identified by color coding. Phase identification by a particular color shall be maintained continuously for the length of a circuit, including junctions.

- a. Color coding shall be provided for service, feeder, branch, and ground conductors. Color shall be green for grounding conductors and white for neutrals; except where neutrals of more than one system are installed in the same raceway or box, other neutral shall be white with colored (not green) stripe. The color coding for 3-phase and single-phase low voltage systems shall be as follows:

120/208-volt, 3-phase: Black(A), red(B), and blue(C).
277/480-volt, 3-phase: Brown(A), orange(B), and yellow(C).
120/240-volt, 1-phase: Black and red.

- b. Conductor phase and voltage identification shall be made by color-coded insulation for all conductors smaller than No. 6 AWG. For conductors No. 6 AWG and larger, identification shall be made by color-coded insulation, or conductors with black insulation may be furnished and identified by the use of half-lapped bands of colored electrical tape wrapped around the insulation for a minimum of 3 inches of length near the end, or other method as submitted by the Contractor and approved by the Contracting Officer.
- c. Control and signal circuit conductor identification shall be made by color-coded insulated conductors, plastic-coated self-sticking printed markers, permanently attached stamped metal foil markers, or equivalent means as approved. Control circuit terminals of equipment shall be properly identified. Terminal and conductor identification shall match that shown on approved detail drawings. Hand lettering or marking is not acceptable.

3.3 BOXES AND SUPPORTS

Boxes shall be provided in the wiring or raceway systems where required by [NFPA 70](#) for pulling of wires, making connections, and mounting of devices or fixtures. Pull boxes shall be furnished with screw-fastened covers. Indicated elevations are approximate, except where minimum mounting heights for hazardous areas are required by [NFPA 70](#). Unless otherwise indicated, boxes for wall switches shall be mounted 48 inches above finished floors. Switch and outlet boxes located on opposite sides of fire rated walls shall be separated by a minimum horizontal distance of 24 inches. The total combined area of all box openings in fire rated walls shall not exceed 100 square inches per 100 square feet. Maximum box areas for individual boxes in fire rated walls vary with the manufacturer and shall not exceed the maximum specified for that box in [UL Elec Const Dir](#). Only boxes listed in [UL Elec Const Dir](#) shall be used in fire rated walls.

3.3.1 Box Applications

Each box shall have not less than the volume required by NFPA 70 for number of conductors enclosed in box. Boxes for metallic raceways, 4 by 4 inch nominal size and smaller, shall be of the cast-metal hub type when located in normally wet locations, when flush and surface mounted on outside of exterior surfaces, or when located in hazardous areas. Cast-metal boxes installed in wet locations and boxes installed flush with the outside of exterior surfaces shall be gasketed. Boxes for mounting lighting fixtures shall be not less than 4 inches square, or octagonal, except smaller boxes may be installed as required by fixture configuration, as approved. Cast-metal boxes with 3/32 inch wall thickness are acceptable. Large size boxes shall be NEMA 12 or as shown. Boxes in other locations shall be sheet steel except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit and tubing or nonmetallic sheathed cable system, when permitted by NFPA 70. Boxes for use in masonry-block or tile walls shall be square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers.

3.3.2 Brackets and Fasteners

Boxes and supports shall be fastened to wood with wood screws or screw-type nails of equal holding strength, with bolts and metal expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screw or welded studs on steel work. Threaded studs driven in by powder charge and provided with lockwashers and nuts, or nail-type nylon anchors may be used in lieu of expansion shields, or machine screws. Penetration of more than 1-1/2 inches into reinforced-concrete beams or more than 3/4 inch into reinforced-concrete joists shall avoid cutting any main reinforcing steel. The use of brackets which depend on gypsum wallboard or plasterboard for primary support will not be permitted. In partitions of light steel construction, bar hangers with 1 inch long studs, mounted between metal wall studs or metal box mounting brackets shall be used to secure boxes to the building structure. When metal box mounting brackets are used, additional box support shall be provided on the side of the box opposite the brackets. This additional box support shall consist of a minimum 12 inch long section of wall stud, bracketed to the opposite side of the box and secured by two screws through the wallboard on each side of the stud. Metal screws may be used in lieu of the metal box mounting brackets.

3.3.3 Mounting in Walls, Ceilings, or Recessed Locations

In walls or ceilings of concrete, tile, or other non-combustible material, boxes shall be installed so that the edge of the box is not recessed more than 1/4 inch from the finished surface. Boxes mounted in combustible walls or ceiling material shall be mounted flush with the finished surface. The use of gypsum or plasterboard as a means of supporting boxes will not be permitted. Boxes installed for concealed wiring shall be provided with suitable extension rings or plaster covers, as required. The bottom of boxes installed in masonry-block walls for concealed wiring shall be mounted flush with the top of a block to minimize cutting of the blocks, and boxes shall be located horizontally to avoid cutting webs of block. Separate boxes shall be provided for flush or recessed fixtures when required by the fixture terminal operating temperature, and fixtures shall be readily removable for access to the boxes unless ceiling access panels are provided.

3.3.4 Installation in Overhead Spaces

In open overhead spaces, cast-metal boxes threaded to raceways need not be separately supported except where used for fixture support; cast-metal boxes having threadless connectors and sheet metal boxes shall be supported directly from the building structure or by bar hangers. Hangers shall not be fastened to or supported from joist bridging. Where bar hangers are used, the bar shall be attached to raceways on opposite sides of the box and the raceway shall be supported with an approved type fastener not more than 24 inches from the box.

3.4 DEVICE PLATES

One-piece type device plates shall be provided for all outlets and fittings. Plates on unfinished walls and on fittings shall be of zinc-coated sheet steel, cast-metal, or impact resistant plastic having rounded or beveled edges. Plates on finished walls shall be of satin finish corrosion resistant steel or satin finish chromium plated brass. Screws shall be of metal with countersunk heads, in a color to match the finish of the plate. Plates shall be installed with all four edges in continuous contact with finished wall surfaces without the use of mats or similar devices. Plaster fillings will not be permitted. Plates shall be installed with an alignment tolerance of 1/16 inch. The use of sectional-type device plates will not be permitted. Plates installed in wet locations shall be gasketed and provided with a hinged, gasketed cover, unless otherwise specified.

3.5 RECEPTACLES

3.5.1 Single and Duplex, 15 or 20-ampere, 125 volt

Single and duplex receptacles shall be rated 20 amperes, 125 volts, two-pole, three-wire, grounding type with polarized parallel slots. Bodies shall be of ivory to match color of switch handles in the same room or to harmonize with the color of the respective wall, and supported by mounting strap having plaster ears. Contact arrangement shall be such that contact is made on two sides of an inserted blade. Receptacle shall be side- or back-wired with two screws per terminal. The third grounding pole shall be connected to the metal mounting yoke. Switched receptacles shall be the same as other receptacles specified except that the ungrounded pole of each suitable receptacle shall be provided with a separate terminal. Only the top receptacle of a duplex receptacle shall be wired for switching application. Receptacles with ground fault circuit interrupters shall have the current rating as indicated, and shall be UL Class A type unless otherwise shown. Ground fault circuit protection shall be provided as required by [NFPA 70](#) and as indicated on the drawings.

3.5.2 Weatherproof Applications

Weatherproof receptacles shall be suitable for the environment, damp or wet as applicable, and the housings shall be labeled to identify the allowable use. Receptacles shall be marked in accordance with [UL 514A](#) for the type of use indicated; "Damp locations", "Wet Locations", "Wet Location Only When Cover Closed". Assemblies shall be installed in accordance with the manufacturer's recommendations.

3.5.2.1 Damp Locations

Receptacles in damp locations shall be mounted in an outlet box with a gasketed, weatherproof, cast-metal cover plate (device plate, box cover) and a gasketed cap (hood, receptacle cover) over each receptacle opening. The cap shall be either a screw-on type permanently attached to the cover plate by a short length of bead chain or shall be a flap type attached to the cover with a spring loaded hinge.

3.5.2.2 Wet Locations

Receptacles in wet locations shall be installed in an assembly rated for such use whether the plug is inserted or withdrawn, unless otherwise indicated. In a duplex installation, the receptacle cover shall be configured to shield the connections whether one or both receptacles are in use. Assemblies which utilize a self-sealing boot or gasket to maintain wet location rating shall be furnished with a compatible plug at each receptacle location and a sign notifying the user that only plugs intended for use with the sealing boot shall be connected during wet conditions.

3.5.3 Special-Purpose or Heavy-Duty Receptacles

Special-purpose or heavy-duty receptacles shall be of the type and of ratings and number of poles indicated or required for the anticipated purpose. Contact surfaces may be either round or rectangular. One appropriate straight or angle-type plug shall be furnished with each receptacle. Locking type receptacles, rated 30 amperes or less, shall be locked by rotating the plug. Locking type receptacles, rated more than 50 amperes, shall utilize a locking ring.

3.6 WALL SWITCHES

Wall switches shall be of the totally enclosed tumbler type. The wall switch handle and switch plate color shall be ivory. Wiring terminals shall be of the screw type or of the solderless pressure type having suitable conductor-release arrangement. Not more than one switch shall be installed in a single-gang position. Switches shall be rated 20-ampere 277-volt for use on alternating current only. Pilot lights indicated shall consist of yoke-mounted candelabra-base sockets rated at 75 watts, 125 volts, and fitted with glass or plastic jewels. A clear 6-watt lamp shall be furnished and installed in each pilot switch. Jewels for use with switches controlling motors shall be green, and jewels for other purposes shall be red. Dimming switches shall be solid-state flush mounted, sized for the loads.

3.7 SERVICE EQUIPMENT

Service-disconnecting means shall be of the enclosed molded-case circuit breaker type with an external handle for manual operation. When service disconnecting means is a part of an assembly, the assembly shall be listed as suitable for service entrance equipment. Enclosures shall be sheet metal with hinged cover for surface mounting unless otherwise indicated.

3.8 PANELBOARDS AND LOADCENTERS

Circuit breakers and switches used as a motor disconnecting means shall be capable of being locked in the open position. Door locks shall be keyed

alike. Nameplates shall be as approved. Directories shall be typed to indicate loads served by each circuit and mounted in a holder behind a clear protective covering. Busses shall be copper.

3.8.1 Loadcenters

Loadcenters shall be circuit breaker equipped.

3.8.2 Panelboards

Panelboards shall be circuit breaker or fusible switch equipped as indicated on the drawings. Fusible panelboards of the multipole type may have doors over individual circuits and trim over the wiring gutter only, provided each circuit is arranged for locking in the open and closed positions and each branch circuit has an individual identification card in a cardholder with a clear plastic covering.

3.9 FUSES

Equipment provided under this contract shall be provided with a complete set of properly rated fuses when the equipment manufacturer utilize fuses in the manufacture of the equipment, or if current-limiting fuses are required to be installed to limit the ampere-interrupting capacity of circuit breakers or equipment to less than the maximum available fault current at the location of the equipment to be installed. Fuses shall have a voltage rating of not less than the phase-to-phase circuit voltage, and shall have the time-current characteristics required for effective power system coordination. Time-delay and non-time-delay options shall be as specified.

3.9.1 Cartridge Fuses; Noncurrent-Limiting Type

Cartridge fuses of the noncurrent-limiting type shall be Class H, nonrenewable, dual element, time lag type and shall have interrupting capacity of 10,000 amperes. At 500 percent current, cartridge fuses shall not blow in less than 10 seconds.

3.9.2 Cartridge Fuses; Current-Limiting Type

Cartridge fuses, current-limiting type, Class RK1 shall have tested interrupting capacity not less than 200,000 amperes. Fuse holders shall be the type that will reject all Class H fuses.

3.9.3 Continuous Current Ratings (600 Amperes and Smaller)

Service entrance and feeder circuit fuses (600 amperes and smaller) shall be Class RK1, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.9.4 Motor and Transformer Circuit Fuses

Motor, motor controller, transformer, and inductive circuit fuses shall be Class RK1 or RK5, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.10 UNDERGROUND SERVICE

Unless otherwise indicated, interior conduit systems shall be stubbed out 5 feet beyond the building wall and 2 feet below finished grade, for interface with the exterior service lateral conduits and exterior communications conduits. Outside conduit ends shall be bushed when used for direct burial service lateral conductors. Outside conduit ends shall be capped or plugged until connected to exterior conduit systems. Underground service lateral conductors will be extended to building service entrance and terminated in accordance with the requirements of Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND and NFPA 70.

3.11 MOTORS

Each motor shall conform to the hp and voltage ratings indicated, and shall have a service factor and other characteristics that are essential to the proper application and performance of the motors under conditions shown or specified. Three-phase motors for use on 3-phase 208-volt systems shall have a nameplate rating of 200 volts. Unless otherwise specified, all motors shall have open frames, and continuous-duty classification based on a 40 degree C ambient temperature reference. Polyphase motors shall be squirrel-cage type, having normal-starting-torque and low-starting-current characteristics, unless other characteristics are specified in other sections of these specifications or shown on contract drawings. The Contractor shall be responsible for selecting the actual horsepower ratings and other motor requirements necessary for the applications indicated. When electrically driven equipment furnished under other sections of these specifications materially differs from the design, the Contractor shall make the necessary adjustments to the wiring, disconnect devices and branch-circuit protection to accommodate the equipment actually installed.

3.12 MOTOR CONTROL

Each motor or group of motors requiring a single control [and not controlled from a motor-control center] shall be provided under other sections of these specifications with a suitable controller and devices that will perform the functions as specified for the respective motors. Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overload-protection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating.

3.12.1 Contacts

Unless otherwise indicated, contacts in miscellaneous control devices such as float switches, pressure switches, and auxiliary relays shall have current and voltage ratings in accordance with NEMA ICS 2 for rating designation B300.

3.12.2 Safety Controls

Safety controls for boilers shall be connected to a 2-wire, 120 volt grounded circuit supplied from the associated boiler-equipment circuit. Where the boiler circuit is more than 120 volts to ground, safety controls shall be energized through a two-winding transformer having its 120 volt secondary winding grounded. Overcurrent protection shall be provided in the ungrounded secondary conductor and shall be sized for the load encountered.

3.13 MOTOR-DISCONNECT MEANS

Each motor shall be provided with a disconnecting means when required by **NFPA 70** even though not indicated. For single-phase motors, a single or double pole toggle switch, rated only for alternating current, will be acceptable for capacities less than 30 amperes, provided the ampere rating of the switch is at least 125 percent of the motor rating. Switches shall disconnect all ungrounded conductors.

3.14 TRANSFORMER INSTALLATION

Three-phase transformers shall be connected only in a delta-wye or wye-delta configuration as indicated except isolation transformers having a one-to-one turns ratio. Dry-type transformers shown located within 5 feet of the exterior wall shall be provided in a weatherproof enclosure. Transformers to be located within the building may be provided in the manufacturer's standard, ventilated indoor enclosure designed for use in 40 degrees C ambient temperature, unless otherwise indicated.

3.15 EQUIPMENT CONNECTIONS

Wiring not furnished and installed under other sections of the specifications for the connection of electrical equipment as indicated on the drawings shall be furnished and installed under this section of the specifications. Connections shall comply with the applicable requirements of paragraph WIRING METHODS. Flexible conduits 6 feet or less in length shall be provided to all electrical equipment subject to periodic removal, vibration, or movement and for all motors. All motors shall be provided with separate grounding conductors. Liquid-tight conduits shall be used in damp or wet locations.

3.15.1 Installation of Government-Furnished Equipment

Wiring shall be extended to the equipment and terminated.

3.16 CIRCUIT PROTECTIVE DEVICES

The Contractor shall calibrate, adjust, set and test each new adjustable circuit protective device to ensure that they will function properly prior to the initial energization of the new power system under actual operating conditions.

3.17 PAINTING AND FINISHING

Field-applied paint on exposed surfaces shall be provided to match existing.

3.18 REPAIR OF EXISTING WORK

The work shall be carefully laid out in advance, and where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceiling, or other surfaces is necessary for the proper installation, support, or anchorage of the conduit, raceways, or other electrical work, this work shall be carefully done, and any damage to building, piping, or equipment shall be repaired by skilled mechanics of the trades involved at no additional cost to the Government.

3.19 FIELD TESTING

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 10 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspection recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. All field test reports will be signed and dated by the Contractor.

3.19.1 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.19.2 Ground-Resistance Tests

The resistance of each grounding electrode shall be measured using the fall-of-potential method defined in **IEEE Std 81**. Soil resistivity in the area of the grid shall be measured concurrently with the grid measurements. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

- a. Single rod electrode - 5 ohms.

3.19.3 Cable Tests

The Contractor shall be responsible for identifying all equipment and devices that could be damaged by application of the test voltage and ensuring that they have been properly disconnected prior to performing insulation resistance testing. An insulation resistance test shall be performed on all low and medium voltage cables after the cables are installed in their final configuration and prior to energization. The test voltage shall be 500 volts DC applied for one minute between each conductor and ground and between all possible combinations of conductors. The minimum value of resistance shall be:

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet)

Each cable failing this test shall be repaired or replaced. The repaired cable system shall then be retested until failures have been eliminated.

3.19.3.1 Low Voltage Cable Tests

- a. Continuity test.
- b. Insulation resistance test.

3.19.4 Motor Tests

- a. Phase rotation test to ensure proper directions.
- b. Operation and sequence of reduced voltage starters.
- c. High potential test on each winding to ground.
- d. Insulation resistance of each winding to ground.
- e. Vibration test.
- f. Dielectric absorption test on motor [and starter].

3.19.5 Dry-Type Transformer Tests

The following field tests shall be performed on all dry-type transformers.

- a. Insulation resistance test phase-to-ground, each phase.

3.19.6 Circuit Breaker Tests

The following field tests shall be performed on circuit breakers.

3.19.6.1 Circuit Breakers, Molded Case

- a. Insulation resistance test phase-to-phase, all combinations.
- b. Insulation resistance test phase-to-ground, each phase.
- c. Closed breaker contact resistance test.
- d. Manual operation of the breaker.

3.20 OPERATING TESTS

After the installation is completed, and at such time as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the specified requirements. An operating test report shall be submitted in accordance with paragraph FIELD TEST REPORTS.

3.21 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.